BMJ Open Fetal alcohol spectrum disorders from childhood to adulthood: a Swedish population-based naturalistic cohort study of adoptees from Eastern Europe

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ABSTRACT

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Dr Valdemar Landgren; valdemar.landgren@gu.se Background Fetal alcohol spectrum disorders (FASD) are a global health concern. To further understand FASD in adulthood is a major public health interest. Objective To describe the clinical characteristics of

young adults with FASD adopted from orphanages to a socially more favourable and stable rearing environment as children. Design Prospective observational cohort study

Setting Western Sweden

Participants A population-based cohort of 37 adoptees diagnosed with FASD in childhood.

Outcome measures Assessment consisted of clinical evaluations of social, medical, psychiatric, neuropsychological, adaptive and ophthalmological status by a physician, ophthalmologist, orthoptist and psychologist.

Results Out of 37 adoptees with FASD, 36 (15 females) were evaluated at a median age of 22 years (range 18-28) and a mean follow-up time of 15.5 years (range 13–17). Twenty (56%) were dependent on social support. Sexual victimisation was reported by nine (26%). In 21 individuals with fetal alcohol syndrome, growth restriction in height and head circumference of approximately -1.8 SD persisted into adulthood. Of 32 examined, 22 (69%) had gross motor coordination abnormalities. High blood pressure was measured in nine (28%). Ophthalmological abnormalities were found in 29 of 30 (97%). A median IQ of 86 in childhood had declined significantly to 71 by adulthood (mean difference: 15.5; 95% Cl 9.5-21.4). Psychiatric disorders were diagnosed in 88%, most commonly attention deficit hyperactivity disorder (70%). Three or more disorders were diagnosed in 48%, and 21% had attempted suicide. The median Clinical Global Impression-Severity score was 6 = 'severely ill'. **Conclusion** Major cognitive impairments, psychiatric morbidity, facial dysmorphology, growth restriction and ophthalmological abnormalities accompanies FASD in adulthood. Recognition of FASD in childhood warrants habilitation across the lifespan.

INTRODUCTION

The link between prenatal alcohol exposure and brain injury has been known to exist for centuries.¹ With the independent

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 Strengths and limitations of this study
 A population-based cohort of adoptees with fetal alcohol spectrum disorders (FASD), avoiding clinicalbased bias.
 Face-to-face clinical evaluations by the same assessors in childhood as adulthood.
 Small sample size decreases the precision of results and their generalisability.
 No particular comparison group of adoptees without FASD.
 A clear separation of innate effects of alcohol exposure and postnatal deprivation not possible, although adoption infers an optimisation of the environment compared with orphanage.

tions, by Lemoine, Ulleland, Smith, Jones and colleagues, a clinical syndrome was identified which eventually became known as fetal alcohol syndrome (FAS).²³ Since then, major ≥ contributions in epidemiological and terato-logical research have corroborated the dele-terious effects of alcohol exposure during **g** pregnancy, elucidating the pathogenic mechanisms of alcohol on the face and brain in particular.4 5 A spectrum of alcohol effects on embryonic and fetal development has been recognised, and is today known as fetal alcohol spectrum disorders (FASD). Diag-nostic criteria differ somewhat between countries, but the key features of FAS—growth \mathbf{G} deficiency, facial dysmorphology and neurobehavioral impairment-remain the same as originally described.⁶ The clinical criteria for FASD, according to Hoyme *et al*,⁵⁷ recognise that individuals with all key features have FAS, whereas those with facial dysmorphology and neurobehavioral impairment are recognised as having partial FAS (PFAS), and those with confirmed alcohol exposure and neurobehavioral impairment as having alcohol-related



neurodevelopmental disorder (ARND). Finally, concurrent facial dysmorphology and structural birth defects are diagnosed as alcohol-related birth defect (ARBD).

Although epidemiological studies and estimates of FASD consistently report minimum prevalence rates of 1.1%-5%,⁸⁻¹² the contribution from alcohol exposure during pregnancy to the global economic and health burden is frequently overlooked¹³¹⁴ and is deemed grossly underestimated.¹⁵

The literature on the consequences of FASD in adulthood is limited, but there are indications of persistence of disabilities and need for long-term support.¹⁶ However, to distinguish the effects of prenatal alcohol exposure from those of an adverse postnatal rearing environment has been a methodological challenge, since children with FASD are at increased risk of unstable family relations and placements, orphanages, and adoptions.^{17–19} For children separated from their family, evidence suggests that adoption is associated with higher levels of emotional security and general well-being than provided by impermanent placements or orphanages, and therefore poses the best long-term alternative.^{20 21}

Aims of the study

The aim was to characterise young adult outcome of FASD in a socially favourable rearing environment, and to evaluate the diagnostic stability of FASD into adulthood.

MATERIAL AND METHODS Design, setting and participants

In a previous population-based study all children adopted to western Sweden during 1990-1995 from Russia, Poland, Romania, Latvia or Estonia were invited for in-depth health examinations. Of 99 children invited, data on 76 children on arrival at a mean age 2.8 years was reported.²² At age 5-10 years, we diagnosed neurodevelopmental disorders in 64 of 71 children $(90\%)^{23}$ and ocular abnormalities in 56 of 72 (78%).^{24 25} For 37 (52%), a FASD diagnosis according to the criteria described by Hoyme et *al*⁷ with ascertained alcohol exposure, was confirmed in childhood.²³ It has since been recognised that a frequent reason for leaving a child in an orphanage in this region includes maternal alcohol abuse.²⁶ Because of insufficient data about many parents, exposure and birth status, 52% likely reflected a minimum rate of FASD in our cohort. We thought this information bias made comparisons to those in the cohort without FASD invalid. Therefore, only the 37 individuals with diagnosed FASD were invited for follow-up visits in young adulthood (18-28 years).

Assessment at adult follow-up

Assessment consisted of evaluations of the participants' social, medical, psychiatric, ophthalmological and psychological status by a paediatric neurologist or resident physician, ophthalmologist, orthoptist and psychologist on up to three occasions. Half of the cohort was seen in 2014, the other half in 2017-2018.

Social evaluation

A structured questionnaire covering educational attainment and current social circumstances was used, generally administered to parents by phone, and clarified at the medical examination.

Medical evaluation

Lung and heart auscultation, neurological examination of reflexes, muscle tone, finger to nose test and heel to shin test, and diadochokinesis test were performed by the examining physician. Measurements of height, or weight, body mass index (BMI), occipitofrontal circum-ference (OFC) and blood pressure (BP) were recorded and converted to appropriate SDs and percentile scores. BP and heart rate were recorded according to instruccopyright tions by the US National High Blood Pressure Education Program.²⁷ Upper lip and philtrum were assessed independently and were scored using the Lip-Philtrum Guide.⁵⁷ Lip and philtrum rating at the first assessment was done with a scale that preceded the one currently in use.²⁸ To enable comparison of diagnostic domains of FASD in childhood and at follow-up in adulthood, frontal photos of the participants taken at first assessment were $\vec{\mathbf{Q}}$ rated using the Hoyme *et al*'s Lip–Philtrum Guide (2016). Pain or sleep problems were present if a significant disturbance was reported at least once a week.

Psychiatric evaluation

to t To assess for affective psychotic disorders, substance abuse and antisocial personality disorder, the Mini International Neuropsychiatric Interview 6.0.0 (MINI)²⁹ was used by the examining physician. Presence of attention deficit hyperactivity disorder (ADHD) was assessed with questions from the Adult ADHD Self-Report scale 1.1.³⁰ Because young adults tend to underestimate ADHD symptoms,³¹ the assessment was informed by family, the medical records, other measures when available and clinical judgement. Based on all available information, the ical judgement. Based on all available information, the factorial condition was evaluated with the Clinical Global Impression-severity (CGI-S) instrument, using an ordinal scale where 1 = 'normal' and 7 = 'extremely ill'.³² The scoring rationale for the CGI-S and clinical vignettes exemplifying each score can be found in the online supplementary file.

Ophthalmological evaluation

An ophthalmological assessment of visual acuity, refraction, strabismus and structural abnormalities was made dure as in the original study.²³ An in-depth report of the sophthalmological assessment will be publicked in

Psychological evaluation

Leiter-Revised (Leiter-R) non-verbal tests,³³ consisting of an IQ test of fluid reasoning and visualisation, as well as the Leiter-R Attention and Memory battery, were administered. The Leiter-R rating scales for parents and psychologists, and the Adaptive Behavior Assessment Scale (ABAS-II) parent form³⁴ were also administered. The

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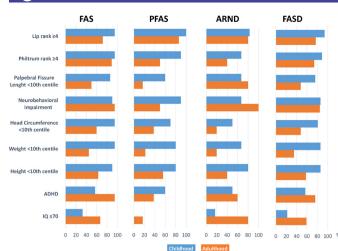


Figure 1 Diagnostic domains of fetal alcohol spectrum disorders in childhood and young adulthood in 37 adoptees.

testing was conducted by the same psychologist (LS) as in the original study.²³

Patient and public involvement

Patients were not directly involved in the design, recruitment or in the conduct of this study.

Statistical methods

SPSS V.22 (IBM Corp.) was used for all analyses. Frequencies, means, SDs, medians and ranges were calculated for descriptive purposes. When comparing continuous data being reassessed, paired samples test was performed. For detection of subgroup differences, Kruskal-Wallis test was used.

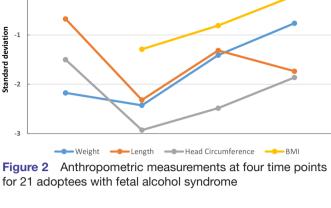
Table 1Social circumstances of adoptees with fetalalcohol spectrum disorders in young adulthood		
	(n=36)	
Highest completed education		
Special education	14 (39)	
Primary school, 9 years	9 (25)	
Secondary school, 12 years	11 (31)	
Attempted university studies	2 (5)	
Criminality		
Victim of sexual crime*	9 (26)	
Victim of physical assault	4 (12)	
Criminal convictions†	2 (6)	
Income and compensation		
Working	11 (31)	
Social welfare	10 (28)	
Disability pension	10 (28)	
Student	5 (14)	
Voluce expressed as numbers and percentages		

Values expressed as numbers and percentages.

*Reported by six women and three men.

†Two men reported convictions for drug-related theft and violence.





RESULTS

Birth

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Protected by copyright The follow-up cohort consisted of 36 of 37 individuals (97%), 15 females, with a median age of 22 years (range 18-28 years) and a median follow-up time of 15.5 years (range 13–17 years). Half of the group seen in 2014 were bu mainly individuals with a FAS diagnosis. The social interğ view was completed by 36 individuals, the medical and uses I psychiatric examination by 32, the ophthalmological by 30 and the psychological examination by 29. Medical charts contributed additional data for some individuals. teo Morbidity, reluctance towards further investigations, and the inconveniences of travelling were reasons for particiđ pation in only some of the tests. text

Social evaluation

The participants' social circumstances are shown in table 1.

Medical evaluation

data mining Measurements and traits within the diagnostic domains of FASD at the time of diagnosis and at follow-up are summarised in figure 1. Mean SDs of weight, length, head circumference and BMI at four measurements for the FAS group are depicted in figure 2. Symptoms, findings , and and health measures reported at the medical assessment are presented in table 2.

Psychiatric evaluation

Results of the psychiatric interviews are summarised in table 3.

Substance use disorders were found in two cases each of polysubstance use and alcohol use. A formalised evaluation of autistic traits was not part of the assessment, but four individuals reported having been diagnosed with autism outside the study. Past and/or present contact with adult psychiatry was reported by 18 individuals (50%). Participants with FAS had higher CGI-S scores, but in post-hoc analyses no significant differences between the FASD subgroups were found (Kruskal-Wallis test; p=0.07). Clinical vignettes with CGI-S scores are found in online supplementary appendix S1.

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 Table 2
 Medical examination of adoptees with fetal alcohol spectrum disorders in young adulthood

	(n=32)
Any abnormal motor finding	22 (69)
Dysdiadochokinesis	15 (47)
Balance disturbance	5 (16)
Abnormal muscle tone	4 (13)
Ataxia	2 (6)
Abducens paresis	1 (3)
Any somatic complaint	24 (75)
Sleep disturbance	15 (41)
Headache	11 (30)
Other pain	10 (27)
Stomach disturbance	6 (16)
	(n=35)*
Any metabolic risk factor	18 (51)
Body mass index ≥25	10 (30)
Tobacco smoker	7 (20)
Hypertensive†	9 (28)
Prehypertensive	3 (9)
Any psychotropic medication	16 (46)
ADHD medication	8 (23)
Antidepressants	7 (20)
Neuroleptic	3 (9)
Anticonvulsant	3 (9)
Asthma treatment	3 (9)

Values expressed as numbers and percentages.

*Information was obtained via telephone and from the medical records in three additional cases.

†Three individuals were concurrently receiving attention deficit hyperactivity disorder (ADHD) medication.

Ophthalmological evaluation

Measurements of inner canthal distance (ICD) and palpebral fissure length (PFL) are given in online supplementary table S1. In the cohort, findings of ophthalmological abnormalities, such as low visual acuity, refractive errors, strabismus, ptosis and intraocular abnormalities (increased tortuosity of retinal vessels, abnormal optic disc), were seen in 32 out of 37 (86%) in childhood²⁴ and in 29 out of 30 (97%) at follow-up.

Psychological evaluation

Scores for the Leiter-R IQ and ABAS-II are shown in online supplementary table S2. Increased deficits in adaptive and cognitive functions in relation to the expected trajectory were noted by the psychologist. In a post-hoc analysis of the baseline median IQ score of 86, the median IQ at follow-up was significantly lower, 71 (paired samples test mean difference: 15.4; 95% CI 9.5 to 21.4). When comparing only individuals with data at both time points (childhood and adulthood, n=29), the difference
 Table 3
 Psychiatric assessment of adoptees with fetal alcohol spectrum disorders in childhood and young adulthood

DSM-IV diagnosis	
Childhood*	(n=37)
Any disorder	30 (81)
Attention deficit hyperactivity disorder	23 (62)
Oppositional defiant disorder	16 (43)
Developmental coordination disorder	15 (41)
Tic disorder	5 (14)
Autism	2 (5)
Conduct disorder	2 (5)
Obsessive compulsive disorder	1 (3)
Adulthood†	(n=33)
Any disorder	29 (88)
Attention deficit hyperactivity disorder	23 (70)
Any anxiety disorder	17 (52)
Social phobia	8 (24)
Panic disorder	7 (21)
Generalised anxiety disorder	7 (21)
Agoraphobia	5 (15)
Three or more disorders‡	16 (48)
Any depressive episode	14 (42)
Self-injurious behaviour	8 (24)
Suicide attempt	7 (21)
Autism	4 (12)
Substance use disorder	4 (12)
Manic or hypomanic episode	3 (9)
Antisocial personality disorder	2 (6)
Obsessive compulsive disorder	2 (6)
Eating disorder	2 (6)
Psychotic disorder	1 (3)
CGI-S score§	
1=normal	2 (6)
2–3=borderline to mildly ill	5 (15)
4-5=moderately to markedly ill	6 (18)
6-7=severely to extremely ill	21 (62)

Data are presented as numbers (and percentages).

*Childhood diagnosis was based on evaluation by a paediatrician, psychologist and ophthalmologist.

The diagnostic rationale is described under 'Methods'.

[‡]In the composite measure of 'three or more disorders', multiple anxiety disorders in the same individual are considered as one. Suicide attempt and self-injurious behaviour are not included.

§See (online supplementary appendix S1) for the scoring rationale and clinical vignettes.

CGI-S, Clinical Global Impression -Severity; DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edition.

remained significant (paired samples test mean difference 18.9; 95% CI 12.7 to 25.0).

DISCUSSION

This observational, population-based cohort study provides insight into the long-term outcomes in young adulthood of children with FASD raised in a socially favourable environment. In summary, the proportion still meeting diagnostic criteria for FASD in adulthood was substantial, and participants exhibited high rates of social dependency, medical, psychiatric, ophthalmological symptoms and cognitive impairments.

Results must be interpreted with caution due to limitations. Sample size is small, and there was no specific comparison group from study initiation. Two time points for follow-up introduced a systematic bias of younger age in those with FAS, although all were 18 years or older. Educational attainment and health trajectory compared with that of older participants is therefore uncertain, and in all participants follow-up time is limited to young adulthood. However, results may be juxtaposed to the few studies of adults prenatally exposed to alcohol, raised in chaotic family situations or in institutional care. This allows for some inference about the role of environment.^{17 35 36} Individuals with FASD has been underrecognised and overrepresented among children in out-of-home placements.¹⁸ ¹⁹ ³⁶ Therefore we think the findings from this study has external validity when applied to individuals in out-of-home placement for similar reasons, that is, maternal drug abuse, and that country of origin or age at adoption, are of subordinate importance. Because alcohol exposure is rarely assessed biochemically or with adequate interview techniques,^{5 37} it is often underestimated and may confound comparisons with other cohorts.

The proportion of participants with FASD graduating from secondary school after 12 years of education (36%)in this cohort is substantially lower than that reported for earlier groups of Swedish adoptees, adopted due to national crises of war, rather than drug abuse (Of 8000 individuals adopted from Asian countries to Sweden during the 1970s, graduation was achieved in slightly lower rates (80%) compared with Swedish-born peers (85%)).³⁸ At an age of around 40 years, 11% of Asian adoptees were dependent predominately on social support, in comparison with 5% of the general population,³⁸ which is substantially lower than the 56% reported for this younger cohort. This rate is in line with a Swedish registry-based follow-up of 72 individuals with FASD, with a 51% unemployment rate at a mean age of 32 years.³⁶ The differences suggest innate, rather than social, factors as determinants of social prognosis. Streissguth, studying a clinical sample of 90 young adults with FASD,³⁹ estimated a 60% lifetime prevalence of delinquency, which is in contrast to the prevalence of 6% found in this study. We hypothesise that the transition to a relatively stable social environment of adoption outside of big cities mitigates this risk.

When diagnostic criteria are revisited in adulthood, the proportion fulfilling the anthropometric criteria are somewhat decreased. The philtrum and lip rank is still high, but this criterion must be interpreted with caution as it may suffer from observer bias, and absence of validated scales for dysmorphological evaluation of FASD in

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psychiatry. A relation between alcohol exposure and decreased basal ganglia volume has been described.⁵⁰ Basal ganglia have been implicated in developmental coordination disorder (DCD) and learning disabilities, as well as ADHD.⁵¹ Despite early reports of DCD in FAS,⁵² domains of coordination are not incorporated into diagnostic criteria. The persisting motor impairments and associated cognitive deficits caused by prenatally acquired brain injury that constitutes FASD suggest that FASD could be included under the umbrella term of cerebral palsy.⁵³

The proportion exhibiting small palpebral fissures had decreased considerably by adulthood (76% vs 47%). Asymmetry, as captured by a difference in ICD and PFL ≥5mm, was likewise somewhat decreased (46% vs 35%). Clinical presentations with asymmetrical growth pattern can raise concerns about FASD in addition to other genetic syndromes.⁵⁴ Although the correlation between OFC and PFL has been assumed to be strong,⁵⁵ the variance in PFL, explained by OFC where studied, is at best 15%.⁵⁶ Whereas brain growth drives the growth of the head, PFL is better understood as related to factors other than crude brain size, such as growth of the eye 56 and the forebrain.⁵⁷ The correlation between ICD and PFL in patients with FASD has been weak,⁵⁵ and ICD may therefore capture aspects of neurodevelopment not captured by PFL.

Non-specific somatic complaints have previously been reported in association with, and preceding, affective disorders,⁵⁸ both of which were common in this cohort. The heritability of affective disorders has been estimated to be 40%.⁵⁹ Given that alcohol abuse sometimes indicates self-medication,⁶⁰ a genetic liability may be overrepresented in FASD independently of the alcohol exposure itself. In addition, traumatic experiences in childhood, academic and professional failure, and underachievement relative to peers pose an environmental substrate for demoralisation and chronic stress, increasing liability for affective disorders.⁵⁹

The rates of depression, anxiety disorders and suicide attempts found in this cohort correspond to findings of earlier studies.^{35 39 61} Executive dysfunction and marked attention problems have been highlighted elsewhere^{17 35} but this is, to our knowledge, the first study in adults with FASD assessing criteria for ADHD. The increase in ADHD from childhood (62%) to adulthood (70%) may reflect both parents' tolerance for executive dysfunction in childhood and increased demands on executive function in adulthood. However, lower symptoms of impulsivity and less deviant social interactions in adulthood, based on standardised testing, could lead researchers to overestimate the functioning of adults with FASD, a point reiterated by researchers in the field of FASD.^{17 62}

In this cohort, psychotic disorders were rare (3%), which is in contrast to results by Famy *et al*, 63 who report mainly brief psychotic disorder, in 40% of 25 studied individuals with FASD. The relation between psychotic

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CONCLUSIONS

Children with FASD have a prenatal brain injury, often associated with compounding effects from postnatal deprivation and unstable social circumstances. These children require rehabilitation, special education and social support. This is accomplished by assessment and care by paediatricians, psychologists, allied health professionals and teachers, beginning in childhood and across the lifespan.

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Contributors ML, MAG, LS and EA conceptualised the study, collected data and reviewed the manuscript for important intellectual content. EG collected data, carried out initial analyses and reviewed the manuscript for important intellectual content. VL collected data, carried out initial analyses and drafted the initial manuscript. All authors have approved the final manuscript as submitted and agreed to be accountable for all aspects of the work.

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Competing interests None declared.

Patient consent for publication Not required.

Ethics approval The study was approved by the Regional Ethical Review Board, Gothenburg, and written informed consent was received from the participants.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data may be retrieved upon reasonable request to the first author.

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