

# Minocycline and aspirin in the treatment of bipolar depression: a protocol for a proof-of-concept, randomised, double-blind, placebo-controlled, 2×2 clinical trial

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## ABSTRACT

**Introduction:** New medication classes are needed to improve treatment effectiveness in the depressed phase of bipolar disorder (BD). Extant evidence suggests that BD is characterised by neural changes such as dendritic remodelling and glial and neuronal cell loss. These changes have been hypothesised to result from chronic inflammation. The principal aims of the proposed research is to evaluate the antidepressant efficacy in bipolar depression of minocycline, a drug with neuroprotective and immune-modulating properties, and of aspirin, at doses expected to selectively inhibit cyclooxygenase 1 (COX-1).

**Methods and analysis:** 120 outpatients between 18 and 55 years of age, who meet DSM-IV-TR criteria for BD (type I or II) and for a current major depressive episode will be recruited to take part in a randomised, double-blind, placebo-controlled, parallel-group, proof-of-concept clinical trial following a 2×2 design. As adjuncts to existing treatment, subjects will be randomised to receive one of the four treatment combinations: placebo-minocycline plus placebo-aspirin, active-minocycline plus placebo-aspirin, placebo-minocycline plus active-aspirin or active-minocycline plus active-aspirin. The dose of minocycline and aspirin is 100 mg twice daily and 81 mg twice daily, respectively. Antidepressant response will be evaluated by assessing changes in the Montgomery–Asberg Depression Rating Scale scores between baseline and the end of the 6-week trial. As secondary outcome measures, the anti-inflammatory effects of minocycline and aspirin will be tested by measuring pre-treatment and post-treatment levels of C reactive protein and inflammatory cytokines.

**Ethics and dissemination:** Minocycline has been widely used as an antibiotic in doses up to 400 mg/day. Low-dose aspirin has been safely used on a worldwide scale for its role as an antithrombotic and thrombolytic. The study progress will be overseen by a Data, Safety and Monitoring Board, which will meet once every 6 months. Results of the study will be published in peer-reviewed publications.

## ARTICLE SUMMARY

### Article focus

- Clinical trial testing the efficacy of aspirin and/or minocycline in the treatment of bipolar depression.

### Key messages

- Extant evidence suggests that mood disorders are associated with inflammation. Aspirin and minocycline exert anti-inflammatory effects and have shown promise in the treatment of major depressive disorder.

### Strengths and limitations of this study

- The first study to assess the efficacy of the separate and combined effects of aspirin and minocycline in the treatment of bipolar depression. Aspirin and minocycline will be used to augment conventional treatments in type I bipolar disorder patients, potentially reducing statistical power.

**Trial registration number:** Clinical Trials.gov: NCT01429272.

## INTRODUCTION

The treatment of bipolar depression remains a major challenge for psychiatry. The US Food and Drug Administration has not approved any of the ~25 standard antidepressants for the treatment of bipolar depression, partly because these agents have not been robustly effective in bipolar disorder (BD) patients.<sup>1</sup> Thus, currently approved treatments for bipolar depression include lithium, quetiapine and the combination of olanzapine and fluoxetine.<sup>2</sup> Other treatments used include lamotrigine, conventional antidepressant agents, other

atypical antipsychotics, pramipexole or riluzole (reviewed in Nierenberg *et al*<sup>3</sup>). Unfortunately, the effectiveness of these options also is limited. For example, in a placebo-controlled study in which subjects receiving lithium were randomised to receive either standard antidepressant pharmacotherapy (paroxetine or imipramine) or placebo, those receiving lithium plus an antidepressant did not show a significant improvement over those receiving lithium plus placebo.<sup>4</sup> Similarly, in the STEP-BD trial, 42 of 179 subjects (23.5%) receiving a mood stabiliser plus adjunctive antidepressant drug treatment had a durable recovery, which did not differ significantly from 51 of 187 subjects (27.3%) receiving mood stabiliser plus placebo. Mallinger *et al* reported a similar durable recovery rate in BD depressives treated with mood stabiliser plus paroxetine (27%) but found a higher rate for adjunctive monoamine oxidase inhibitors (MAOIs; 53%),<sup>5</sup> consistent with the findings of previous studies comparing MAOIs versus imipramine.<sup>6, 7</sup> Unfortunately, MAOIs are commonly unacceptable to patients.

New classes of antidepressant drugs are needed for bipolar depression. Existing agents exert their primary actions on monoaminergic systems. The efficacy of these agents contributed to the monoamine deficiency hypothesis of depression, which continues to receive empirical support. Nevertheless, the field is in the early stages of a paradigm shift driven by evidence of dendritic remodelling and neuronal atrophy in animal models of depression and of reductions in grey matter volume and glial cell loss at *postmortem* in BD.<sup>8</sup> The neurotrophic effects of lithium, coupled with longitudinal studies demonstrating volumetric changes over time, raise the possibility that mood disorders are underpinned by a neurotoxic process.<sup>8, 9</sup> The final common pathway through which neurotoxic agents exert their effect is hypothesised to involve excess glutamatergic signalling.<sup>10</sup>

The glutamatergic model of mood disorders is based on the premise that excessive stimulation of NMDA glutamatergic receptors results in neuronal atrophy and apoptosis of glial and/or neuronal cells and, *ipso facto*, depression. Evidence for this hypothesis derives from multiple sources. In preclinical models, riluzole, which inhibits neuronal release of glutamate, ceftriaxone, which increases glutamate reuptake, and NMDA receptor antagonists, such as ketamine, ameliorate behavioural analogues of depression.<sup>11</sup> In addition, rats bred to be genetically sensitive to stress show differential expression of NMDA receptors,<sup>12</sup> and behavioural analogues of depression are abrogated in NMDA receptor subunit knockout mice.<sup>13</sup> In humans, increased serum levels of glutamate that resolve with antidepressant treatment were reported in MDD and extended to the cerebrospinal fluid (CSF) *postmortem*.<sup>11</sup> Polymorphisms of the metabotropic glutamate receptor genes, GRM2 and GRM3, and a haplotype of the glutamic acid decarboxylase (GAD2) gene were associated with MDD.<sup>14</sup> Finally,

ketamine induced a rapid sustained antidepressant effect in BD<sup>15, 16</sup> and riluzole showed promising results in treatment-resistant depression.<sup>15, 16</sup>

One potential cause of the disruption in glutamatergic signalling in BD is dysregulation of the immune system. Increased levels of proinflammatory cytokines such as interleukin (IL) 6, IL-1 $\beta$ , interferon  $\alpha$  (IFN $\alpha$ ), tumour necrosis factor  $\alpha$  (TNF $\alpha$ ), prostaglandin E2 (PGE2) and chemokine ligand 2 (CCL2) are consistently observed in the blood and CSF of patients with mood disorders, both at baseline and after exposure to stressors.<sup>17, 18</sup> Elevated serum levels of (proinflammatory) positive acute-phase proteins (eg, haptoglobin,  $\alpha$ 1-antitrypsin, ceruloplasmin, C reactive protein (CRP)) but reduced levels of negative acute-phase proteins (eg, albumin and retinal-binding protein) also are reported in mood disorders.<sup>19–21</sup> Furthermore, treatment of hepatitis C with IFN $\alpha$  is known to induce the major depressive syndrome and/or manic symptoms in approximately 40% of patients, and the efficacy of conventional antidepressant drugs is associated with a reduction in inflammation.<sup>18</sup> Moreover, anti-TNF therapy (for psoriasis) can improve mood.<sup>22</sup> Since proinflammatory cytokines can alter brain function, these data are compatible with evidence that an activated inflammatory response system exists in mood disorders which plays a role in their pathophysiology.<sup>23–26</sup>

The overactivity of the hypothalamic–pituitary–adrenal axis in mood disorders may play a role in inflammation since hypersecretion of corticotrophin-releasing hormone (CRH) activates the transcription factor, nuclear factor  $\kappa$ B (NF- $\kappa$ B). NF- $\kappa$ B regulates the expression of proinflammatory cytokines in immune cells in the central nervous system (CNS) and periphery and the expression of genes involved in apoptosis.<sup>27</sup> In addition, NF- $\kappa$ B may result in the expression of the class 1 major histocompatibility complex, labelling cells for removal by cytotoxic T cells.<sup>27</sup> Usually, cortisol suppresses this inflammatory response, but chronic stress appears to desensitise the glucocorticoid receptor and by extension, the anti-inflammatory effects of cortisol.<sup>27</sup> Cytokines play a role in desensitising the system to cortisol. For example, IL-1 and TNF $\alpha$  retard dexamethasone-induced translocation of the glucocorticoid receptor from the cytoplasm to the nucleus.<sup>28</sup>

The immunologic and glutamatergic models of BD are complementary because a proinflammatory state is one potential cause of excitotoxicity.<sup>27</sup> Peripheral inflammatory signals activate microglia in the brain, inducing an inflammatory cascade of cytokines and free radicals. Cytokines and reactive oxygen and nitrogen species exert a direct toxic apoptotic effect on oligodendrocytes. Potentially through the loss of oligodendrocytes, oxidative stress can lead to demyelination. Such a process conceivably may account for the reduction in oligodendroglia found *postmortem* in the prefrontal cortex<sup>29</sup> in mood disorders. The inflammatory milieu also compromises astrocyte function, leading to downregulation of glutamate

transporters and impaired glutamate reuptake into astrocytes, further amplifying inflammatory signalling.<sup>27</sup>

In addition, cytokines such as IL-1, IL-6 and TNF $\alpha$  activate indoleamine 2, 3-dioxygenase (IDO). IDO catalyses the breakdown of tryptophan, the amino acid precursor of serotonin and an important regulator of T cell function, into kynurenine (Kyn).<sup>30</sup> Activation of the Kyn pathway shunts tryptophan away from 5-HT synthesis, putatively reducing serotonergic transmission. Kyn is in turn metabolised into quinolinic acid (Quin), a potent NMDA receptor agonist, and neuromodulator involved in lipid peroxidation, which can induce neuronal damage via oxidative stress and overstimulation of NMDA receptors.<sup>30</sup> Consistent with inflammation-related shunt towards Kyn metabolism, the plasma tryptophan–Kyn ratio was found to correlate inversely with striatal total choline (a putative cell membrane turnover biomarker) in adolescents with melancholic depression.<sup>31</sup>

The messenger RNA (mRNA) transcripts for proinflammatory genes appear particularly sensitive for discriminating BD patients. Microarray gene expression profiles in purified CD14+ monocytes from whole blood of BD subjects, offspring of BD parents and healthy controls (HCs) displayed a distinct mRNA signature representing genes from inflammatory and inflammation-related pathways.<sup>32</sup> The signature showed >80% sensitivity and specificity in BD subjects who were not receiving lithium or antipsychotic drugs (n=11) and in affected offspring of a BD parent (n=13, of whom 10 had only manifested depression). A positive signature also was present in 17 of 38 unaffected offspring (45%) versus 13 of 70 healthy children (19%). Cross-sectional comparisons suggested that lithium and antipsychotic drugs—but not conventional antidepressant drugs—downregulated expression of most inflammatory genes. Thus, when medicated and unmedicated subjects were considered together, only 23 of 42 BD patients (55%) had a positive signature versus seven of 38 HCs (18%). Notably, the IL-6 mRNA level remained elevated in medicated BD subjects and did not differ significantly from unmedicated subjects (table 1), suggesting that this assay identifies a proinflammatory diathesis even in treated cases.

### Minocycline is a second-generation tetracycline that may prevent both glutamate-induced excitotoxicity and cytokine-induced inflammation in the CNS and periphery

Minocycline has high lipophilicity enabling efficient transfer across the blood-brain barrier (BBB)<sup>33</sup>—its concentration in CSF reaches 11%–56% of plasma concentrations.<sup>34</sup> Minocycline inhibits the microglia-mediated release of proinflammatory cytokines IL-1 $\beta$ , TNF $\alpha$ , IL-6 and p38,<sup>35</sup> while promoting release of the anti-inflammatory cytokine, IL-10.<sup>34</sup> Moreover, minocycline inhibits matrix metalloproteinases, which process cytokines such as TNF $\alpha$  and IL-1 $\beta$  into their biologically active forms.<sup>35</sup> Minocycline is also an effective scavenger of proapoptotic reactive oxygen species and protects against excitotoxicity by preventing glutamate-induced activation of nitric oxide synthase.<sup>36</sup> Nitric oxide facilitates glutamate release from presynaptic neurons and inhibits glial glutamate transporters, amplifying glutamatergic signalling and contributing to excitotoxic cell death.<sup>10</sup> Minocycline also upregulates a key molecular factor in the apoptosis pathway, B cell CLL/lymphoma 2 (BCL-2),<sup>37</sup> an effect shared by lithium, valproate<sup>38</sup> and certain antidepressant drugs.<sup>39</sup> BCL-2 represses apoptosis induced by cytotoxic insults.<sup>40</sup> Conceivably, minocycline may additionally reduce inflammation indirectly by blocking the translocation of bacteria across the intestinal barrier. In mice exposed to a social stressor, bacteria translocated across the intestinal barrier stimulating the release of circulating cytokines, such as IL-6, and increasing microbicidal activity via inducible nitric oxide synthase.<sup>41</sup> Additionally, stress induced a change in the community structure of the microflora in the cecum with a decrease in the relative abundance of bacteria in the genus *Bacteroides* and an increase in the relative abundance of bacteria in the genus *Clostridium*. Notably, these effects were blocked by pre-treatment with a broad-spectrum antibiotic.<sup>41</sup>

### Minocycline has neuroprotective and anti-inflammatory properties

Minocycline prevents glutamate-induced apoptosis of neurons in vitro,<sup>42</sup> prevents ischaemia-induced activation of microglia in gerbils,<sup>43</sup> increases hippocampal neuron survival,<sup>44</sup> reduces lesion volume and improves

**Table 1** Magnitude of difference in messenger RNA expression between mood disordered and healthy control (HC) samples from Padmos *et al*,<sup>32</sup> showing selected transcripts in unmedicated subjects versus HCs, relative to that of medicated BD subjects

Gene symbol	Unmedicated BD versus HC		Medicated BD versus HC		Affected offspring* versus HC	
	Fold change	p Value	Fold change	p Value	Fold change	p Value
PDE4B	13.73†	<0.001	3.42	<0.001	5.79	<0.001
IL-6	37.92	0.005	9.56	0.006	935.7	<0.001
CCL20	55.49	0.006	6.02	0.10	400.1	<0.001

Sample sizes: unmedicated BD n=11, medicated BD n=31, affected offspring n=13, HCs n=25 for comparisons against BD adults, n=70 for comparisons of offspring.

\*Affected with respect to having manifested either a depressive or a manic episode.

†Difference significant between unmedicated versus medicated BD samples.

BD, bipolar disorder; CCL20, chemokine ligand 20IL-6, interleukin 6; PDE4B, phosphodiesterase type 4B.



neurological function in mice with traumatic brain injury<sup>45</sup> and in fragile X syndrome,<sup>46</sup> reduces proinflammatory cytokine expression and improves neurological function and locomotor activity in rats with spinal cord injury,<sup>47</sup> attenuates MDMA-induced neurotoxicity of serotonin and dopamine systems in the cerebral cortex and hippocampus of mice,<sup>48</sup> reduces inflammation in a rat model of rheumatoid arthritis (RA),<sup>49</sup> and delays disease progression and demyelination in rodent models of encephalitis,<sup>50</sup> amyotrophic lateral sclerosis<sup>51</sup> and Huntington's disease (HD).<sup>52</sup> Based on these data, minocycline was employed and has shown promise as a therapeutic agent in human diseases including HD,<sup>53</sup> RA<sup>54</sup> and stroke.<sup>55</sup>

### Minocycline has been used to treat psychiatric disorders

Miyaoka *et al*<sup>56</sup> discussed two patients with catatonic schizophrenia who benefited from minocycline. This group then conducted a 4-week trial with minocycline (150 mg/day) in 22 patients with schizophrenia to evaluate its efficacy as an adjunct to antipsychotic drugs.<sup>57</sup> Patients showed a significant improvement in positive and negative symptoms. Levkovitz *et al*<sup>58</sup> recently studied 54 patients with early-stage schizophrenia treated for 6 months with antipsychotic medication and either minocycline (200 mg/day) or placebo in a double-blind trial. Minocycline was associated with a reduction in negative symptoms and improved attention/memory.

The efficacy of minocycline has not been formally tested in mood disorders. In rodents, minocycline reduced immobility during the forced swim test,<sup>59</sup> and co-administration of minocycline synergised the antidepressant-like actions of desipramine (but not fluoxetine).<sup>60</sup> Minocycline also abrogated the depression-like behaviour of rodents exposed to lipopolysaccharide (LPS).<sup>61</sup> Levine *et al*<sup>62</sup> presented the case of a 66-year-old woman with severe BD, who observed that the tetracycline she took for an infection alleviated her depression. When her depression returned post-treatment, minocycline was reinitiated (150 mg/day). After 1 week, her HAM-D score fell from 25 to 8.

### Aspirin (acetylsalicylic acid) also holds potential efficacy in BD

The second aim of this study is to assess the antidepressant efficacy of acetylsalicylic acid (ASA) in bipolar depression. Using a 2×2 design, we will obtain data providing estimates of the effect size of ASA relative to placebo, ASA relative to minocycline and ASA in combination with minocycline relative to placebo. These data also will explore the specificity of any effect found for minocycline. The clinical use of low-dose ASA primarily has been driven by its role as an antithrombotic and thrombolytic. Given the exaggerated death rate from cardiovascular (CV) events in BD, this action potentially is advantageous in the management of BD. Nevertheless, the recent literature also supports a role for low-dose ASA in the management of the mood disorder itself, specifically in the amelioration of depressive symptoms.

The mechanism of ASA relates to its capacity to inactivate irreversibly the cyclooxygenase (COX) activity of prostaglandin (PG) H-synthase-1 and PGH-synthase 2 (referred to as COX-1 and COX-2, respectively). Although ASA has a short half-life (15–20 min), ASA's permanent inhibition of COX-1 allows once daily dosing for anucleate platelets. In contrast, because nucleated cells rapidly regenerate this enzyme, a shorter dosing interval is required to persistently impact COX activity in cells that mediate inflammatory processes. Moreover, ASA is 50- to 100-fold more potent in inhibiting platelet COX-1 than monocyte COX-2 activity,<sup>63</sup> so there is nearly a 100-fold variation in the daily dose of aspirin, as higher doses are used to target COX-2 in the management of treating peripheral inflammation (eg, arthritis) or pain. As reviewed below, preliminary evidence obtained in BD suggests beneficial effects are achieved using ASA in low doses, where aspirin would inhibit COX-1 but not COX-2.

### Aspirin has neuroprotective and anti-inflammatory properties

In the brain, recent data indicate that genetic manipulation of COX-1 and COX-2 differentially modulate leucocyte recruitment during neuroinflammation and suggest that reduction of COX-1 activity is neuroprotective, whereas reduction in COX-2 activity is detrimental, during a primary neuroinflammatory response (reviewed in Choi *et al*<sup>64</sup>). Choi *et al*<sup>64</sup> propose that these distinct roles reflect the predominant localisation of COX-1 in microglia, which play a major role in mediating neuroinflammation, in contrast to the predominant localisation of COX-2 in pyramidal neurons. For example, Choi *et al*<sup>65</sup> examined the effects of COX-1 or COX-2 deficiency on intracerebroventricular LPS-induced neuroinflammation by comparing COX-1 (–/–) and COX-2 (–/–) knockout mice with wild-type (WT) (+/+) control animals. After LPS, leucocyte infiltration and inflammatory response were attenuated in the COX-1 (–/–) mice but increased in the COX-2 (–/–) mice compared with WT controls. In another study, Choi *et al*<sup>66</sup> examined the effect of COX-1 genetic deletion on the inflammatory response and neurodegeneration induced by  $\beta$ -amyloid and found that in COX-1 (–/–) mice, the A $\beta$ 1-42-induced inflammatory response and associated neuronal damage were attenuated compared with WT mice. Compatible with these results, in pharmacoepidemiological studies investigating whether chronic non-steroidal anti-inflammatory drug (NSAID) use reduced the risk of developing Alzheimer's disease (AD), indomethacin, a preferential COX-1 inhibitor, showed beneficial effects, while COX-2 selective inhibitors failed to show any beneficial effect in AD patients with mild-to-severe cognitive impairment. These data suggest the hypothesis that inhibition of COX-1 activity may be a valid therapeutic strategy to reduce the cerebral inflammatory response and neurodegeneration in neuropsychiatric diseases in which neuroinflammatory components play a role in pathophysiology.

Other researchers hypothesised that NSAIDs would be beneficial in BD more specifically because of their ability to downregulate activity in the brain arachidonic acid (AA) cascade by interfering with phospholipase A2 (PLA2) and/or COX function. In rodents, Rapoport and colleagues<sup>67–69</sup> demonstrated that conventional mood stabilisers decrease the AA turnover in phospholipids and the expression of PLA2 and/or COX enzymes. The PLA2 and COX enzymes catalyse, respectively, release of AA from membrane phospholipid and AA conversion to eicosanoids such as prostaglandin E2 and thromboxane B2. The AA cascade is involved in neuroreceptor-initiated signalling and can be pathologically upregulated by neuroinflammation and excitotoxicity.

Nevertheless, aspirin has additional mechanisms that may underlie benefits in neuropsychiatric illness. While low-dose aspirin downregulates AA cascade activity via inhibition of COX-1 activity, in higher doses, it also downregulates COX-2 gene transcription, increases levels of lipoxygenase-derived eicosanoids, such as the anti-inflammatory lipoxin A4, and acetylates COX-2 protein to a modified enzyme that can convert unesterified AA to anti-inflammatory mediators such as 15-epi-lipoxin A4 (reviewed in Stolk *et al*<sup>70</sup>). The acylated enzyme also can convert docosahexaenoic acid (DHA) to 17-(R)-OH-DHA, which, like its metabolites di(R)-OH-DHA (neuroprotectin (R) D1) and tri(R)-OH-DHA (resolvin (R) D1), is highly anti-inflammatory (reviewed in Stolk *et al*<sup>70</sup>). Lithium given chronically to rats with LPS-induced neuroinflammation also increases the brain concentration of 17-OH-DHA. Thus, there may be a synergy between aspirin and lithium in forming anti-inflammatory brain DHA metabolites.

### Aspirin appears effective in preliminary studies of mood disorders

Pharmacoepidemiological data in BD supportive of these hypotheses were published by Stolk *et al*.<sup>70</sup> Using the Netherlands-based PHARMO Record Linkage System (which connects pharmacy dispensing records to hospital discharge records of >2 million individuals since 1985), these researchers tested whether NSAIDs or glucocorticoids would ameliorate bipolar symptoms. The target sample consisted of 5145 patients receiving lithium (mean age=48.6±15 years; mean duration of lithium use=847 days), based upon the assumption that lithium treatment is relatively specific to individuals with BD. The main outcome measure was a calculated incidence density of medication events (change in the type or numbers of psychotropic medications prescribed or increase (>30%) in the psychotropic drug dose). Subjects receiving low-dose (≤80 mg/day) aspirin were 17% less likely to have a medication event, a finding that remained significant after adjusting for age, sex, chronic disease score and healthcare utilisation. This effect was selective for low-dose ASA. In contrast, high-dose aspirin or non-selective NSAIDs (ie, regimens expected to inhibit both COX-1 and COX-2), selective COX-2

inhibitors and glucocorticoids did not produce a statistically significant protection. Instead, the co-administration of non-selective NSAIDs and glucocorticoids was associated with statistically significant increases in medication events, suggesting destabilisation of bipolar illness. The finding that low-dose aspirin decreased the number of medication events was particularly noteworthy since aspirin does not significantly augment serum lithium levels in contrast to selective COX-2 inhibitors, which can raise lithium levels.<sup>71</sup> These preliminary observations thus appeared consistent with the hypothesis that COX-1 inhibitors can reduce neuroinflammatory processes and thus benefit BD patients.

Notably, the observation that beneficial effects in BD were conferred by low-dose ASA, but not by non-selective COX inhibitors, COX-2 inhibitors or glucocorticoids, appeared inconsistent with the hypothesis that drugs that downregulate AA cascade activity in general hold therapeutic potential in BD. Thus, the putative neuroprotective effects associated with COX-1 inhibition may contribute specifically to the benefits of low-dose aspirin in BD observed by Stolk *et al*. For example, as reviewed above, aspirin and lithium may exert synergistic effects in forming anti-inflammatory brain DHA metabolites (reviewed in Stolk *et al*<sup>70</sup>).

Other data suggest that aspirin exerts antidepressant effects within the context of MDD or CV illness. Mendlewicz *et al*<sup>72</sup> examined the effect of aspirin augmentation of conventional antidepressant pharmacotherapy in 24 patients with MDD who had proven non-responsive after 4 weeks of selective serotonin reuptake inhibitor (SSRI) treatment. Participants were treated openly during the subsequent 4 weeks with aspirin 160 mg/day in addition to their SSRI regimen. The combined administration of SSRI plus aspirin was associated with a response rate of 52.4%. Remission was achieved in 43% of the total sample and 82% of the responder sample. In the responder group, a significant improvement was observed within week 1 and this benefit persisted through day 28. In another study, Ketterer *et al*<sup>73</sup> reported that in 174 men undergoing coronary angiography (of whom 99 were taking low-dose aspirin), aspirin use was associated with less depression and anxiety symptoms.

In contrast, a preliminary study of the selective COX-2 inhibitor, celecoxib, was negative in bipolar depression,<sup>74</sup> potentially compatible with the negative results of COX-2 inhibitors reported by Stolk *et al*.<sup>70</sup> In a double-blind, randomised, add-on clinical trial of celecoxib in patients (n=28) studied during a depressed or mixed episode of BD, no significant difference was observed between the celecoxib and placebo add-on groups at study end point.<sup>74</sup> These results contrasted with those obtained using celecoxib in unipolar depression, however. In MDD, celecoxib augmentation of either reboxetine<sup>75</sup> or fluoxetine<sup>76</sup> was associated with a significant therapeutic effect on depressive symptoms in randomised, double-blind, add-on clinical trials.

## METHODS AND ANALYSIS

### Participants

One hundred and twenty male or female outpatients between 18 and 55 years of age who meet DSM-IV-TR criteria for BD (type I or II) and for a current major depressive episode will be recruited. The depressive syndrome must have been present for at least 4 weeks and the minimum threshold for depression severity will be set at a 17-item HAM-D score  $\geq 18$ . Subjects will provide written informed consent as approved by the Western Institutional Review Board (IRB).

### Concurrent medications

At study entry, type I BD subjects must have been taking a stable dose of a mood-stabilising medication (lithium, valproate, carbamazepine, lamotrigine, antipsychotic agents) for at least 4 weeks, dosed clinically to target the therapeutic range. Type II BD subjects will be included irrespective of whether they present on a mood stabiliser. To investigate the utility of this augmentation strategy in the population for whom minocycline is most likely to prove therapeutically relevant, volunteers receiving stable doses of mood stabilising, antipsychotic, antidepressant and/or anxiolytic drugs for at least 4 weeks will be included. However, volunteers who currently are receiving more than four psychotropic medications in a daily regimen will be excluded since this condition may signify a more brittle or complex clinical state. Subjects may remain in psychotherapy or have no psychosocial intervention. Volunteers will be excluded if they currently are receiving medications likely to have adverse interactions with minocycline or aspirin, including NSAIDs, warfarin, digoxin, penicillins and isotretinoin products.

For participants who enter the study, the preferred strategy will be for subjects to maintain the same regimen of concurrent medications throughout the 6-week study so that only the study drug regimen will be altered per protocol. Nevertheless, changes to concurrent medications will not affect study status, so long as the medication change does not target a depressive or manic symptom. If changes to concurrent medication regimens are clinically required to address worsening depressive symptoms or the development of manic symptoms, then the subject will be dropped from the study.

### Study design

Patients will participate in a randomised, double-blind placebo-controlled trial with a 2×2 design. As adjuncts to existing treatment, subjects will receive placebo-minocycline plus placebo-aspirin, active-minocycline plus placebo-aspirin, placebo-minocycline plus active-aspirin, or active-minocycline plus active-aspirin. The randomisation sequences will be determined by a research staff member who is not obtaining clinical information from the research subject and will be assigned by subject number at consenting. A restricted randomisation (permuted block randomisation) method will be used in

which subjects are randomly allocated to each block (n=30) to ensure that equal numbers of participants receive each drug/placebo combination. In order to ensure that experimental group assignment is not skewed across the two trial sites, the study progress will be monitored by individuals who are not involved in the data collection, and in the case of 'drift', adjustments will be made as necessary.

The trial will be conducted over 6 weeks and will comprise seven assessment sessions (figure 1). The subject will be seen at the prescribed time intervals within a window of two business days on either side of visit target date to complete the specified visits.

At each session, a clinical assessment will be conducted using the rating scales listed below, and treatment side effects will be assessed and rated for severity. To preserve the rater blind, the research staff member who conducts the clinical ratings will not be the research staff member who assesses the presence of side effects and will remain blind to the information pertaining to side effects. Subjects who experience severe adverse effects or who develop treatment-associated hypomania or mania will be dropped from the study, instructed to discontinue the study medication and referred for appropriate clinical management of these adverse events.

The primary outcome measure will be the change in the Montgomery–Asberg Depression Rating Scale (MADRS) scores at the seventh assessment session (week 6).

### Medication

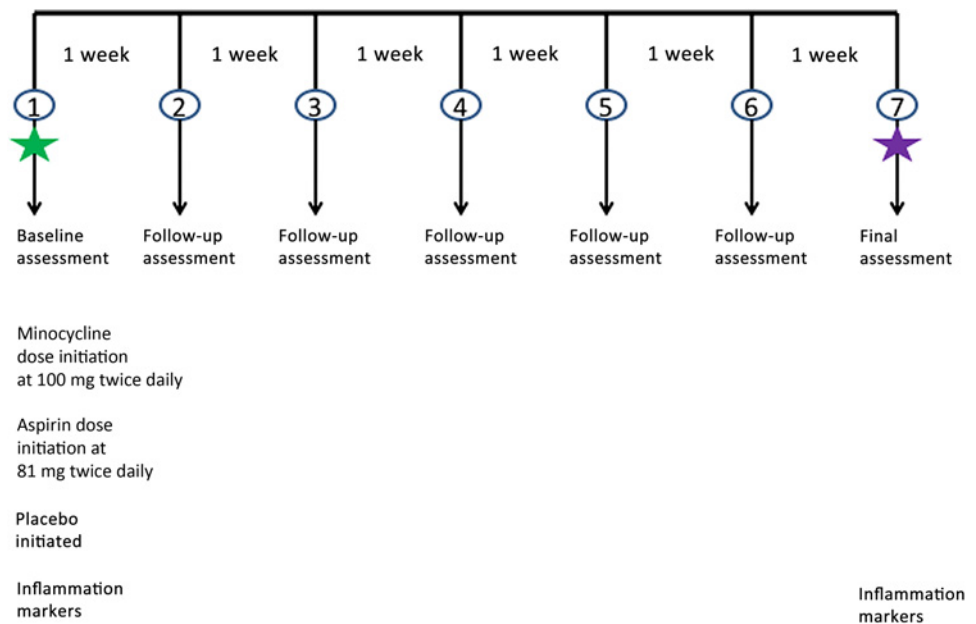
This pilot proof-of-concept study will adhere to the dosing limits and route of administration for the Food and Drug Administration indications for minocycline's and aspirin's use in other conditions (thus an investigational new drug (IND) is not required). A fixed dose design will be followed, and all medications will be administered via the p.o. route. The pilot data extant for both study drugs support an onset of improvement within 2 weeks, so the 6-week study duration is expected to provide sufficient time to detect an antidepressant effect, to provide information about the persistence of the antidepressant effect over about 1 month from the anticipated onset of effect and to minimise dropouts.

For minocycline, the starting dose will be 100 mg twice daily (total daily dose=200 mg). This dose of 100 mg twice daily has been shown by a substantial literature to produce consistent anti-inflammatory effects in RA and other inflammatory disorders. This also is the dose used in a recent schizophrenia treatment trial.<sup>58</sup> The associated placebo capsules match the appearance of the 100 mg minocycline capsule.

The starting dose of aspirin will be 81 mg p.o. twice daily. This dose is sufficient to inhibit COX-1 and appeared beneficial in stabilising the course of BD in the pharmacoepidemiological study of Stolk *et al.*<sup>70</sup> When aspirin is used as an anti-platelet drug, once daily dosing is sufficient since anucleate platelets do not produce enough COX-1 to overcome the irreversible inhibition of COX-1 within a 24 h period. In contrast, in nucleated



**Figure 1** Schematic of study design. Each session number (total of seven) is encircled, with the timing between sessions indicated in weeks with a two business day window on either side of visit target date to complete the visit. Session 1 is the baseline (green star) and session 7 is the study end (purple star). Peripheral blood will be sampled at baseline and study end to assay markers of inflammation. The study duration is 6 weeks.



cells, COX-1 is replenished, so more frequent dosing is required to persistently inhibit COX-1. Thus, we will administer the dose in a twice daily regimen, according to the guidelines described above. A total daily dose of 160 mg was administered in the preliminary study, which reported that aspirin significantly augmented the antidepressant effects of fluoxetine in MDD.<sup>72</sup> The relevant placebo matches the appearance of the aspirin tablet.

Participants will be advised that one of the study drugs may reduce the efficacy of oral contraceptives and to avoid taking the study drugs within 3 h of iron products or of antacids containing calcium, magnesium or aluminium. They also will be advised that one study drug can increase their risk for bleeding during surgical procedure or if combined with other drugs or herbal preparations that reduce haemostasis.

### Compensation

Participants will be compensated for participation in the amount of \$300.00.

### Treatment compliance

To enhance compliance, study participants will be given an information sheet to take home detailing the procedure to be followed in the case of a missed dose and requesting that this information be recorded for the investigators. The number of capsules and tablets remaining in each supply given to the patients will also be counted to evaluate treatment compliance. In cases where treatment compliance is poor, subjects will be excluded from the data analysis, using conventional criteria for defining adequate compliance in a clinical trial.

### Psychiatric assessment and clinical ratings

Patients will be evaluated and followed in the outpatient clinics at Laureate Institute for Brain Research (LIBR) or Oklahoma University School of Community Medicine in

Tulsa, Oklahoma, or at the University of Kansas Medical Center Research Institute (KUMCRI) in Wichita, KS. The diagnosis of BD will be established using DSM-IV-TR criteria on the basis of an unstructured interview conducted by a psychiatrist and the MINI-Plus administered by trained psychiatric interviewers. The following rating scales will be administered: MADRS, Quick Inventory of Depressive Symptomatology (QUIDS; 16 item), Hamilton Anxiety Rating Scale (HAM-A), Young Mania Rating Scale (YMRS), Universal Fagerstrom (to assess nicotine use), Hollingshead Socioeconomic Scale, Sheehan Disability Scale and the Family Interview for Genetic Studies. Medical assessment will include a physical examination, electrocardiogram, complete blood count, electrolytes and liver function assays (SMA 20), thyroid panel and urinalysis, serum drug and pregnancy tests at study entry and study completion. At each follow-up session, the MADRS, HAM-A, YMRS and Clinical Global Impressions (CGI) scale will be repeated. Physical and psychiatric symptoms will be evaluated and recorded in order to measure the side effect profiles of minocycline and aspirin. Participants will be questioned about adverse reactions, including dizziness, photosensitivity, hyperpigmentation, gastrointestinal (GI) distress or bleeding at each assessment, and will be withdrawn from the study if medically necessary. Vital signs will be measured at entry and at each session.

### Immune system measures

The activity of peripheral cytokines correlates with inflammatory processes in the CNS. Peripheral cytokines cross the BBB and can propagate signals across the BBB in the form of small, freely diffusible lipophilic molecules such as prostaglandins, which induce the production of cytokines from glia.<sup>77</sup> The measurement of peripheral markers of inflammation thus serves as a valid, if indirect assessment of CNS inflammation.

To explore predictors and correlates of treatment outcome, blood will be sampled for testing plasma and whole blood peripheral blood monocyte (PBM)-based markers of inflammation at baseline and study end. These markers will include 10 cytokine proteins (IL-1 $\beta$ , IL-2, IL-4, IL-5, IL-6, IL-8, IL-10, IL-12p70, IFN $\gamma$  and TNF $\alpha$ ), high-sensitivity (hs) CRP and RNA expression of candidate genes from peripheral blood monocyte cells (PBMcs). Candidate genes include IL-6, TNF and IRF5 (a factor that mediates monocyte polarisation). The 10 inflammation-related cytokines and the PBMc mRNA will be assayed from plasma at baseline and study end. We selected the markers IL-6, TNF and CRP because they are the most widely implicated in mood disorders. The other cytokines included in the cytokine bead array assays are measured simultaneously with IL-6 and have all been implicated in the general regulation of inflammation. A meta-analysis of >100 studies found that IL-6 and CRP each were significantly elevated in depressed patients with standardised mean difference scores (d) of 0.71 and 0.26, respectively.<sup>78</sup> The associations remained significant after adjustment for body mass index (BMI) and smoking. Moreover, IL-6 has been shown to modulate HPA axis function by inducing CRH release, adrenocorticotrophic hormone synthesis and corticosteroid production.<sup>79</sup> CRP production is induced by the proinflammatory cytokines, IL-1, IL-6 and IL-17, and is thus a non-specific marker of systemic inflammation.

Three blood samples will be transported to the immunology laboratory in the Department of Surgery at the University of Oklahoma College of Medicine for each participant at each of the sampling time points (sessions 1 and 7). One sample will be centrifuged to obtain plasma which will be stored at  $-80^{\circ}\text{C}$  until analysed. Serum CRP, IL-6, TNF and the other cytokines listed above will be assayed in duplicate with ELISA (CRP high-sensitivity kit; R&D Systems, Oxford, UK) or enhanced cytokine bead array flex kits (Becton Dickinson, Franklin Lakes, NJ, USA) using the manufacturer's reagents and standards. The other two samples will be used to isolate PBMcs and plasma and will be frozen until processed. Monocytes will be isolated from the PBMcs in order to assess mRNA levels similar to the method used by Padmos *et al.*<sup>32</sup> This procedure utilises monoclonal antibodies directed against human CD14 to isolate monocytes in PBM cell suspensions. A magnetic cell sorting system will be used for the separation of the monocytes, and flow cytometry will be used to gauge the purity of the population. Once purity is established, total RNA will be isolated from the monocytes using an RNeasy kits (Qiagen, Valencia, CA, USA) according to the manufacturer's directions. RNA will then be reverse transcribed to complementary DNA using standard commercial kits. Reverse transcription-PCRs will be performed using the Dynamo Sybr Green HS Master Mix (New England Biolabs, Ipswich, MA, USA) and custom primers will be synthesised by a commercial laboratory. Real-time reverse transcription-PCRs will be run using

a Cepheid Smart Cycler II or similar instrument. Additional aliquots of serum and plasma will be stored so that other inflammatory markers can be tested in the future using Luminex bead arrays and/or additional available technologies.

### Source of compounds tested

Minocycline and aspirin are available on a generic basis and are manufactured within the USA by several companies. The identity of the active medicines and placebos will be blinded using placebos that match the appearance of the active drugs. The medications and placebos have been formulated by Wedgewood Pharmacy (Swedesboro, New Jersey, USA). The study minocycline capsule and chewable aspirin tablet are identical in appearance to their corresponding placebos.

### Outcome measures and data analysis

Antidepressant response will be evaluated by assessing changes in MADRS scores at assessment session 7 (ie, 6 weeks). Our a priori hypothesis is that minocycline and/or aspirin plus existing medication will exert greater antidepressant effects than placebo plus existing medication by study completion. Assuming that there are equal numbers of subjects in each treatment group, this hypothesis will be statistically assessed using a group (for the four treatment cells)-by-session (1 vs 7) repeated measures analysis of variance (ANOVA). If the ANOVA statistic is significant, between- and within-group t tests will be used in planned comparisons to identify the nature of the effect leading to the significant overall ANOVA statistic. We expect to find a significant group-by-session interaction, attributable to a greater reduction in MADRS scores in the minocycline and aspirin groups compared with the placebo group between session 1 and session 7. If there is an imbalance in the number of subjects across groups, (eg, due to differential dropout rates during the first treatment week), the data analysis will be conducted with a mixed-effects model.

A Mixed-Effect Model Repeated Measure (MMRM)<sup>80</sup> will be used to derive missing data points as this method has been shown to be superior to last observation carried forward which can inflate the type I error rates.<sup>81</sup> The last observation carried forward and observed cases approaches to data imputation will be used post hoc to provide further confirmation of the results obtained under the MMRM analysis.

In order to test whether the putative antidepressant effects of minocycline or aspirin have a rapid onset, as a post hoc analysis, the ANOVA will be repeated using MADRS ratings from the assessment that follows the first week of exposure to active drug versus the corresponding change under placebo; that is, session 2. Post hoc tests will be performed to assess the significance of changes in the secondary clinical outcome measures (QUIDS 16, HAM-A, YMRS, CGI-I).

The rate of completion in the four cells also will be considered an outcome measure. The completion rate



in the minocycline and/or aspirin arms may be influenced more by dropouts due to side effects, while the completion rate in the placebo group may be influenced more by dropouts due to non-response. Two different measures of completion rate will be obtained: completion of week 1 of the study (baseline to week 1) and completion of the study (baseline to week 6). Differences between the groups in completion rates will be assessed with a  $\chi^2$  test or a logistic regression.

We will test the hypothesis that minocycline and aspirin reduce inflammation (eg, CRP, IL-6, IL-6 mRNA) more than placebo using statistical analyses similar to those described above. If the assay results are normally distributed, then a group-by-session repeated measures ANOVA with CRP, IL-6 and nine other cytokine levels as dependent variables and BMI, smoking status and time of blood draw as covariates will be used to assess anti-inflammatory effects of minocycline and aspirin. Mixed-effect models will be used if necessary. If the CRP or inflammatory cytokine data are not normally distributed (Kolmogorov–Smirnov test) or if the equality of statistical variance assumption across assessments is violated (Levene's test), then Friedman's ANOVA will be used to test for CRP or inflammatory cytokine differences between groups. If the Friedman's ANOVA statistic is significant, Wilcoxon sign-ranked tests will be used for post hoc analysis of group differences. Non-specific factors that influence CRP and inflammatory cytokine levels include time of day, presence of infection, treatment with anti-inflammatory medications, smoking, obesity and alcohol abuse. We will attempt to control for these potential confounds by measuring BMI and recording NSAID and nicotine use (Universal Fagerstrom Scale) and by excluding individuals who have recently abused substances or who have intercurrent infections. The serum CRP concentration shows minimal diurnal variability in adults<sup>82</sup> but IL-6 and other cytokine levels vary across time of day.<sup>83</sup> To minimise cytokine measurement variability due to circadian fluctuations, we will schedule patient assessment sessions at the same time each day. Since this may not always be possible, we will record the time of day that each blood draw is made, divide the day into quartiles: 07:00–10:00, 10:00–12:00; 12:00–15:00 and 15:00–18:00 and use these data as a covariate in the statistical analyses.

To test whether baseline levels of CRP and inflammatory cytokines predict response to minocycline or aspirin, we will subclassify the participants using conventional criteria<sup>84</sup> as achieving full response ( $\geq 50\%$  reduction in MADRS score from baseline), partial response ( $< 50\%$  but  $\geq 25\%$  reduction) or non-response ( $< 25\%$  reduction). Patients achieving remission (post-treatment MADRS score  $\leq 10$ ) will also be identified. A non-parametric alternative to the ANOVA statistic, the Mann–Whitney test, will be used to compare remitted and non-remitted groups in baseline levels of inflammatory cytokines and CRP if the data are not normally distributed. Ideally, the impact of baseline levels of

inflammation on treatment response would be tested more rigorously using a formal stratified design. However, in order to conduct a stratified trial with, for example, eight experimental groups ( $4 \times$  high versus low inflammation), the sample size of the study would have to be doubled, which would significantly increase costs and decrease feasibility. Nevertheless, this stratification approach would be important to consider for future studies if promising results are obtained in this clinical trial.

### Statistical power

A recent meta-analysis of 96 antidepressant treatment studies found that the average effect size of a placebo treatment is 1.69 compared with 2.50 for an antidepressant treatment.<sup>85</sup> We calculated that in order to detect an effect size of 0.81 (ie, the difference between 2.50 and 1.69) with an 80% probability (two-sided test,  $\alpha=0.05$ ), we will require a sample size of 26 subjects per group ([http://hedwig.mgh.harvard.edu/sample\\_size/size.html](http://hedwig.mgh.harvard.edu/sample_size/size.html)). This effect size may correspond to approximately three points on the MADRS. Thus, given our sample size of 30 per group, we should have sufficient power to test specific aim 1, allowing for a 13% dropout rate during week 1 of the study (dropouts after completion of study week 1 will be included in the analysis under the MMRM approach described above).

As discussed above, a recent meta-analysis<sup>78</sup> of cross-sectional studies of serum-derived IL-6 and CRP in depression calculated effect sizes of 0.71 for IL-6 and 0.26 for CRP. Based on these effect sizes, a sample size of 26 would yield  $> 80\%$  probability of detecting significant depression-related changes in IL-6 but only a 60% probability of detecting a depression-related change in CRP. There are three reasons why we believe that these CRP power estimations are not applicable to this study. First, the effect sizes derived from the meta-analysis are based on cross-sectional studies. Given the effect of variables such as smoking, diet, exercise and BMI on proinflammatory cytokines, a within-subjects design is likely to reduce non-depression-related sources of variance and substantially increase statistical power. Second, we are examining the effect of mood on IL-6 and CRP levels and are treating patients with minocycline and aspirin, drugs known to possess anti-inflammatory properties. We therefore suggest that our proposed study is likely adequately powered to detect any true changes in plasma IL-6, CRP and the other inflammatory cytokines across treatment blocks.

Regarding IL-6 mRNA gene expression in PBMs, Padmos *et al*<sup>32</sup> reported a 38-fold increase in IL-6 mRNA levels in unmedicated patients with BD compared with HC. Since minocycline reduces IL-6 levels (see above), we expect our study to have very high power to detect differences between groups, as well as changes in response to minocycline. The simultaneous detection of nine other inflammation-related cytokines, in addition to IL-6 (using newer more sensitive technology),

will provide much finer resolution of the effects on inflammatory cascades than that measured in previous studies.

## ETHICS AND DISSEMINATION

### Gender/minority/paediatric inclusion for research

Women and minorities will be included in the study without prejudice according to their representation in the study population. Participants will be recruited from the greater metropolitan areas of Tulsa, Oklahoma, and Wichita, Kansas, and efforts will be made to ensure that our subject population resembles the gender, ethnic and racial composition of these areas.

### Exclusion criteria

The following exclusion criteria apply: (1) inability to provide informed consent; (2) age of onset of BD > 40 years; (3) serious risk of suicide; (4) current delusions or hallucinations sufficient to interfere with the capacity to provide informed consent; (5) current manic symptoms (depressed BD patients with concurrent manic symptoms have been found to be more likely to experience adverse reactions in antidepressant treatment trials<sup>86</sup>); (6) medical illness including as hepatic impairment, renal dysfunction, bleeding diatheses (eg, hemophilia), cerebrovascular disease or heart disease, hypertension that is inadequately controlled by medication, diabetes mellitus or known peptic ulcer disease; (7) abuse of drugs or alcohol within the preceding 6 months or substance dependence within the last 5 years; (8) daily alcoholic beverage consumption equivalent to  $\geq 3$  oz. of alcohol; (9) asthma or known allergies or hypersensitivities to tetracycline antibiotics, aspirin or other NSAIDs; (10) current use of drugs that could increase the risks associated with aspirin or minocycline administration, namely other antibiotic medications, other NSAIDs or anticoagulants (eg, warfarin), acetazolamide or methotrexate; (11) known HIV or other chronic infection including but not limited to viral hepatitis and (12) pregnant or nursing women and women who are attempting to conceive during the 6-week study period will also be excluded.

### Specimens, records and data collection

A physician, registered nurse or trained phlebotomist will utilise a sterile technique to draw 60 ml of blood by venipuncture. Participants will also be asked to submit a urine sample. A physician, registered nurse or trained technician will collect electrocardiogram data from the subject in a private exam room.

### Recruitment and consent procedure

Volunteers will be recruited from the community as well as from the clinical services at the Laureate Psychiatric Clinic and Hospital and the Oklahoma University School of Community Medicine in Tulsa, Oklahoma, USA, and from the clinical services affiliated with the KUMCRI. Volunteers may be referred from sources that include physicians, newspaper advertising, self-help

organisations, self-referral and WIRB-approved flyers posted at local universities, schools, churches and grocery stores. Participants may be prescreened through screening protocols based at LIBR or KUCRI. We plan to recruit a total of 120 participants.

All participant interactions including consenting will be conducted in private interview/exam rooms. These rooms are secured from public areas via combination locked doors that are only accessible to authorised personnel. Prospective participants will receive an explanation of the objectives, procedures and hazards of this protocol that is appropriate to their level of understanding. The right of the subject to decline to participate or to withdraw from the study at any time will be made clear.

Non-English speaking participants will not be recruited.

After the consent form is verbally explained to the participant and any questions have been answered, the researcher will leave the room to allow the participant to read the consent form thoroughly. Family members will be allowed to be present and to discuss the consenting process with the participant. After the consent is read, the researcher will return and answer any additional questions the participant may have. The researcher will remind the subject that participation is strictly voluntary and that they have the right to withdraw at any time. Participants will be asked to arrive 30 min early in order to have sufficient time for the consenting process.

### Subject risks

The risks of behavioural testing are minimal. The risks of blood drawing are also minimal. Possible mild side effects of the blood draw include mild pain or bruising at the site of the venipuncture.

Minocycline has been used a broad-spectrum antibiotic for many years in doses up to 400 mg/day.<sup>34</sup> It has been used on a chronic basis to treat acne and RA, often for many years, in hundreds of thousands of patients. The most commonly encountered side effects are upset stomach, diarrhoea, dizziness, drowsiness, ataxia, vertigo, headache and vomiting. Prolonged use can be associated with pigmentation of the skin, gums or teeth. Between 1975 and 2006, the WHO Collaborating Center for International Drug Monitoring listed 122 cases of adverse drug reactions to intravenous minocycline; most commonly, abnormal hepatic function and thrombocytopenia.<sup>34</sup> These included cases of serious liver injury, including irreversible drug-induced hepatitis and fulminant hepatic failure that was fatal in two cases, thought to be due to triggering or unmasking autoimmune hepatitis. One case of autoimmune-related glomerulonephritis has been reported. The role of oral minocycline in precipitating these conditions has not been clearly established. Minocycline also has been associated with idiopathic intracranial hypertension (pseudotumor cerebri). Long-term trials have shown that minocycline is well tolerated. In a 2-year trial of minocycline (200 mg/

day) for RA, three of 30 patients withdrew due to fingernail discolouration, dizziness or erythematous rash.<sup>54</sup> Of 11 patients with HD treated with minocycline (100 mg/day) for 2 years, one complained of nausea in the first 3 weeks and two of sedation,<sup>53</sup> while in a 6-month trial of minocycline for amyotrophic lateral sclerosis, the mean tolerated dose was 387 mg/day and the most common adverse effects were GI.<sup>87</sup> Five of 36 patients with schizophrenia withdrew from a 6-month trial of minocycline (200 mg/day) due to indigestion (n=2), pigmentation (n=2) or a suicide attempt (n=1).<sup>58</sup>

Low-dose aspirin has been safely used in many millions of patients on a worldwide scale for its role as an antithrombotic and thrombolytic. A meta-analysis of >100 randomised trials in high-risk patients indicated that low-dose ASA reduced CV death by 15% and prevented non-fatal vascular events by about 30%.<sup>88</sup> These data stand in striking contrast to the data obtained in COX-2 inhibitors, which can increase CV risk. In clinical trials of several COX-2 selective and non-selective NSAIDs of up to 3 years duration have shown an increased risk of serious CV thrombotic events, myocardial infarction and stroke, which have in many cases been fatal.<sup>89</sup> Patients with known CV disease or risk factors for CV disease are at greater risk for such events during chronic treatment with COX-2 inhibitors. Evidence from human pharmacology and genetics, genetically manipulated rodents and other animal models and randomised trials indicates that this is consequent to suppression of COX-2-dependent cardioprotective prostaglandins, particularly prostacyclin.<sup>90</sup>

Aspirin does not cause a generalised bleeding abnormality unless given to patients with an underlying haemostatic defect (eg, haemophilia, uraemia or that induced by anticoagulant therapy). Aspirin-induced impairment of primary haemostasis cannot be separated from its antithrombotic effect and is similar at all doses  $\geq 75$  mg/day.<sup>91</sup> The risk of intracranial bleeding is exceedingly rare (<0.1% in high-risk populations) but is higher in individuals with cerebrovascular disease.<sup>88</sup> Hypertension that is inadequately controlled by medication often is considered a contraindication to aspirin because of the concern that possible benefits in the prevention of CV events may be counterbalanced by an increased risk of cerebral bleeding. However, hypertensive patients whose blood pressure is well controlled appear protected from myocardial infarction by aspirin therapy without an increase in the number of cerebral haemorrhages or strokes.<sup>92</sup> Moreover, aspirin therapy does not affect blood pressure or the response of hypertension to antihypertensive agents.<sup>91 93</sup>

NSAIDs as a class can cause serious GI adverse events including inflammation, bleeding, ulceration and perforation of the stomach, small intestine or large intestine, which rarely have proven fatal. In controlled clinical trials, the percentage of patients reporting one or more GI complaints has ranged from 4% to 16%.<sup>91</sup> The mechanism underlying this adverse effect appears

attributable to the inhibition of COX-1. Thus, the incidence of GI side effects has been higher for NSAIDs with more potent effects at COX-1, such as aspirin and indomethacin. For example, in controlled trials, the incidence of GI side effects for aspirin and indomethacin has been about twice as high as that for ibuprofen, a non-selective COX inhibitor, in equally effective doses for arthritis. Nevertheless, the incidence of GI side effects associated with aspirin is dose dependent and thus is markedly lower when using aspirin in the low-dose range planned for the current study. Notably, the risk of GI bleeding is not reduced by using the enterically coated aspirin formulations but is thought to be lower during concomitant use of omeprazole.<sup>91</sup> The effects of warfarin and NSAIDs on GI bleeding are synergistic such that the users of both drugs together have a risk of serious GI bleeding higher than users of either drug alone. Fortunately, the risk of GI bleeding, which reflects the inhibition of prostaglandins in the stomach (from systemic rather than local exposure), is much smaller when using low-dose as opposed to high-dose aspirin.

Low-dose aspirin has not been reported to alter renal function and does not reduce effectiveness of ACE inhibitors for HTN (in contrast to other NSAIDs).<sup>93 94</sup> However, aspirin can inhibit the renal clearance of acetazolamide and methotrexate potentially leading to increased blood concentrations of and toxicity from these agents. Salicylate can displace other drugs which are protein bound, especially phenytoin and valproic acid, increasing their free drug concentrations in plasma. This may increase side effects, toxicity and/or efficacy for displaced drugs. If the BD subjects are currently receiving valproic acid preparations (eg, divalproex), then the plasma levels of these agents will be monitored for potential changes.

Aspirin may cause a severe allergic reaction that may include hives, asthma (wheezing), facial swelling and shock. Aspirin overdose can be fatal at 30 g or higher.

In sum, we believe that our 2×2 design is appropriate for trials involving experimental drugs that already have been well studied with respect to toxicity, as is the case with aspirin and minocycline. A parallel arm design, as opposed to a 2×2 factorial design, would be more clearly informative in the case of an experimental drug for which the toxicity and drug interaction potential have not been thoroughly studied in human subjects.

### Protected Health Information protection

Paper copies of consents, screening forms, the Research Privacy Form and any other forms, testing results or papers containing Protected Health Information (PHI), will be stored in a secured medical records room with access granted only to authorised personnel.

Electronic data that contain PHI will be managed in accordance with ISO 27000 series information security standards with policies developed from current NIST guidelines (SP 800-66) for HIPAA and HITECH



compliance. Specific controls implemented to protect PHI are derived from NIST 800-122 and include (but not limited to) the following:

1. Access Enforcement (AC-3)—Individual user accounts, role based access control, access control lists;
2. Separation of Duties (AC-5)—de-identification of data as appropriate, acquire/analyze/manage firewall;
3. Least Privilege (AC-6)—to ensure PHI data is only available to persons with established need for access;
4. Remote Access (AC-17)—Secure VPN, encrypted end devices;
5. Access Control for Mobile Devices (AC-19)—Password login, remote destruction capabilities;
6. Auditable Events (AU-2) + Monitoring: Log detailed server and network information, alert for problems;
7. Analysis, and Reporting (AU-6)—Procedures to audit system records for inappropriate activity.
8. User Identification and Authentication (IA-2)—username/secure password and two factor authentication will be required when appropriate.
9. Media Access, Marking, Storage, and Transport (MP-2,3,4,5)—Records will be asset tagged and marked to their PHI status, PHI data will be secured and managed by professional system administrators and will be transported via encryption (VPN, USB, File);
10. Media Sanitization (MP-6)—Data will be destroyed by SFHS in accordance with their policies and procedures;
11. Transmission Confidentiality (SC-9)—Encryption will be used when needed for all avenues of data transmission (wireless, network, etc).

To protect subject confidentiality, blood samples will be anonymized as follows:

1. Last name: All participants will be assigned the last name 'LIBR.'
2. First name: The first name will be a secure alpha cryptographic hash based on LIBR user ID. This technique is the gold standard in computer security for one-way correlation of data.

### Benefits versus risks

The participant may benefit from participation if either study drug produces an antidepressant effect. Participants will also receive a free clinical evaluation; more frequent treatment visits than are typical in practice, diligent follow-up in terms of symptoms and side effects and physical and psychiatric monitoring during the study. The risks of delaying alternative treatments are minimal in relation to the potential long-term benefits to the subjects and the importance of knowledge that may reasonably result. The importance of the knowledge that will likely be gained from this study clearly exceeds the associated potential risks.

### Alternative treatment

It is possible that some patients may feel better with talk therapy. Participating in any type of talk therapy with their psychiatrist or psychologist does not require dropping out of this study. Subjects will be encouraged

to contact the study investigators, particularly the physician in the study, with any questions they may have regarding alternatives to treatment through this research study. The study investigators will assist in referring the subject to another physician for treatment after their participation in the study has ended.

Physical and psychological testing, blood draws, urine samples and electrocardiogram data provide no known risks to persons other than those listed in the exclusion criteria, whereas the combinatory power of these measures may provide information relevant to understanding the pathophysiology of BD.

### Data and safety monitoring plan

This study involves more than minimal risk. The study progress will be overseen by a Data, Safety and Monitoring Board. The Data, Safety and Monitoring Board is composed of three members who will meet in person or per telephone at least once every 6 months to review relevant study data including adverse events and dropout rates.

Any unanticipated adverse events will be reported immediately to the IRB of record and to the LIBR Human Protection Administrator. Any adverse events will be included in the annual IRB report.

### Dissemination of results

The study results will be presented at national and/or international biomedical scientific meetings and published in peer-reviewed journals.

### REGISTRATION

In accordance with the recommendations of the International Committee of Medical Journal Editors,<sup>95</sup> the proposed trial is registered in a public registry (<http://www.clinicaltrials.gov/>, Identifier: NCT01429272).

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## CONSORT 2010 checklist of information to include when reporting a randomised trial\*

Section/Topic	Item No	Checklist item	Reported on page No
<b>Title and abstract</b>			
	1a	Identification as a randomised trial in the title	1
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	2
<b>Introduction</b>			
Background and objectives	2a	Scientific background and explanation of rationale	3-13
	2b	Specific objectives or hypotheses	20
<b>Methods</b>			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	14-15
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	NA
Participants	4a	Eligibility criteria for participants	13-14
	4b	Settings and locations where the data were collected	17
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	15-16
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	20-21
	6b	Any changes to trial outcomes after the trial commenced, with reasons	NA
Sample size	7a	How sample size was determined	21
	7b	When applicable, explanation of any interim analyses and stopping guidelines	30
Randomisation:			
Sequence generation	8a	Method used to generate the random allocation sequence	15
	8b	Type of randomisation; details of any restriction (such as blocking and block size)	
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	15
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	15

Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	15
	11b	If relevant, description of the similarity of interventions	
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	20-21
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	20-21
<b>Results</b>			
Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome	
	13b	For each group, losses and exclusions after randomisation, together with reasons	
Recruitment	14a	Dates defining the periods of recruitment and follow-up	
	14b	Why the trial ended or was stopped	
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	
Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory	
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	
<b>Discussion</b>			
Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	
Generalisability	21	Generalisability (external validity, applicability) of the trial findings	
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	
<b>Other information</b>			
Registration	23	Registration number and name of trial registry	1, 30
Protocol	24	Where the full trial protocol can be accessed, if available	19
Funding	25	Sources of funding and other support (such as supply of drugs), role of funders	

\*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see [www.consort-statement.org](http://www.consort-statement.org).

**THE FOLLOWING WERE APPROVED**

**INVESTIGATOR:** Wayne Drevets M.D.  
6655 South Yale Avenue  
Tulsa, Oklahoma 74136

**BOARD ACTION DATE:** 08/22/2011  
**PANEL:** 6  
**STUDY APPROVAL EXPIRES:** 08/05/2012  
**STUDY NUM:** 1126576  
**WIRB PRO NUM:** 20111159  
**INVEST NUM:** 160921  
**WO NUM:** 1-683377-1  
**CONTINUING REVIEW:** Annually  
**SITE STATUS REPORTING:** Semi-Annual

**SPONSOR:** Laureate Institute for Brain Research (LIBR)

**PROTOCOL NUM:** 2011-002-00

**AMD. PRO. NUM:**

**TITLE:**

MINOCYCLINE AND ASPIRIN IN THE TREATMENT OF BIPOLAR DEPRESSION

**APPROVAL INCLUDES:**

Subject Information Sheet - Visit Five #9212383.0 - As Submitted  
Subject Information Sheet - Visit Four #9212382.0 - As Submitted  
Subject Information Sheet - Visit One #9212379.0 - As Submitted  
Subject Information Sheet - Visit Six #9212384.0 - As Submitted  
Subject Information Sheet - Visit Three #9212381.0 - As Submitted  
Subject Information Sheet - Visit Two #9212380.0 - As Submitted  
Consent Form [IN1]

**WIRB APPROVAL IS GRANTED SUBJECT TO:**

**RE-CONSENTING INSTRUCTIONS:** Subjects currently enrolled are not required to sign the enclosed version(s) of the consent form(s). All subjects who will be enrolled in the future for this study must sign the most current WIRB-approved consent form(s).

**WIRB HAS APPROVED THE FOLLOWING LOCATIONS TO BE USED IN THE RESEARCH:**

Laureate Institute for Brain Research, 6655 South Yale Avenue, Tulsa, Oklahoma 74136  
University of Kansas Medical Center Research Institute, 8911 East Orme, Wichita, Kansas 67207

**If the PI has an obligation to use another IRB for any site listed above and has not submitted a written statement from the other IRB acknowledging WIRB's review of this research, please contact WIRB's Client Services department.**

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This is to certify that the information contained herein is true and correct as reflected in the records of the Western Institutional Review Board (WIRB), OHRP/FDA parent organization number IORG 0000432, IRB registration number IRB00000533. WE CERTIFY THAT WIRB IS IN FULL COMPLIANCE WITH GOOD CLINICAL PRACTICES AS DEFINED UNDER THE U.S. FOOD AND DRUG ADMINISTRATION (FDA) REGULATIONS, U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (HHS) REGULATIONS, AND THE INTERNATIONAL CONFERENCE ON HARMONISATION (ICH) GUIDELINES.



*Robert A Taylor DO for*

Theodore D. Schultz, J.D., Chairman

8/23/2011

(Date)

This document electronically reviewed and approved by Taylor, Robert on 8/23/2011 1:46:33 PM PST. For more information call Client Services at 1-360-252-2500.



**ALL WIRB APPROVED INVESTIGATORS MUST COMPLY WITH THE FOLLOWING:**

1. Conduct the research in accordance with the protocol, applicable laws and regulations, and the principles of research ethics as set forth in the Belmont Report.
2. Although a participant is not obliged to give his or her reasons for withdrawing prematurely from the clinical trial, the investigator should make a reasonable effort to ascertain the reason, while fully respecting the participant's rights.
3. Unless consent has been waived, conduct the informed consent process without coercion or undue influence, and provide the potential subject sufficient opportunity to consider whether or not to participate. (Due to the unique circumstances of research conducted at international sites outside the United States and Canada where WIRB approved materials are translated into the local language, the following requirements regarding consent forms bearing the WIRB approval stamp and regarding certification of translations are not applicable.)
  - a. Use only the most current consent form bearing the WIRB "APPROVED" stamp.
  - b. Provide non-English speaking subjects with a certified translation of the approved consent form in the subject's first language. The translation must be approved by WIRB.
  - c. Obtain pre-approval from WIRB for use of recruitment materials and other materials provided to subjects.

4. Obtain pre-approval from WIRB for changes in research.

5. Obtain pre-approval from WIRB for planned deviations and changes in research activity as follows:

If this research is federally funded or conducted under an FWA, obtain pre-approval from WIRB for all planned deviations and changes in research activity, except where necessary to eliminate apparent immediate hazards to the human subjects. OHRP considers all planned protocol deviations to be changes in research that need prior IRB review and approval.

If this research is **not** federally funded and **not** conducted under an FWA, obtain pre-approval from WIRB for any planned deviations that could adversely affect the rights, safety or welfare of subjects, or the integrity of the research data and any changes in the research activity, except where necessary to eliminate apparent immediate hazards to the human subjects. FDA has not adopted the policy that all planned protocol deviations are changes in research that need prior IRB review and approval.

Deviations necessary to eliminate apparent immediate hazards to the human subjects should be reported within 10 days.

6. Promptly report to WIRB all unanticipated problems (adverse events, protocol deviations and violations and other problems) that meet all of the following criteria:
  - a. Unexpected (in terms of nature, severity or frequency);
  - b. Related or possibly related to participation in the research; and
  - c. Suggests that the research places subjects or others at a greater risk of harm than was previously known or recognized.

Please go to [www.wirb.com](http://www.wirb.com) for complete definitions and forms for reporting.

7. Provide reports to WIRB concerning the progress of the research, when requested.
8. Ensure that prior to performing study-related duties, each member of the research study team has had training in the protection of human subjects appropriate to the processes required in the approved protocol.

**Federal regulations require that WIRB conduct continuing review of approved research. You will receive Continuing Review Report forms from WIRB. These reports must be returned even though your study may not have started.**

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