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# BMJ Open

## Analysis of the Patient-sharing Network in Hypertension Management: A Retrospective Study in China

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3 **Title page**  
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7 **Study in China**  
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# 1 Analysis of the Patient-sharing Network in Hypertension Management: A

## 2 Retrospective Study in China

### 3 Abstract

#### 4 Objective

5 To explore the robustness of the patient-sharing network method and validate possible influencing factors in  
6 China.

#### 7 Design, setting and participants

8 We conducted a patient-sharing network analysis to describe the persistence of patient-sharing relationships  
9 and logistic regression to analyze factors influencing the persistence of patient-sharing relationships in the  
10 Yinzhou Health Information System (YHIS) from January 1, 2010, to December 31, 2018, all outpatient  
11 records that had a hypertension diagnosis were included in this study.

#### 12 Outcome measures

13 The persistence ratio was defined as the proportion of the patient-sharing relationships in a given year that  
14 continued to exist in the following year, the one-, two-, and three-year persistence to test the robustness of  
15 the findings.

#### 16 Results

17 This study included 3,916 physicians from 42 public healthcare facilities in Yinzhou. The one-year  
18 persistence ratio fluctuated around 80% and the three-year persistence ratio was around 60% over the study  
19 period. The strength of the relationship, tie characteristics, and physician specialty were important factors  
20 influencing the persistence of the relationships. The persistence of the relationships increased significantly  
21 as the strength of the relationships increased (for relationships with strength  $\in [3, 5)$ , OR = 3.987, 95%  
22 CI: 3.896-4.08; for relationships with strength  $\in [5, 7)$ , OR=6.379, 95% CI: 6.147-6.626; and for

relationships with strength  $\in [7, 9)$ , OR=8.373, 95% CI: 7.941-8.829). Physicians from the same healthcare institution were more likely to form ties that persisted for at least one year compared with physicians from different institutions (OR=1.510, 95% CI: 1.480-1.540).

## Conclusions

Our study showed that physicians frequently formed relationships with other physicians through sharing patients in Yinzhou, China, and these relationships had similar rates of persistence to studies conducted in developed countries, which indicated that findings of social networks analyses conducted in developed countries still hold value in developing countries.

## Strengths and limitations of this study

- The first study that depicted the structure of patient sharing network in hypertension management in China
- Over 80% of physician relationships formed through sharing patients persisted over one year in China
- The strength of the relationship, tie characteristics, and physician specialty were important factors influencing the persistence of relationships
- The analysis was limited to a specific area of China
- The detailed characteristics of physician were not included in the analysis since the unavailability of data

## Keywords

Social networks; Patient-sharing relationships; Knowledge diffusion; Persistence ratio; Influencing factors

## Funding statement

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47 **Availability of data and materials**

48 The datasets used and/or analysed in the current study are available from the corresponding author on reasonable  
49 request.

50

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## 51 Introduction

52 The translation and diffusion of knowledge inevitably affect physicians' prescribing behaviors, especially among  
53 physicians providing care to shared patients, with implications for patient health and healthcare utilization 1. An  
54 increasing number of research has utilized social network as a tool to understand patient-sharing relationships of  
55 healthcare professionals 2, 3. Such analyses can deepen understandings of the influences of knowledge exchange,  
56 either formal or informal, on the clinical practice of healthcare providers and identify pathways to improved  
57 quality of care and efficient use of healthcare resources 4, 5.

58 Previous studies have explored the impact of social networks on the prevention and control of infectious  
59 diseases, including tuberculosis and malaria, and on knowledge diffusion in managing non-communicable  
60 diseases (NCDs) such as diabetes and hypertension 3, 6-9. Patient-sharing networks among physicians signal  
61 formal and informal exchange of knowledge and information while providing care and can help identify and  
62 understand problems in medication adherence and therapeutic inertia, both of which are essential to managing  
63 NCDs 10-17. Published studies exploring patient-sharing networks were mainly from developed countries, which  
64 found that network-based interventions are effective methods to reduce healthcare costs and improve the quality  
65 of healthcare services 18-22. In the US, a cross-sectional study found that more dispersed patient care might  
66 contribute to fragmentation of care and increased costs 18. Further, studies have found that physician network  
67 connections in the management of diabetes, Parkinson's disease, and acquired immunodeficiency syndrome, could  
68 help to improve clinical outcomes and quality of care of patients because professional networks are important for  
69 disseminating new medical information among physicians 20-22. The persistence (also known as stability) and  
70 strength of the patient-sharing relationships are important metrics in network analyses 23-25. Long-term patient-  
71 sharing relationships could enable physicians to foster trusting relationship with one another and help to create  
72 new referral loops and are thus facilitative to coordinating care and reducing costs 23, 26. Studies have found that  
73 many factors might affect the persistence ratio of patient-sharing relationships, including tie characteristics,

1  
2 74 physician specialty, strength, and when such relationships occurred 18, 24, 27, 28. However, patient-sharing  
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5 75 relationships and the effect they can exert on provider practices can vary across regions with differently structured  
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8 76 healthcare systems. It is uncertain if examining these relationships could be a viable tool in studying health  
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11 77 services in developing countries and how these relationships can vary from developed countries and regions 24,  
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13 78 29-31.

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15 79 Few studies in low- and middle-income countries have utilized network analysis to understand professional  
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18 80 communication among healthcare providers. Before developing network-based interventions, we need to first  
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21 81 understand the structure and persistence of physicians' patient-sharing networks in developing countries and  
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24 82 identify influencing factors and their mechanism of action 32. In China, hypertension is an NCD with a high  
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27 83 prevalence of 44.7% among adults aged 35-75 years but is generally poorly managed and requires further care  
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30 84 enhancements. Only 30.1% of those diagnosed with hypertension were on antihypertensive medicines, of whom  
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33 85 lower than 6% had their symptoms properly managed 33-36. Global study has found that increased density of  
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36 86 qualified general practitioners played an important role in hypertension management; in India, a previous study  
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39 87 found that access to healthcare is closely tied to hypertension management; in Sweden, a cohort study found that  
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42 88 education and feedback strategies could help to refine the clinical guideline of hypertension management; while  
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45 89 in China, the number of primary care physicians who are formally licensed, the emphasis on medical education,  
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48 90 primary care coordination, and continuity are also important factors for the hypertension management 37-40. This  
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51 91 evidence could help to identify the importance of physicians in hypertension management, but there are lack of  
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54 92 related studies to further analyze the knowledge diffusion among physicians in hypertension management in China.  
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57 93 Therefore, we conducted a social network analysis to describe the persistence of patient-sharing relationships of  
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59  
60 94 physicians managing patients with hypertension and identify factors influencing the network in China.

## 95 **Methods**

### 96 **Study Design**

97 In line with previous studies, the patient-sharing relationship between physicians (i.e., two or more physicians  
98 providing care to the same patients) was recorded in and identified through reviewing records of outpatient visits,  
99 with the number of the shared patients between physicians representing the strength of the relationship 24. Patient-  
100 sharing represents exchanges of knowledge among physicians and could therefore be used to assess physicians'  
101 coordination, a clear target for cost-saving and improving the quality of medical care 41, 42. To understand the  
102 network structure and factors influencing patient-sharing relationships in Yinzhou district of Ningbo, a coastal  
103 city in south-east China, we built a theoretical model of Chinese physicians' professional network based on  
104 patient-sharing relationships.

### 105 **Data Sources**

106 We extracted data from the Yinzhou Health Information System (YHIS), which was established by the local health  
107 department in 2005. The system collects and manages electronic medical records of people residing in Yinzhou  
108 district and covered data including general characteristics, prescription, outpatient visit records. All information  
109 stored in the system has been de-identified to safeguard patient privacy. In 2018, Yinzhou district is home to about  
110 1.34 million residents 43, 44.

### 111 **Study Population**

112 We extracted all outpatient records from YHIS. Inclusion criteria were: (1) the patient was diagnosed with  
113 hypertension, shown by the corresponding ICD-10 codes (I10, I11, I12, I13, and I15); (2) the patient's  
114 hypertension diagnosis was between January 1, 2010, to December 31, 2018; and (3) Primary Care Physicians  
115 (PCPs) or specialists working in secondary and tertiary hospitals who treated adult hypertension patients ( $\geq 18$   
116 years old). Our exclusion criteria were: (1) outpatient records generated from patients not residing in Yinzhou

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2 117 district; and (2) patient-sharing relationships not occurring in the same year (e.g., a physician provided care to this  
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5 118 patient in year 1 while the other physician only provided care to this patient in year 2). There is a total of 27,267  
6  
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8 119 hypertensive patients and 3,916 physicians included in this study 30.

## 120 Outcome Measures

121 The threshold was defined as the minimum strength of patient-sharing relationships needed to form a physician  
122 network. For instance, "threshold = 2" indicated that two physicians would need to share at least two patients to  
123 be regarded as in a network. The threshold could help to identify stable patient-sharing relationships and reduce  
124 the impact of incidental connections that have a lower probability of knowledge exchange 30. The persistence  
125 ratio was defined as the proportion of the patient-sharing relationships in a given year that continued to exist in  
126 the following year. If ten pairs of patient-sharing relationships were observed in 2008 and eight of them persisted  
127 to 2009, the one-year persistence ratio would be 80%, as shown in Figure 1. Connections that persisted for two or  
128 more years were seen as long-term relationships that could diffuse knowledge more intensely and thus have a  
129 larger effect on prescribing behaviors of the physicians involved 29.

130 Many factors might affect the persistence ratio of patient-sharing relationships, including tie characteristics,  
131 physician specialty, strength of the relationship, and when such relationships occurred 29. Tie characteristic was  
132 a binary variable reflecting whether the patient-sharing relationship happened within one or across multiple  
133 hospitals. A patient-sharing relationship was also classified according to specialties involved and assigned to a  
134 category of the followings: "PCPs- PCPs", "PCPs-Specialists", and "Specialists-Specialists". The year when  
135 patient-sharing relationship occurred was defined as the year when the outpatient visit (signaling a patient-sharing  
136 relationship) happened. Based on previous literature, we assumed that the knowledge translation and diffusion  
137 across years and hospitals could promote coordination of PCPs and specialists and subsequently improve  
138 healthcare system efficiency 27. Variable selection and definition are reported in Table 1.

## 139 **Statistical Analysis**

140 We conducted logistic regression to analyze factors influencing the persistence of patient-sharing relationships,  
141 with the 95% confidence interval (CI) and P-value reported for each odds ratio (OR) 45. Strength  $\in [1, 3)$ , "  
142 Tie characteristics " = "no", " Physician specialty " = " PCP - PCP", and the year of 2010 were set as reference  
143 group. To visualize the structure of the patient-sharing network, we chose the Fruchterman-Reingold algorithm,  
144 a spring-embedder method, to present the physician network, with two physicians having stronger patient-sharing  
145 relationship lying closer in the illustration 36, 46. In the analysis of the persistence ratio of patient-sharing  
146 relationships, we conducted a sensitivity analysis based on thresholds and years of persistence of patient-sharing  
147 relationships; in the analysis of the influencing factors of patient-sharing relationships, we conducted sensitivity  
148 analyses on the one-, two-, and three-year persistence to test the robustness of the findings. All analyses were  
149 performed using R 4.0.4, a two-sided P value  $< 0.05$  was considered statistically significant.

150

## 151 **Results**

152 Our final sample included 27,267 hypertensive patients and 3,916 physicians from 42 public healthcare facilities.  
153 The number of patients, physicians, and public healthcare facilities each year are described in Table 2. There were  
154 1,264 physicians included in 2010 according to the inclusion criteria, including 980 (77.5%) PCPs and 284 (22.5%)  
155 specialists. The number of physicians remained relatively stable from 2011 to 2018.

### 156 **Structure of patient-sharing network**

157 The patient-sharing network is visualized in eFigure. When threshold equaled 1, relationships seemed random and  
158 displayed trends vastly different from those shown when other thresholds were applied. Changes in the persistence  
159 of patient-sharing relationships are shown in Figure 2. When threshold was set at 3 (i.e., only patient-sharing  
160 relationships with strength  $\geq 3$  were retained), the physician network demonstrated a gradually downward trend

1  
2 161 as compared with when threshold was set at 1. Patient-sharing relationships showed similar patterns when the  
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5 162 threshold was set at 3, 5, 7, or 9, with at least 80% of the relationships persisting after one year (Threshold=3:  
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8 163 81.8%, Threshold=5: 85.8%, Threshold=7: 86.9%, and Threshold=9: 87.4%) and at least 60% of the relationships  
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10 164 persisting after three years (Threshold=3: 60.4%, Threshold=5: 64.4%, Threshold=7: 66.1%, and Threshold=9:  
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13 165 66.5%).

### 166 **Persistence of patient-sharing network**

167 The number of patient-sharing relationships (i.e., physician ties) in 2010 was 36,543 while the number of  
168 relationships per physician was 28.9. Among these ties, 67.3% happened between physicians from different health  
169 care institutions (HCIs) while others were between physicians from the same HCI. The majority (60.9%) of  
170 observed connections were between PCPs, 30.9% were between PCPs and specialists, and 8.2% were between  
171 specialists. Many (68.1%) observed connections had a strength  $\in [1, 3)$  (i.e., the two physicians had one or two  
172 tie(s)), only 11.2%, 5.3%, and 2.9% had a strength  $\in [3, 5)$  (i.e., the two physicians had 3 or 4 ties), strength  $\in$   
173  $[5, 7)$ , and strength  $\in [7, 9)$ . There are many patient-sharing relationships had a strength  $\geq 9$  (11.2%), which  
174 might indicate that there exist many stable patient-sharing relationships across years. Both the number of patient-  
175 sharing relationships and the persistence of these ties showed upward trends, as shown in Table 3.

### 176 **Factors influencing the persistence of patient-sharing network**

177 Results of the logistic analysis of the factors influencing the persistence of patient-sharing relationships are shown  
178 in Table 4. The persistence of the relationships increased significantly as the strength of the relationships increased.  
179 Compared with the relationships that had a strength  $\in [1, 3)$ , OR was 3.987 (95% CI: 3.896-4.08) for  
180 relationships with a strength  $\in [3, 5)$  was 3.987 (95% CI: 3.896-4.08), 6.379 (95% CI: 6.147-6.626) for  
181 relationships with strength  $\in [5, 7)$ , and 8.373 (95% CI: 7.941-8.829) for relationships with strength  $\in [7, 9)$ .  
182 Physicians from the same HCI were more likely to form connections that persisted at least one year compared

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3 183 with physicians from different HCIs (OR=1.510, 95% CI: 1.480-1.540). PCP-Specialist relationships and  
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5 184 Specialist-Specialist relationships had lower rates of one-year persistence compared with the PCP-PCP  
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7 185 relationships (OR<1, p<0.001).  
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## 10 11 186 **Sensitivity analysis of patient-sharing networks**

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14 187 We conducted a sensitivity analysis using two-year and three-year ties. Results of the sensitivity analysis  
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16 188 confirmed that the strength of the relationship, tie characteristics, and physician specialty were important factors  
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18 189 influencing the persistence of physician's patient-sharing relationships. (Table 4)  
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## 24 25 191 **Discussion**

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27 192 This study provides insights into how frequently physicians in China fostered relationships with each other  
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29 193 through delivering care to a shared patient and how strong and persistent these relationships were. We found that  
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31 194 over 80% of physician relationships formed through sharing patients persisted over a year and 60% of these  
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33 195 relationships persisted over three years, a result comparable to results from developed countries 29. The strength  
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35 196 of the relationship, tie characteristics, and physician specialty were important factors influencing the persistence  
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37 197 of the physician's patient-sharing relationships. To our knowledge, this study is the first to describe the structure  
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39 198 and influencing factors of the patient-sharing network among physicians engaged in the management of NCDs in  
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41 199 a developing country. These findings suggest that physicians' patient-sharing networks can serve as a stable and  
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43 200 viable target for future studies or interventions to promote care coordination and knowledge diffusion in China.  
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51 201 Physicians with more shared patients may form a more stable patient-sharing relationship and therefore enjoy  
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53 202 a higher persistence ratio and exert stronger influence on knowledge diffusion within the network 24. Previous  
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55 203 studies found that knowledge diffusion could strengthen the quality and coordination of healthcare services, as  
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57 204 seen in lower rates of emergency room visits and lower medical costs in patients treated by physicians with ties  
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2 205 of stronger persistence 29, 47. Physicians with a larger number of and more persistent relationships were also  
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5 206 more likely to experience knowledge diffusion that helped to strengthen collaborations among physicians, even  
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8 207 those formed among physicians of different hospitals, to produce desirable patient outcomes such as lowered odds  
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10 208 of 30-day readmissions, adverse events, and so forth 48-51.

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13 209 In middle-income countries like China, the burden of cardiovascular diseases were more inequitably  
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15 210 distributed than high-income countries, which is often exacerbated by a severe under-resource of personnel with  
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18 211 expertise in and infrastructure supporting the management of cardiovascular diseases 16. Developing  
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20 212 interventions that utilize patient-sharing networks already present in physicians' day-to-day practices and that  
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23 213 strengthen the hierarchical medical system can be an effective and cost-saving approach to influence physicians'  
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26 214 behaviors, improve their coordination, and promote patient outcomes. As patient-sharing relationships formed  
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28 215 among physicians of different HCIs can diffuse knowledge and influence their prescribing behavior, they can be  
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31 216 utilized to promote the optimization of patient treatment plans to reduce patient burden and improve clinical  
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34 217 outcomes in managing NCDs like hypertension 13, 18, 47.

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36 218 Our study confirmed that physicians from geographically proximate hospitals were more likely to form  
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39 219 patient-sharing relationships, a result similar to a previous study 52. These relationships among physicians across  
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41 220 different HCIs and specialties could lend insight into the barriers and promoters of an efficient healthcare system  
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44 221 53. They are conducive to the knowledge diffusion beyond one single HCI, which has the potential to spread  
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47 222 clinical treatment experience to improve quality of care in primary health care facilities, where physicians  
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49 223 normally have less clinical capacity 18. This is supported by a previous study which found that high value of  
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52 224 network statistics, reflecting global connectivity, is beneficial to medical cost savings 31. In contrast, hospitals  
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54 225 with greater dispersion were associated with greater rates of readmission and lower rates of emergency department  
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57 226 throughput 31, 54. Patient-sharing relationships among physicians can be a clear target to develop network-based  
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60 227 interventions to curb these unfavorable outcomes.

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3 228 The Chinese government has launched a hierarchical medical system policy in 2014, aiming to alter patients'  
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5 229 healthcare-seeking behaviors. After its implementation, most patients are expected to first visit primary care  
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8 230 facility, which ideally should be the same facility to ensure consistency in care. This redirection of patient flow to  
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10 231 primary care facilities may cause PCPs to share patients more frequently, and thus more physicians could have  
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13 232 more possibility to form more strength patient-sharing relationships 55. We also found that the proportion of  
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15 233 patient-sharing relationships formed between physicians practicing at the same HCI was lower than that reported  
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18 234 in developed countries 46, 56, 57. This may imply that HCIs in China have not established a harmonized patient  
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21 235 referral system and thus patients frequently move between HCIs when certain needs remained unmet, which  
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23 236 helped to form physician connections across HCIs 58. A previous study has found that PCPs has a central role in  
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26 237 managing chronic diseases and a hierarchical medical system can leverage the management of NCDs 42. Our  
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29 238 study observed significant fluctuations in the persistence ratio of patient-sharing relationships in 2015 when the  
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31 239 hierarchical medical system policy was implemented in China, which may reflect the impact of policy on  
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34 240 physician's patient-sharing relationships.

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36 241 There are several limitations to this study. First, we established the social network of physicians in Yinzhou,  
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39 242 China by identifying patient-sharing relationships, however, we were unable to observe the actual patient flow  
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42 243 and the dynamics of these relationships from the retrospective data. A fuller landscape of the impact of knowledge  
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44 244 diffusion through these relationships on physicians' prescribing behaviors may only be gained through conducting  
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47 245 qualitative studies in the future. Second, we limited the disease area to hypertension to represent the characteristics  
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50 246 of the patient-sharing network of physicians managing NCDs. However, physician networks may be affected by  
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53 247 differences in chronic diseases, such as patient characteristics. Third, due to data limitations, our study did not  
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56 248 specify the specialties involved or analyze physician characteristics that may affect their patient-sharing  
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59 249 relationships, and the actual meaning of some important factors could not be clearly illustrated, nonetheless, this  
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250 is a valid research question that awaits further explorations.

## 251 **Conclusions**

252 We found that physicians frequently formed relationships with other physicians through sharing patients in  
253 Yinzhou, China. These relationships showed similar rates of persistence to studies conducted in developed  
254 countries, where network-based interventions have been proven effective in curbing some problems in healthcare  
255 delivery and patient outcomes. Future research and interventions to promote care coordination and knowledge  
256 diffusion can leverage these naturally occurring relationships and seek to understand mechanisms through which  
257 they can exert influences on healthcare providers' practices and coordination with one another.

## 259 **Declarations**

### 260 **Ethics approval and consent to participate**

261 The study protocol was approved by the Peking University Medical Ethics Committee (IRB00001052–22052).  
262 Written informed consent for participation was not required for this study according to the national legislation and  
263 the institutional requirements.

### 264 **Authors' contributions**

265 **Zhiwen Gong**: Software, Formal analysis, Writing- Original draft preparation; **Ruilin Wang**: Software, Formal  
266 analysis, Writing- Original draft preparation; **Huajie Hu**: Data Curation, Software, Formal analysis, Writing-  
267 Original draft preparation; **Tao Huang**: Data Curation, Validation, Writing - Review & Editing; **Huangqianyu**  
268 **Li**: Writing - Review & Editing; **Sheng Han**: Conceptualization, Supervision, Writing - Review & Editing;  
269 **Luwen Shi**: Conceptualization, Supervision, Writing - Review & Editing; **Xiaodong Guan**: Conceptualization,  
270 Supervision, Writing - Review & Editing, Funding acquisition.

271 All authors gave final approval of the version to be published, and agreed to be accountable for all aspects of the  
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1  
2 273 **Conflicts of Interest**  
3

4  
5 274 The authors declare that they have no competing interests.  
6  
7

8 275 **Abbreviations**  
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11 276 YHIS: Yinzhou Health Information System  
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14 277 NCDs: non-communicable diseases  
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16 278 PCPs: Primary Care Physicians  
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19 279 CI: confidence interval  
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21 280 OR: odds ratio  
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24 281 HCIs: Health Care Institutions  
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**Table 1.** Variable selection and definition in the analysis of patient-sharing relationship in Yinzhou

Variable	Definition	Meaning
Persistence ratio	The proportion of the patient-sharing relationships in a given year that continue to exist in the following year.	Reflecting long-term relationships across years, and the professional knowledge may diffuse through the network and have an effect on the physicians' prescription behavior.
Relationship strength	The number of the sharing patients between physicians.	Representing a more stable patient-sharing relationship, higher persistence ratio and long-term patient-sharing relationships have positive effects on knowledge diffusion in the network.
Hospital characteristics	Whether the related physicians of patient-sharing relationship from the same hospital.	The patient-sharing relationships among different physician specialties are conducive to the knowledge diffusion in a certain geographical area, helpful to the update of clinical treatment experience.
Physician specialty	The specialty of the related physicians of patient-sharing relationship.	Regions with higher persistent ties tended to have higher rates of emergency medical visits, and regions where PCPs had more physician connections were more likely to have higher emergency medical visits.
Relationship occurrence year	The year of the visit when the physician-sharing relationship happened.	The impact of occurrence year on the patient-sharing relationships could help to understand the trend and other influencing factors on the patient-sharing relationships (e.g., policy factors etc.) could help to explore and assess the network-based interventions.

**Table 2.** Basic characteristics of physician network for medication therapy management of hypertensive patients in Yinzhou from 2010 to 2017

	2010	2011	2012	2013	2014	2015	2016	2017	2018
Number of patients	77063	97187	110507	119920	129021	135955	150239	181997	137582
Number of hospitals									
Primary care facilities	22	23	25	25	25	25	26	33	26
Secondary hospitals	1	1	1	1	1	1	2	3	2
Tertiary hospitals	2	2	2	2	3	3	3	5	5
Total	25	26	28	28	29	29	31	41	33
Number of physicians									
Primary care facilities	980	1024	1084	1072	1070	1089	1060	1287	948
Secondary hospitals	54	59	68	77	62	90	153	145	88
Tertiary hospitals	230	301	323	365	440	500	685	674	703

440 **Table 3.** Characteristics of patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2017

			2010	2011	2012	2013	2014	2015	2016	2017	2018
Number of observed connections			36543	55934	61509	66206	67203	74541	79029	89463	68610
Not in same hospital			24587	39022	43211	46304	47896	53834	55977	64089	50851
In same hospital			11956	16912	18298	19902	19307	20707	23052	25374	17759
Physician specialty	PCP	- PCP	22272	30816	35422	37182	38386	38403	37818	47477	36571
	PCP	- Specialist	11285	19599	20703	22490	22877	28384	29807	30093	23805
	Specialist	- Specialist	2986	5519	5384	6534	5940	7754	11404	11893	8234
Strength		[1,3)	24871	38008	41785	45376	46330	52130	57503	67378	51649
		[3,5)	4101	6398	6953	7324	7073	7988	7470	8484	6594
		[5,7)	1937	2904	3028	3284	3176	3234	3099	3292	2481
		[7,9)	1054	1652	1826	1883	1830	2002	1795	1817	1370
		≥9	4580	6972	7917	8339	8794	9187	9162	8492	6516

441 (Note) PCP: Primary Care Physicians.

**Table 4.** Logistic regression of persistent ties for patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2017

	One-year persistent ties			Two-year persistent ties			Three-year persistent ties		
	OR	95% CI		OR	95% CI		OR	95% CI	
Strength									
[1,3)	-								
[3,5)	3.987 ***	( 3.896 , 4.080 )		3.203 ***	( 3.130 , 3.274 )		2.790 ***	( 2.724 , 2.858 )	
[5,7)	6.379 ***	( 6.147 , 6.626 )		4.716 ***	( 4.563 , 4.874 )		3.796 ***	( 3.669 , 3.924 )	
[7,9)	8.373 ***	( 7.941 , 8.829 )		6.019 ***	( 5.755 , 6.290 )		4.614 ***	( 4.424 , 4.816 )	
≥9	11.965 ***	( 11.600 , 12.354 )		8.290 ***	( 8.077 , 8.508 )		6.884 ***	( 6.032 , 6.341 )	
Hospital characteristics									
Not in the same hospital	-								
Same hospital	1.510 ***	( 1.480 , 1.540 )		1.402 ***	( 1.373 , 1.430 )		1.158 ***	( 1.330 , 1.385 )	
Physician specialty									
PCP - PCP	-								
PCP - Specialist	0.876 ***	( 0.862 , 0.891 )		0.693 ***	( 0.680 , 0.705 )		0.555 ***	( 0.544 , 0.567 )	
Specialist - Specialist	0.566 ***	( 0.550 , 0.582 )		0.463 ***	( 0.450 , 0.476 )		0.384 ***	( 0.373 , 0.396 )	
Year									
2010	-								
2011	0.803 ***	( 0.779 , 0.826 )		0.854 ***	( 0.829 , 0.879 )		0.937 ***	( 0.909 , 0.965 )	
2012	0.826 ***	( 0.803 , 0.850 )		0.914 ***	( 0.888 , 0.940 )		1.035 ***	( 1.005 , 1.065 )	
2013	0.791 ***	( 0.768 , 0.813 )		0.905 ***	( 0.880 , 0.931 )		0.696 ***	( 0.676 , 0.716 )	
2014	0.811 ***	( 0.789 , 0.834 )		0.599 ***	( 0.583 , 0.617 )		0.429 ***	( 0.416 , 0.442 )	
2015	0.510 ***	( 0.496 , 0.524 )		0.379 ***	( 0.368 , 0.390 )		0.221 ***	( 0.214 , 0.228 )	

(Note) PCP: Primary Care Physicians. Reference group: Strength ∈ [1, 3), "Hospital characteristics" = "no", "Physician specialty" = "PCP - PCP", and the year of 2010. One-year persistent ties represent ties that generating in one year and persist in the next year, two-year represents persisting in next two years, and three-year represents persisting in next three years, when considering the three-year persistent ties, the ties generating in 2015 that persists in 2018, therefore the year of 2016, 2017, and 2018 were unobservable.

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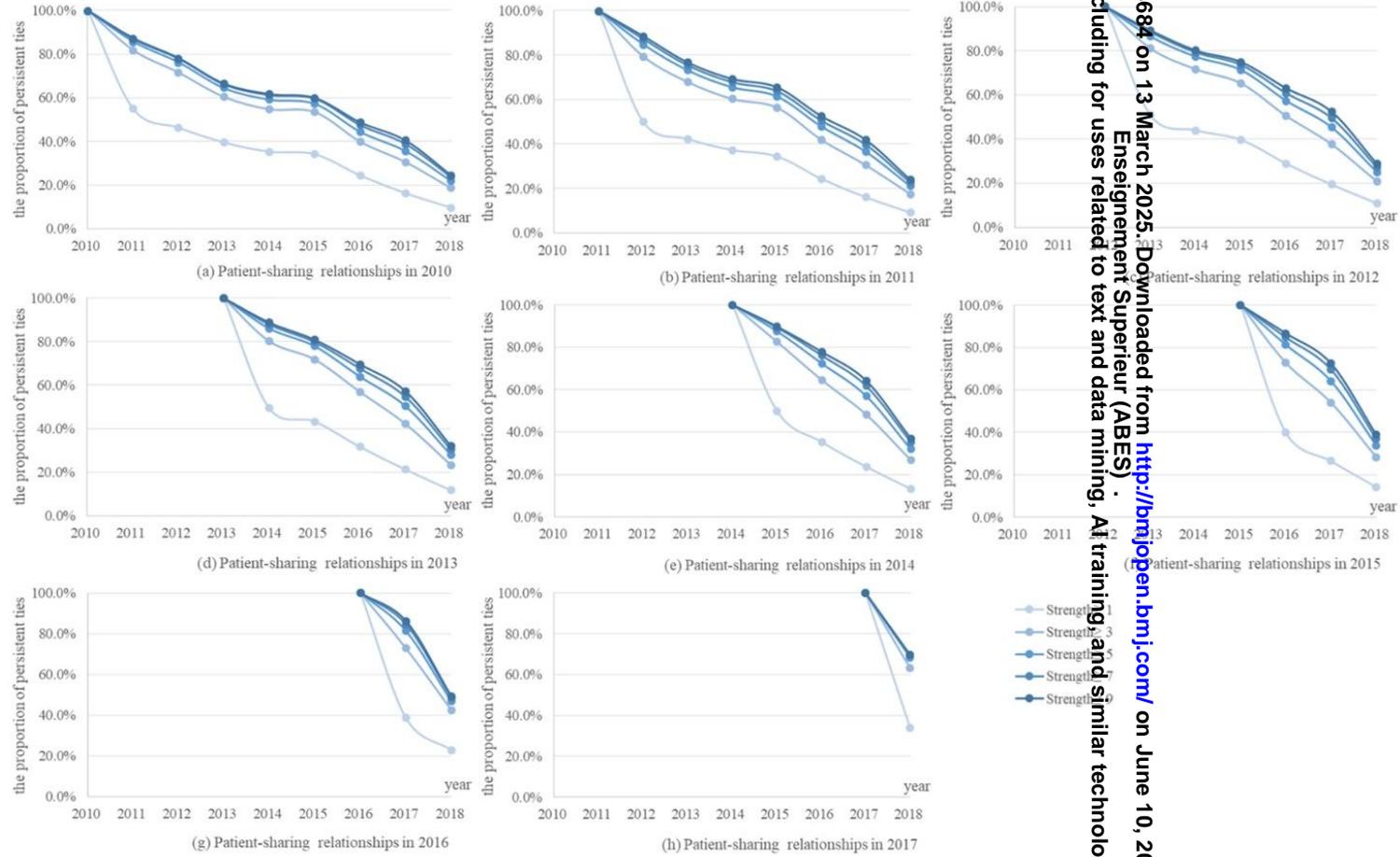
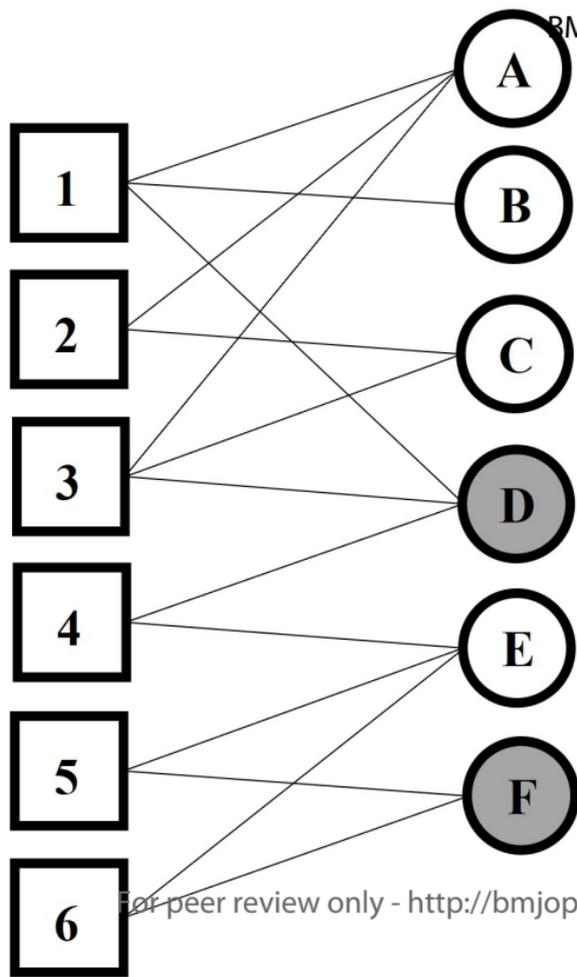
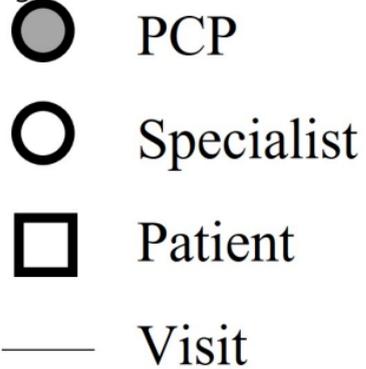


Figure 2 The proportion of persistent ties for patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2017

**Figure 2.** The proportion of persistent ties for patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2017

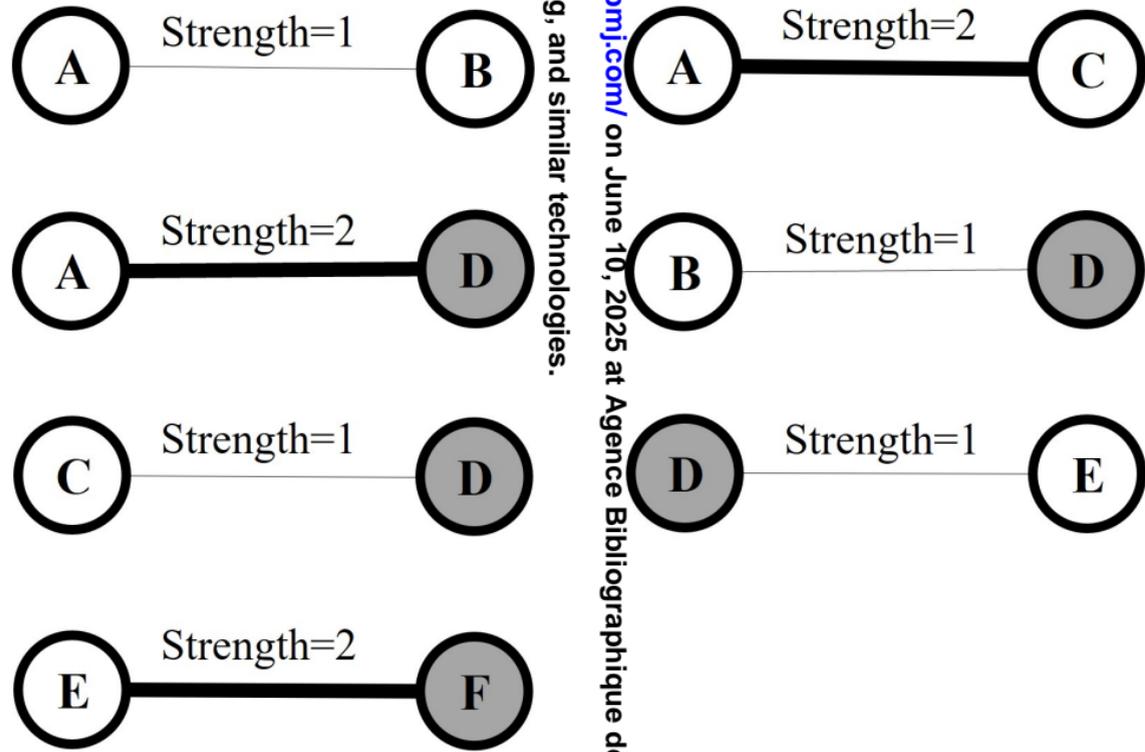
(Note) Part (a) represents the proportion of persistent ties generating in 2010, and part (b)-(h) represent the proportion of persistent ties generating in 2011-2017, respectively.

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(a) Patient visits

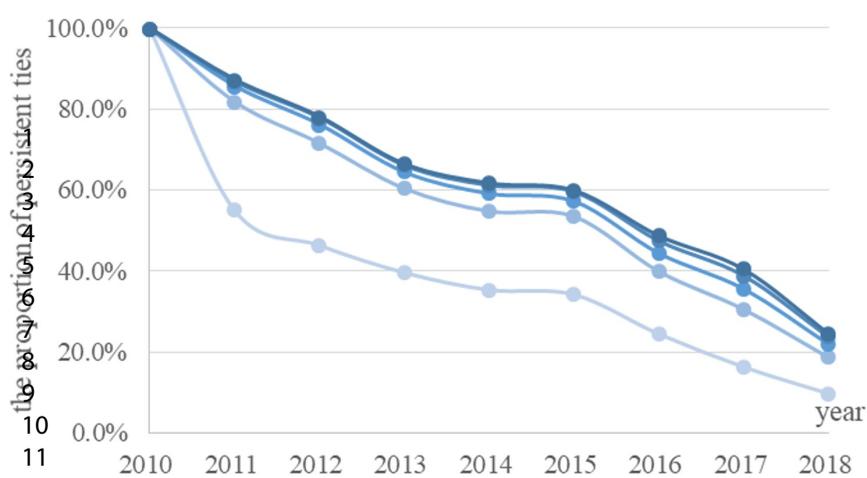
Patient-sharing relationship



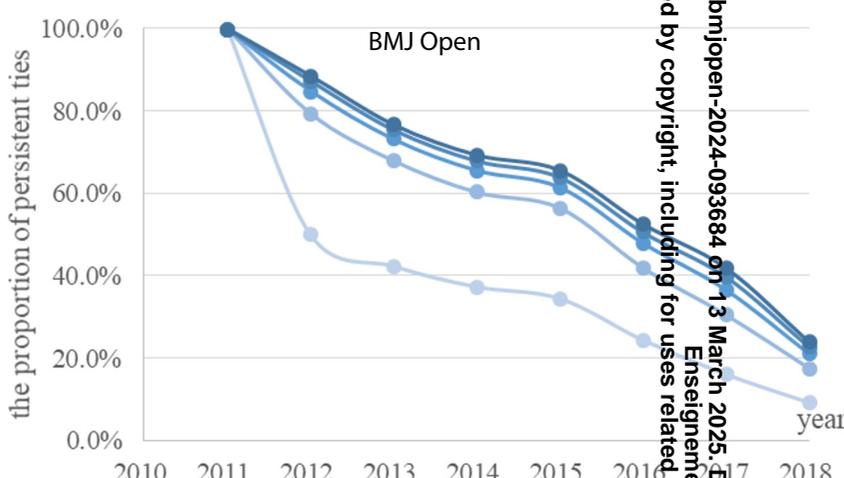
(b) Patient-sharing relationships

9, Allaining, and similar technologies.

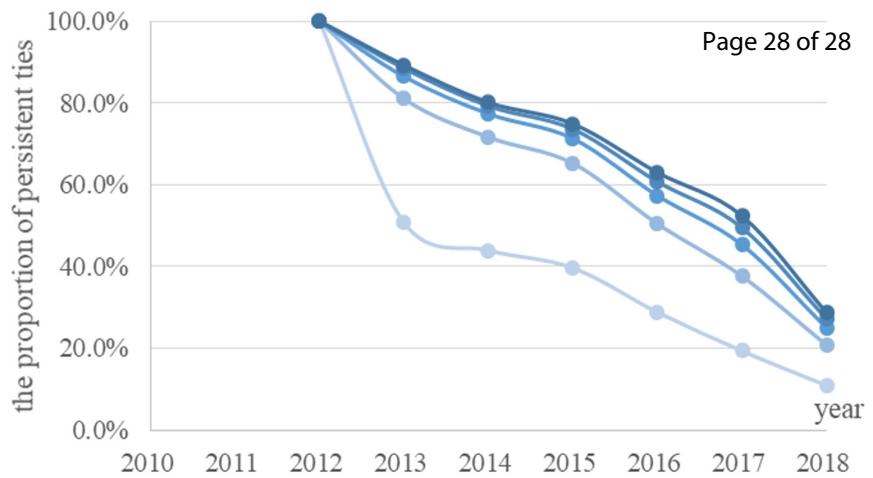
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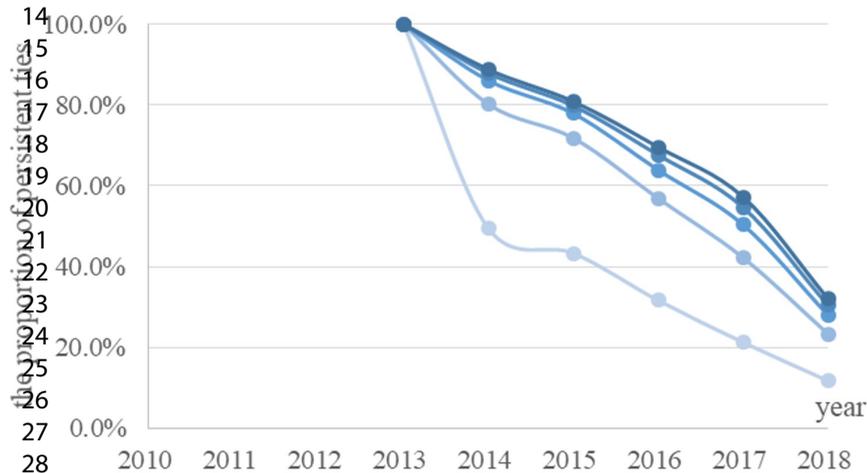
(a) Patient-sharing relationships in 2010



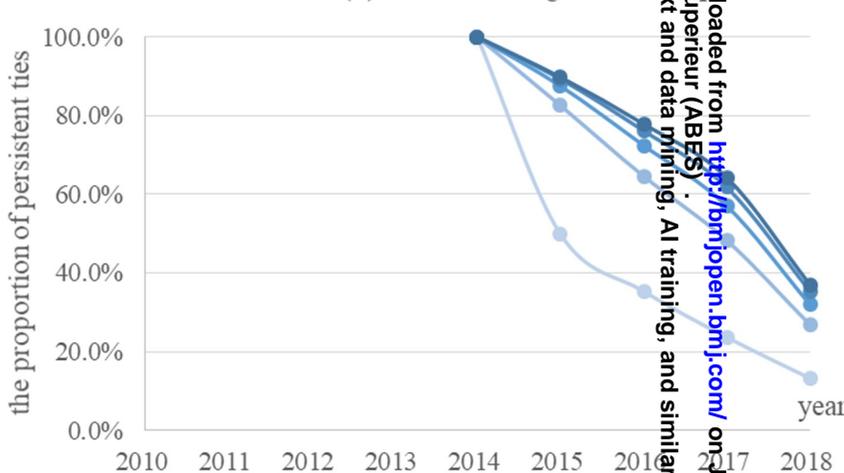
(b) Patient-sharing relationships in 2011



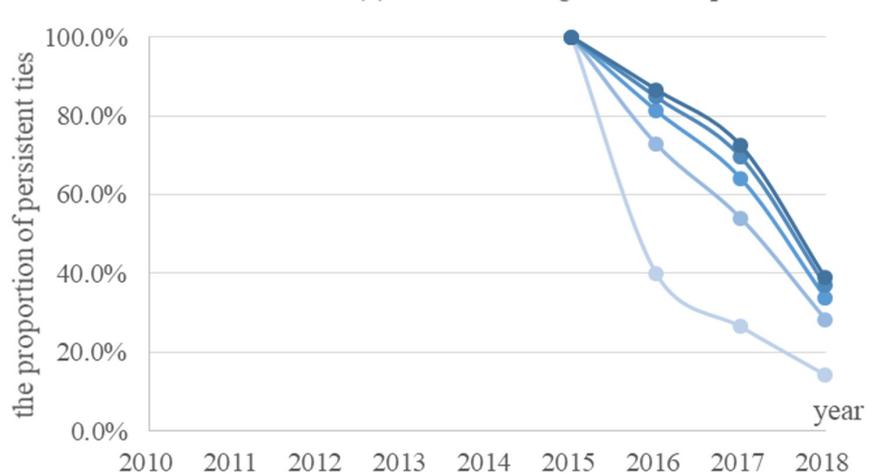
(c) Patient-sharing relationships in 2012



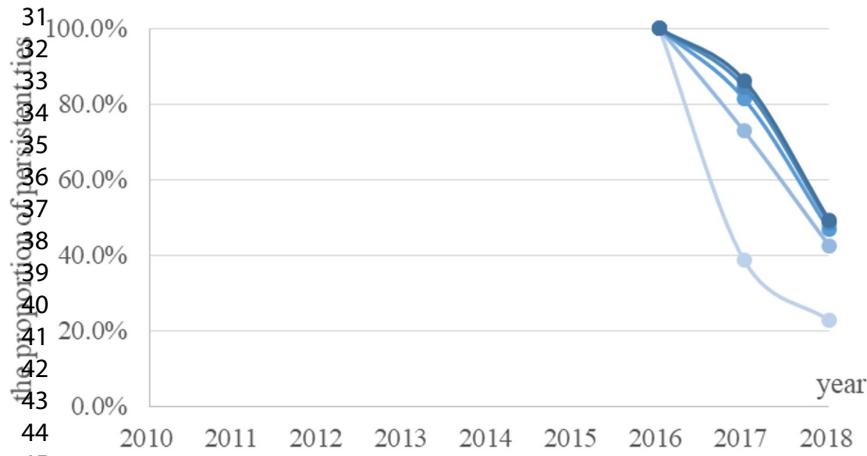
(d) Patient-sharing relationships in 2013



(e) Patient-sharing relationships in 2014



(f) Patient-sharing relationships in 2015



(g) Patient-sharing relationships in 2016

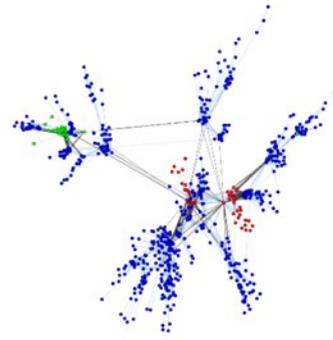
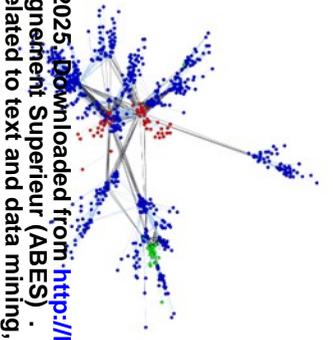
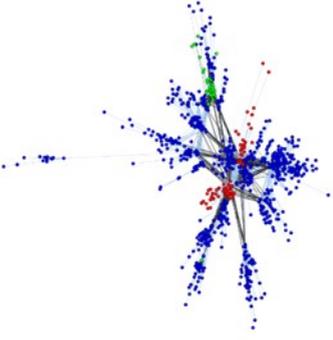
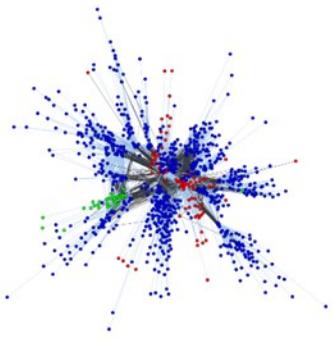
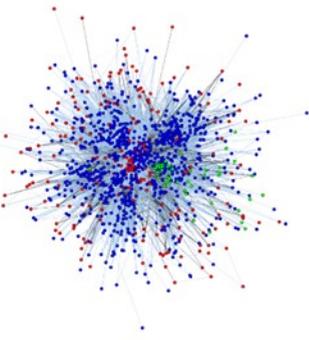


(h) Patient-sharing relationships in 2017

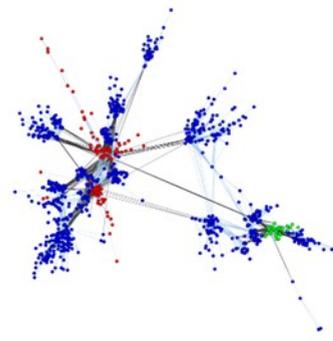
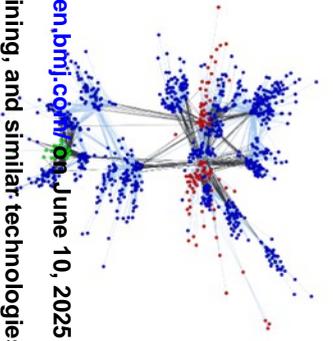
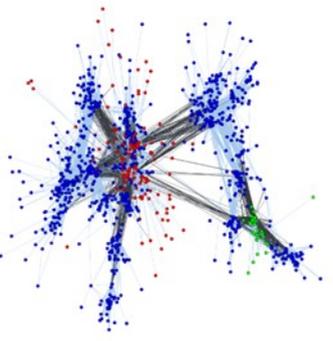
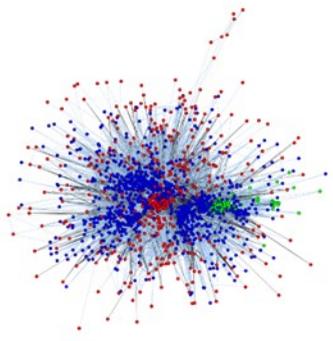
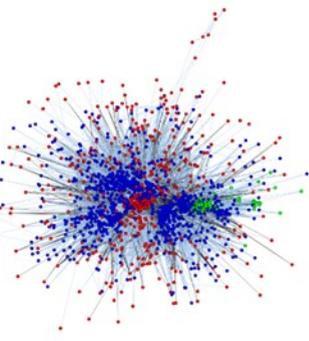
- Strength  $\geq 1$
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- Strength  $\geq 7$
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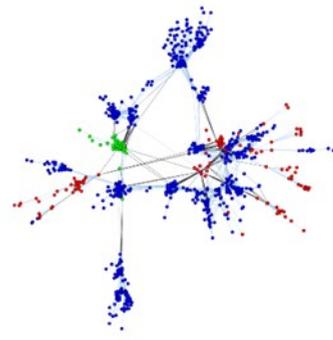
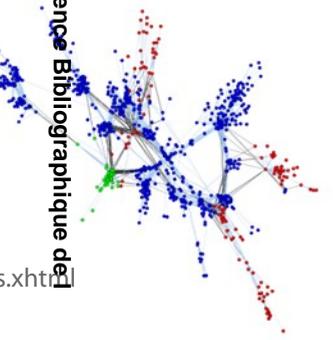
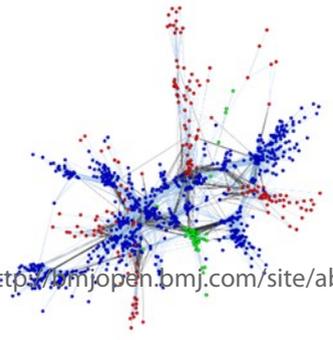
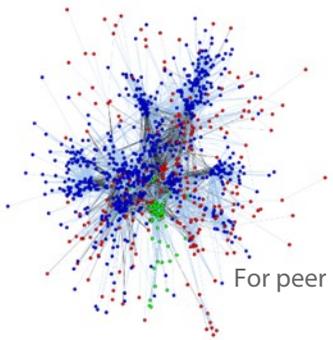
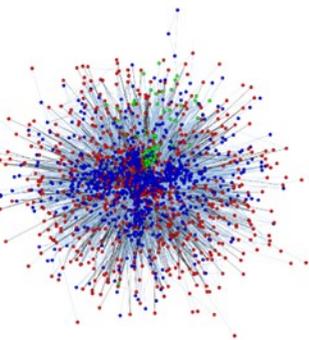
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## Analysis of the Patient-sharing Network in Hypertension Management: A Retrospective Study in China

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# 1 Analysis of the Patient-sharing Network in Hypertension Management: A 2 Retrospective Study in China

## 3 Abstract

### 4 Objective

5 To explore the robustness of the patient-sharing network and validate the association between strength and  
6 persistence of physicians' relationship in China.

### 7 Design, setting and participants

8 We conducted a patient-sharing network analysis to describe the persistence of patient-sharing relationships  
9 and logistic regression to analyze factors associating with the persistence of patient-sharing relationships in  
10 the Yinzhou Health Information System (YHIS) from January 1, 2010, to December 31, 2018, all outpatient  
11 records that had a hypertension diagnosis were included in this study.

### 12 Outcome measures

13 The persistence ratio was defined as the proportion of the patient-sharing relationships in a given year that  
14 continued to exist in the following year, the one-, two-, and three-year persistence to test the robustness of  
15 the findings.

### 16 Results

17 This study included 3,916 physicians from 42 public healthcare facilities in Yinzhou. The one-year  
18 persistence ratio fluctuated around 80% and the three-year persistence ratio was around 60% over the study  
19 period. The strength of the relationship, tie characteristics, and physician specialty were important factors  
20 associating with the persistence of the relationships. The persistence of the relationships increased  
21 significantly as the strength of the relationships increased (for relationships with strength  $\in [3, 5)$ , OR =  
22 3.987, 95% CI: 3.896-4.08; for relationships with strength  $\in [5, 7)$ , OR=6.379, 95% CI: 6.147-6.626; and  
23 for relationships with strength  $\in [7, 9)$ , OR=8.373, 95% CI: 7.941-8.829). Physicians from the same

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3 24 healthcare institution were more likely to form ties that persisted for at least one year compared with  
4  
5 25 physicians from different institutions (OR=1.510, 95% CI: 1.480-1.540).  
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## 8 26 **Conclusions**

9  
10 27 Our study showed that physicians frequently formed relationships with other physicians through sharing  
11  
12 28 patients in Yinzhou, China, and these relationships had similar rates of persistence to studies conducted in  
13  
14 29 developed countries, which indicated that findings of social networks analyses conducted in developed  
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16 30 countries still hold value in developing countries.  
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## 23 32 **Strengths and limitations of this study**

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25  
26 33 · We applied social network analysis methods to measure the structure and persistence of physician  
27  
28 34 relationships in hypertension management.  
29  
30 35 · We used a well-established regional electronic health information system to capture comprehensive view of  
31  
32 36 physician patient-sharing relationships across different level of healthcare institutions.  
33  
34  
35 37 · The actual patient flow and dynamics of physician relationship were unable to observed.  
36  
37  
38 38 · The association between the persistence of physician relationships and patient health outcomes were unable  
39  
40 39 to be examined.  
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43 40 · The results were subjected to unmeasured confounding bias due to limited data availability.  
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48

## 49 42 **Keywords**

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52 43 Social networks; Patient-sharing relationships; Knowledge diffusion; Persistence ratio; Influencing factors  
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## 57 45 **Funding statement**

58  
59 46 This work was supported by the National Natural Science Foundation of China (grant number: 72074007). The  
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47 funder had no role in the design and conduct of the study; collection, management, analysis, and interpretation of  
48 the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

49

50 **Data availability statement**

51 Data may be obtained from a third party and are not publicly available. Data for the present study are property of  
52 Center for Disease Control and Prevention of Ningbo. The data are available from these authorities, but restrictions  
53 apply.

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## 55 Introduction

56 The translation and diffusion of knowledge inevitably affect physicians' prescribing behaviors, especially among  
57 physicians providing care to shared patients, with implications for patient health and healthcare utilization.<sup>1</sup> An  
58 increasing number of research has utilized social network as a tool to understand patient-sharing relationships of  
59 healthcare professionals.<sup>2, 3</sup> Such analyses can deepen understandings of the influences of knowledge exchange,  
60 either formal or informal, on the clinical practice of healthcare providers and identify pathways to improved  
61 quality of care and efficient use of healthcare resources.<sup>4, 5</sup>

62 Previous studies have explored the impact of social networks on the prevention and control of infectious  
63 diseases, including tuberculosis and malaria, and on knowledge diffusion in managing non-communicable  
64 diseases (NCDs) such as diabetes and hypertension.<sup>3, 6-9</sup> Patient-sharing networks among physicians signal formal  
65 and informal exchange of knowledge and information while providing care and can help identify and understand  
66 problems in medication adherence and therapeutic inertia, both of which are essential to managing NCDs.<sup>10-17</sup>  
67 Published studies exploring patient-sharing networks were mainly from developed countries, which found that  
68 network-based interventions are effective methods to reduce healthcare costs and improve the quality of healthcare  
69 services.<sup>18-22</sup> These studies has reported that dispersed physician network connection contributed to fragmentation  
70 of care and increased costs,<sup>18</sup> while the intensive connections improved quality of care and clinical outcomes.<sup>20-22</sup>  
71 The persistence (also known as stability, referring to the continuation of relationships from the previous year into  
72 the next) and strength (the number of shared patients between two physicians) of the patient-sharing relationships  
73 have been identified as important metrics in network-based interventions.<sup>23-25</sup> Persistent patient-sharing  
74 relationships could enable physicians to foster trusting relationship with one another and help to create new  
75 referral loops and are thus facilitative to information exchange and coordinating care, which has been interpreted  
76 through theories of *diffusion of innovation* or *social contagion*.<sup>23, 26, 27</sup> Studies have found that many factors might  
77 affect the persistence ratio of patient-sharing relationships, including tie characteristics, physician specialty,

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2 78 strength, and when such relationships occurred.<sup>18, 24, 28</sup> However, patient-sharing relationships and the effect they  
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5 79 can exert on provider practices can vary across regions with differently structured healthcare systems. It is  
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8 80 uncertain if examining these relationships could be a viable tool in studying health services in developing countries  
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11 81 and how these relationships can vary from developed countries and regions.<sup>24, 27, 29, 30</sup>

12  
13 82 Few studies in low- and middle-income countries have utilized network analysis to understand professional  
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15 83 communication among healthcare providers. Before developing network-based interventions, we need to first  
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18 84 understand the structure and persistence of physicians' patient-sharing networks in developing countries and  
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21 85 identify influencing factors and their mechanism of action.<sup>31</sup> In China, hypertension is one of the most prevalent  
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23 86 NCD, with a high prevalence of 44.7% among adults aged 35-75 years and generally poorly managed.<sup>32</sup> The  
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26 87 management and control of hypertension typically requires collaborative across different healthcare institutional  
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28  
29 88 and healthcare providers, especially between various healthcare levels.<sup>33, 34</sup> Improved hypertension control have  
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31 89 been reported in the well-connected physician professional environment,<sup>35-38</sup> underscoring the significant of  
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34 90 promoting the physician's relationship in hypertension management. The patient-sharing network models were  
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37 91 widely applied and validate methods to depict and measure these relationships among physicians in prior  
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40 92 research.<sup>39, 40</sup> Given the knowledge gap in structure and persistence of physicians' relationships in China, we  
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43 93 conducted a social network analysis to describe the persistence of patient-sharing relationships of physicians  
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46 94 managing patients with hypertension and measure the association between strength and persistence of physicians'  
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49 95 relationship in China, for providing insights for achieving better hypertension care coordination and disease  
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52 96 control.

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## 98 **Methods**

### 99 **Study Design**

100 In line with previous studies, the patient-sharing relationship between physicians (i.e., two or more physicians  
101 providing care to the same patients) was recorded in and identified through reviewing records of outpatient visits,  
102 with the number of the shared patients between physicians representing the strength of the relationship.<sup>24</sup> Patient-  
103 sharing represents exchanges of knowledge among physicians and could therefore be used to assess physicians'  
104 coordination, a clear target for cost-saving and improving the quality of medical care.<sup>41, 42</sup> To understand the  
105 network structure and factors associating with patient-sharing relationships in Yinzhou district of Ningbo, a  
106 coastal city in south-east China, we built a theoretical model of Chinese physicians' professional network based  
107 on patient-sharing relationships.

### 108 **Data Sources**

109 We extracted data from the Yinzhou Health Information System (YHIS), which was established by the local health  
110 department in 2005. Since its inception, the database has achieved registration of over 98% of permanent residents  
111 (approximately 1.3 million) and all healthcare providers (5.8 thousand) in Yinzhou.<sup>43, 44</sup> The system collects and  
112 manages electronic medical records of residents and covered data including general characteristics, prescription,  
113 outpatient visit records. All information stored in the system has been de-identified to safeguard patient privacy,  
114 thus the requirement of informed consent was exempted according to the national legislation and the institutional  
115 requirements. Ethical approval was obtained from the Peking University Institution Review Board  
116 (IRB00001052-22052).

### 117 **Study Population**

118 We extracted all outpatient records from YHIS. Inclusion criteria were: (1) the patient was diagnosed with  
119 hypertension, shown by the corresponding ICD-10 codes (I10, I11, I12, I13, and I15); (2) the patient's

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2 120 hypertension diagnosis was between January 1, 2010, to December 31, 2018; and (3) Primary Care Physicians  
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5 121 (PCPs) or specialists working in secondary and tertiary hospitals who treated adult hypertension patients ( $\geq 18$   
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8 122 years old). Our exclusion criteria were: (1) outpatient records generated from patients not residing in Yinzhou  
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10 123 district; and (2) patient-sharing relationships not occurring in the same year (e.g., a physician provided care to this  
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13 124 patient in a year while the other physician only provided care to this patient in the other year).

## 16 125 **Networks Construction**

19 126 We constructed physician networks by identified relationships between physicians if one patient had visit both of  
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21 127 them within the same year. Specifically, we first constructed the bipartite network composed of physician-patient  
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24 128 connections by extract the outpatient visit records within a year and generated the adjacency matrix of bipartite  
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27 129 network (**Figure 1-a**).<sup>40</sup> Then, we constructed the physician-physician unipartite network by multiplying the  
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29 130 adjacency matrix of bipartite network with its transpose.<sup>45</sup> The elements in the matrix of unimodal network were  
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32 131 the number of patients shared between two physicians, which represented the strength of their relationship (**Figure**  
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34 132 **1-b**). The threshold of network was defined as the minimum strength of patient-sharing relationships needed to  
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37 133 form a physician connection. For instance, "threshold = 2" indicated that two physicians would need to share at  
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40 134 least two patients to be regarded as in a network. In the analysis, we did not apply a fixed threshold; instead, we  
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42 135 tested multiple thresholds from 1 to 9 (range was determined based on previous report and validation) to identify  
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45 136 stable patient-sharing relationships and reduce the impact of incidental connections that have a lower probability  
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47 137 of knowledge exchange.<sup>27, 39</sup>

## 51 138 **Measurements and Covariates**

53 139 The main outcome of the analysis was the persistence of the physician-physician connections in the patient-  
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56 140 sharing network, defined as the physician relationship in a given year continued to exist in the following year.<sup>23</sup>  
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59 141 We used the persistence ratio, which refers to the proportion of remained relationships, to measure the degree of  
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142 persistence. For instance, if ten pairs of patient-sharing relationships were observed in 2008 and eight of them

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2 143 persisted to 2009, the one-year persistence ratio would be 80%. Connections that persisted for two or more years  
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5 144 were seen as long-term relationships that could diffuse knowledge more intensely and thus have a larger effect on  
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8 145 prescribing behaviors of the physicians involved.<sup>29</sup>  
9

10  
11 146 Many factors have been reported the association with persistence of patient-sharing relationships.<sup>24, 29</sup> We  
12  
13 147 included the tie characteristics, physician specialty, strength of the relationship, and when such relationships  
14  
15 148 occurred as covariates in our analysis, based on previous literature, theoretical framework of diffusion, and data  
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17 149 availability. Tie characteristic was a binary variable reflecting whether the patient-sharing relationship happened  
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19 150 within one or across multiple hospitals. A patient-sharing relationship was also classified according to specialties  
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21 151 involved and assigned to a category of the followings: "PCPs- PCPs", "PCPs-Specialists", and "Specialists-  
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23 152 Specialists". The year when patient-sharing relationship occurred was defined as the year when the outpatient visit  
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25 153 (signaling a patient-sharing relationship) happened. Based on previous literature, we assumed that the knowledge  
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27 154 translation and diffusion across years and hospitals could promote coordination of PCPs and specialists and  
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29 155 subsequently improve healthcare system efficiency. Detailed variable selection and definition are reported in  
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37 156 **Table 1.**  
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## 39 40 157 **Statistical Analysis** 41

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43 158 We conducted logistic regression to analyze factors associating with the persistence of patient-sharing  
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45 159 relationships, with the 95% confidence interval (CI) and P-value reported for each odds ratio (OR).<sup>46</sup> We applied  
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47 160 logistic regression rather exponential random graph models (ERGM) as we primarily focused on assessing the  
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49 161 strength and persistence of the physicians' relationships already identified, rather than examining the formation  
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51 162 of the network. Strength  $\in [1, 3)$ , " Tie characteristics " = "no", " Physician specialty " = " PCP - PCP", and the  
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53 163 year of 2010 were set as reference group in the regression. To visualize the structure of the patient-sharing network,  
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55 164 we chose the Fruchterman-Reingold algorithm, a spring-embedder method, to present the physician network, with  
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57 165 two physicians having stronger patient-sharing relationship lying closer in the illustration.<sup>40, 47</sup> In the analysis of  
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1  
2 166 the persistence ratio of patient-sharing relationships, we conducted a sensitivity analysis based on thresholds and  
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5 167 years of persistence of patient-sharing relationships; in the analysis of the factors of patient-sharing relationships,  
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8 168 we conducted sensitivity analyses on the one-, two-, and three-year persistence to test the robustness of the  
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10 169 findings. All analyses were performed using R 4.0.4, a two-sided P value < 0.05 was considered statistically  
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13 170 significant.

## 16 171 **Patient and public involvement**

172 Patients and the public were not involved in this study.

173

## 174 **Results**

### 175 **Study sample**

176 Our final sample included 27,267 hypertensive patients and 3,916 physicians from 42 public healthcare facilities.  
177 The median and range of number of patients, physicians, and public healthcare facilities each year are described  
178 in **Table 2**. Overall, the median of physicians included according to the inclusion criteria was 1572 (Range 1264-  
179 2106) from 2010 to 2018, including 68.1% (54.5%-77.5%) PCPs and 31.9% (22.5-45.5%) specialists. The detailed  
180 number of samples from 2010 to 2018 was reported in **eTable 1**.

### 181 **Structure of patient-sharing network**

182 The patient-sharing network is visualized in **eFigure 1**. Overall, the median number of patient-sharing  
183 relationships (i.e., physician ties) was 67203 (Range 36543-89463) from 2010 to 2018, while the median number  
184 of relationships per physician was 41.7 (28.9-44.4, **Table 3 and eTable 2**). Among these ties, 70.8% (67.3%-  
185 74.1%) happened between physicians from different health care institutions (HCIs) while others were between  
186 physicians from the same HCI. The majority (55.1% [47.9%-60.9%]) of observed connections were between PCPs,  
187 34.0% (30.9%-38.1%) were between PCPs and specialists, and 9.9% (8.2%-14.4%) were between specialists.

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3 188 Most (68.9% [67.9%-75.3%]) observed connections had a strength  $\in [1, 3)$  (i.e., the two physicians had one or  
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5 189 two tie(s)), only 10.7% (9.5%-11.4%), 4.7% (3.6%-5.3%), and 2.7% (2.0%-3.0%) had a strength  $\in [3, 5)$  (i.e.,  
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8 190 the two physicians had 3 or 4 ties), strength  $\in [5, 7)$ , and strength  $\in [7, 9)$ . There are many patient-sharing  
9  
10 191 relationships had a strength  $\geq 9$  (12.5%[9.5, 13.1]). The network characteristics at different thresholds were  
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13 192 reported in the **eTable 3**. Overall, the network diameter from 2010 to 2018 ranged from 6 to 9 for thresholds from  
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15 193 1 to 9; the network density ranged from 0.18 to 0.55 for thresholds from 1 to 9; the clustering coefficient ranged  
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18 194 from 0.33 to 0.45 for thresholds from 1 to 9.

### 195 Persistence of patient-sharing network

196 Changes in the persistence of patient-sharing relationships for different network thresholds are shown in **Figure**  
197 **2**. When threshold equaled 1, relationships seemed random and displayed trends vastly different from those shown  
198 when other thresholds were applied. When threshold was set at 3 (i.e., only patient-sharing relationships with  
199 strength  $\geq 3$  were retained), the physician network demonstrated a gradually downward trend as compared with  
200 when threshold was set at 1. Patient-sharing relationships showed similar patterns when the threshold was set at  
201 3, 5, 7, or 9, with at least 80% of the relationships persisting after one year (Threshold=3: 81.8%, Threshold=5:  
202 85.8%, Threshold=7: 86.9%, and Threshold=9: 87.4%) and at least 60% of the relationships persisting after three  
203 years (Threshold=3: 60.4%, Threshold=5: 64.4%, Threshold=7: 66.1%, and Threshold=9: 66.5%).

### 204 Factors associating with the persistence of patient-sharing network

205 Results of the logistic analysis of the factors associating with the persistence of patient-sharing relationships are  
206 shown in **Table 4**. We found the increase of strength of the relationships was associating with the increase of  
207 persistence of the relationships. Compared with the relationships that had a strength  $\in [1, 3)$ , OR was 3.987 (95%  
208 CI: 3.896-4.08) for relationships with a strength  $\in [3, 5)$  was 3.987 (95% CI: 3.896-4.08), 6.379 (95% CI: 6.147-  
209 6.626) for relationships with strength  $\in [5, 7)$ , and 8.373 (95% CI: 7.941-8.829) for relationships with strength  
210  $\in [7, 9)$ . Physicians from the same HCI were more likely to form connections that persisted at least one year

211 compared with physicians from different HCIs (OR=1.510, 95% CI: 1.480-1.540). PCP-Specialist relationships  
212 and Specialist-Specialist relationships had lower rates of one-year persistence compared with the PCP-PCP  
213 relationships (OR<1, p<0.001).

### 214 Sensitivity analysis of patient-sharing networks

215 We conducted a sensitivity analysis using two-year and three-year ties. Results of the sensitivity analysis  
216 confirmed that the strength of the relationship, tie characteristics, and physician specialty were important factors  
217 associating with the persistence of physician's patient-sharing relationships. (Table 4)

## 219 Discussion

220 This study provides insights into how frequently physicians in China fostered relationships with each other  
221 through delivering care to a shared patient and how strong and persistent these relationships were. We found that  
222 over 80% of physician relationships formed through sharing patients persisted over a year and 60% of these  
223 relationships persisted over three years, a result comparable to results from developed countries.<sup>29</sup> The strength of  
224 the relationship, tie characteristics, and physician specialty were important factors associating with the persistence  
225 of the physician's patient-sharing relationships. To our knowledge, this study is the first to describe the structure  
226 and influencing factors of the patient-sharing network among physicians engaged in the management of NCDs in  
227 a developing country. These findings suggest that physicians' patient-sharing networks can serve as a stable and  
228 viable target for future studies or interventions to promote care coordination and knowledge diffusion in China.

229 We observed that physician relationships were more persistent as the relationship threshold increased, which  
230 aligned with observations from previous studies.<sup>23, 39</sup> This suggests that physicians with more shared patients may  
231 be more likely to form a more stable professional relationship and demonstrate a great relationship persistence,  
232 thereby exerting profound influence on knowledge diffusion within the network.<sup>24</sup> Enhanced knowledge diffusion  
233 and information exchange strengthen the quality and coordination of healthcare services, as evidenced by reduced

1  
2 234 emergency room visits and lower medical costs for patients treated by physicians persistent connection.<sup>29, 48</sup> This  
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4  
5 235 effect may also extend across physicians from different hospitals, to produce desirable patient outcomes,  
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7  
8 236 including lowered odds of readmissions and adverse events.<sup>39, 49-51</sup> Therefore, it is feasible to improve the  
9  
10 237 relationship strength and improve healthcare quality by fostering physicians' professional network and promoting  
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13 238 regular physician communication among providers.

15 239 In middle-income countries like China, the burden of cardiovascular diseases were more inequitably  
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18 240 distributed than high-income countries, which is often exacerbated by a severe under-resource of personnel with  
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21 241 expertise in and infrastructure supporting the management of cardiovascular diseases.<sup>16</sup> Developing interventions  
22  
23 242 that utilize patient-sharing networks already present in physicians' day-to-day practices and that strengthen the  
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26 243 hierarchical medical system can be an effective and cost-saving approach to influence physicians' behaviors,  
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28  
29 244 improve their coordination, and promote patient outcomes. As patient-sharing relationships formed among  
30  
31 245 physicians of different HCIs can diffuse knowledge and influence their prescribing behavior, they can be utilized  
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33  
34 246 to promote the optimization of patient treatment plans to reduce patient burden and improve clinical outcomes in  
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36 247 managing NCDs like hypertension.<sup>13, 18, 48</sup>

39 248 Our study confirmed that physicians both from primary care facilities were more likely to form and keep  
40  
41 249 patient-sharing relationships, a result similar to a previous study.<sup>52</sup> The finding implied the less cohesive care  
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43  
44 250 coordination across different level of facilities in Chinese healthcare system,<sup>53</sup> may lead to suboptimal care  
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46  
47 251 continuity and disease control.<sup>54</sup> These relationships among physicians across different level of HCIs and  
48  
49 252 specialties could lend insight into the barriers and promoters of an efficient healthcare system.<sup>55</sup> They are  
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51  
52 253 conducive to the knowledge diffusion beyond one single HCI, which has the potential to spread clinical treatment  
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54 254 experience to improve quality of care in primary health care facilities, where physicians normally have less clinical  
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57 255 capacity.<sup>18</sup> This is supported by a previous study which found that high value of network statistics, reflecting  
58  
59 256 global connectivity, is beneficial to medical cost savings.<sup>30</sup> In contrast, hospitals with greater dispersion were

1  
2 257 associated with greater rates of readmission and lower rates of emergency department throughput.<sup>30, 56</sup> Patient-  
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5 258 sharing relationships among physicians can be a clear target to develop network-based interventions to curb these  
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8 259 unfavorable outcomes.

9  
10 260 The Chinese government has launched a hierarchical medical system policy in 2014, aiming to alter patients'  
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12 261 healthcare-seeking behaviors. After its implementation, most patients are expected to first visit primary care  
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14 262 facility, which ideally should be the same facility to ensure consistency in care. This redirection of patient flow to  
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16 263 primary care facilities may cause PCPs to share patients more frequently, and thus more physicians could have  
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18 264 more possibility to form more strength patient-sharing relationships.<sup>54</sup> We also found that the proportion of  
19  
20 265 patient-sharing relationships formed between physicians practicing at the same HCI was lower than that reported  
21  
22 266 in developed countries.<sup>40, 57, 58</sup> This may imply that HCIs in China have not established a harmonized patient  
23  
24 267 referral system and thus patients frequently move between HCIs when certain needs remained unmet, which  
25  
26 268 helped to form physician connections across HCIs.<sup>59</sup> A previous study has found that PCPs has a central role in  
27  
28 269 managing chronic diseases and a hierarchical medical system can leverage the management of NCDs.<sup>42</sup> Our  
29  
30 270 another study has confirmed in the increased persistence of patient-sharing relationships cross different healthcare  
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32 271 levels since 2015, when the hierarchical medical system policy was implemented in China. which attributed to  
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34 272 the policy's promotion of primary care physician's centrality in disease management.<sup>54</sup>

35  
36 273 There are several limitations to this study. First, we established the social network of physicians based on a  
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38 274 database from a single district in China, thus our result may not be generalized to other areas in China with  
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40 275 different physician network structures. Additionally, we were unable to observe the actual patient flow and the  
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42 276 dynamics of these relationships from the retrospective data. A fuller landscape of the impact of knowledge  
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44 277 diffusion through these relationships on physicians' prescribing behaviors may only be gained through conducting  
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46 278 qualitative studies in the future. Second, we limited the disease area to hypertension to represent the characteristics  
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48 279 of the patient-sharing network of physicians managing NCDs. However, physician networks may be affected by

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3 280 differences in chronic diseases, such as patient characteristics, which were unable to incorporate in this study. For  
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5 281 instance, the differences in severity of the disease or co-morbidities may lead to distinct patient visiting pattern,  
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8 282 thus our results should be interpreted within the specific context. Third, we only constructing network using the  
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10 283 one-year time frame to identifying physicians' relationship. Though there was study observing that shorter time  
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12 284 frames do not significantly affect the results,<sup>60</sup> it is possible that the results could be different if we change the  
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14 285 time frames in our setting. Future research should consider constructing networks over different time frames as  
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16 286 data permit. Forth, our association analysis may subject to unmeasured confounding bias since we failed to include  
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18 287 additional physician factors potentially associating with the persistence. For instance, factors such as physicians'  
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20 288 practicing department, years in practice, or professional title were not included, whereas it is possible that  
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22 289 physicians may be more likely to establish connections with others who shared similar characteristics.<sup>61</sup> Future  
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24 290 research should consider incorporating relevant factors more comprehensively or applied methods such as  
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26 291 instrumental variables to effectively control for potential confounding. Last, we have not examined the  
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28 292 relationship between the persistence of physician relationships and patient health outcomes, which holds greater  
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30 293 clinical and policy relevance. Future research should focus on this topic to offer novel insights for healthcare  
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32 294 policy and practice, especially on leveraging physicians' social networks to improve healthcare delivery.  
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## 42 295 **Conclusions**

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45 296 We found that physicians frequently formed relationships with other physicians through sharing patients in  
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47 297 Yinzhou, China. These relationships showed similar rates of persistence to studies conducted in developed  
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49 298 countries, where network-based interventions have been proven effective in curbing some problems in healthcare  
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51 299 delivery and patient outcomes. Future research and interventions to promote care coordination and knowledge  
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53 300 diffusion can leverage these naturally occurring relationships and seek to understand mechanisms through which  
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55 301 they can exert influences on healthcare providers' practices and coordination with one another.  
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## 303 **Declarations**

## 304 **Ethics approval and consent to participate**

305 Ethical approval was obtained from the Peking University Medical Ethics Committee (IRB00001052–22052).

306 Requirement of informed consent for participation was exempted for this study according to the national  
307 legislation and the institutional requirements.

## 308 **Authors' contributions**

309 **Zhiwen Gong**: Software, Formal analysis, Writing- Original draft preparation; **Ruilin Wang**: Software, Formal  
310 analysis, Writing- Original draft preparation; **Huajie Hu**: Data Curation, Software, Formal analysis, Writing-  
311 Original draft preparation; **Tao Huang**: Data Curation, Validation, Writing - Review & Editing; **Huangqianyu**  
312 **Li**: Writing - Review & Editing; **Sheng Han**: Conceptualization, Supervision, Writing - Review & Editing;  
313 **Luwen Shi**: Conceptualization, Supervision, Writing - Review & Editing; **Xiaodong Guan**: Conceptualization,  
314 Supervision, Writing - Review & Editing, Funding acquisition.

315 All authors gave final approval of the version to be published, and agreed to be accountable for all aspects of the  
316 work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately.

317 Guarantor: **Xiaodong Guan**

## 318 **Conflicts of Interest**

319 The authors declare that they have no competing interests.

## 320 **Abbreviations**

321 YHIS: Yinzhou Health Information System

322 NCDs: non-communicable diseases

323 PCPs: Primary Care Physicians

324 CI: confidence interval

1  
2 325 OR: odds ratio

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5 326 HCIs: Health Care Institutions

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472 **Table 1.** Variable selection and definition in the analysis of patient-sharing relationship in Yinzhou

Variable	Definition	Meaning
Persistence ratio	The proportion of the patient-sharing relationships in a given year that continue to exist in the following year.	Reflecting long-term relationships across years, and the professional knowledge may diffuse through the network and have an effect on the physicians' prescription behavior.
Relationship strength	The number of the sharing patients between physicians.	Representing a more stable patient-sharing relationship, higher persistence ratio and long-term patient-sharing relationships have positive effects on knowledge diffusion in the network.
Hospital characteristics	Whether the related physicians of patient-sharing relationship from the same hospital.	The patient-sharing relationships among different physician specialties are conducive to the diffusion of knowledge in a certain geographical area, helpful to the update of clinical treatment experience.
Physician specialty	The specialty of the related physicians of patient-sharing relationship.	Regions with higher persistent ties tended to have higher rates of emergency medical visits, and regions where PCPs had more physician connections were more likely to have higher emergency medical visits.
Relationship occurrence year	The year of the visit when the physician-sharing relationship happened.	The impact of occurrence year on the patient-sharing relationships could help to understand the trend and other influencing factors on the patient-sharing relationships (e.g., policy factors etc.) could help to explore and assess the network-based interventions.

474 **Table 2.** Number of sampled patient and physicians constructed physician network for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

Number of samples	Median	Range
<b>Number of patients</b>	129021	(77063, 181997)
<b>Number of hospitals</b>		
Total	29	(25, 41)
Primary care facilities	25	(22, 33)
Secondary hospitals	1	(1, 3)
Tertiary hospitals	3	(2, 5)
<b>Number of physicians</b>		
Total	1572	(1264, 2106)
Primary care facilities	1070	(948, 1287)
Secondary hospitals	77	(54, 153)
Tertiary hospitals	440	(230, 703)

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476 **Table 3.** Characteristics of patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

Number of observed connections	Median	Range
<b>Total</b>	67203	(36543, 89463)
<b>Hospital</b>		
Not in same hospital	47896	(24587, 64089)
In same hospital	19307	(11956, 25374)
<b>Physician specialty</b>		
PCP - PCP	37182	(22272, 47477)
PCP – Specialist	22877	(11285, 30093)
Specialist - Specialist	6534	(2986, 11893)
<b>Strength of connections</b>		
[1,3)	46330	(24871, 67378)
[3,5)	7073	(4101, 8484)
[5,7)	3099	(1937, 3292)
[7,9)	1817	(1054, 2002)
≥9	8339	(4580, 9187)

477 (Note) PCP: Primary Care Physicians.

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**Table 4.** Logistic regression of persistent ties for patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

	One-year persistent ties		Two-year persistent ties		Three-year persistent ties	
	OR	95% CI	OR	95% CI	OR	95% CI
<b>Strength</b>						
[1,3)	Reference	-	Reference	-	Reference	-
[3,5)	3.987 ***	(3.896, 4.08)	3.203 ***	(3.13, 3.274)	2.790 ***	(2.724, 2.858)
[5,7)	6.379 ***	(6.147, 6.626)	4.716 ***	(4.563, 4.874)	3.796 ***	(3.669, 3.924)
[7,9)	8.373 ***	(7.941, 8.829)	6.019 ***	(5.755, 6.29)	4.614 ***	(4.424, 4.816)
≥9	11.965 ***	(11.6, 12.354)	8.290 ***	(8.077, 8.508)	6.184 ***	(6.032, 6.341)
<b>Hospital characteristics</b>						
Not in the same hospital	Reference	-	Reference	-	Reference	-
Same hospital	1.510 ***	(1.48, 1.54)	1.402 ***	(1.373, 1.43)	1.358 ***	(1.33, 1.385)
<b>Physician specialty</b>						
PCP - PCP	Reference	-	Reference	-	Reference	-
PCP – Specialist	0.876 ***	(0.862, 0.891)	0.693 ***	(0.68, 0.705)	0.555 ***	(0.544, 0.567)
Specialist - Specialist	0.566 ***	(0.55, 0.582)	0.463 ***	(0.45, 0.476)	0.384 ***	(0.373, 0.396)
<b>Year</b>						
2010	Reference	-	Reference	-	Reference	-
2011	0.803 ***	(0.779, 0.826)	0.854 ***	(0.829, 0.879)	0.937 ***	(0.909, 0.965)
2012	0.826 ***	(0.803, 0.85)	0.914 ***	(0.888, 0.94)	1.035 ***	(1.005, 1.065)
2013	0.791 ***	(0.768, 0.813)	0.905 ***	(0.88, 0.931)	0.696 ***	(0.676, 0.716)
2014	0.811 ***	(0.789, 0.834)	0.599 ***	(0.583, 0.617)	0.429 ***	(0.416, 0.442)
2015	0.510 ***	(0.496, 0.524)	0.379 ***	(0.368, 0.39)	0.221 ***	(0.214, 0.228)

(Note) PCP: Primary Care Physicians. One-year persistent ties represent ties that generating in one year and persist in the next year, two-year represents persisting in next two years, and three-year represents persisting in next three years, when considering the three-year persistent ties, the ties generating in 2015 that persists in 2018, therefore the year of 2016, 2017, and 2018 were unobservable.

\*\*\* p<0.001, \*\* p<0.01, \* p<0.05

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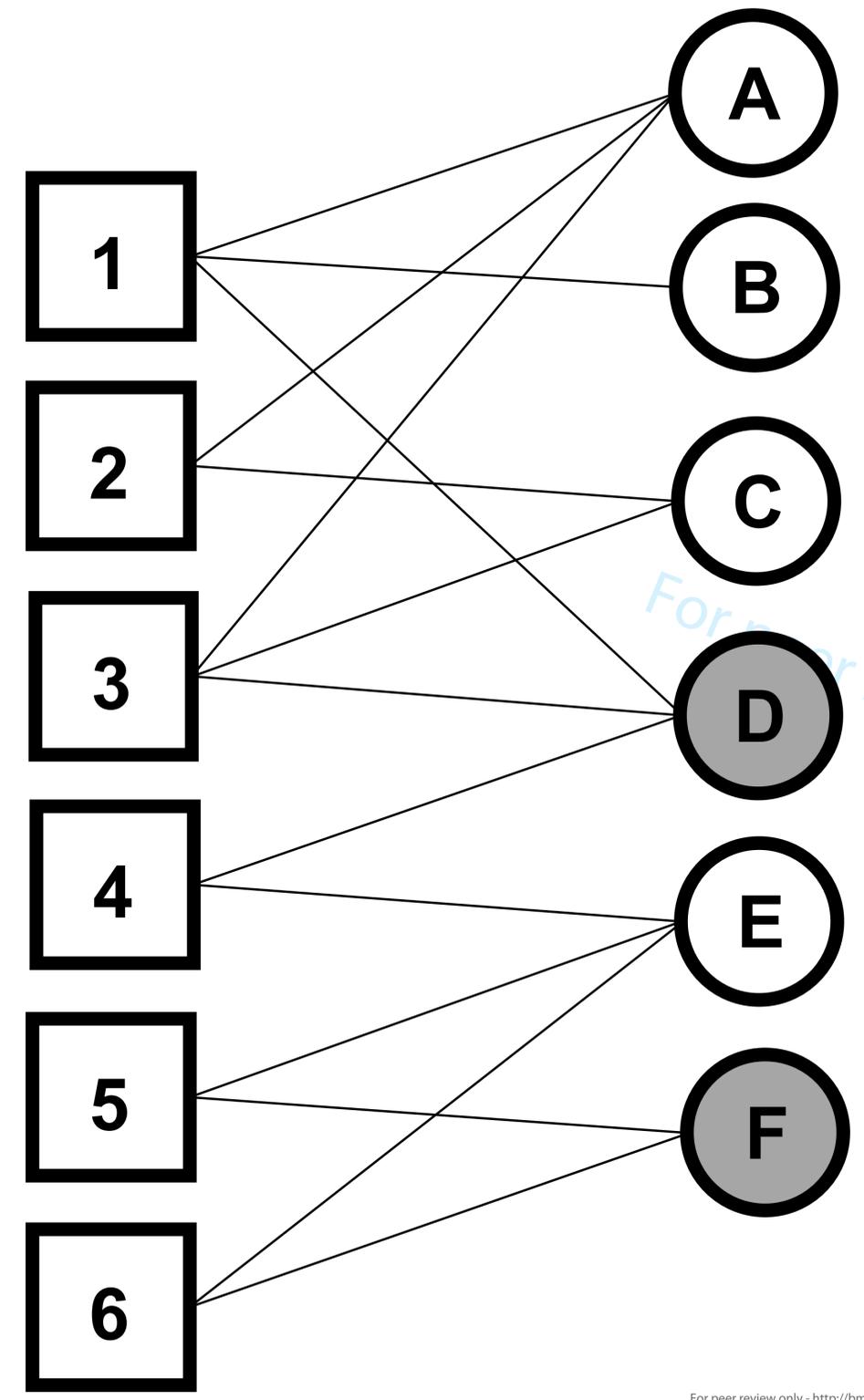
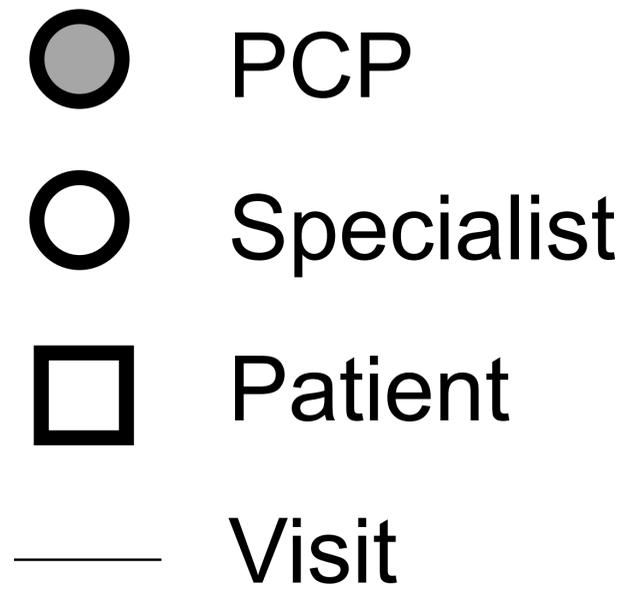
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**Figure 1.** Schematic diagram of the patient-sharing relationships

(Note) PCP: Primary Care Physicians. Node: physicians in the network; Tie: the connections between physicians in patients-sharing relationships; Strength: the weight of the ties between the two nodes, refers to the number in patients-sharing relationships.

**Figure 2.** The proportion of persistent ties for patient-sharing relationships for medication therapy management of hypertension patients in Yinzhou from 2010-2018

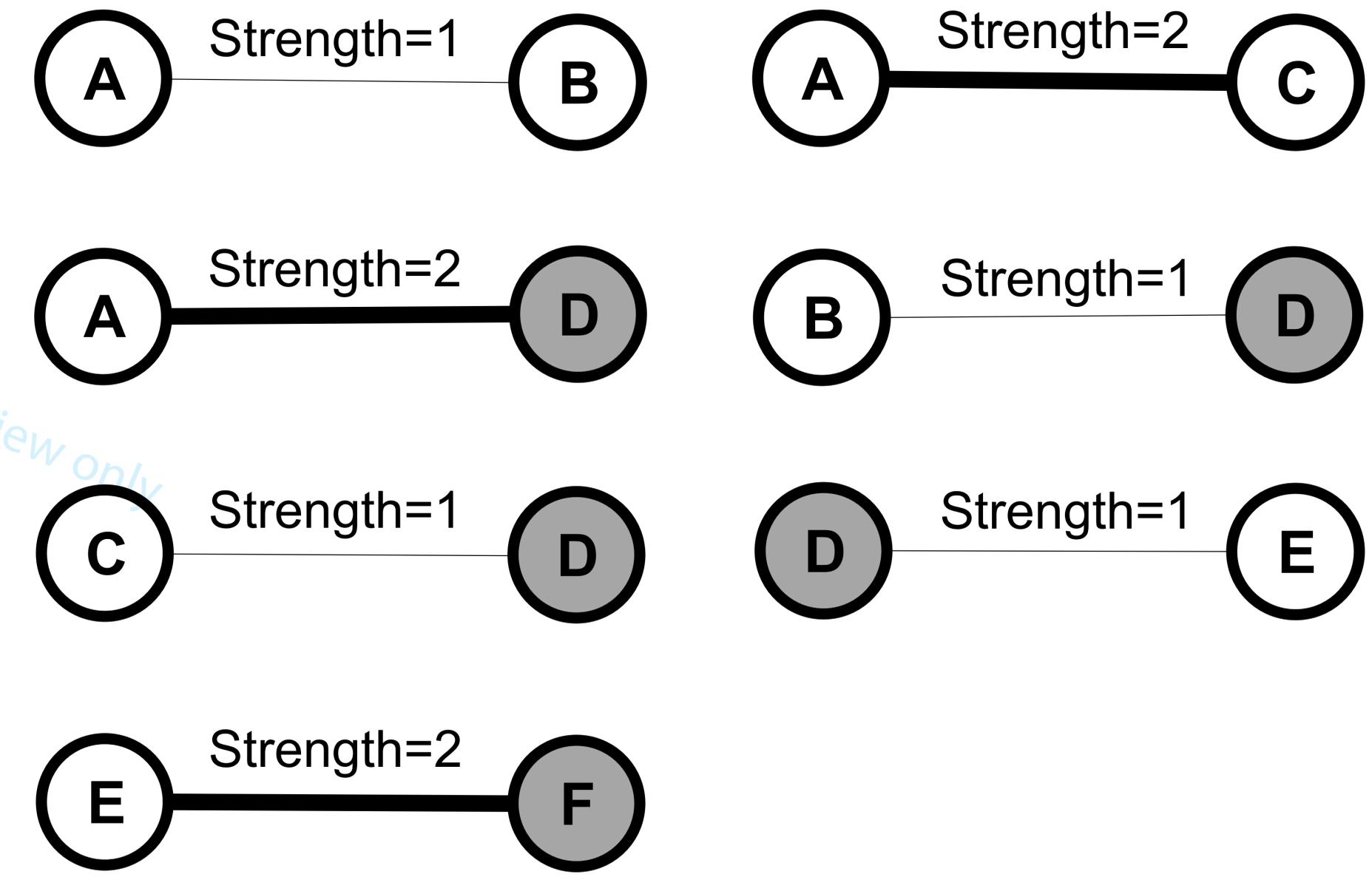
(Note) Part (a)-(h) represents the proportion of persistent ties generating in 2010-2018, respectively.



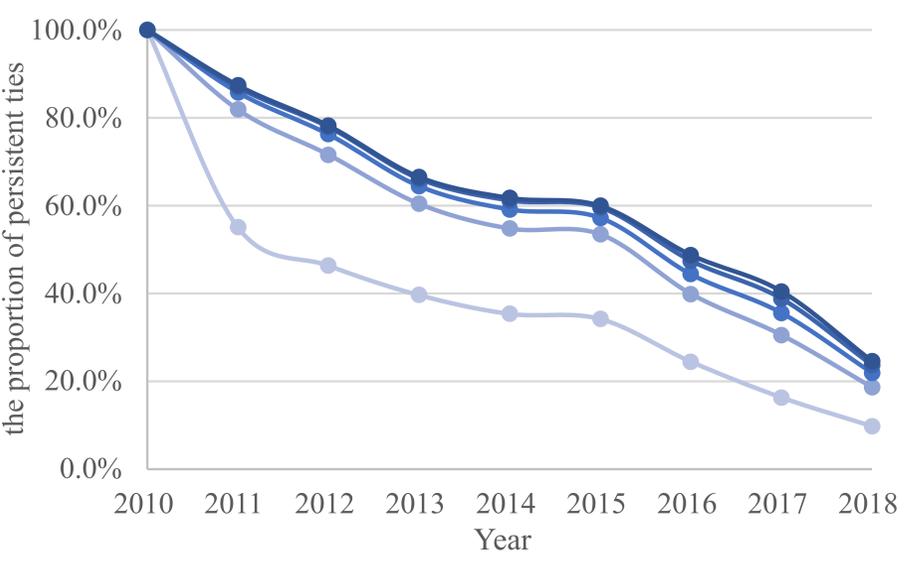
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(a) Patient visits

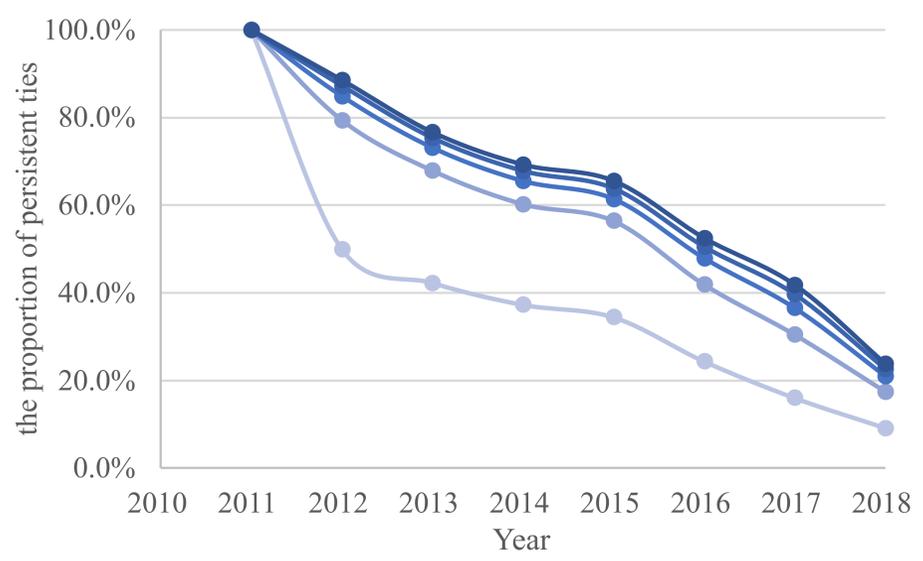
— Patient-sharing relationship



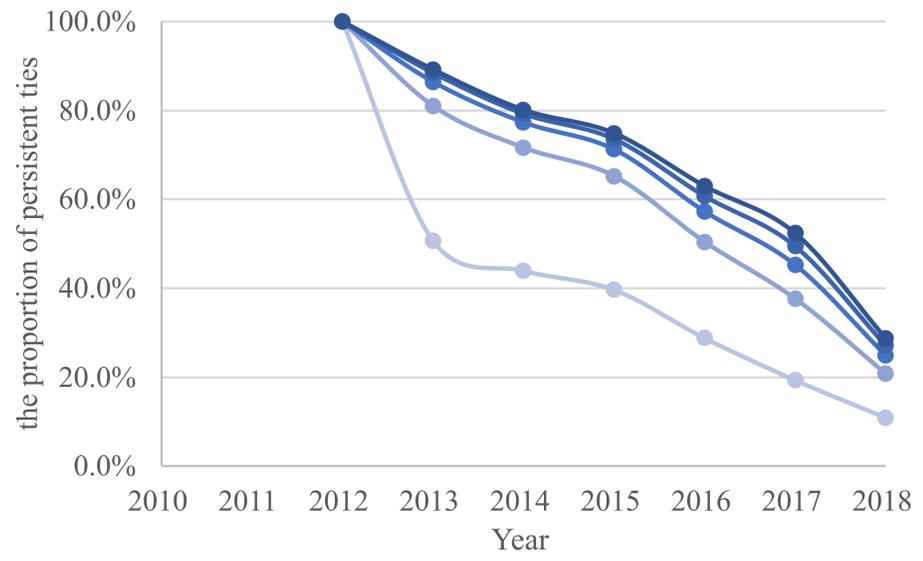
(b) Patient-sharing relationships



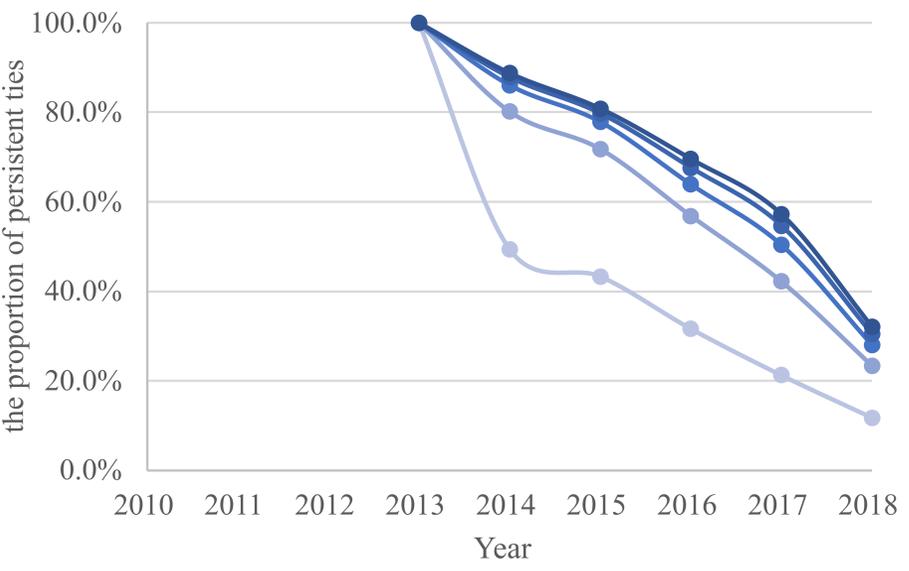
(a) Patient-sharing relationships in 2010



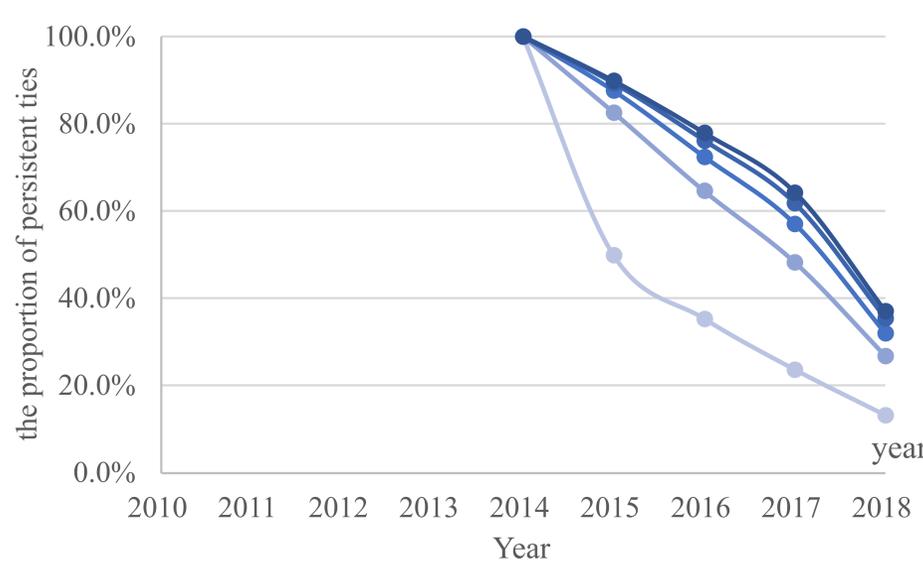
(b) Patient-sharing relationships in 2011



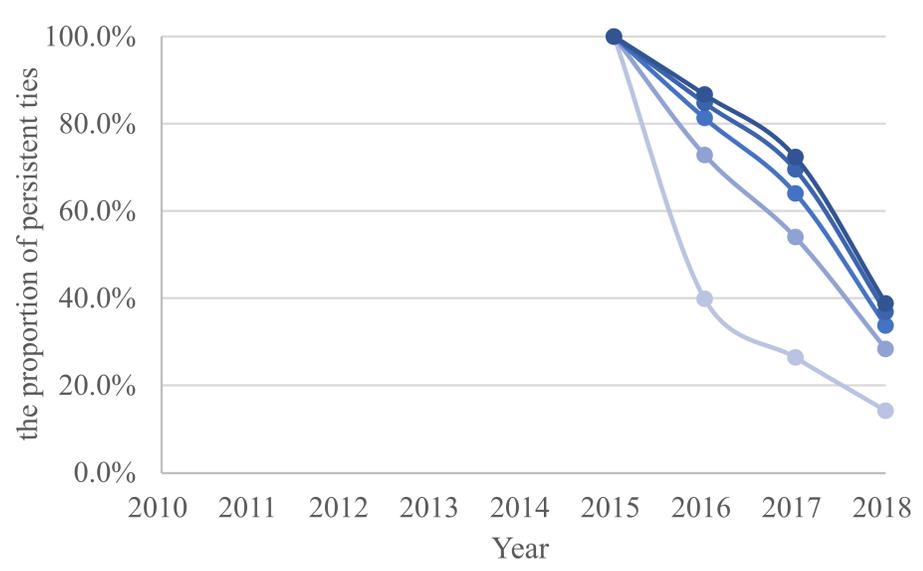
(c) Patient-sharing relationships in 2012



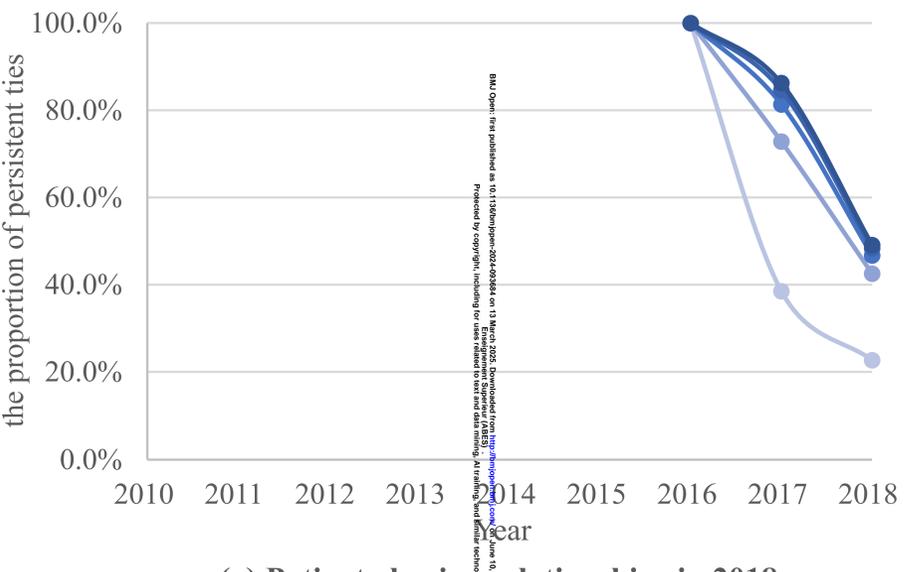
(d) Patient-sharing relationships in 2013



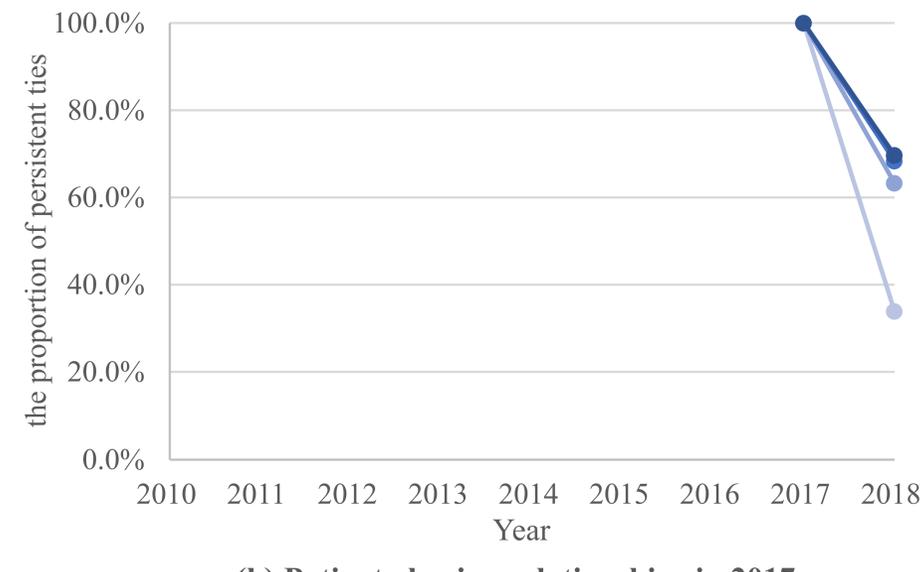
(e) Patient-sharing relationships in 2014



(f) Patient-sharing relationships in 2015

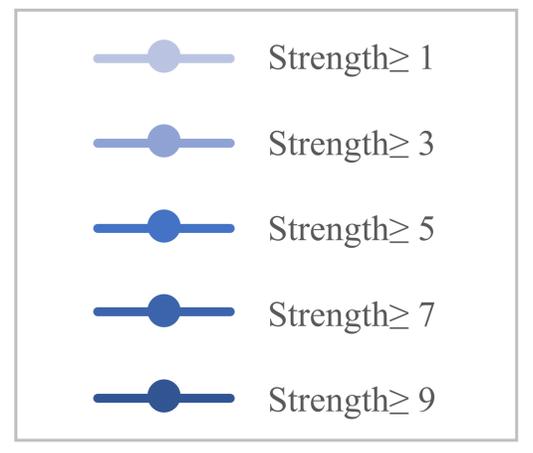


(g) Patient-sharing relationships in 2018



(h) Patient-sharing relationships in 2017

Strength of Patient-sharing relationships

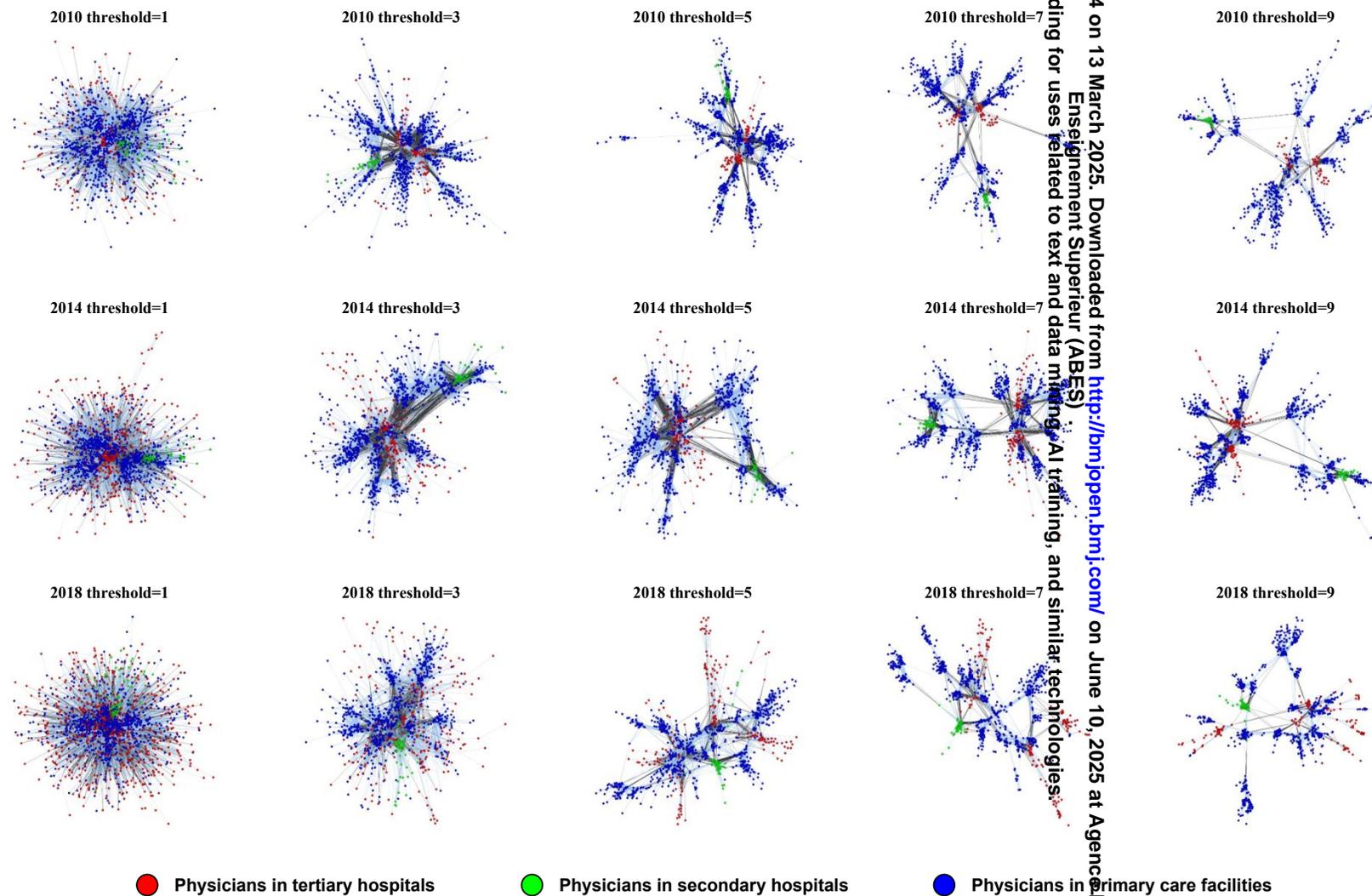


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## Supplementary Materials

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**eFigure 1.** Structure of physician network for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

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**eTable 1.** Number of sampled patient and physicians constructed physician network for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

Number of samples	Year								
	2010	2011	2012	2013	2014	2015	2016	2017	2018
<b>Number of patients</b>	77063	97187	110507	119920	129021	135957	150239	181997	137582
<b>Number of hospitals</b>									
Total	25	26	28	28	29	29	31	41	33
Primary care facilities	22	23	25	25	25	25	26	33	26
Secondary hospitals	1	1	1	1	1	1	2	3	2
Tertiary hospitals	2	2	2	2	3	3	3	5	5
<b>Number of physicians</b>									
Total	1264	1384	1475	1514	1572	1679	1898	2106	1739
Primary care facilities	980	1024	1084	1072	1070	1089	1060	1287	948
Secondary hospitals	54	59	68	77	62	90	153	145	88
Tertiary hospitals	230	301	323	365	440	500	685	674	703

**eTable 2.** Characteristics of patient-sharing relationships for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

Number of observed connections	Year								
	2010	2011	2012	2013	2014	2015	2016	2017	2018
<b>Total</b>	36543	55934	61509	66206	67203	74547	79029	89463	68610
<b>Hospital</b>									
Not in same hospital	24587	39022	43211	46304	47896	53838	55977	64089	50851
In same hospital	11956	16912	18298	19902	19307	20709	23052	25374	17759
<b>Physician specialty</b>									
PCP - PCP	22272	30816	35422	37182	38386	38400	37818	47477	36571
PCP – Specialist	11285	19599	20703	22490	22877	28388	29807	30093	23805
Specialist - Specialist	2986	5519	5384	6534	5940	7759	11404	11893	8234
<b>Strength of connections</b>									
[1,3)	24871	38008	41785	45376	46330	52116	57503	67378	51649
[3,5)	4101	6398	6953	7324	7073	7988	7470	8484	6594
[5,7)	1937	2904	3028	3284	3176	3233	3099	3292	2481
[7,9)	1054	1652	1826	1883	1830	2000	1795	1817	1370
≥9	4580	6972	7917	8339	8794	9188	9162	8492	6516

(Note) PCP: Primary Care Physicians.

**eTable 3.** Network characteristics of physician network for medication therapy management of hypertensive patients in Yinzhou from 2010-2018

Network Characteristics	Network threshold	Year								
		2010	2011	2012	2013	2014	2015	2016	2017	2018
<b>Number of nodes (physicians)</b>	1	1264	1384	1475	1514	1572	1679	1898	2106	1739
	3	974	1089	1161	1183	1167	1240	1298	1477	1114
	5	885	1008	1076	1093	1053	1088	1168	1314	976
	7	827	960	1020	1035	997	1045	1099	1231	908
	9	780	929	967	987	955	1000	1057	1166	858
<b>Number of ties (physicians' connection)</b>	1	36543	55934	61509	66206	67203	70441	79029	89463	68610
	3	11672	17926	19724	20830	20873	22111	21526	22085	16961
	5	7571	11528	12771	13506	13800	14223	14056	13601	10367
	7	5634	8624	9743	10222	10624	10899	10957	10309	7886
	9	4580	6972	7917	8339	8794	8987	9162	8492	6516
<b>Network diameter</b>	1	5	5	5	5	6		5	6	6
	3	6	6	6	6	6		7	6	7
	5	7	7	7	7	6		7	10	8
	7	9	8	8	9	7		7	15	10
	9	9	10	10	9	9		9	9	11
<b>Network density</b>	1	0.049	0.060	0.057	0.058	0.055	0.055	0.044	0.041	0.046
	3	0.027	0.031	0.030	0.030	0.031	0.030	0.026	0.020	0.028
	5	0.022	0.024	0.023	0.023	0.025	0.025	0.021	0.016	0.023
	7	0.019	0.020	0.020	0.019	0.022	0.021	0.018	0.014	0.021
	9	0.020	0.017	0.018	0.018	0.020	0.020	0.017	0.019	0.019
<b>Clustering coefficient (transitivity)</b>	1	0.330	0.335	0.333	0.331	0.328	0.325	0.304	0.293	0.321
	3	0.360	0.354	0.363	0.363	0.366	0.376	0.384	0.377	0.393
	5	0.389	0.368	0.388	0.390	0.402	0.413	0.451	0.443	0.471
	7	0.425	0.391	0.409	0.413	0.428	0.439	0.484	0.484	0.525
	9	0.447	0.415	0.436	0.442	0.448	0.457	0.508	0.507	0.560

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