# BMJ OpenRole of Gut Microbe Composition in<br/>Psychosocial Symptom Response to<br/>Exercise Training in Breast Cancer<br/>Survivors (ROME) study: protocol for a<br/>randomised controlled trial

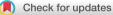
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# ABSTRACT

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Correspondence to Dr Laura Q Rogers; Iqrogers@uabmc.edu **Introduction** Breast cancer survivors have an increased risk for chronic fatigue and altered gut microbiota composition, both with negative health and quality of life affects. Exercise modestly improves fatigue and is linked to gut microbial diversity and production of beneficial metabolites. Studies suggest that gut microbiota composition is a potential mechanism underlying fatigue response to exercise. Randomised controlled trials testing the effects of exercise on the gut microbiome are limited and there is a scarcity of findings specific to breast cancer survivors. The objective of this study is to determine if fitness-related modifications to gut microbiota occur and, if so, mediate the effects of aerobic exercise on fatigue response.

Methods and analysis The research is a randomised controlled trial among breast cancer survivors aged 18-74 with fatigue. The primary aim is to determine the effects of aerobic exercise training compared with an attention control on gut microbiota composition. The secondary study aims are to test if exercise training (1) affects the gut microbiota composition directly and/or indirectly through inflammation (serum cytokines), autonomic nervous system (heart rate variability) or hypothalamicpituitary-adrenal axis mediators (hair cortisol assays), and (2) effects on fatigue are direct and/or indirect through changes in the gut microbiota composition. All participants receive a standardised controlled diet. Assessments occur at baseline, 5 weeks, 10 weeks and 15 weeks (5 weeks post intervention completion). Faecal samples collect the aut microbiome and 16S gene sequencing will identify the microbiome. Fatigue is measured by a 13-item multidimensional fatigue scale.

Ethics and dissemination The University of Alabama at Birmingham Institutional Review Board (IRB) approved this study on 15 May 2019, UAB IRB#30000320. A Data and Safety Monitoring Board convenes annually or more often if indicated. Findings will be disseminated in peerreviewed journals and conference presentations. **Trial registration number** ClinicalTrials.gov, NCT04088708.

# STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ This study is one of the very few randomised controlled trials testing the effects of exercise on the gut microbiome, especially in cancer survivors experiencing fatigue.
- ⇒ A standardised, energy-balanced diet reduces diet and body weight induced variance on gut microbiota yet no prior randomised exercise and gut microbiome study has provided the same diet for all participants, as being done in our study.
- ⇒ This study seeks to understand the mechanistic links (inflammation, autonomic nervous system or hypothalamic-pituitary-adrenal axis mediators) between exercise and the gut microbiome, and determine if the benefits of exercise on fatigue are directly and/or indirectly related to changes in the gut microbiota composition.
- ⇒ Although assessors are masked to study group allocation and a standard attention control condition is used, the intervention precludes participant masking to exercise type.

# INTRODUCTION

Nearly 8 million individuals worldwide **initial** technologies are living with a history of breast cancer.<sup>1 2</sup> Breast cancer survivors are at increased risk of altered gut microbiota composition (ie, dysbiosis) that may worsen future cancer risk, comorbidities and quality of life.<sup>3</sup> Factors that may contribute to the persistent gut microbiota composition changes include reduced physical activity and aerobic fitness, and detrimental changes in body composition after breast cancer diagnosis.<sup>4–7</sup> Given its importance on health and well-being,<sup>8–12</sup> strategies for reversing gut microbiota dysbiosis are needed, especially in breast cancer survivors.

While elucidating gut microbiota dysbiosis in breast cancer survivors remains imperative,



it is relevant that the gut microbiome is associated with fatigue in breast cancer survivors<sup>13</sup> and survivors rank fatigue as the number one priority related to quality of life.<sup>14</sup> Additionally, breast cancer survivors are more likely to report fatigue than their age-matched controls<sup>15</sup> and one in four suffer persistent fatigue years after their cancer diagnosis,16 which exacerbates post-cancer disability and reduces the quality of life.<sup>17 18</sup> Furthermore, fatigue is associated with a greater risk of cancer recurrence and mortality.<sup>19</sup> Interestingly, the benefits of supervised exercise for breast cancer survivors extend beyond the expected improvements in cardiometabolic parameters to include improvements in fatigue and other domains of quality of life.<sup>20</sup> As we (and others) have reported, exercise is a well-established non-pharmacological therapy for fatigue, vet its effects are somewhat modest (weighted effect size of 0.30 in a recent meta-analysis).<sup>21-24</sup> Hence, elucidating mechanisms underlying fatigue response is needed to optimise fatigue reductions for non-responders and increase effect sizes achievable with exercise.<sup>24-27</sup> Moreover, our prior work and that of others suggest that gut microbiota composition is one such mechanism, but further research is needed.<sup>13 28</sup>

Exercise training also presents as a promising strategy for reversing dysbiosis as it is linked to gut microbial diversity, abundance of select microbes and production of beneficial metabolites (eg, acetate, butyrate, propionate), although, these phenomena are currently limited to animal models or cross-sectional  $^{29-36}$  and non-randomised prospective human studies.<sup>37</sup> Randomised controlled trials testing the effects of exercise on the gut microbiome are limited<sup>38</sup> and there is a scarcity of findings specific to breast cancer survivors.<sup>7</sup> One randomised controlled trial in healthy overweight and obese individuals found vigorous-intensity exercise training was associated with increased microbe diversity.<sup>38</sup> To support the importance of intensity in exercise training, we recently showed in breast cancer survivors, cardiorespiratory fitness was a better correlate of gut microbe diversity compared with free-living activity energy expenditure.<sup>7</sup> It is unknown if the modulation of the microbiota by exercise occurs solely through direct means such as alterations to colonic transit time,  $^{39}_{39}$   $^{40}$  or indirectly through inflammation,  $^{41-43}_{41-43}$  autonomic nervous system,  $^{44}_{45}$  or hypothalamic-pituitary-adrenal (HPA) axis.  $^{46-48}_{49}$  Additionally other lifestyle interventions such diet<sup>49</sup> and body weight changes<sup>50</sup> independently affect the gut microbiota, making controls for these variables critical in exercise trials. Rigorously testing the dysbiosis-exercise link while also exploring the bidirectional gut-brain axis pathways responsible for exercise effects<sup>51 52</sup> can inform future exercise recommendations and multimodal interventions to counter the adverse effects of gut dysbiosis.

Given the potential benefits of exercise training on the gut microbiome and fatigue, a better understanding of their relationships in response to an exercise intervention among breast cancer survivors is warranted. Herein, we describe our ongoing randomised controlled trial testing

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Aim	Outcome of interest	Outcome measure	
	Gut microbiota composition assessed by 16S rRNA.	Diversity comparisons: ► α-diversity. ► β-diversity. Taxa comparisons	
<b>Aim 2a:</b> to test if exercise training affects the gut microbiota composition directly and/or indirectly through inflammation, autonomic nervous system or hypothalamic-pituitary-adrenal (HPA) axis mediators.	Inflammation.	Serum cytokines: ▶ interleukin (IL)-6. ▶ IL-10.	
	Autonomic nervous system.	<ul> <li>Heart rate variability:</li> <li>Low frequency, high frequency and low:high frequency ratio.</li> <li>root mean square of successive R-wave interval differences.</li> </ul>	
	HPA axis.	Hair cortisol.	
<b>Aim 2b:</b> to test if the exercise training effect on fatigue is direct and/or indirect through changes in the gut microbiota composition.	Gut microbiota composition assessed by 16S rRNA.	Diversity comparisons: ► α-diversity. ► β-diversity. Taxa comparisons	
	Fatigue.	13-item multidimensional fatigue scale Fatigue Symptom Inventory.	

inflammation, autonomic nervous system and HPA axis)<sup>48 61-66</sup> supports testing these potential mechanistic links in breast cancer survivors with fatigue. Thus, the overall mechanistic framework for our trial depicted in figure 1 can be applied to potentially optimising exercise interventions for the treatment of fatigue.

## Study overview and eligibility criteria

This two-arm, parallel group-controlled trial is randomising breast cancer survivors to 10 weeks of supervised aerobic exercise training or standard attention control (flexibility/toning) while on a controlled feeding diet. The trial is taking place at the University of Alabama at Birmingham (UAB) in Birmingham, Alabama, USA.

Participant enrolment commenced 1 January 2020, was paused between March 2020 and August 2020 due to the COVID-19 pandemic, and is projected to end 1 January 2025. Institutional Review Board (IRB) approval has been obtained and all participants provide informed consent prior to participation (online supplemental materials land 2). Assessments occur at baseline and then at 5, 10 and 15 weeks. A study schema is provided in figure 2 and an overview of participants' activities is provided in table 2. An electronic study manual of procedures is kept on a shared, Health Insurance Portability and Accountability Act (HIPAA)-compliant cloud server accessible to all study staff.

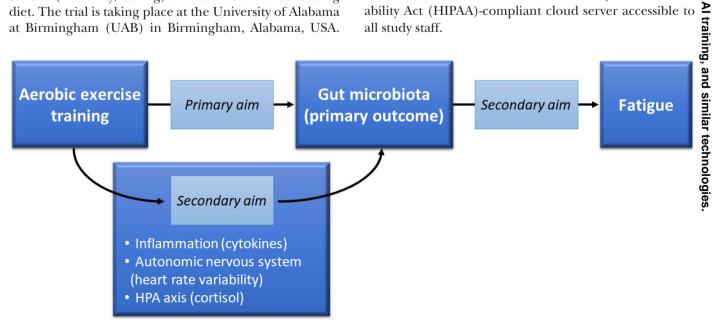
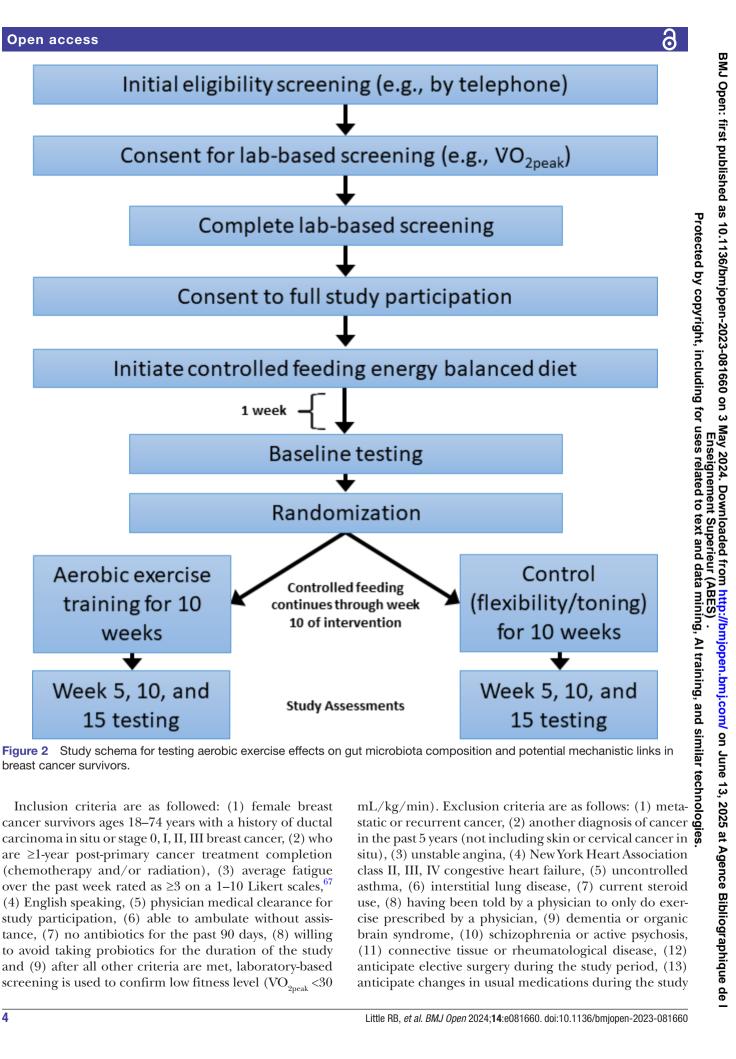


Figure 1 Framework for testing exercise effects on gut microbiota and mechanistic links between exercise, gut microbiota and fatigue. HPA, hypothalamic-pituitary-adrenal.

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Inclusion criteria are as followed: (1) female breast cancer survivors ages 18-74 years with a history of ductal carcinoma in situ or stage 0, I, II, III breast cancer, (2) who are ≥1-year post-primary cancer treatment completion (chemotherapy and/or radiation), (3) average fatigue over the past week rated as  $\geq 3$  on a 1–10 Likert scales,<sup>67</sup> (4) English speaking, (5) physician medical clearance for study participation, (6) able to ambulate without assistance, (7) no antibiotics for the past 90 days, (8) willing to avoid taking probiotics for the duration of the study and (9) after all other criteria are met, laboratory-based screening is used to confirm low fitness level (VO<sub>2peak</sub> <30

Aerobic exercise

training for 10

weeks

Week 5, 10, and

15 testing

breast cancer survivors.

1 week

**Open** access

**Table 2 Participant timeline** (note: to facilitate temporal relationships, data collection is ordered within each assessment period as follows: #1—outcomes other than faecal sample and fatigue survey, #2—faecal sample 2–3 days after outcomes other than fatigue and #3—fatigue survey 2–3 days after faecal sample)

	Laboratory-based screening	Baseline assessment		Exercise training or control	Follow-up assessments
	screening	assessment		or control	assessments
Study week (preW=week leading up to randomisation (0); W=week after randomisation)	preW3	preW2 – preW1	preW1 –0	W1 – W10	W5, W10 and W15
Laboratory-based screening consent, obtain medical clearance, complete laboratory-based screening (eg, VO <sub>2peak</sub> )	X				
Enrolment (consent for full participation)	Х				
Controlled feeding diet (both study groups)		Х	Х	Х	
Self-administered questionnaire		Х			Х
Fatigue survey			Х		Х
Faecal sample collection for gut microbiota composition (with 3-day diet record)			Х		Х
Medication log (7 days prior to blood draw)		Х			Х
Fasted blood draw, heart rate variability, hair sample		Х			Х
Resting energy expenditure		Х			Х
Walking economy		Х			Х
$VO_{2peak}$ , weight, body mass index	Х				Х
Accelerometer with log sheet (7 days)		Х			Х
Dual-energy X-ray absorptiometry		Х			Х
Randomisation			Х		
Exercise training or standard attention control				Х	

period, (14) plan to move residence out of the local area during the study period, (15) plan to travel out of the local area >1 week during study participation, (16) contraindication to engaging in moderate-to-vigorous intensity aerobic exercise, (17) current or anticipated pregnancy during study participation, (18) live or work >50 miles from study site or do not have transportation to study site, (19) body mass index (BMI)>50 (confirmed during laboratory-based screening) or (20) anticipate needing antibiotics during the study period.

#### **Recruitment and screening**

Participants are being recruited through multiple recruitment strategies (eg, recruitment letters mailed to breast cancer survivors identified through the UAB O'Neal Comprehensive Cancer Center registry, UAB investigators' waiting lists of cancer survivors inquiring about exercise and weight loss studies, newspaper advertising, cancer support groups, institutional websites and group emails, relevant non-institutional websites, flyers in waiting areas (hospitals, physicians' offices)). Referrals from oncologists and other relevant healthcare providers are being requested using messaging (ie, electronic health records or institutional email) and face-to-face meetings; recruitment materials such as patient flyers are provided, as appropriate. Potential participants are given a description of the study and screened for eligibility based on a predetermined telephone script. In addition to questions related to the above eligibility criteria, participants are

Figure 3 Participant screening, enrolment and baseline assessment. A pre-screening telephone interview determines the potential eligibility of the participant. The orientation visit includes the completion of administrative forms, laboratory-based Protected by copyright, screening informed consent and release forms for obtaining medical clearance. Once medical clearance is received by the study team, the participant completes the laboratory-based screening visit, which includes collecting VO peak and body mass index. If deemed eligible based on the screening visit, the individual will be invited to sign the consent for full study participation and be scheduled for controlled feeding initiation. Baseline assessment visit #1 is scheduled for at least 1 week after initiation of controlled feeding. Within 7 days of visit #1, (1) the participant is asked to collect the faecal sample at home 2-3 days after visit #1 and promptly overnight ships it to the laboratory, and then (2) complete the remaining assessment materials (eg, fatigue survey) 2-3 days after collecting the faecal sample and baseline visit #2 occurs to return these forms.

asked the following diet questions in the prescreening telephone screen to assess potential controlled feeding adherence and safety issues: (1) do you have any food allergies, restrictions, preferences or special diet (vegetarian, glutenfree, etc), (2) are you willing to eat the meals we provide, (3) do you drink alcohol? If yes, are you willing to refrain from alcohol during your participation in this study and (4) do you foresee any barriers to picking up the food, storing food or doing minimal meal preparation?

# **Enrolment and randomisation**

Interested potential participants who pass the prescreening telephone interview are invited to an orientation visit (in person or by videoconference) to complete administrative forms, sign laboratory-based screening consent (online supplemental material 1) and complete release forms for obtaining medical clearance with the study coordinator. Once medical clearance is received, the participant is scheduled for a laboratory-based screening visit which includes  $VO_{2peak}$  to confirm cardiorespiratory fitness <30 mL/kg/min and BMI≤50 (see Section 3.5.3 for methods). If deemed eligible at the laboratory-based screening visit, informed consent for full study participation is obtained (online supplemental material 2), including optional permission to retain health information and biospecimens for future research. The participant is scheduled for initiation of controlled feeding and baseline assessment visits #1 and #2 (figure 3).

Participant randomisation is based on computergenerated random numbers and performed in blocks of four to facilitate an equal distribution between the two study groups. BMI is an important biological variable associated with gut microbiota composition,<sup>18</sup> 68 hence randomisation is stratified by BMI (<30 vs  $\geq 30$ ). The study statistician performed the computer generation of random numbers which were placed in sealed, opaque envelopes and delivered to the recruiting staff with written protocol for use. Assignments are made in the order in which participants complete baseline testing and are kept in a sealed envelope until the participant has completed all baseline testing. Once the study coordinator confirms

the completion of baseline testing, the coordinator chooses the next envelope with group allocation. Participants remain partially blinded to study condition (eg, will not be told which study condition (exercise training or flexibility/toning intervention) is expected to yield more ð benefits and all receive a controlled diet which is potensesn. tially perceived as a 'treatment'). Assessments, assays and data entry are conducted using objective and validated ſe measures by staff who will remain blinded to study arm ated to text and status.

# **ASSESSMENTS** Schedule and masking

Schedule and masking Assessments occur at baseline (pre-intervention), 5 weeks at В (mid-point intervention), 10 weeks (immediately post intervention) and 15 weeks (5 weeks post intervention) and are performed by staff who are masked to partici-≥ pant study group allocation. Table 2 presents the timeline of data and measures collected at each assessment visit. If eligible based on laboratory-based screening and the ğ participant consents to full study participation (online supplemental material 2), then controlled feeding preparations are made and the baseline visit #1 is scheduled for 1 week after controlled feeding begins (figure 3). For each assessment, the participant completes two visits to the exercise testing laboratory. In preparation for assessment visit #1, participants are provided instructions for the laboratory-based measurements (location, parking, 12-hour fast, appropriate clothing, etc). During assessment visit #1, the participant provides a hair sample, 3 completes the fasted blood draw, resting energy expenditure by indirect calorimeter, resting heart rate variability (Actiheart), dual-energy X-ray absorptiometry (DXA) and walking economy (ie, net  $VO_{2}$ ). Because the  $VO_{2peak}$ and BMI measurements are taken at the screening visit, these are not repeated at baseline but are repeated at the follow-up assessments. During assessment visit #1, study staff provide the participant with the additional assessment materials (survey, accelerometer with log, 3-day diet

record, medication log, faecal sample kit, etc) and related instructions. The participant ships the faecal sample back to the UAB microbiome laboratory within 7 days of visit #1 and returns the remaining assessment materials at assessment visit #2. To better align the temporal relationship between the gut microbiome and fatigue, the fatigue scale is collected at assessment visit #2 (ie, several days after faecal sample collection).

# Gut microbiota composition

Participants are provided with a stool collection kit at each baseline and follow-up assessment visit #1 to selfcollect the stool sample at home according to provided instructions. Briefly, the instructions are to collect the sample in a clean dry study-provided collection hat and scoop a small amount into the provided Para-Pak vials (Meridian Biosciences; Cincinnati, Ohio, USA) prelabelled with participant identification and assessment time point, and then ship the sample back to our site via pre-paid overnight shipping materials. Once received by the microbiome laboratory, each sample is aliquoted into labelled cryovials and stored at -80°C until time for DNA extraction and 16S rRNA processing. One cryovial of precisely 100 µL is retained and labelled for future metabolomics assays (if indicated and funds can be obtained).

With each sample collection, the participant completes a faecal sample questionnaire<sup>69</sup> and returns it to the research staff. The questionnaire asks the participant to report changes in normal diet and vitamin supplements; recent gastrointestinal symptoms (eg, nausea, vomiting, diarrhoea and constipation); and usual frequency or changes in probiotic supplements, yoghurt intake and high-fibre foods or fibre supplements. Participants also report recent medical treatments such as antibiotics, chemotherapy or radiation therapy and if they have ever had a major bowel resection, gastric bypass surgery, an inflammatory bowel disease (such as Crohn's disease, ulcerative colitis, indeterminate colitis) or irritable bowel syndrome. The participant is also asked to complete a 3-day diet record capturing dietary intake 2 days prior to and the day of faecal sample.

# Cardiorespiratory fitness (VO<sub>2peak</sub>)

Participants perform a graded treadmill (Trackmaster TMX428CP; Full Vision; Newton, Kansas, USA) test in accordance with the modified-Balke protocol to elicit  $VO_{2peak}$  (ie, the highest measured rate of oxygen uptake expressed in mL/kg/min). Initially, VO<sub>9</sub> is stabilised over a 3min period of standing rest, after which, participants begin walking at 2.0 mph at 0% grade for 2min. Grade is then increased by 3.5% every 2min until the 12 min, at which point, grade is decreased to 12% and speed increased to 3.0 mph. Grade is increased by 2.5% each minute (as needed) until volitional exhaustion. VO<sub>2</sub> and related gas exchange measures are aggregated in 30s bins and determined by open-circuit spirometry (TrueOne 2400 system; ParvoMedics, Salt Lake City, Utah, USA). Gas analysers and flowmeter are calibrated prior to each

test using standard gases and 3L syringe, respectively. Heart rate and rating-of-perceived exertion (RPE; Borg  $6-20, 6=no \text{ exertion at all, relaxed and } 20=maximal \text{ exertion})^{70}$ are recorded in the final 30s of each stage. Blood pressure is measured via auscultation at minutes 6, 10, 14, 16 and/or the final stage of the graded treadmill test.

# Serum cytokines

Inflammatory cytokines, IL-6 and IL-10, are collected by blood samples. Participants are instructed to abstain from vigorous exercise, smoking and alcohol for 24 hours prior and fast for 12 hours prior to the blood draw. Blood samples are collected, processed and stored (-80°C) using standard operating procedure consistent with 2 expert consensus recommendations<sup>71</sup> and batch analysed **8** according to manufacturer's instructions by staff who are blinded to the participant's group allocation.<sup>64</sup> Serum cytokine assays will be analysed by the UAB Metabolism Core using an MSD imager (Meso Scale Discovery, Gaithersburg, Maryland, USA; chemiluminescence technology; multiplex platform). Blood and serum samples are being processed and stored so that future metabolomic/functional metabolic studies can be done if indicated and tional metabolic studies can be done if indicated and funds can be obtained. A 7-day medication log is collected with each blood sample for medication changes between assessments that may influence study outcomes (eg, anti-inflammatory agents). Heart rate variability Heart rate variability is evaluated with the Actiheart 5

and (CamNtech, Cambridgeshire, UK) device. First, a urine sample is collected from participants to measure urine å specific gravity—an indicator of hydration status. In accordance with manufacturer guidelines, skin is prepped with a 70% isopropyl alcohol wipe before positioning a twolead electrode arrangement in the upper left quadrant ≥ across the participant's chest. Measurements are collected training, during 5 min of quiet rest in the seated position. Highfrequency sampling is used to measure inter-beat intervals wherein Actiheart software is used to perform offline analyses. The primary variables of interest include heart rate and root mean square of successive R-wave interval differences as well as the low-frequency, high-frequency components derived from the fast-Fourier transform.

Hair cortisol Hair specimens are collected by trained study staff. For sparticipants whose hair is longer than 1.5–3 cm, a thir layer of hair (one to two hairs thick) – cut from a point cut from a point close to the scalp across a 4-5 cm length (laterally), to obtain a minimum of 50 strands of hair. For participants with shorter hair, the lateral cut is 6-8 cm  $(2 \text{ cm vertical} \times 5 \text{ cm lateral for long hair, } >2 \text{ cm vertical})$  $\times$  7 cm lateral for shorter hair). String is used to indicate the end of the hair closest to the scalp; hair specimens are folded tightly into aluminium foil and placed in a small

labelled bag at room temperature until being sent for assay at the Department of Biopsychology at Technische Universität Dresden in Dresden, Germany.

# Fatique

Fatigue is measured by a 13-item multidimensional fatigue scale (ie, Fatigue Symptom Inventory).<sup>72</sup> On a 1–10 scale (1=not at all fatigued, 10=as fatigued as I could be), participants are asked to rate their level of fatigue on the day they felt most and least fatigued in the last week, the average level of fatigue in the last week and the level of fatigue at the time of survey. Participants report how much fatigue interferes (1=no interference, 10=extreme interference) with their general level of activity, ability to bathe and dress, their normal work activity, ability to concentrate, relations with other people, enjoyment of life and mood. Participants report how many days in the past week they felt fatigued for any part of the day and how much of the day on average the participant experienced fatigue (1=none of the day, 10=the entire day). Since our prior studies have demonstrated that exercise effects on fatigue may vary by dimension (ie, intensity vs interference; intensity=mean of four items; interference=mean of seven items, 0-10 scale) our final analyses will focus on fatigue interference.

## **Potential covariates**

Self-administered survey measures age, race/ethnicity, education level, annual household income, marital status, smoking history, alcohol intake, employment status and a number of recent sick days, cancer-related factors (date of diagnosis, stage, subtypes (eg, receptor status), current and past cancer treatment type (including, but not limited to, radiation, chemotherapy and antioestrogen therapy)), caffeine intake, dietary supplements (including prebiotic, probiotic and vitamins), current medications (including over the counter medications), any antibiotic medications over the last 6 months, any steroid medications or injections over the last 6 months, current/past diagnosis of and treatment for anxiety or depression, treatment duration, time since treatment completion), medical comorbidities<sup>73</sup> (including but not limited to endocrine or hormone disorders), history of surgeries, menopausal status<sup>6</sup> and history of COVID-19 diagnosis. If a participant is not able to recall medicalrelated information, a medical release form is completed allowing study staff to request this information from the participant's physician.

Because stress, depression, anxiety, sleep quality, pain and fatigue may cluster and be associated with inflammation,<sup>74–76</sup> stress is measured by Perceived Stress Scale-10,<sup>77</sup> depression and anxiety is measured by 14-item Hospital Anxiety and Depression Scale,<sup>78</sup> sleep dysfunction is measured subjectively using the Pittsburgh Sleep Quality Index<sup>79</sup> and pain is measured by the Patient-Reported Outcomes Measurement Information System (PROMIS; http://www.nihpromis.org/default.aspx).<sup>80</sup> Because post-traumatic stress symptoms are associated with psychosocial outcomes and gut microbiota composition,<sup>81 82</sup>

post-traumatic stress is measured using the Post-traumatic Stress Disorder Checklist.<sup>83–86</sup>

To assess free-living physical activity, participants are given the same ActiGraph accelerometer (ActiGraph; Pensacola, Florida, USA) device for each assessment to be worn at the waist for seven consecutive days during waking hours (non-dominant hip; same side each time). Participants are instructed to remove the accelerometer while bathing, showering or swimming and are asked to complete an accelerometer log (times device removed, **v** exercise not detectable by device, sleep times, etc). The accelerometer is set for 30s epochs and monitoring is repeated if less than four valid days are recorded. Nonwear time is defined when no motion is detected for  $\boldsymbol{\boldsymbol{\mathcal{Z}}}$ 60 min. A valid day is defined as at least 10 hours of 2 valid wear time. The following cut points are planned: Sedentary: 0-99 counts/min; inactive: 100-499 counts/ min; light: 500-1951 counts/min; moderate: 1952-5724 counts/min; and vigorous: 5725+counts/min.<sup>8788</sup> Leisuretime physical activity is measured using the Godin Leisure Time Exercise Questionnaire which asks for the average Bul weekly frequency of leisure-time exercise for periods for uses rela exceeding 10 min over the past month per three activity intensity levels (light, moderate or vigorous).<sup>89 90</sup>

BMI is calculated from weight and height (weight (kg)/ height  $(m^2)$ ) obtained from a scale (in light clothing) and wall stadiometer (without shoes). DXA scans assess lean mass and fat mass using the Lunar Dual Energy 5 X-ray Absorptiometry Scanner (iDXA; Lunar Radiation Madison, Wisconsin, USA). Pre-menopausal women at risk for pregnancy undergo a urine pregnancy test prior to each DXA scan.

## Other relevant measurements

data mini Resting energy expenditure measurement is required to more accurately assess participant's calorie needs for the ≥ controlled feeding which facilitates energy balance and resultant weight maintenance during the study. Hence, resting energy expenditure is measured by ventilated hood indirect calorimetry (TrueOne 2400 system; Parvo-Medics, Salt Lake City, Utah, USA) while lying quietly on an examination table. Participants must fast for at least 6 hours prior (4 hours if they are diabetic), avoid physical activity for 12 hours and avoid any caffeine or nicotine for at least 2 hours prior to this test.

Although not originally proposed, walking economy (ie, net VO<sub>2</sub>) was added because it reflects oxygen uptake of during ambulation. on interview during ambulation, an important alternative measure of (mobility) independence in older women.<sup>91</sup> Participants wear a hip-worn accelerometer and complete a fixed-workload task by walking on a treadmill at 2.0 mph (0% grade) for 6 min during which steady-state VO<sub>2</sub> is reached. RPE (Borg 6-20, 6=no exertion at all, relaxed and 20=maximal exertion)<sup>70</sup> is collected at minutes 3 and 6. At minute 5, the participant reports perceived difficulty of the test using a Visual Analogue Scale (100 mm line). Blood pressure is measured at rest and while standing. Blood pressure is also measured at the

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1, 2 and 5 min time points during walking. Participants remain quietly seated for at least 10 min between the walking economy and  $VO_{2peak}$  tests during the follow-up assessments.

Quality of life is measured with The Functional Assessment of Cancer Therapy-Breast (FACT-B)<sup>92</sup> because of its relation to fatigue, relevance for breast cancer populations and repeated use in prior studies which allow for comparison of study results. The FACT-B is a 37-item instrument using 5-point Likert scales and includes the subscales of physical well-being, social well-being, emotional wellbeing, functional well-being and additional concerns.<sup>92</sup>

Since cognitive function is associated with the gut microbiome<sup>93</sup> and physical activity in breast cancer survivors,<sup>94</sup> cognitive function is measured with the 10-item Frequency of Forgetting scale.<sup>95</sup> The summed score will assess subjective memory impairment (total score) along with four memory subscales (general memory, frequency of forgetting, frequency of forgetting when reading and remembering past events).

To improve adherence to future, similar exercise training protocols, the self-administered survey assesses social cognitive theory constructs: exercise self-efficacy (barriers and walking), enjoyment, social support, barriers and outcome expectations. Barriers selfefficacy (ie, confidence in ability to overcome barriers) is measured using a 9-item scale specifically designed for breast cancer patients.<sup>96</sup> The scale uses frequently reported barriers among patients with breast cancer (eg, 'How confident are you that you can exercise when you are tired?'). Walking task self-efficacy scale is assessed with a 6-item scale asking participants to rate confidence in their ability to walk at a moderately fast pace for 5, 10, 15, 20, 25 and 30 min.<sup>97</sup> Analyses for barriers and walking task self-efficacy are using the mean score for the Likert scale (0%=not at all confident to 100%=extremely confident). Perceived exercise barriers (or barriers interference) are measured by asking participants to rate on a 5-point Likert scale (1=neverto 5=very often) how often 21 different barriers (eg, lack of time, weather) interfere with exercise. The items are summed for a perceived barriers score.<sup>98–100</sup> Physical activity enjoyment is measured with a single question (5-point Likert scale).<sup>100</sup> Social support is measured by asking for the frequency with which friends (two items) or family (two items) encourage or offer to exercise with the participant. Items are summed for a friends, family and total social support score.<sup>101 102</sup> For outcome expectations, participants are asked to rate their agreement on a 5-point Likert scale (1=strongly disagree to 5=strongly agree) with the statement that exercise would result in 17 potential benefits or risks. 14 positive benefits (eg, feel less depressed) and 3 negative outcomes (eg, increased joint pain) are included. Responses are summed for positive outcome expectations and negative outcome expectations.<sup>100</sup> The participants answer the outcome expectation questions twice: once considering stretching and light resistance exercises and again considering aerobic exercise.

# **Participant satisfaction**

At the 15-week assessment, participants are asked to provide a written evaluation of the study staff and procedures. All participants are asked to report their agreement (Likert scale; 1=*strongly disagree* to 5=*strongly agree*) with 10 statements relating to the clarity of study information, helpfulness of staff interactions, palatability of the provided food and ease of following the menu, the likelihood of recommending this study to others and overall satisfaction with the study staff and activities. One openended question seeks any additional information they would like to share with the study team.

# **Data quality control**

Multiple strategies are being used to minimise missing **Gy** data (eg, baseline testing and controlled feeding before randomisation provides a 'run-in' period, monetary and non-monetary incentives, up to date contact information, ongoing review of source documents by study coordinator for immediate rectification of missing data).<sup>103</sup> Study staff are trained by the investigator with the relevant expertise using an electronic manual of procedures with regular review of source documents for quality. Multiple trained staff are present during in-person assessment activities increasing accountability and immediate identification of potential drift in protocol adherence. All most recent IRB-approved study forms are stored on a shared, HIPAA-compliant cloud server.

# Interventions

# Supervised exercise sessions

Participants are randomised to 10 weeks of either an aerobic exercise intervention or a flexibility/toning attention control condition. Sessions occur on non-consecutive days of the week at the study site and are supervised by experienced exercise specialists who are not involved in the collection of outcome assessments.

## Aerobic exercise sessions

Aerobic exercise sessions, supervised by trained exercise specialists, are primarily performed using the treadmill. However, the cycle ergometer may be used if preferred by the participant. The training target heart rate zone for each session corresponds with the heart rate at a given percentage of  $VO_{2peak}$  measured at the most recent assessment. Training sessions commence with a 5 min warm-up consisting of light treadmill walking and stretching. During the first week of training, after warm-up, partic- & ipants perform 20 min of exercise at ≈60% maximum 8 heart rate (equivalent to  $\approx 45-50\%$  VO<sub>2peak</sub>). Over the next 3 weeks, exercise duration is increased by 5 min intervals, as tolerated, so that by the beginning of the fifth week participants are exercising for 40 min (up to a total of 60 min with warm-up and stretching time). This coincides with an elevation in *exercise intensity* equating to  $\approx 75\%$  of maximum heart rate ( $\approx 55-60\%$  of VO<sub>2neak</sub>) by the fifth week. Following each exercise bout, participants cool down for 3-5 min. To mitigate stagnation,

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Week	Intensity	Max heart rate (%)	Duration (min)	Frequency per week
1–4	Moderate-intensity, continuous	60–75	20–35	3
5–7	Moderate-intensity, continuous	75	40	2
	High-intensity interval	85–90	20–22	1
8–10	Moderate-intensity, continuous	75	40	1
	High-intensity interval	85–90	22–28	2

**Table 3** Aerobic exercise progression (based on maximum heart rate; high intensity added in later weeks to facilitate continued cardiorespiratory fitness improvement)

and facilitate continued improvement of VO<sub>2peak</sub>,<sup>104</sup> highintensity interval exercise is added during weeks 5–10 as described in table 3. 8–10 work-intervals are performed at a workload to elicit  $\approx$ 85–90% maximum heart rate for 60 s with rest intervals of 3 min with the total exercise duration ranging from 20 to 40 min.

## **Standard attention controls**

The non-aerobic exercise attention control condition controls for the effects of attention and social interaction through the administration of flexibility/range-ofmotion activities using light resistance bands delivered at the same frequency as the aerobic condition (ie, three times per week). The sessions last about 40 min and target the head/neck, shoulder, elbow/forearm, hand/ wrist, trunk/hip and ankle/foot. The progression of activities over the 10-week period involves performing additional exercises and sets (ie, Thera-bands) that provide minimal resistance (ie, sham). The first 5 weeks of the control condition involve performing body stretches without resistance (20-30s for one to two sets). In weeks 6-7, the light resistance Thera-band is used to perform the stretches for the upper extremities once per week for 8-10 repetitions for two sets, and the other two sessions are body stretches without resistance. In weeks 8-10, the light resistance Thera-band is used twice per week for 8-10 repetitions for two sets, and one session will be body weight stretches without resistance. Such a progression is not expected to induce aerobic fitness adaptations and is designed to maintain participant interest and expectation of treatment benefit. Control condition participants are asked to not undertake additional exercise (eg, not join a gym and begin exercising) during the 10-week intervention period.

## **Missed exercise and control sessions**

Session attendance is tracked weekly and missed sessions are made up as soon as possible during the intervention period. No more than four supervised aerobic sessions will occur in 1 week. Exercise specialists encourage exercise adherence by discussing social cognitive theory-based educational newsletters with participants at six time points during the 10 weeks of aerobic exercise and standard attention control.<sup>105</sup>

# **Controlled feeding**

Controlled feeding provided by the UAB Center for Clinical and Translational Science Metabolic Kitchen standardises dietary intake across all participants. The menus are designed to provide 55% of energy as carbohydrate primarily through complex sources (fibre: 21-38 g/day), 23% as fat, and a minimum of 22% as protein ( $\approx 0.8 \text{ g/kg}$ ). Dietary sodium intake and the polyunsaturated:saturated (P:S) fat ratio are held constant (sodium <3500 mg/d, P:S fat ratio of 1 and saturated fat less than 30% of total fat intake).

Prior to initiating controlled feeding, the participant meets with a study registered dietitian to review the study menu and collect information about food allergies and intolerances. Changes to the menu based on dietary preferences are attempted if substitutions are accessible to the Metabolic Kitchen and maintain the standardised diet protocol. The participant and study dietitian meet a second time to review the final menus and discuss approved beverages and seasonings. Each participant starts weekly meal pick up from the Metabolic Kitchen at least 1 week before baseline assessment visit #1.

To allow the Metabolic Kitchen time to prepare the controlled feeding, the daily calorie need (total energy expenditure) is estimated pre-baseline using the Harris Benedict equation and an activity factor to promote weight maintenance. This estimate is then updated once resting energy expenditure data is available at the baseline assessment. The estimate of total energy expenditure is further updated for participants randomised to the aerobic exercise condition using the individual's VO<sub>9neak</sub> and resting energy expenditure data based on prior work by the investigative team (equation provided in online supplemental material 3).<sup>106 107</sup> The total energy expenditure estimates for all participants are updated, if appropriate, based on the week 5 assessment of  $VO_{2peak}$ and resting energy expenditure. A study registered dietitian monitors body weight weekly and uses these changes and participant dietary preferences to further refine the calorie content and menus.

#### **Controlled feeding adherence**

Menu checklists are included with each weekly food pick up and participants are asked to log how much of the provided foods they consume and report additional foods and beverages along with the amounts consumed.

Sample Size vs. Effect Size

The menu checklists are returned at exercise and control sessions on a weekly basis and reviewed by the dietitian for adherence. Participants with potential adherence issues or missing or incomplete checklists are called by a study dietitian for reminders and instruction.

## Staff training

Staff are trained using a variety of electronic manuals, protocols and up-to-date IRB-approved study forms and scripts. An electronic manual of procedures is maintained in a shared, HIPAA-compliant cloud server for reference by staff. Given the range of staff responsibilities (ie, exercise intervention, diet), additional supplemental role-specific protocols are also maintained (eg, exercise progression prescription for exercise specialist and controlled feeding menu review scripts for dietitian).

## Intervention fidelity plan

The exercise and controlled feeding intervention fidelity plans include the five domains recommended by National Institutes of Health (NIH) Behaviour Change Consortium<sup>108</sup> (ie, study design, provider training, treatment delivery, treatment receipt and enactment of treatment skills). Fidelity is facilitated with the electronic manual of procedures, standardised scripts and participant education materials. Data sources for tracking exercise intervention include a review of all exercise session record sheets (ie, attendance, if exercise goals are met and if exercise progression is administered according to protocol) and direct observation by each interventionist at least once a month. The main data source for tracking controlled feeding fidelity are menu checklists on which the participant reports the provided foods consumed and any additional foods/beverages consumed. The food included in each controlled feeding pick up is reviewed for accuracy and completeness by a trained research staff before the food is given to the participant. Further, study registered dietitians offer the same food substitutions for all participants requesting a change. Monthly reports are presented to the study team to monitor the fidelity of both the exercise and controlled feeding so that fidelity concerns can be rectified in a timely manner.

# **Statistical analysis**

# Sample size and power considerations

Sample size is based on detecting alpha diversity and beta diversity taxa comparisons. The power calculation is based on two-tailed test at power of 0.8 using software G\*Power V.3.1.9.2.<sup>109</sup> <sup>110</sup> Our pre-COVID-19 pandemic sample size was estimated at 126 (63 in each group) with 100 (50 per study group) remaining after dropouts. This sample size would have allowed us to detect a medium effect size (d=0.57; power of 0.8, p<0.05) in alpha diversity which is sufficient for detecting effects related to associations with fatigue and intervention effects falling midway between that found in our two pilot studies. Relevant to taxa comparisons, we have >0.8 power to detect the effect of any of the taxa after multiple testing

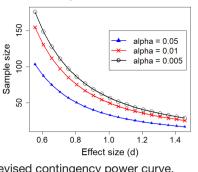


Figure 4 Revised contingency power curve.

Protected by copyright correction (q value < 0.05).<sup>111–113</sup> Due to the detrimental impact of the COVID-19 pandemic on recruitment into on-site, supervised exercise trials, we provide revised contingency power calculations in figure 4, where we can see that with sample size decreasing, the effect size we can detect changes from moderate to large. For example, for enrolling at 100%, 75% (74 samples with 37 per group) ßu and 50%, the effect size that can be detected changes from 0.57, to 0.67, and to 0.81 (with power of 0.8 and alpha of  $\vec{\mathbf{Q}}$ (compared with our pilot studies) because the study will **s** provide controlled feeding (reducing low fit individuals (greater chance of improvement) and manipulate the exercise exposure (standardise the exercise exposure). Also relevant, the sample sizes in our pilot studies (N=12 and 37) were smaller than our proposed study even with dropped enrolment yet yielded statisan tically significant results (eg, a significant association between alpha diversity and cardiorespiratory fitness in 37 breast cancer survivors).<sup>7 13</sup> mining,

# Data management and analysis considerations

≥ Microbiome 16S gene sequence data is analysed training, using the QIIME<sup>114</sup> analysis package, our in-house developed automated analysis pipeline QWRAP<sup>69</sup> and DADA2<sup>115</sup> to provide a robust error model for sample filtering and clustering. Data quality is assessed using FastQC, with low-quality data filtered out using the l simi FASTX toolset. Filtering, denoising and clustering of reads into Amplicon Sequence Variants is done using DADA2. Taxon assignment is performed using **BOD** Mothur<sup>116</sup> and the SILVA 16S rDNA database.<sup>117</sup> Alignment and phylogenetic inference is then performed using PyNAST<sup>118</sup> and FastTree.<sup>119</sup> Comparative analytical tools such as UniFrac<sup>120</sup> are used to assess differences between samples and sample groups using principal coordinates analysis. To expedite sample processing and reporting, QWRAP automates the running of these tools using a single command line argument on UAB's high-performance computing cluster, Cheaha.

Survey and other data entry and checking is conducted by trained research staff masked to study group allocation using password protected Research Electronic Data Capture. Data analyses will be carried out on an intent-to-treat basis. A multiple imputation approach will be employed to handle any missing data that cannot be rectified and we will conduct sensitivity analysis to assess the robustness of our findings.<sup>103 121</sup> SAS software, V.9.3 (SAS Institute, Cary, North Carolina, USA) and R software, V.4.3.1<sup>122</sup> will be used for data analysis. Transformations and non-parametric procedures will be performed when needed. The false discovery rate (FDR) will be used for multiple testing correction and the statistical significance threshold will be FDR q $\leq 0.05$  (q value is a p value after FDR correction). Each element (ie, alpha diversity, beta diversity and taxa level comparisons) describes a different perspective on gut microbiota changes and is integrated for interpretation (eg. does exercise change the relative abundance of organisms and, if so, which organisms). We will assess the microbiota composition change over time using mixedeffects models.<sup>123</sup> All mediation analyses will conduct indirect effects analysis with the bootstrap method developed by Hayes.<sup>124</sup> Week 10 is our primary time point yet we will also analyse week 5 to assess interim changes that occur and week 15 to assess durability.

## Participant safety and withdrawal

#### Risk management and safety

Participant safety is facilitated by obtaining medical clearance, limiting to a BMI<50, collecting a medical history and the PAR-Q (Physical Activity Readiness Questionnaire) before the laboratory-based screening, and consulting clinical investigators, if indicated. Exercise sessions are supervised by exercise specialists who have experience training cancer survivors or chronic disease populations. Additionally, physician supervision is provided during fitness testing when deemed appropriate based on American College of Sports Medicine (ACSM) guidelines.<sup>125</sup> Information about food allergies and intolerances are screened for and collected before initiating controlled feeding and throughout participation and these are communicated to the Metabolic Kitchen to minimise allergen contamination.

## **Adverse event reporting**

Adverse events are identified spontaneously (eg, reported to research staff during contact time) or nonspontaneously (structured interview done at each assessment time point). Reported adverse events are reviewed promptly by the Principal Investigator (Rogers) and reported to the IRB according to local requirements. A Data and Safety Monitoring Board (DSMB) is convened annually or more often if indicated.

## **Handling of withdrawals**

Participants are informed of their right to withdraw at any time without consequences in the informed consent forms and during the signing of consent forms. Participants will be withdrawn from the study if any social, psychological or physical conditions arise that may unduly increase risk of participating in the study. Data will be analysed on an intention-to-treat basis.

#### **Unexpected required antibiotics**

Given the effect of antibiotics on the gut microbiota composition, participants unexpectedly requiring intensive antibiotic therapy while enrolled in the study will be withdrawn from the study. Intensive antibiotic therapy is defined as intravenous, extended use (ie,  $\geq 2$  weeks), or combined therapy (multiple broad-spectrum agents). Less intensive antibiotic use will be tracked by selfadministered survey and considered during the analyses.

## Patient and public involvement

Patients and members of the public were not involved in the design of the trial.

#### **Ethics and dissemination**

The UAB IRB approved this study, 15 May 2019, UAB **G** IRB#30 000 320. The trial is registered with ClinicalTrials. gov. A DSMB convenes annually or more often if indicated. Any amendments will be submitted to the IRB and DSMB **G** for approval. Research findings will be disseminated in peer-reviewed journals and conference presentations.

#### DISCUSSION

The ROME study is the first randomised controlled exercise training study in fatigued breast cancer survivors testing exercise effects on gut microbiota composition while standardising dietary intake with rigorous attention to energy balance. Our careful attention to diet and energy balance is critical to more fully understanding the role that exercise can play in altering dysbiosis in breast cancer survivors, a group at increased risk for detrimental changes in gut microbiota composition. Also, understanding the potential mechanistic links between aerobic exercise training, gut microbiota composition and fatigue in cancer survivors has great potential to improve the lives of the breast cancer survivors suffering fatigue.

Thus, we describe a highly rigorous trial that is especially appropriate for studying exercise, gut microbiome and fatigue in breast cancer survivors because it integrates a standard attention control condition and energy-balanced controlled feeding. The standard attention control condition is critical to detecting exercise effects on this patient-reported outcome beyond staff attention alone.<sup>126</sup> Further, few randomised trials testing exercise effects on the gut microbiome have attempted to standardise diet intake with energy-balanced controlled feeding, a critical element given the strong association between diet, body weight and the gut microbiome characteristics.<sup>49 53 127</sup>

Given the careful attention to the temporal relationships and randomised study design, this study will explore mechanistic pathways heretofore most frequently studied in animal models rather than humans. With regard to the potential mechanisms through which exercise influences the gut microbiome, we will explore exercise induced changes to inflammation, the autonomic nervous system and the HPA axis. Exercise training in breast cancer survivors positively impacts inflammatory markers.<sup>128</sup> In particular we have previously observed beneficial changes in IL-10 and tumour necrosis factor-α.<sup>25</sup> A better understanding of the bidirectional communication between the microbiome and inflammation, HPA and autonomic nervous system is needed. Microbes influence cytokine production and T-cell activation<sup>33 129</sup> and they and their metabolic by-products can also directly stimulate immune cells with a resultant influence on cytokine release.<sup>33 130</sup> Similarly, pro-inflammatory cytokines influence serotonin availability, serotonin and norepinephrine synaptic reuptake pumps, HPA axis and regional brain activity.<sup>42</sup> Gut microbes also influence the autonomic nervous system through the vagus nerve,<sup>48</sup> as exemplified by reduced anxiety and depression-related behaviour in mice given Lactobacillus rhamnosus, with this effect absent in vagotomised mice.<sup>131</sup> In a separate animal study, mice pretreated with a probiotic formulation (Lactobacillus helveticus R0052 and Bifidobacterium longum R0175), then exposed to a water avoidance stressor, exhibited attenuated HPA axis and autonomic nervous system activity.<sup>132</sup> Given that exercise alters the microbiome, inflammation, HPA and autonomic nervous system, a better understanding of the direct and/or indirect relationships are needed.

Recent interest related to our primary aim to test exercise effects on gut microbiome has grown. Allen et al<sup>58</sup> observed significant changes in gut microbiome beta diversity after 6 weeks of supervised exercise training in healthy adults (20-45 years old) and showed the changes reversed post-intervention. Additionally, positive changes to the gut microbiome have been observed in older adults participating in exercise interventions.<sup>57 59</sup> Yet, the literature in cancer populations connecting exercise to changes in the microbiome warrants additional scrutiny. Sampsell et al<sup>133</sup> recently conducted a 12-week exercise intervention in 10 breast cancer survivors with reassessment after a 12-week washout period. No statistically significant pre-post differences in alpha or beta diversity were detected yet a follow-up mouse study yielded a trend toward lower tumour development in mice colonised with post-exercise microbiota versus those colonised with pre-exercise microbiota.

Others report on the relationship between fatigue and gut microbiota composition in cancer survivors,<sup>134</sup> <sup>135</sup> but we were the first to focus on breast cancer survivors and observe fatigue was associated with alpha diversity and differences in beta diversity representing shifts in taxa relative abundance.<sup>13</sup>

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as the lead investigator. SJC, RWM, GH, NL, HK, EJL and BT helped design the final study protocol and choice of outcome measures and provided intellectual contributions in their expert areas. RBL led the development of sample process tracking and operationalising controlled feeding implementation and fidelity monitoring; she also completed the initial draft of the manuscript. AC and ES assisted with exercise intervention fidelity and adherence tracking protocols. AC completed a literature review to guide data management related to current medication use. All authors assisted with drafting the manuscript and have read, edited and approved the final manuscript.

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Competing interests None declared.

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Patient consent for publication Not applicable.

Provenance and peer review Not commissioned; peer reviewed for ethical and funding approval prior to submission.

Data availability statement No data are available because the study has not yet been completed.

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