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## Factors associated with changes in walking performance in individuals three months after stroke or TIA—secondary analyses from a randomized controlled trial of SMS-delivered training instructions

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**Factors associated with changes in walking performance in individuals  
three months after stroke or TIA—secondary analyses from a randomized  
controlled trial of SMS-delivered training instructions**

Short title: Predicting changes in walking performance three months after recent stroke and  
TIA

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26    **ABSTRACT:**

27    **Objectives:** To identify factors related to changes in walking performance in individuals 3

28    months after a stroke or TIA.

29    **Design:** Cross-sectional study with post-hoc analysis of a randomized controlled study.

30    **Setting:** University Hospital, Sweden.

31    **Participants:** 79 individuals, 64 (10) years, 37% women, who were acutely hospitalized

32    because of stroke or TIA between November 2016 and December 2018. Inclusion criteria

33    were patients aged 18 or above and the major eligibility criteria were the ability to perform

34    the 6-Minute Walking Test (6MWT, meters).

35    **Intervention:** The intervention group received standard care plus daily mobile phone text

36    messages (SMS) with instructions to perform regular outdoor walking and functional leg

37    exercises in combination with step counting and training diaries. The control group received

38    standard care.

39    **Outcome measures:** Multivariate analysis was performed and age, sex, group allocation,

40    comorbidity, baseline 6MWT, BMI, cognition, and chair-stand tests were entered as possible

41    determinants for changes in 6MWT.

42    **Results:** Multiple regression analyses showed that age (Standardized Beta -0.33, 95% CI -3.8

43    to -1.05,  $P<0.001$ ), sex (-0.25, 95% CI -68.8 to -10.6,  $P = 0.008$ ), comorbidity (-0.15, 95%

44    CI -53.7 to 6.4,  $P = 0.12$ ), baseline BMI (-0.28, 95% CI -7.8 to -1.6,  $P = 0.004$ ), baseline

45    6MWT (-0.56, 95% CI -0.5 to -0.3,  $P <0.001$ ), and possibly allocation to the SMS group

46    (0.17, 95% CI -2.0 to 52.1,  $P = 0.07$ ) were associated with changes in 6MWT three months

47    after the stroke event. The regression model described 38% of the variance in changes in

48    6MWT

**Conclusions:** Post-hoc regression analyses indicated that younger age, male sex, comorbidity, lower BMI, shorter 6MWT at baseline, and allocation to the SMS group contributed to improvement in walking performance at three months in patients with a recent stroke or TIA. These factors may be important when planning SMS or similar rehabilitation services.

**Keywords:** stroke, risk factors, rehabilitation medicine, clinical trial

**Clinical Trial Registry:** ClinicalTrial.gov, number NCT02902367

## STRENGTHS AND LIMITATIONS OF THIS STUDY

Study data were drawn from a randomized controlled trial and we used established outcome measures.

The study design calls for precaution with causal inferences.

Our findings cannot be generalized to more disabled community-living individuals after a stroke or to individuals with chronic stroke.

The study is relatively small, making the study prone to bias, and all patients are from a single center in Sweden, therefore reducing the generalizability of the results.

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72    **Introduction**

73    Approximately three out of four stroke incidents can be attributed to behavioral risk factors—

74    for example, unhealthy diets and sedentary lifestyles (1, 2). Individuals after a stroke are

75    predisposed to functional limitations and a sedentary lifestyle, contributing to the risk of

76    recurrent stroke or cardiovascular complications (1, 3).

77

78    Outdoor walking is cost-effective and generally easy to perform. A review showed an

79    average of 4000 steps in the chronic phase after stroke, which is far below the recommended

80    10,000 steps a day to meet the guidelines for physical activity (3, 4). In high-functioning

81    individuals with stroke, physical activity including walking is low, although we know that

82    walking after discharge from the hospital is important for secondary prevention, minimizing

83    disability, and promoting long-term metabolic health (5). Actions to increase walking

84    performance soon after a stroke or TIA are needed.

85

86    In the STROKEWALK study, a previously-reported randomized controlled trial, we found

87    that individuals receiving text messages for three months after stroke and TIA coupled with

88    add-on interventions using a training diary and step counts improved walking and chair-rising

89    performance (6). The present study aims to further study how various baseline characteristics

90    including cardio-metabolic risk markers and group assignment relate to changes in the 6-

91    minute walk test (6MWT), using secondary analyses of data from the STROKEWALK study.

92

93    **Methods**

94    *Study design*

This is a post-hoc analysis of the STROKEWALK randomized controlled study performed at the Uppsala University Hospital in Sweden. Determination of sample size, recruitment, data collection, and random allocation procedures have been previously described in detail (6). Ethical approval was obtained from the regional Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550. The present study report follows the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for reporting cross-sectional studies (7) and written consent was obtained from all participants.

Recruitment was initiated in November 2016, and the last three-month follow-up assessment was performed in December 2018. Included were participants aged 18 or above with TIA or verified stroke (infarction or intracerebral hemorrhage with first or recurrent event) with sufficient cognition (Montreal Cognitive Assessment scale, MoCA  $\geq 26$  points), general disability (modified Rankin Scale  $\geq 2$ ), and good enough walking performance; i.e., ability to perform the six-minute walking test (with or without a walking aid) (6). The exclusion criteria were known subarachnoid hemorrhage, medical problems such as uncontrolled hypertension, untreated arrhythmias, unstable cardiovascular conditions, a dementia diagnosis, severe aphasia, severe psychiatric problems, or cognitive impairment with difficulties understanding instructions (6).

### *Study outcomes*

The 6MWT was used to measure the maximal walking distance during 6 minutes over a 30-meter course. Changes are described as differences in walking distance at three months(8). The modified Rankin scale was used to assess general disability and is scored from 0 (no symptoms) to 6 (dead) (9). Cognitive function at baseline was assessed using the



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118 Montreal Cognitive Assessment scale (0–30 points),(10) with a higher value indicating better  
119 function. The Charlson Comorbidity Index (CCI) was used to classify comorbid conditions  
120 (11).

121 For the chair-stand test, the participant was instructed to rise from a seated position without  
122 support as quickly as possible five times in a row (12). The tests were performed with  
123 standardized instructions from the Short Physical Performance Battery. The 10-meter walk  
124 test was used to measure comfortable walking speed (13).

125 From the patient’s medical records, cardio-metabolic biochemical risk factors such as total,  
126 LDL, and HDL cholesterol, C-reactive protein (CRP), and triglycerides were analyzed at  
127 hospital admission and diagnoses such as diabetes, hypertension, hypercholesterolemia, and  
128 cardiac heart failure were registered (14). Biochemical analyses were performed by  
129 accredited methods of the Clinical Chemistry Laboratory at the Uppsala University Hospital,  
130 Uppsala. Glycated hemoglobin (HbA1C) was analyzed using non-fasting venous blood  
131 samples.

132 The last registration of supine blood pressure was recorded manually before discharge from  
133 the hospital. Smoking and education levels were assessed by yes or no answers to the  
134 questions: ‘Are you a smoker at this time of your life?’ and ‘Do you have a university  
135 degree?’ Body mass index was calculated as body weight (kg) divided by height (m) squared.  
136 Weight was recorded with participants wearing light indoor clothing. Height was measured to  
137 the nearest cm. A hip-mounted pedometer at weeks 1 and 12 was used for daily step count by  
138 individuals in the SMS group (Yamax, SW-200).

139  
*The SMS intervention group*

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The SMS-intervention group received daily text SMS (no cost for the participants) as an addition to standard care with simple instructions on what and how to exercise for three months. The intervention in the SMS-group was comprised of three different strategies: 1) three months of daily SMS-text messages, 2) training diaries, and 3) pedometers for step counts for the first and last week of intervention (6). The text messages gave instructions on how to exercise to increase walking endurance and strength of the lower body, without the possibility to text back for help or advice.

#### *The control group*

Patients in the control group were given standard stroke unit care. They had no restrictions regarding physical activity, exercise, or taking part in rehabilitation services, and were given standard recommendations. The control group did not use pedometers since it was considered to be a part of the intervention.

#### *Statistics*

In the STROKEWALK study, a sample size of 80 individuals (including a possible drop-out rate of 20%) was determined to provide secure power to detect a 34-meter clinically relevant mean difference in the 6-minute walking test (6). An intention-to-treat analysis was applied for all missing values (dropouts), and the change was assumed to be zero. Thus, follow-up data for dropouts were registered with a baseline carry-forward approach. Descriptive data are reported as means (SD) and medians (IQR). According to the histogram, normal Q-Q plots, and the Kolmogorov–Smirnov test, data on changes in 6MWT were normally distributed. Case-wise diagnostics and standardized residuals were used to identify potential outliers. The Chi-square test, Mann-Whitney *U*-test, and the student's independent test were used to examine baseline differences between those improved at  $\geq 34$  meters or  $< 34$  meters in 6MWT.

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167 In the regression analyses, explanatory variables for changes in 6MWT were first identified

168 by correlation and univariate regression analyses ( $P < 0.05$ ). Correlation strength was

169 calculated using Spearman’s rho or a Pearson correlation. The identified variables were

170 checked for multi-collinearity by correlation analysis and cross-tabulation and if the

171 correlation coefficient was 0.80 or more the variable with the lowest  $r$  in relation to the

172 dependent variable was omitted from further regression analysis. The baseline 10-meter walk

173 test was omitted from further analyses due to multi-collinearity. Multiple linear regression

174 analyses were then conducted with the remaining variables to discover which had the greatest

175 impact on changes in walking performance. The ordinal explanatory variable Charlson

176 Comorbidity Index was dichotomized and grouped to “no comorbidity” or “one or more than

177 one comorbidity”. The ordinal explanatory variable Montreal Cognitive Assessment scale

178 was dichotomized and grouped to the cutoff score  $\geq 26$  points. Changes in 6MWT were used

179 as the dependent variable. We adjusted for age, sex, comorbidity and intervention or control

180 group allocation. Case-wise diagnostics showed that one individual could be considered an

181 outlier; i.e., with an increase in 6MWT of 365 meters, but were not omitted from further

182 analysis.

183 Statistical significance was set at a  $P$  value  $< 0.05$ . The Statistical Package for the Social

184 Sciences (SPSS), version 25, was used for the analyses (SPSS Inc., Chicago, IL, U.S.A.).

186 **Results**

187 Seventy-nine patients with a mean age 63.9 (10.4) years, 29 women, mean BMI of 27.5 (4.5)

188 kg/m<sup>2</sup> were enrolled and allocated to either SMS intervention ( $n = 40$ ) or control group

189 ( $n = 39$ ). (6) Assessments were performed with a median of five (IQR 6) days after stroke or

TIA and after three months. At baseline assessments, seven individuals temporarily used a walking aid.

Table 1 gives the clinical characteristics for all individuals at baseline and changes in 6MWT.

---Insert Table 1 about here---

At baseline, 27% of participants had a BMI  $\geq 30$  (obesity), 43% had a BMI between 25 and 29.9 (overweight), and 30% had a BMI  $< 25$ . In this study, all participants could perform the 6MWT on both occasions and no adverse events occurred during testing. The mean 6MWT was 480 (105) meters. At three months, the mean 6MWT was 523 (104) meters. The mean change in 6MWT was 55 (71) and 33 (80) meters for the SMS and control groups, respectively ( $P = 0.2$ ). On average, the participants in the SMS group walked 6335 steps per day in the first week of intervention and 8173 steps per day after three months, an increase of 29% ( $n = 33$ ).

*Linear regression analyses for identification of factors related to change in walking capacity*

Table 2 show correlations of possible variables for the regression models.

---Insert Table 2 about here---

The differences in walking performance were significantly associated in a univariate analysis with baseline BMI and 6MWT at baseline (Table 3). After adjusting for age, sex, comorbidity, and group assignment, the final model still included baseline 6MWT and BMI,

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3 214 which together with age, sex, and group assignment explained 38% of the variance (Table 3).  
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5 215 Younger individuals, men, the SMS intervention group, and those with lower baseline BMI  
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7 216 and shorter 6MWT at baseline were more likely to improve their walking performance.  
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12 218 ---Insert Table 3 about here---

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17 220 **Discussion**

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20 221 In this post-hoc study, we showed in regression analyses that younger age, male sex, SMS  
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22 222 assignment, lower baseline BMI, and less distance walked in 6MWT significantly predicted  
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24 223 positive change in 6MWT three months after stroke or TIA.  
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26 224 In our study sample, those with higher age improved less in the 6MWT at three months.  
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28 225 Thus, our finding is in line with a general tendency to be less physically active at older ages  
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30 226 (14). Age-related physiological changes like reduced oxygen uptake capacity (VO<sub>2</sub> max),  
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32 227 changes in heart and lungs, loss of muscle mass and strength, more comorbidity, and  
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34 228 medications in older age may affect the intensity and ability to perform outdoor walking in  
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36 229 the present study(1, 15). However, each individual in this study could find their own  
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38 230 suggested intensity level by using the Borg scale.  
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45 232 In the present study, about 70% of the participants had a BMI above 25. Higher BMI  
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47 233 predicted less improvement in walking distance as measured with the 6MWT. It can be  
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49 234 speculated that those with obesity also were sarcopenic; i.e., displayed sarcopenic obesity,  
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51 235 which is known to affect walking performance. However, we did not collect data on muscle  
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53 236 mass in this study. In our study sample, we found weight fluctuation in both directions after  
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55 237 three months, which might have affected the results (14). A deterioration in health might be  
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57 238 seen in individuals with high BMI due to difficulties being active in daily living. This study  
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239 indicates that individuals after a stroke and with obesity need help initiating lifestyle changes  
240 to increase physical activity.

241 In a longitudinal study of cardiovascular disease secondary prevention, an inverse association  
242 between walking speed and mortality was found; with a 53% reduction in mortality risk for  
243 those with the highest walking speed (3.8–6.2 km/h). In a longitudinal study of  
244 cardiovascular disease secondary prevention, an inverse association between walking speed  
245 and mortality was found; with a 53% reduction in mortality risk for those with the highest  
246 walking speed (3.8–6.2 km/h) (16). In contrast to the present study, the participants were all  
247 women, but they had a similar risk factor profile (16). Another cross-sectional study, using a  
248 population three months or longer after stroke found that balance as measured with Berg  
249 Balance Scale was a significant predictor of free-living walking activity, and explained 13%  
250 of the variance (17). In our selected sample of individuals with high motor functions at  
251 baseline, balance was not a major problem (6).

252  
253 Fini et al. reported a mean of 4078 steps per day, six months or more after stroke.(18) The  
254 number of steps we found after the first week of SMS intervention in our sample with recent  
255 stroke and TIA is higher than that, averaging 6335 steps per day the first week of intervention  
256 and a further increase of 29% after three months. In older adults, a dose–response relationship  
257 has been observed for sedentary behavior, as well as between steps per day and mortality(19).  
258 Walking speed, steps, and distance can reflect functional status and health and is important  
259 for activities and community ambulation in daily life (13). Furthermore, this study sample  
260 included more males which could have an impact on the results since male sex was  
261 associated with greater improvement in walking performance. In a larger study (AVERT  
262 trial) with 2100 participants which examined factors associated with achievement of  
263 independent walking at three months after stroke, sex was not an associated factor (20).

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265 The reason why those that walked shorter distances at baseline improved the most is  
266 unknown, but regression towards the mean cannot be excluded. Still, in those with the  
267 poorest walking performance a smaller increase in steps may be sufficient to have a positive  
268 impact on health (21). New epidemiological studies measuring physical activity with  
269 accelerometers show that the positive effects of physical activity may have been  
270 underestimated (21). A large prospective study indicated that up to 10,000 steps a day was  
271 associated with a lower risk of cardiovascular incidence and mortality (21). Additional risk  
272 reduction was also found with steps performed at a higher intensity and there was no  
273 minimum threshold for the association between increasing steps per day with morbidity and  
274 mortality (21). This can be used to motivate the least active individuals to increase their  
275 outdoor walking and number of steps per day.

276

277 *Limitations and strengths*

278 Some methodological issues need to be addressed in this study. One limitation is that the  
279 study design calls for precaution with causal inferences. Another limitation is that our  
280 findings cannot be generalized to more disabled community-living individuals after a stroke  
281 or to individuals with chronic stroke. However, since we included participants at the hospital  
282 with different socio-economic statuses and educational backgrounds, we believe this sample  
283 to be representative of the acute stroke and TIA population with fewer motor deficits. Finally,  
284 the study is relatively small, making the study prone to bias, and all patients are from a single  
285 center in Sweden, therefore reducing the generalizability of the results.

286 One strength of the present study is that study data were drawn from a randomized controlled  
287 trial and that we used established outcome measures.



288

**Conclusion:** In summary, younger age, male sex, comorbidity, lower BMI, shorter 6MWT at baseline, and allocation to the SMS group contributed most to improvement in walking performance in patients with a recent stroke or TIA. These factors may be important to consider when planning SMS or similar rehabilitation services. Cost-effective and easy delivered interventions for individuals with minor stroke or TIA still requires further targeted research.

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**Contributors:** This study was conceived, organized and managed by BV, SE, UH, TC and EL. BV acts as a guarantor of the study. All authors listed above contributed to the study



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design and data interpretation. Writing of the first draft of the paper was done by BMV and all authors were involved in preparation and critique of the manuscript and reviewed the paper prior to submission.

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**Competing interests:** The author(s) report that there are no competing interests to declare.

**Ethics approval:** This study involves human participants and was approved by the regional Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550.

**Data availability statement:** The data set associated with this work is available from the corresponding author upon reasonable request.

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338 **Figure:** None.

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Table 1. Baseline characteristics of all patients in the study with  $\geq 34$  meters increase in the six-minute walking test at three months versus patients with  $< 34$  meters increase in the six-minute walking test.

	Study population	Changes in the 6-minute walking test			
	Baseline	$\geq 34$ m (n=38)	$< 34$ m (n=38)	P-value	Missing values, n (%)
Age, mean (SD)	63.9 (10.4)	61.9 (9.1)	65.7 (11.1)	0.01	0
Female, n (%)	29 (36.7)	11 (28.9)	18 (43.2)	0.17	0
SMS group, n (%)	40 (50.6)	26 (65.0)	14 (35.0)	0.002	0
Control group, n (%)	39 (49.4)	12 (30.8)	27 (69.2)		
modified Rankin Scale, 0-2				0.47	0
0	11 (13.9)	6 (54.5)	5 (45.5)		
1	53 (67.1)	23 (43.4)	30 (56.6)		
2	15 (19.0)	9 (60.0)	6 (40.0)		
Diagnosis, n (%)				0.70	0

Cerebral infarction	66 (83.5)	29 (50.9)	28 (49.1)		
Intracerebral hemorrhage	9 (11.4)	4 (44.4)	5 (55.6)		
TIA	13 (16.5)	8 (61.5)	5 (38.5)		
Charlson Comorbidity Index, n (%)					0
No comorbidity	47 (59.5)	25 (53.2)	22 (46.8)	0.27	
≥1	32 (40.5)	13 (40.6)	19 (59.4)		
BMI, mean (SD)	27.5 (4.5)	26.55 (3.77)	28.39 (5.11)	0.14	0
Diabetes mellitus-2, yes n (%)	12 (15.2)	5 (13.2)	7 (17.1)	0.63	0
University studies, yes n (%)	40 (62)	16 (42.1)	24 (58.9)	0.14	0
Non-smoking, n (%)	71 (90)	33 (86.8)	38 (92.9)	0.39	0
Step counts (SMS group), mean (SD)	6335 (2747)	6612 (2741)	5757 (2886)	0.61	3 (7.5)
SGPALS, n (%)					0
Sedentary	11 (13.9)	6 (54.5)	5 (45.5)	0.47	
Light Physical Activity	53 (67.1)	23 (43.4)	30 (56.6)		
Moderate/High Physical Activity	15 (19.0)	9 (60.0)	6 (40.0)		
P-HDL cholesterol, (mmol/l), mean (SD)	1.32 (0.39)	1.35 (0.45)	1.26 (0.32)	0.44	3 (3.8)

P-LDL cholesterol, (mmol/l), mean (SD)	3.16 (1.09)	3.18 (1.16)	3.13 (1.14)	0.86	3 (3.8)
P-Cholesterol, (mmol/l), mean (SD)	5.13 (1.20)	5.16 (1.30)	5.13 (1.22)	0.86	3 (3.8)
P-Triglycerides, (mmol/l), mean (SD)	1.35 (0.63)	1.20 (0.46)	1.50 (0.63)	0.03	3 (3.8)
P-HbA1C, mmol/mol), mean (SD)	38.0 (7.54)	37.73 (8.33)	38.26 (6.54)	0.47	3 (3.8)
P-C reactive protein (mg/L), mean (SD)	4.92 (19.40)	6.80 (27.80)	3.20 (3.12)	0.20	6 (7.6)
P-Creatinine (mmol/L), mean (SD)	85.12 (25.53)	90.80	78.97 (11.09)	0.11	2 (2.6)
SBP, (mm HG), mean (SD)	130.63 (16.56)	129.8 (16.17)	131.36 (16.09)	0.81	4 (5)
DBP, (mm HG), mean (SD)	76.08 (11.0)	79.17 (11.24)	73.23 (11.09)	0.02	4 (5)

Abbreviations: BMI, body mass index; CRP, C-reactive protein; DBP, diastolic blood pressure; HbA1C, glycated hemoglobin; HDL, high-density lipoprotein; LDL, low-density lipoprotein; SGPALS, Saltin Grimby Physical Activity Scale; SMS, short message service group; SBP, systolic blood pressure



**Table 2.** Correlation of the variables used in the regression models.

	Change 6-minute walking test	P-value
Age (years)	-0.203	0.07
Sex (female)	-0.127	0.26
Charlson Comorbidity Index ( $\geq 1$ comorbidity)	-0.198	0.08
Group (SMS/control)	0.237*	0.036
BMI, (kg/m <sup>2</sup> )	-225*	0.046
6-minute walking test, baseline (meters)	-0.38**	<0.001
Chair-stand test, (seconds)	0.194	0.086
Montreal Cognitive Assessment, ( $\geq 26$ points)	-0.034	0.78
Saltin Grimby Physical Activity Level Scale (Sedentary, light physical activity, moderate/high physical activity)	0.078	0.49

**Table 3.** Univariate and multivariate regression analyses with changes in walking performance (6-minute walking test) as the dependent variable and age, sex, group allocation, comorbidity, baseline BMI-, chair-stand test-, cognition-, and 6-minute walk test as explanatory variables in individuals after stroke and TIA.

	Univariate analysis				Multivariate analysis		
	Beta standardized	Adjusted R square	95% CI	P	Beta standardized	95% CI	P
Age, yrs	-203	0.029	-3.1 to 0.1	0.073	0.33	-3.8 to -1.05	<0.001
Sex, female	-0.121	0.002	-54.4 to 16.4	0.29	0.25	-68.8 to -10.6	0.008
CCI, $\geq 1$ comorbidity	-0.19	0.024	-63.8 to 4.9	0.09	0.15	-53.7 to 6.4	0.12
Group, SMS	0.145	0.008	-12.1 to 55.9	0.20	0.17	-2.0 to 52.1	0.07
BMI, kg/m <sup>2</sup>	-0.225	0.038	-7.5 to -0.07	0.046	0.28	-7.8 to -1.6	0.004
6-minute walk test, meters	-0.38	0.134	-0.4 to -0.1	<0.001	0.56	-0.5 to -0.3	<0.001

Abbreviations: CCI, Charlson Comorbidity Index; SMS, short message service; MoCA, Montreal Cognitive Assessment

The adjusted R square for the multivariate analysis was 0.38.

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported
Objectives	3	State specific objectives, including any prespecified hypotheses
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses

Continued on next page

<b>Results</b>		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
<b>Discussion</b>		
Key results	18	Summarise key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability	21	Discuss the generalisability (external validity) of the study results
<b>Other information</b>		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Factors associated with changes in walking performance in individuals three months after stroke or TIA—secondary analyses from a randomized controlled trial of SMS-delivered training instructions in Sweden

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<b>Primary Subject Heading</b>:	Rehabilitation medicine
Secondary Subject Heading:	Neurology, Geriatric medicine, Public health
Keywords:	Stroke < NEUROLOGY, Risk Factors, REHABILITATION MEDICINE, Clinical Trial

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**Factors associated with changes in walking performance in individuals three months after stroke or TIA—secondary analyses from a randomized controlled trial of SMS-delivered training instructions in Sweden**

Short title: Predicting changes in walking performance three months after recent stroke and TIA in Sweden.

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**Keywords:** stroke, risk factors, rehabilitation medicine, clinical trial

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**ABSTRACT:**

**Objectives:** To identify factors related to changes in walking performance in individuals 3 months after a stroke or TIA.

**Design:** Cross-sectional study with post-hoc analysis of a randomized controlled study.

**Setting:** University Hospital, Sweden.

**Participants:** 79 individuals, 64 (10) years, 37% women, who were acutely hospitalized because of stroke or TIA between November 2016 and December 2018. Inclusion criteria were patients aged 18 or above and the major eligibility criteria were the ability to perform the 6-minute walking test.

**Intervention:** The intervention group received standard care plus daily mobile phone text messages (SMS) with instructions to perform regular outdoor walking and functional leg exercises in combination with step counting and training diaries. The control group received standard care.

**Outcome measures:** Multivariate analysis was performed and age, sex, group allocation, comorbidity, baseline 6-minute walk test, BMI, cognition, and chair-stand tests were entered as possible determinants for changes in the 6-minute walk test.

**Results:** Multiple regression analyses showed that age (Standardized Beta -0.33, 95% CI -3.8 to -1.05,  $P<0.001$ ), sex (-0.24, 95% CI -66.9 to -8.0,  $P = 0.014$ ), no comorbidity (-0.16, 95% CI -55.5 to 5.4,  $P = 0.12$ ), baseline BMI (-0.29, 95% CI -8.1 to -1.6,  $P = 0.004$ ), baseline 6-minute walk test (-0.55, 95% CI -0.5 to -0.3,  $P <0.001$ ) were associated with changes in 6-minute walk test three months after the stroke event. The regression model described 36% of the variance in changes in the 6-minute walk test.



**Conclusions:** Post-hoc regression analyses indicated that younger age, male sex, lower BMI, and shorter 6-minute walk test at baseline and possible no comorbidity contributed to improvement in walking performance at three months in patients with a recent stroke or TIA. These factors may be important when planning secondary prevention actions.

**Clinical Trial Registry:** ClinicalTrial.gov, number NCT02902367

## STRENGTHS AND LIMITATIONS OF THIS STUDY

Study data were drawn from a randomized controlled trial and we used established outcome measures.

The study design calls for precaution with causal inferences.

Our findings cannot be generalized to more disabled community-living individuals after a stroke or to individuals with chronic stroke.

The study is relatively small, making the study prone to bias, and all patients are from a single center in Sweden, therefore reducing the generalizability of the results.

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73   **Introduction**

74   Approximately three out of four stroke incidents can be attributed to behavioral risk factors—

75   for example, unhealthy diets and sedentary lifestyles including low levels of physical activity

76   (PA) (1, 2). Individuals after a stroke are predisposed to functional limitations and a

77   sedentary lifestyle, contributing to the risk of recurrent stroke or cardiovascular complications

78   (1, 3).

79

80   Outdoor walking is cost-effective and generally easy to perform. A review showed an

81   average of 4000 steps in the chronic phase after stroke, which is far below the recommended

82   10,000 steps a day to meet the guidelines for physical activity (3, 4). In high-functioning

83   individuals with stroke, physical activity including walking is low, although we know that

84   walking after discharge from the hospital is important for secondary prevention, minimizing

85   disability, and promoting long-term metabolic health (5, 6). Walking ability and better

86   balance are associated with higher PA levels in everyday life after stroke and lower mood is

87   related to low PA in people with chronic stroke (6, 7). Hence, in the work with secondary

88   prevention, actions to increase walking performance soon after a stroke or TIA are needed,

89   and, in this work knowledge about factors associated with changes in walking distance is

90   important (8). Both stroke and TIA indicate ongoing arteriosclerotic changes in the vessels

91   that can lead to further cardiovascular events and PA is known to decrease the risk of stroke,

92   TIA, and myocardial infarction (9-11). There is a lack of studies investigating changes in

93   walking performance in high-functioning individuals soon after stroke and TIA, and the few

94   studies tend to be conducted months after stroke with a narrow focus on physical functions,

95   overlooking cognition and cardiometabolic risk markers (8, 12).

96

In the STROKEWALK study, a previously-reported randomized controlled trial, we found that individuals receiving text messages for three months after stroke and TIA coupled with add-on interventions using a training diary and step counts improved walking distance and chair-rising performance (13). The present study aims to further study how various baseline characteristics including cardio-metabolic risk markers relate to changes in the 6-minute walk test, using secondary analyses of data from the STROKEWALK study.

## Methods

### *Study design*

This is a post-hoc analysis of the STROKEWALK randomized controlled study performed at the Uppsala University Hospital in Sweden. Determination of sample size, recruitment, data collection, and random allocation procedures have been previously described in detail (13). Ethical approval was obtained from the regional Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550. The present study report follows the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for reporting cross-sectional studies (14) and written consent was obtained from all participants.

Recruitment was initiated in November 2016, and the last three-month follow-up assessment was performed in December 2018. Included were participants aged 18 or above with TIA or verified stroke (infarction or intracerebral hemorrhage with first or recurrent event) with sufficient cognition (Montreal Cognitive Assessment scale, MoCA  $\geq 26$  points), general disability (modified Rankin Scale  $\geq 2$ ), and good enough walking performance; i.e., ability to perform the six-minute walking test (with or without a walking aid) (13). The exclusion criteria were known subarachnoid hemorrhage, medical problems such as uncontrolled

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121   hypertension, untreated arrhythmias, unstable cardiovascular conditions, a dementia  
122   diagnosis, severe aphasia, severe psychiatric problems, or cognitive impairment with  
123   difficulties understanding instructions (13).  
  
124   *Study outcomes*  
  
125   The 6-minute walk test was used to measure the maximal walking distance during six  
126   minutes over a 30-meter course. Changes are described as differences in walking distance at  
127   three months (13, 15).  
  
128   *Baseline assessments*  
  
129   The modified Rankin scale was used to assess general disability and is scored from 0 (no  
130   symptoms) to 6 (dead) (13, 16).  
  
131   Cognitive function at baseline was assessed using the Montreal Cognitive Assessment scale  
132   (0–30 points),(13, 17) with a higher value indicating better function.  
  
133   The Charlson Comorbidity Index (CCI) was used to classify comorbid conditions (13, 18).  
  
134   The last registration of supine blood pressure was recorded manually before discharge from  
135   the hospital. Smoking and education levels were assessed by yes or no answers to the  
136   questions: ‘Are you a smoker at this time of your life?’ and ‘Do you have a university  
137   degree?’ For the chair-stand test, the participant was instructed to rise from a seated position  
138   without support as quickly as possible five times in a row (13, 19). The test was performed  
139   with standardized instructions from the Short Physical Performance Battery (13, 20). The  
140   chair-stand test was a measure of lower body strength and the severity of the lower limb  
141   impairment.

142 The 10-meter walk test was used to measure comfortable walking speed (13, 21).

143 From the patient's medical records, cardio-metabolic biochemical risk factors such as total,  
144 LDL, and HDL cholesterol, C-reactive protein (CRP), and triglycerides were analyzed at  
145 hospital admission and diagnoses such as diabetes, hypertension, hypercholesterolemia, and  
146 cardiac heart failure were registered (22). Biochemical analyses were performed by  
147 accredited methods of the Clinical Chemistry Laboratory at the Uppsala University Hospital,  
148 Uppsala. Glycated hemoglobin (HbA1C) was analyzed using non-fasting venous blood  
149 samples.

150 Body mass index was calculated as body weight (kg) divided by height (m) squared. Weight  
151 was recorded with participants wearing light indoor clothing. Height was measured to the  
152 nearest cm. A hip-mounted pedometer at weeks 1 and 12 was used for daily step count by  
153 individuals in the SMS group (Yamax, SW-200).

154

#### 155 *The SMS intervention group*

156 The SMS-intervention group received daily text SMS (no cost for the participants) as an  
157 addition to standard care with simple instructions on what and how to exercise for three  
158 months. The intervention in the SMS group was comprised of three different strategies: 1)  
159 three months of daily SMS-text messages, 2) training diaries, and 3) pedometers for step  
160 counts for the first and last week of intervention (13). The text messages gave instructions on  
161 how to exercise to increase walking endurance and strength of the lower body, without the  
162 possibility of texting back for help or advice.

#### 163 *The control group*

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164 Patients in the control group were given standard stroke unit care. They had no restrictions  
165 regarding physical activity, exercise, or taking part in rehabilitation services, and were given  
166 standard recommendations. The control group did not use pedometers since it was considered  
167 to be a part of the intervention.

168  
**Patient and Public Involvement Statement:** A previous pilot study was conducted to test  
169 the design of the randomized controlled trial. The intervention was designed in collaboration  
170 between individuals with stroke and TIA, healthcare professionals, and researchers.  
171

172  
173 *Statistics*

174 In the STROKEWALK study, a sample size of 80 individuals (including a possible drop-out  
175 rate of 20%) was determined to provide secure power to detect a 34-meter clinically relevant  
176 mean difference in the 6-minute walking test (13). An intention-to-treat analysis was applied  
177 for all missing values (dropouts), and the change was assumed to be zero. Thus, follow-up  
178 data for dropouts were registered with a baseline carry-forward approach. Descriptive data  
179 are reported as means (SD) and medians (IQR). According to the histogram, normal Q–Q  
180 plots, and the Kolmogorov–Smirnov test, data on changes in the 6-minute walk test were  
181 normally distributed. Case-wise diagnostics and standardized residuals were used to identify  
182 potential outliers. Differences in step counts from baseline to three months for the SMS  
183 group were calculated with the Student’s paired-sample t-test. Baseline differences between  
184 those that improved  $\geq 34$  meters or  $< 34$  meters in the 6-minute walk test were assessed using  
185 the Student’s t-test for continuous, normally distributed variables, and the Mann Whitney-U  
186 test was applied for ordinal or non-normally distributed variables. The Chi-square test was  
187 used for categorical variables. The cut-off of 34 meters was used for power analyses in the  
188 original study (13).

189 In the regression analyses, baseline explanatory variables for changes in the 6-minute walk  
190 test were first identified by correlation and univariate regression analyses ( $P < 0.05$ ).  
191 Correlation strength was calculated using Spearman's rho for non-parametric data or a  
192 Pearson correlation for continuous normally distributed variables. The identified variables  
193 were checked for multi-collinearity by correlation analysis and cross-tabulation and if the  
194 correlation coefficient was 0.80 or more the variable with the lowest  $r$  in relation to the  
195 dependent variable was omitted from further regression analysis. The baseline 10-meter walk  
196 test was omitted from further analyses due to multi-collinearity. Multiple linear regression  
197 analyses were then conducted with the remaining variables to discover which had the greatest  
198 impact on changes in walking performance. The ordinal explanatory variable Charlson  
199 Comorbidity Index was dichotomized and grouped into "no comorbidity" or "one or more  
200 than one comorbidity". The ordinal explanatory variable Montreal Cognitive Assessment  
201 scale was dichotomized and grouped to the cutoff score  $\geq 26$  points. Changes in 6MWT were  
202 used as the dependent variable. We adjusted for age, sex, and comorbidity. Case-wise  
203 diagnostics showed that one individual could be considered an outlier; i.e., with an increase  
204 in 6-minute walk test of 365 meters, but were not omitted from further analysis. In this study,  
205 a sensitivity analysis with complete case analyses was also carried out. The univariate and  
206 multivariate regression analyses were conducted leaving out subjects that dropped out from  
207 the study ( $n=8$ ).  
208 Statistical significance was set at a  $P$  value  $<0.05$ . The Statistical Package for the Social  
209 Sciences (SPSS), version 28, was used for the analyses (SPSS Inc., Chicago, IL, U.S.A.).

210

## 211 Results

212 Seventy-nine patients with a mean age of 63.9 (10.4) years, 29 women, mean BMI of 27.5  
213 ( $4.5 \text{ kg/m}^2$ ) were enrolled and allocated to either SMS intervention ( $n=40$ ) or control group



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(n =39) (13). Assessments were performed with a median of five (IQR 6) days after stroke or TIA and after three months. At baseline assessments, seven individuals temporarily used a walking aid. A majority of the included individuals did not receive rehabilitation until the measurement point of three months. Seventy-one individuals remained in the study at three months and eight individuals had dropped out.

Table 1 gives the clinical characteristics for all individuals at baseline and changes in the 6-minute walk test.

---Insert Table 1 about here---

At baseline, 27% of participants had a BMI  $\geq 30$  (obesity), 43% had a BMI between 25 and 29.9 (overweight), and 30% had a BMI  $< 25$ . In this study, all participants could perform the 6-minute walk test on both occasions and no adverse events occurred during testing. At baseline, the median (IQR) 6-minute walk test was 478 (141) meters. At three months, the median 6-minute walk test was 538 (158) meters. The median (IQR) change in the 6-minute walk test after three months was 57 (63) and 23 (73) meters for the SMS and control groups, respectively ( $P = 0.037$ ) (13). On average, the participants in the SMS group walked 6335 steps per day in the first week of intervention and 8173 steps per day after three months ( $P < 0.001$ ), an increase of 29% (n =33).

*Linear regression analyses for the identification of factors related to changes in walking capacity*

Table 2 shows correlations of possible variables for the regression models.

---Insert Table 2 about here---

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239 The differences in walking performance were significantly associated in a univariate analysis  
240 with baseline BMI and the 6-minute walk test at baseline (Table 3). After adjusting for age,  
241 sex, and comorbidity, the final model still included baseline 6MWT and BMI, which together  
242 with age, sex, and no comorbidity explained 36% of the variance (Table 3). Younger  
243 individuals, men, and those with no comorbidity, lower baseline BMI, and shorter 6-minute  
244 walk test at baseline were more likely to improve their walking performance.

245

246 *---Insert Table 3 about here---*

247

248 The sensitivity analyses showed that BMI was no longer a strong predictor for changes in the  
249 6-minute walk test in the complete case analyses (n=71). Thus, complete case analyses are  
250 presented in Table 4.

251

252 *---Insert Table 4 about here---*

253

## 254 Discussion

255 In this post hoc study, we showed in regression analyses that younger age, male sex, no  
256 comorbidity, lower baseline BMI, and less distance walked in 6-minute walk test  
257 significantly predicted positive change in 6-minute walk test three months after stroke or  
258 TIA.

259 In our study sample, those with higher age improved less in the 6-minute walk test at three  
260 months. Thus, our finding is in line with a general tendency to be less physically active at  
261 older ages (14). Age-related physiological changes like reduced oxygen uptake capacity (VO<sub>2</sub>  
262 max), changes in heart and lungs, loss of muscle mass and strength, more comorbidity, and

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medications in older age may affect the intensity and ability to perform outdoor walking in the present study (1, 23). However, each individual in this study could find their own suggested intensity level by using the Borg scale.

In the present study, about 70% of the participants had a BMI above 25. Higher BMI predicted less improvement in walking distance as measured with the 6-minute walk test. It can be speculated that those with obesity also were sarcopenic; i.e., displayed sarcopenic obesity, which is known to affect walking performance (23). In our study sample, we found weight fluctuation in both directions after three months, which might have affected the results (22). A deterioration in health might be seen in individuals with high BMI due to difficulties being active in daily living. This study indicates that individuals after a stroke and with obesity need help initiating lifestyle changes to increase physical activity.

In a longitudinal study of cardiovascular disease secondary prevention, an inverse association between walking speed and mortality was found; with a 53% reduction in mortality risk for those with the highest walking speed (3.8–6.2 km/h) (24). In contrast to the present study, the participants were all women, but they had a similar risk factor profile (24). Another cross-sectional study, using a population three months or longer after stroke found that balance as measured with the Berg Balance Scale was a significant predictor of free-living walking activity, and explained 13% of the variance (12). In our selected sample of individuals with high motor functions at baseline, balance was not a major problem (13).

Fini et al. reported a mean of 4078 steps per day, six months or more after stroke. (25) The number of steps we found after the first week of SMS intervention in our sample with recent stroke and TIA is higher than that, averaging 6335 steps per day the first week of intervention and a further increase of 29% after three months. In older adults, a dose–response relationship

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has been observed for sedentary behavior, as well as between steps per day and mortality (26). Walking speed, steps, and distance can reflect functional status and health and are important for activities and community ambulation in daily life (21). Furthermore, this study sample included more males which could have an impact on the results since male sex was associated with greater improvement in walking performance. In contrast to this study with individuals walking independently at study start, gender did not affect the outcome of a larger study (AVERT trial) examining factors associated with walking recovery post-stroke (27).

295

The reason why those who walked shorter distances at baseline improved the most is unknown, but regression toward the mean cannot be excluded. Still, in those with the poorest walking performance, a smaller increase in steps may be sufficient to have a positive impact on health (28). New epidemiological studies measuring physical activity with accelerometers show that the positive effects of physical activity may have been underestimated (28). A large prospective study indicated that up to 10,000 steps a day were associated with a lower risk of cardiovascular incidence and mortality (28). Additional risk reduction was also found with steps performed at a higher intensity and there was no minimum threshold for the association between increasing steps per day with morbidity and mortality (28). This can be used to motivate the least active individuals to increase their outdoor walking and number of steps per day.

307

### 308 *Limitations and strengths*

Some methodological issues need to be addressed in this study. One limitation is that the study design calls for precaution with causal inferences. Another limitation is that our findings cannot be generalized to more disabled community-living individuals after a stroke

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312 or to individuals with chronic stroke. However, since we included participants at the hospital  
313 with different socio-economic statuses and educational backgrounds, we believe this sample  
314 to be representative of the acute stroke and TIA population with fewer motor deficits. Finally,  
315 the study is relatively small, making the study prone to bias, and all patients are from a single  
316 center in Sweden, therefore reducing the generalizability of the results.

317 One strength of the present study is that study data were drawn from a randomized controlled  
318 trial and that we used established outcome measures.

319  
320 **Conclusion:** In summary, younger age, male sex, no comorbidity, lower BMI, and shorter 6-  
321 minute walk test at baseline contributed most to improvement in walking performance in  
322 patients with a recent stroke or TIA. These factors may be important when planning  
323 secondary prevention actions. Cost-effective and easily delivered interventions for  
324 individuals with minor stroke or TIA to increase walking distance still require further  
325 targeted research.

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337

338 **Contributors:** This study was conceived, organized, and managed by BV, SE, UH, and EL.  
339 BV acts as a guarantor of the study. All authors listed above contributed to the study design  
340 and data interpretation. Writing of the first draft of the paper was done by BMV and all  
341 authors were involved in the preparation and critique of the manuscript and reviewed the  
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347

348 **Patient consent for publication:** Not applicable.

349

350

351 **Competing interests:** The author(s) report that there are no competing interests to declare.

352

353 **Ethics approval:** This study involves human participants and was approved by the regional  
354 Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550.

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356 **Data availability statement:** The data set associated with this work is available from the  
357 corresponding author upon reasonable request.

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**Figure:** None.

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475 **Table 1.** Baseline characteristics of all patients in the study with  $\geq 34$  meters increase in the476 six-minute walking test at three months versus patients with  $< 34$  meters increase in the six-

477 minute walking test. The Student's t-test was used for continuous, normally distributed

478 variables, and the Mann Whitney-U test was applied for ordinal or non-normally distributed

479 variables. The Chi-square test was used for categorical variables.

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	Study population	Changes in the 6-minute walking test			
	Baseline	$\geq 34$ m (n=38)	$< 34$ m (n=41)	P-value	Missing values, n (%)
Age, mean (SD)	63.9 (10.4)	61.9 (9.1)	65.7 (11.2)	0.01	0
Female, n (%)	29 (36.7)	11 (28.9)	18 (43.9)	0.17	0
SMS group, n (%)	40 (50.6)	26 (65.0)	14 (35.0)	0.002	0
Control group, n (%)	39 (49.4)	12 (30.8)	27 (69.2)		
modified Rankin Scale, 0-2				0.47	0
0	11 (13.9)	6 (54.5)	5 (45.5)		
1	53 (67.1)	23 (43.4)	30 (56.6)		
2	15 (19.0)	9 (60.0)	6 (40.0)		
Diagnosis, n (%)				0.70	0
Cerebral infarction	66 (83.5)	29 (50.9)	28 (49.1)		
Intracerebral hemorrhage	9 (11.4)	4 (44.4)	5 (55.6)		
TIA	13 (16.5)	8 (61.5)	5 (38.5)		

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Charlson Comorbidity Index, n (%)					0
No comorbidity	47 (59.5)	25 (53.2)	22 (46.8)	0.27	
≥1	32 (40.5)	13 (40.6)	19 (59.4)		
BMI, mean (SD)	27.5 (4.5)	26.55 (3.77)	28.39 (5.03)	0.14	0
Diabetes mellitus-2, yes n (%)	12 (15.2)	5 (13.2)	7 (17.1)	0.63	0
University studies, yes n (%)	40 (62)	16 (42.1)	24 (58.5)	0.14	0
Non-smoking, n (%)	71 (90)	33 (86.8)	38 (92.7)	0.39	0
Step counts (SMS group), mean (SD)	6335 (2747)	6612 (2741)	5757 (2786)	0.61	3 (7.5)
SGPALS, n (%)				0.47	0
Sedentary	11 (13.9)	6 (54.5)	5 (45.5)		
Light Physical Activity	53 (67.1)	23 (43.4)	30 (56.6)		
Moderate/High Physical Activity	15 (19.0)	9 (60.0)	6 (40.0)		
P-HDL cholesterol, (mmol/l), mean (SD)	1.32 (0.39)	1.35 (0.45)	1.26 (0.32)	0.44	3 (3.8)
P-LDL cholesterol, (mmol/l), mean (SD)	3.16 (1.09)	3.18 (1.16)	3.13 (1.04)	0.86	3 (3.8)
P-Cholesterol, (mmol/l), mean (SD)	5.13 (1.20)	5.16 (1.30)	5.13 (1.12)	0.86	3 (3.8)
P-Triglycerides, (mmol/l), mean (SD)	1.35 (0.63)	1.20 (0.46)	1.50 (0.74)	0.03	3 (3.8)
P-HbA1C, mmol/mol), mean (SD)	38.0 (7.54)	37.73 (8.33)	38.26 (6.77)	0.47	3 (3.8)
P-C reactive protein (mg/L), mean (SD)	4.92 (19.40)	6.80 (27.80)	3.20 (3.95)	0.20	6 (7.6)
P-Creatinine (mmol/L), mean (SD)	85.12 (25.53)	90.80	78.97 (17.83)	0.11	2 (2.6)
SBP, (mm HG), mean (SD)	130.63(16.56)	129.80 (16.17)	131.36 (17.09)	0.81	4 (5)
DBP, (mm HG), mean (SD)	76.08 (11.0)	79.17 (11.24)	73.23 (10.09)	0.02	4 (5)

Abbreviations: BMI, body mass index, CRP, C-reactive protein; DBP, diastolic blood pressure; HbA1C, glycated hemoglobin; HDL, high-density lipoprotein; LDL, low-density

lipoprotein; SGPALS, Saltin Grimby Physical Activity Scale; SMS, short message service group; SBP, systolic blood pressure

**Table 2.** Correlation of the variables used in the regression models and changes in walking performance. Correlation strength was calculated using Spearman's rho for non-parametric data or Pearson correlation for continuous normally distributed variables.

	Change 6-minute walking test, <i>r</i>	P-value
Age (years)	-0.39	<0.001
Sex (female)	-0.13	0.26
CCI ( $\geq 1$ comorbidity)	-0.20	0.08
BMI, (kg/m <sup>2</sup> )	-0.23	0.046
6-minute walking test, baseline (meters)	-0.38	<0.001
Chair-stand test, (seconds)	0.19	0.086
Montreal Cognitive Assessment scale, ( $\geq 26$ points),	0.014	0.91
Saltin Grimby Physical Activity Level Scale (Sedentary, light physical activity, moderate/high physical activity)	0.078	0.49

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

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**Table 3.** Univariate and multivariate regression analyses with changes in walking performance (6-minute walking test) as the dependent variable and age, sex, comorbidity, baseline BMI-, and baseline 6-minute walk test as independent variables in individuals after stroke and TIA. An intention-to-treat analysis was performed with drop-outs included (n=8).

	Univariate analysis				Multivariate analysis		
	Beta standardized	Adjusted R square	95% CI	P	Beta standardized	95% CI	P
Age, yrs	-0.20	0.029	-3.1 to 0.1	0.073	-0.33	-1.0 to -0.1	<0.001
Sex, female	-0.12	0.002	-54.4 to 16.4	0.29	-0.24	-6.6 to -8.0	0.014
CCI, $\geq 1$ comorbidity	-0.19	0.024	-63.8 to 4.9	0.09	-0.16	-5.5 to 5.4	0.12
BMI, kg/m <sup>2</sup>	-0.23	0.038	-7.5 to -0.07	0.046	-0.29	-8.1 to -1.6	0.004
6-minute walk test, meters	-0.38	0.134	-0.4 to -0.1	<0.001	-0.55	-0.7 to -0.3	<0.001

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

The adjusted R square for the multivariate analysis is 0.36.

**Table 4.** Sensitivity analysis: Univariate and multivariate regression analyses with changes in walking performance (6-minute walking test) as the dependent variable and age, sex, comorbidity, baseline BMI-, and baseline 6-minute walk test as independent variables in individuals after stroke and TIA. In the sensitivity analysis, individuals with missing data at three months were not analyzed (n=8)

	Univariate analysis				Multivariate analysis		
	Beta standardized	Adjusted R square	95% CI	P	Beta standardized	95% CI	P
Age, yrs	-0.25	0.05	-3.9 to -0.2	0.03	-0.33	-4.3 to -1.1	0.002
Sex, female	-0.11	-0.002	-57.5 to 20.8	0.35	-0.24	-2.7 to -7.1	0.018
CCI, ≥1 comorbidity	-0.18	0.018	-66.6 to 9.7	0.14	-0.22	-7.4 to -2.6	0.035
BMI, kg/m <sup>2</sup>	-0.21	0.031	-8.1 to 0.43	0.08			
6-minute walk test, meters	-0.42	0.16	-0.47 to -0.15	<0.001	-0.55	-1.56 to -0.26	<0.001

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

The adjusted R square for the multivariate analysis is 0.34.

# CONSORT CHECKLIST

**Table.** CONSORT 2010 Checklist of Information to Include When Reporting a Randomized Trial<sup>a</sup>

Section and Topic	Item No.	Checklist Item	Reported on Page No.
Title and abstract	1a	Identification as a randomized trial in the title	
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	
Introduction Background and objectives	2a	Scientific background and explanation of rationale	
	2b	Specific objectives or hypotheses	
Methods Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	
Participants	4a	Eligibility criteria for participants	
	4b	Settings and locations where the data were collected	
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	
Outcomes	6a	Completely defined prespecified primary and secondary outcome measures, including how and when they were assessed	
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	
	7b	When applicable, explanation of any interim analyses and stopping guidelines	
Randomization Sequence generation	8a	Method used to generate the random allocation sequence	
	8b	Type of randomization; details of any restriction (such as blocking and block size)	
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	
	11b	If relevant, description of the similarity of interventions	
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	
Results Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analyzed for the primary outcome	
	13b	For each group, losses and exclusions after randomization, together with reasons	
Recruitment	14a	Dates defining the periods of recruitment and follow-up	
	14b	Why the trial ended or was stopped	
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	
Numbers analyzed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing prespecified from exploratory	
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	
Comment Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	
	21	Generalizability (external validity, applicability) of the trial findings	
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	
Other information Registration	23	Registration number and name of trial registry	
	24	Where the full trial protocol can be accessed, if available	
	25	Sources of funding and other support (such as supply of drugs), role of funders	

<sup>a</sup>We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomized trials, noninferiority and equivalence trials, nonpharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up-to-date references relevant to this checklist, see <http://www.consort-statement.org>.

# BMJ Open

## Factors associated with changes in walking performance in individuals three months after stroke or TIA—secondary analyses from a randomized controlled trial of SMS-delivered training instructions in Sweden

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Keywords:	Stroke < NEUROLOGY, Risk Factors, REHABILITATION MEDICINE, Clinical Trial

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**Factors associated with changes in walking performance in individuals three months after stroke or TIA—secondary analyses from a randomized controlled trial of SMS-delivered training instructions in Sweden**

Short title: Predicting changes in walking performance three months after recent stroke and TIA in Sweden.

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Word count main text: 3133

**Keywords:** stroke, risk factors, rehabilitation medicine, clinical trial

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**ABSTRACT:**

**Objectives:** To identify factors related to changes in walking performance in individuals 3 months after a stroke or TIA.

**Design:** Cross-sectional study with post-hoc analysis of a randomized controlled study.

**Setting:** University Hospital, Sweden.

**Participants:** 79 individuals, 64 (10) years, 37% women, who were acutely hospitalized because of stroke or TIA between November 2016 and December 2018. Inclusion criteria were patients aged 18 or above and the major eligibility criterion was the ability to perform the 6-minute walking test.

**Intervention:** The intervention group received standard care plus daily mobile phone text messages (SMS) with instructions to perform regular outdoor walking and functional leg exercises in combination with step counting and training diaries. The control group received standard care.

**Outcome measures:** Multivariate analysis was performed and age, sex, group allocation, comorbidity, baseline 6-minute walk test, BMI, cognition, and chair-stand tests were entered as possible determinants for changes in the 6-minute walk test.

**Results:** Multiple regression analyses showed that age (Standardized Beta -0.33, 95% CI -3.8 to -1.05,  $P<0.001$ ), sex (-0.24, 95% CI -66.9 to -8.0,  $P = 0.014$ ), no comorbidity (-0.16, 95% CI -55.5 to 5.4,  $P = 0.11$ ), baseline BMI (-0.29, 95% CI -8.1 to -1.6,  $P = 0.004$ ), baseline 6-minute walk test (-0.55, 95% CI -0.5 to -0.3,  $P <0.001$ ) were associated with changes in 6-minute walk test three months after the stroke event. The regression model described 36% of the variance in changes in the 6-minute walk test.

**Conclusions:** Post-hoc regression analyses indicated that younger age, male sex, lower BMI, and shorter 6-minute walk test at baseline and possible no comorbidity contributed to improvement in walking performance at three months in patients with a recent stroke or TIA. These factors may be important when planning secondary prevention actions.

**Clinical Trial Registry:** ClinicalTrial.gov, number NCT02902367

## STRENGTHS AND LIMITATIONS OF THIS STUDY

Study data were drawn from a randomized controlled trial and we used established outcome measures.

The study design calls for precaution with causal inferences.

Our findings cannot be generalized to more disabled community-living individuals after a stroke or to individuals with chronic stroke.

The study is relatively small, making the study prone to bias, and all patients are from a single center in Sweden, reducing the generalizability of the results.

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**Introduction**

Approximately three out of four stroke incidents can be attributed to behavioral risk factors—for example, unhealthy diets and low levels of physical activity (1, 2). Both stroke and TIA indicate ongoing arteriosclerotic changes in the vessels that can lead to further cardiovascular events (1, 2). Physical activity is known to decrease the risk of stroke, TIA, and myocardial infarction (3-5).

After a stroke, individuals are often predisposed to functional limitations, contributing to a further risk of recurrent stroke or cardiovascular complications (1, 6). Individuals who have suffered from a stroke take an average of 4000 steps a day in the chronic phase after stroke, which is far below the recommended 10,000 steps to meet the guidelines for physical activity (6, 7). Also, individuals with high function after a stroke limit their activity. Still, we know that even low-intensity physical activity, such as walking, is important for minimizing disability and promoting long-term metabolic health (8, 9). Outdoor walking is generally easy to perform. It is a cost-effective measure to address sedentary lifestyles and increase physical activity after stroke.

Research demonstrates that the ability to walk longer distances and good balance correlates with higher physical activity levels following a stroke (9, 10). Thus, interventions for enhancing walking performance are of crucial importance for secondary prevention (11). Metrics such as walking distance can be utilized as an indicator of the level of physical activity after a stroke or TIA. In addition, understanding factors associated with improved walking is also essential for developing targeted interventions.

There is a lack of studies investigating changes in walking performance shortly following a stroke and TIA (11, 12). In the STROKEWALK study, a previously-reported randomized controlled trial, we found that individuals receiving text messages for three months after stroke and TIA coupled with add-on interventions using a training diary and step counts improved walking distance and chair-rising performance (13). The present study aims to further study how various baseline characteristics including cardio-metabolic risk markers relate to changes in the 6-minute walk test, using secondary analyses of data from the STROKEWALK study.

## Methods

### *Study design*

This is a post-hoc analysis of the STROKEWALK randomized controlled study performed at the Uppsala University Hospital in Sweden. Determination of sample size, recruitment, data collection, and random allocation procedures have been previously described in detail (13). Ethical approval was obtained from the regional Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550. The present study report follows the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for reporting cross-sectional studies (14) and written consent was obtained from all participants.

Recruitment was initiated in November 2016, and the last three-month follow-up assessment was performed in December 2018. Included were participants aged 18 or above with TIA or verified stroke (infarction or intracerebral hemorrhage with first or recurrent event) with sufficient cognition (Montreal Cognitive Assessment scale, MoCA  $\geq 26$  points), general disability (modified Rankin Scale  $\geq 2$ ), and good enough walking performance; i.e., ability to

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perform the six-minute walking test (with or without a walking aid) (13). The exclusion criteria were known subarachnoid hemorrhage, medical problems such as uncontrolled hypertension, untreated arrhythmias, unstable cardiovascular conditions, a dementia diagnosis, severe aphasia, severe psychiatric problems, or cognitive impairment with difficulties understanding instructions (13).

*Study outcomes*

The 6-minute walk test was used to measure the maximal walking distance during six minutes over a 30-meter course. Changes are described as differences in walking distance at three months (13, 15). All baseline data were collected on one occasion while the patients were still treated at the hospital or the first days after discharge and after three months close to the end of interventions.

*Baseline assessments*

All baseline data were collected on one occasion while the patients were still treated at the hospital or the first days after discharge.

The modified Rankin scale was used to assess general disability and is scored from 0 (no symptoms) to 6 (dead) (13, 16).

Cognitive function at baseline was assessed using the Montreal Cognitive Assessment scale (0–30 points),(13, 17) with a higher value indicating better function.

The Charlson Comorbidity Index (CCI) was used to classify comorbid conditions (13, 18).

142 The last registration of supine blood pressure was recorded manually before discharge from  
143 the hospital. Smoking and education levels were assessed by yes or no answers to the  
144 questions: 'Are you a smoker at this time of your life?' and 'Do you have a university  
145 degree?' For the chair-stand test, the participant was instructed to rise from a seated position  
146 without support as quickly as possible five times in a row (13, 19). The test was performed  
147 with standardized instructions from the Short Physical Performance Battery (13, 20). The  
148 chair-stand test was a measure of lower body strength and the severity of the lower limb  
149 impairment.

150 The 10-meter walk test was used to measure comfortable walking speed (13, 21).

151 From the patient's medical records, cardio-metabolic biochemical risk factors such as total,  
152 LDL, and HDL cholesterol, C-reactive protein (CRP), and triglycerides were analyzed at  
153 hospital admission and diagnoses such as diabetes, hypertension, hypercholesterolemia, and  
154 cardiac heart failure were registered (22). Biochemical analyses were performed by  
155 accredited methods of the Clinical Chemistry Laboratory at the Uppsala University Hospital,  
156 Uppsala. Glycated hemoglobin (HbA1C) was analyzed using non-fasting venous blood  
157 samples.

158 Body mass index was calculated as body weight (kg) divided by height (m) squared. Weight  
159 was recorded with participants wearing light indoor clothing. Height was measured to the  
160 nearest cm. A hip-mounted pedometer at weeks 1 and 12 was used for daily step count by  
161 individuals in the SMS group (Yamax, SW-200).

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163 *The SMS intervention group*



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The SMS-intervention group received daily text SMS (no cost for the participants) as an addition to standard care with simple instructions on what and how to exercise for three months. The intervention in the SMS group was comprised of three different strategies: 1) three months of daily SMS-text messages, 2) training diaries, and 3) pedometers for step counts for the first and last week of intervention (13). The text messages gave instructions on how to exercise to increase walking endurance and strength of the lower body, without the possibility of texting back for help or advice.

*The control group*

Patients in the control group were given standard stroke unit care. They had no restrictions regarding physical activity, exercise, or taking part in rehabilitation services, and were given standard recommendations. The control group did not use pedometers since it was considered to be a part of the intervention.

The number of individuals that were taking part in rehabilitation services during the study was not recorded.

**Patient and Public Involvement Statement:** A previous pilot study was conducted to test the design of the randomized controlled trial. The intervention was designed in collaboration between individuals with stroke and TIA, healthcare professionals, and researchers.

*Statistics*

In the STROKEWALK study, a sample size of 80 individuals (including a possible drop-out rate of 20%) was determined to provide secure power to detect a 34-meter clinically relevant mean difference in the 6-minute walking test (13). An intention-to-treat analysis was applied for all missing values (dropouts), and the change was assumed to be zero. Thus, follow-up data for dropouts were registered with a baseline carry-forward approach. Descriptive data

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are reported as means (SD) and medians (IQR). According to the histogram, normal Q–Q plots, and the Kolmogorov–Smirnov test, data on changes in the 6-minute walk test were normally distributed. Case-wise diagnostics and standardized residuals were used to identify potential outliers. Differences in step counts from baseline to three months for the SMS group were calculated with the Student’s paired-sample t-test. Baseline differences between those that improved  $\geq 34$  meters or  $< 34$  meters in the 6-minute walk test were assessed using the Student’s t-test for continuous, normally distributed variables, and the Mann Whitney-U test was applied for ordinal or non-normally distributed variables. The Chi-square test was used for categorical variables. The cut-off of 34 meters was used for power analyses in the original study (13).

In the regression analyses, baseline explanatory variables for changes in the 6-minute walk test were first identified by correlation and univariate regression analyses ( $P < 0.05$ ). Correlation strength was calculated using Spearman’s rho for non-parametric data or a Pearson correlation for continuous normally distributed variables. The identified variables were checked for multi-collinearity by correlation analysis and cross-tabulation and if the correlation coefficient was 0.80 or more the variable with the lowest  $r$  in relation to the dependent variable was omitted from further regression analysis. The baseline 10-meter walk test was omitted from further analyses due to multi-collinearity. Multiple linear regression analyses were then conducted with the remaining variables to discover which had the greatest impact on changes in walking performance. The ordinal explanatory variable Charlson Comorbidity Index was dichotomized and grouped into “no comorbidity” or “one or more than one comorbidity”. The ordinal explanatory variable Montreal Cognitive Assessment scale was dichotomized and grouped to the cutoff score  $\geq 26$  points. Changes in the 6-minute walk test were used as the dependent variable. We adjusted for age, sex, and comorbidity. Case-wise diagnostics showed that one individual could be considered an outlier; i.e., with an

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214 increase in the 6-minute walk test of 365 meters, but were not omitted from further analysis.

215 In this study, a sensitivity analysis with complete case analyses was also carried out. The

216 univariate and multivariate regression analyses were conducted leaving out subjects that

217 dropped out from the study (n=8).

218 Statistical significance was set at a *P* value <0.05. The Statistical Package for the Social

219 Sciences (SPSS), version 28, was used for the analyses (SPSS Inc., Chicago, IL, U.S.A.).

221 **Results**

222 Seventy-nine patients with a mean age of 63.9 (10.4) years, 29 women, mean BMI of 27.5

223 (4.5) kg/m<sup>2</sup> were enrolled and allocated to either SMS intervention (*n* =40) or control group

224 (*n* =39) (13). Assessments were performed with a median of five (IQR 6) days after stroke or

225 TIA and after three months. At baseline assessments, seven individuals temporarily used a

226 walking aid. Seventy-one individuals remained in the study at three months and eight

227 individuals had dropped out.

228 Table 1 gives the clinical characteristics for all individuals at baseline and changes in the 6-

229 minute walk test.

231 ---Insert Table 1 about here---

233 At baseline, 27% of participants had a BMI ≥30 (obesity), 43% had a BMI between 25 and

234 29.9 (overweight), and 30% had a BMI <25. In this study, all participants could perform the

235 6-minute walk test on both occasions and no adverse advents occurred during testing. At

236 baseline, the median (IQR) 6-minute walk test was 478 (141) meters. At three months, the

237 median 6-minute walk test was 538 (158) meters. The median (IQR) change in the 6-minute

238 walk test after three months was 57 (63) and 23 (73) meters for the SMS and control groups,

respectively and the SMS group showed a significantly greater increase in walking distance compared to the control group ( $P=0.037$ ) (13). On average, the participants in the SMS group walked 6335 steps per day in the first week of intervention and 8173 steps per day after three months ( $P<0.001$ ), an increase of 22.5% ( $n=33$ ).

#### *Linear regression analyses for the identification of factors related to changes in walking capacity*

Table 2 shows correlations of possible variables for the regression models.

---Insert Table 2 about here---

The differences in walking performance were significantly associated in univariate analysis with baseline BMI and the 6-minute walk test at baseline (Table 3). After adjusting for age, sex, and comorbidity, the final model still included a baseline 6-minute walk test and BMI, which together with age, sex, and no comorbidity explained 36% of the variance (Table 3). Younger individuals, men, and those with no comorbidity, lower baseline BMI, and shorter 6-minute walk tests at baseline were more likely to improve their walking performance.

---Insert Table 3 about here---

The sensitivity analyses showed that BMI was no longer a strong predictor for changes in the 6-minute walk test in the complete case analyses ( $n=71$ ). Thus, complete case analyses are presented in Table 4.

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3 263 ---Insert Table 4 about here---

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8 265 **Discussion**

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11 266 In this post hoc study, we showed in regression analyses that younger age, male sex, no  
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13 267 comorbidity, lower baseline BMI, and less distance walked in 6-minute walk test  
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15 268 significantly predicted positive change in 6-minute walk test three months after stroke or  
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17 269 TIA.

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20 270 In our study sample, those with higher age improved less in the 6-minute walk test at three  
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22 271 months. Thus, our finding is in line with a general tendency to be less physically active at  
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24 272 older ages (14). Age-related physiological changes like reduced oxygen uptake capacity ( $VO_2$   
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26 273 max), changes in heart and lungs, loss of muscle mass and strength, more comorbidity, and  
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28 274 medications in older age may affect the intensity and ability to perform outdoor walking in  
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30 275 the present study (1, 23). However, each individual in this study could find their own  
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32 276 suggested intensity level by using the Borg scale.

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38 278 In the present study, about 70% of the participants had a BMI above 25. Higher BMI  
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40 279 predicted less improvement in walking distance as measured with the 6-minute walk test. It  
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42 280 can be speculated that those with obesity also were sarcopenic; i.e., displayed sarcopenic  
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44 281 obesity, which is known to affect walking performance (23). In our study sample, we found  
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46 282 weight fluctuation in both directions after three months, which might have affected the results  
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48 283 (22). A deterioration in health might be seen in individuals with high BMI due to difficulties  
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50 284 being active in daily living. This study indicates that individuals after a stroke and with  
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52 285 obesity need help initiating lifestyle changes to increase physical activity.

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55 286 In a longitudinal study of cardiovascular disease secondary prevention, an inverse association  
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57 287 between walking speed and mortality was found; with a 53% reduction in mortality risk for  
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those with the highest walking speed (3.8–6.2 km/h) (24). In contrast to the present study, the participants were all women, but they had a similar risk factor profile (24). Another cross-sectional study, using a population three months or longer after stroke found that balance as measured with the Berg Balance Scale was a significant predictor of free-living walking activity, and explained 13% of the variance (12). In our selected sample of individuals with high motor functions at baseline, balance was not a major problem (13).

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Fini et al. reported a mean of 4078 steps per day, six months or more after stroke. (25) The number of steps we found after the first week of SMS intervention in our sample with recent stroke and TIA is higher than that, averaging 6335 steps per day the first week of intervention and a further increase of 29% after three months. In older adults, a dose–response relationship has been observed for sedentary behavior, as well as between steps per day and mortality (26). Walking speed, steps, and distance can reflect functional status and health and are important for activities and community ambulation in daily life (21). Furthermore, this study sample included more males which could have an impact on the results since male sex was associated with greater improvement in walking performance. In contrast to this study with individuals walking independently at the study start, gender did not affect the outcome of a larger study (AVERT trial) examining factors associated with walking recovery post-stroke (27).

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The reason why those who walked shorter distances at baseline improved the most is unknown, but regression toward the mean cannot be excluded. Still, in those with the poorest walking performance, a smaller increase in steps may be sufficient to have a positive impact on health (28). New epidemiological studies measuring physical activity with accelerometers

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show that the positive effects of physical activity may have been underestimated (28). A large prospective study indicated that up to 10,000 steps a day were associated with a lower risk of cardiovascular incidence and mortality (28). Additional risk reduction was also found with steps performed at a higher intensity and there was no minimum threshold for the association between increasing steps per day with morbidity and mortality (28). This can be used to motivate the least active individuals to increase their outdoor walking and number of steps per day.

*Limitations and strengths*

Some methodological issues need to be addressed in this study. One limitation is that the study design calls for precaution with causal inferences. Another limitation is that our findings cannot be generalized to more disabled community-living individuals after a stroke or to individuals with chronic stroke. However, since we included participants at the hospital with different socio-economic statuses and educational backgrounds, we believe this sample to be representative of the acute stroke and TIA population with fewer motor deficits. Finally, the study is relatively small, making the study prone to bias, and all patients are from a single center in Sweden, therefore reducing the generalizability of the results.

One strength of the present study is that study data were drawn from a randomized controlled trial and that we used established outcome measures.

**Conclusion:** In summary, younger age, male sex, no comorbidity, lower BMI, and shorter 6-minute walk test at baseline contributed most to improvement in walking performance in patients with a recent stroke or TIA. These factors may be important when planning secondary prevention actions. Cost-effective and easily delivered interventions for

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336 individuals with minor stroke or TIA to increase walking distance still require further  
337 targeted research.

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350 **Contributors:** This study was conceived, organized, and managed by BV, SE, UH, and EL.  
351 BV acts as a guarantor of the study. All authors listed above contributed to the study design  
352 and data interpretation. Writing of the first draft of the paper was done by BV and all authors  
353 were involved in the preparation and critique of the manuscript and reviewed the paper before  
354 submission.

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**Ethics approval:** This study involves human participants and was approved by the regional Ethical Review Board of Uppsala University Hospital, Sweden: Dnr: 2015/550.

**Data availability statement:** The data set associated with this work is available from the corresponding author upon reasonable request.

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**Figure:** None.

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470 Table 1. Baseline characteristics of all patients in the study with  $\geq 34$  meters increase in the  
 471 six-minute walking test at three months versus patients with  $< 34$  meters increase in the six-  
 472 minute walking test.

	Study population	Changes in the 6-minute walking test			
	Baseline	$\geq 34$ m (n=38)	$< 34$ m (n=41)	P-value	Missing values n (%)
Age, mean (SD) <sup>b</sup>	63.9 (10.4)	61.9 (9.1)	65.7 (11.2)	0.01	0
Female, n (%) <sup>c</sup>	29 (36.7)	11 (28.9)	18 (43.9)	0.17	0
SMS group, n (%) <sup>c</sup>	40 (50.6)	26 (68.4)	14 (34.1)	0.002	0
Control group, n (%) <sup>c</sup>	39 (49.4)	12 (31.6)	27 (65.9)		
modified Rankin Scale, 0-2 <sup>c</sup>				0.47	0
0	11 (13.9)	6 (15.8)	5 (12.2)		
1	53 (67.1)	23 (60.5)	30 (73.2)		
2	15 (19.0)	9 (23.7)	6 (14.6)		
Diagnosis, n (%) <sup>c</sup>				0.70	0
Cerebral infarction	57 (83.5)	28 (73.7)	29 (70.7)		
Intracerebral hemorrhage	9 (11.4)	5 (13.2)	4 (9.8)		
TIA	13 (16.5)	5 (13.2)	8 (19.5)		

Charlson Comorbidity Index, n (%) <sup>c</sup>					0
No comorbidity	47 (59.5)	25 (65.8)	22 (53.7)	0.27	
≥1	32 (40.5)	13 (34.2)	19 (46.3)		
BMI, mean (SD) <sup>a</sup>	27.51 (4.5)	26.56 (3.77)	28.39 (5.03)	0.07	0
Diabetes mellitus-2, yes n (%) <sup>c</sup>	12 (15.2)	5 (13.2)	7 (17.1)	0.63	0
University studies, yes n (%) <sup>c</sup>	40 (50.6)	16 (42.1)	24 (58.5)	0.14	0
Non-smoking, n (%) <sup>c</sup>	71 (89.9)	33 (86.8)	38 (92.7)	0.39	0
Step counts (SMS group), mean (SD) <sup>a</sup>	6335 (2747)	6612 (2741)	5757 (2786)	0.38	3
SGPALS, n (%) <sup>c</sup>				0.47	0
Sedentary	11 (13.9)	6 (15.8)	5 (12.2)		
Light Physical Activity	53 (67.1)	23 (60.5)	30 (73.2)		
Moderate/High Physical Activity	15 (19.0)	9 (23.7)	6 (14.6)		
P-HDL cholesterol, (mmol/l), mean (SD) <sup>b</sup>	1.32 (0.39)	1.35 (0.45)	1.28 (0.34)	0.44	3 (3.8)
P-LDL cholesterol, (mmol/l), mean (SD) <sup>b</sup>	3.16 (1.09)	3.18 (1.16)	3.13 (1.03)	0.86	3 (3.8)
P-Cholesterol, (mmol/l), mean (SD) <sup>b</sup>	5.13 (1.20)	5.13 (1.30)	5.13 (1.12)	0.86	3 (3.8)
P-Triglycerides, (mmol/l), mean (SD) <sup>b</sup>	1.35 (0.63)	1.20 (0.46)	1.49 (0.73)	0.03	3 (3.8)
P-HbA1C, mmol/mol), mean (SD) <sup>b</sup>	38.0 (7.54)	37.74 (8.33)	38.26 (6.77)	0.47	3 (3.8)
P-C reactive protein (mg/L), mean (SD) <sup>b</sup>	4.92 (19.40)	6.80 (27.80)	3.20 (3.95)	0.20	6 (7.6)
P-Creatinine (mmol/L), mean (SD) <sup>a</sup>	85.12 (25.53)	78.97 (17.83)	90.80 (30.14)	0.042	2 (2.6)
SBP, (mm HG), mean (SD) <sup>a</sup>	130.63(16.56)	129.83 (16.17)	131.36 (17.09)	0.69	4 (5)
DBP, (mm HG), mean (SD) <sup>b</sup>	76.08 (11.0)	79.17 (11.24)	73.23 (10.09)	0.02	4 (5)

Abbreviations: BMI, body mass index, CRP, C-reactive protein; DBP, diastolic blood pressure; HbA1C, glycated hemoglobin; HDL, high-density lipoprotein; LDL, low-density lipoprotein; SGPALS, Saltin Grimby Physical Activity Scale; SMS, short message service group; SBP, systolic blood pressure.

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3 477 <sup>a</sup> The Student's t-test was used for continuous, normally distributed variables.  
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5 478 <sup>b</sup> The Mann Whitney-U test was applied for ordinal or non-normally distributed variables.  
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8 479 <sup>c</sup> The Chi-square test was used for categorical variables.  
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**Table 2.** Correlation of the variables used in the regression models and changes in walking performance.

	Change 6- minute walking test, <i>r</i>	P- value
Age (years) <sup>b</sup>	-0.39	<0.001
Sex (female) <sup>b</sup>	-0.13	0.26
CCI (≥1 comorbidity) <sup>b</sup>	-0.20	0.08
BMI, (kg/m <sup>2</sup> ) <sup>a</sup>	-0.23	0.046
6-minute walking test, baseline (meters) <sup>a</sup>	-0.38	<0.001
Chair-stand test, (seconds) <sup>a</sup>	0.10	0.39
Montreal Cognitive Assessment scale, (≥26 points), <sup>b</sup>	0.014	0.91
Saltin Grimby Physical Activity Level Scale <sup>b</sup> (Sedentary, light physical activity, moderate/high physical activity)	0.078	0.49

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

<sup>a</sup> Correlation strength was calculated using Spearman’s rho for ordinal and non-normally distributed variables

<sup>b</sup> Correlation strength was calculated using Pearson correlation for continuous and normally distributed variables

**Table 3.** Univariate and multivariate regression analyses with changes in walking performance (6-minute walking test) as the dependent variable and age, sex, comorbidity, baseline BMI-, and baseline 6-minute walk test as independent variables in individuals after stroke and TIA. An intention-to-treat analysis was performed with drop-outs included (n=8).

	Univariate analysis				Multivariate analysis		
	Beta standardized	Adjusted R square	95% CI	P	Beta standardized	95% CI	P
Age, yrs	-0.20	0.029	-3.1 to 0.1	0.073	-0.33	-1.0 to -1.0	<0.001
Sex, female	-0.12	0.002	-54.4 to 16.4	0.29	-0.24	-66.6 to -8.0	0.014
CCI, $\geq 1$ comorbidity	-0.19	0.024	-63.8 to 4.9	0.09	-0.16	-55.5 to 5.4	0.11
BMI, kg/m <sup>2</sup>	-0.23	0.038	-7.5 to -0.07	0.046	-0.29	-8.8 to -1.6	0.004
6-minute walk test, meters	-0.38	0.134	-0.4 to -0.1	<0.001	-0.55	-0.5 to -0.3	<0.001

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

The adjusted R square for the multivariate analysis is 0.36.



**Table 4.** Sensitivity analysis: Univariate and multivariate regression analyses with changes in walking performance (6-minute walking test) as the dependent variable and age, sex, comorbidity, baseline BMI-, and baseline 6-minute walk test as independent variables in individuals after stroke and TIA. In the sensitivity analysis, individuals with missing data at three months were not analyzed (n=8)

	Univariate analysis				Multivariate analysis		
	Beta standardized	Adjusted R square	95% CI	P	Beta standardized	95% CI	P
Age, yrs	-0.25	0.05	-3.9 to -0.2	0.03	-0.33	-4.3 to -1.1	0.002
Sex, female	-0.11	-0.002	-57.5 to 20.8	0.35	-0.24	-2.7 to -7.1	0.018
CCI, ≥1 comorbidity	-0.18	0.018	-66.6 to 9.7	0.14	-0.22	-7.4 to -2.6	0.035
BMI, kg/m <sup>2</sup>	-0.21	0.031	-8.1 to 0.43	0.08			
6-minute walk test, meters	-0.42	0.16	-0.47 to -0.15	<0.001	-0.55	-1.56 to -0.26	<0.001

Abbreviations: BMI, Body Mass Index; CCI, Charlson Comorbidity Index

The adjusted R square for the multivariate analysis is 0.34.

# CONSORT CHECKLIST

**Table.** CONSORT 2010 Checklist of Information to Include When Reporting a Randomized Trial<sup>a</sup>

Section and Topic	Item No.	Checklist Item	Reported on Page No.
Title and abstract	1a	Identification as a randomized trial in the title	
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	
Introduction Background and objectives	2a	Scientific background and explanation of rationale	
	2b	Specific objectives or hypotheses	
Methods Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	
Participants	4a	Eligibility criteria for participants	
	4b	Settings and locations where the data were collected	
Interventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	
Outcomes	6a	Completely defined prespecified primary and secondary outcome measures, including how and when they were assessed	
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	
	7b	When applicable, explanation of any interim analyses and stopping guidelines	
Randomization Sequence generation	8a	Method used to generate the random allocation sequence	
	8b	Type of randomization; details of any restriction (such as blocking and block size)	
Allocation concealment mechanism	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned	
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how	
	11b	If relevant, description of the similarity of interventions	
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes	
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses	
Results Participant flow (a diagram is strongly recommended)	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analyzed for the primary outcome	
	13b	For each group, losses and exclusions after randomization, together with reasons	
Recruitment	14a	Dates defining the periods of recruitment and follow-up	
	14b	Why the trial ended or was stopped	
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group	
Numbers analyzed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups	
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)	
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended	
Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing prespecified from exploratory	
Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)	
Comment Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	
	21	Generalizability (external validity, applicability) of the trial findings	
Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	
Other information Registration	23	Registration number and name of trial registry	
	24	Where the full trial protocol can be accessed, if available	
	25	Sources of funding and other support (such as supply of drugs), role of funders	

<sup>a</sup>We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomized trials, noninferiority and equivalence trials, nonpharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up-to-date references relevant to this checklist, see <http://www.consort-statement.org>.

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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported
Objectives	3	State specific objectives, including any prespecified hypotheses
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses

Continued on next page

<b>Results</b>		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed
		(b) Give reasons for non-participation at each stage
		(c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders
		(b) Indicate number of participants with missing data for each variable of interest
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included
		(b) Report category boundaries when continuous variables were categorized
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
<b>Discussion</b>		
Key results	18	Summarise key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability	21	Discuss the generalisability (external validity) of the study results
<b>Other information</b>		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).