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BMJ Open

The prevalence, management, and efficacy of treatment in portal vein obstruction after paediatric liver transplantation: protocol of the retrospective international multicentre PORTAL registry

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The prevalence, management, and efficacy of treatment in portal vein obstruction after paediatric liver transplantation: protocol of the retrospective international multicentre PORTAL registry

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LIST OF ABBREVIATIONS

PTA Percutaneous Transluminal Angioplasty

PVAS Portal Vein Anastomotic Stenosis

PVO

PVT



Introduction: Portal vein obstruction (PVO) consists of anastomotic stenosis (PVAS) and thrombosis (PVT), which occurs due to a progression of the former. The aim of this large-scale international study is to assess the prevalence, current management practices, and efficacy of treatment in patients with PVO.

Methods and analysis: The Portal vein Obstruction Revascularisation Therapy After Liver transplantation (PORTAL) registry will facilitate an international, retrospective, multicentre, observational study, with 23 centres around the world already actively involved. Paediatric patients (aged <18 years) with a diagnosed PVO between 1 January 2001 and 1 January 2021 after liver transplantation will be eligible for inclusion. The primary endpoints are the prevalence of PVO, primary and secondary patency after PVO intervention, and current management practices. Secondary endpoints are patient and graft survival, severe complications of PVO, and technical success of revascularization techniques.

Ethics and dissemination: Ethical approval and informed consent will be obtained for each site in accordance with the national laws governing the conduct of clinical research. The results of this study will be disseminated via peer-reviewed publications and scientific presentations at national and international conferences.

Trial registration number: The PORTAL registry is registered in the Netherlands Trial Register at www.trialregister.nl (NL9261, 28-02-2021).

ARTICLE SUMMARY

Strengths and limitations of this study

- The PORTAL registry is the first global collaboration between paediatric hepatologists, interventional radiologists, and liver transplant surgeons.
- The registry will facilitate the large-scale aggregation of patient data at an international level, allowing the first comprehensive multicentre study investigating the prevalence of PVO in patients following paediatric liver transplantation.
- The study will gain an overview of current management strategies on a global level in terms of the definitions that are used to define a PVO, diagnostic methods, and treatment strategies.
- The study will also provide valuable information regarding the efficacy of conservative, endovascular, and surgical treatment strategies.
- Subjects in this study are limited to a retrospective cohort. Generalizability of the findings and conclusions will need to be interpreted with care given the heterogeneity and lack of a uniform definition of PVO.

Liver transplantation is an established treatment for paediatric patients with end stage liver disease, metabolic liver diseases, hepatic malignancy, and acute liver failure. Despite marked improvements in operating techniques, vascular complications, especially portal vein obstruction (PVO), remain common. However, little is known regarding the prevalence, risk factors, and most optimal management strategies for this complication.

PVO consists of portal vein anastomotic stenosis (PVAS) or portal vein thrombosis (PVT). The rate of PVO after living donor liver transplantation has been reported to be 9-14%, in comparison with deceased donor liver transplantation, at <3%.¹ However, in specific risk groups, such as biliary atresia or young age transplantation, the prevalence of PVO is unknown, but thought to be higher.¹,³ The clinical course of PVO differs, from absence of symptoms to severe symptoms of portal hypertension (16% of patients have ascites and 26% have gastrointestinal bleeding from oesophageal varices).⁴ There are a multitude of different treatment strategies, ranging from conservative management, endovascular therapy, or surgical options by means of mesorex bypass or other surgical shunts. A recent systematic review comparing the various treatments showed that there is no consensus on the most optimal strategy.⁴ This is largely due to heterogeneity in the clinical characteristics of the patients who were treated, along with variation in the treatment protocol and postprocedural care across the single centre studies included.⁴

PORTAL is a multicentre, retrospective, observational registry of paediatric patients who have been diagnosed and treated for PVO after liver transplantation. The objective of the registry study is threefold. Firstly, it will assess the overall prevalence of PVO after paediatric liver transplantation, including taking into account various risk groups. Secondly, it will evaluate current management practices in terms of the experience of various centres, the composition of the team, the structure of care, screening, assessment criteria, postprocedural

care, and radiological follow-up after treatment. Thirdly, it also intends to assess the efficacy of the individual portal vein revascularization treatments.

METHODS AND ANALYSIS

Study design and participants

The study design takes the form of an international, retrospective, multicentre, observational registry of paediatric liver transplantation patients with PVO. Patients are eligible for inclusion if the following criteria are met: 1) the patient is diagnosed with PVO (PVAS or PVT) after liver transplantation (perioperative PVT will not be included in the analysis) and 2) the patient's age at the time of intervention (or time of diagnosis for patients who were treated conservatively) was <18 years, and 3) the date of intervention was between 1 January 2001 and 1 January 2021. Patients are excluded from the study if the following criteria are present: 1) patients suspected to have PVT of an either intra- or post-hepatic origin (i.e. severe fibrosis, cirrhosis, transplant failure, intrahepatic vascular changes, secondary PVT) and 2) patients with follow-up of less than 1 year.

Collection of data

Subjects will be identified through a retrospective review of the medical records of all patients who underwent liver transplantation at age <18. Data from subjects who are eligible for inclusion will be anonymously entered into either a REDCap database (https://redcap.umcg.nl) or a standardized paper case-report form (Supplementary file 1). Information regarding patient demographics, underlying disease, symptoms, treatment, and outcome will be gathered. The following types of interventions will be included: conservative treatment, endovascular treatments (percutaneous transluminal angioplasty [PTA] with or without stent placement,

endovascular recanalization, and splenic artery or varices/cavernoma embolization), and surgical treatments (all types of surgical shunts and splenectomy).

To determine the prevalence and current management practices, each centre will also complete a structured questionnaire that records the experience of the centre, the composition of the team, the structure of care, screening, assessment criteria, postprocedural care, and radiological follow-up after treatment (Supplementary file 2). In addition, the number of patients who underwent liver transplant between 1 January 2001 and 1 January 2020 within the total paediatric group and in subgroups will also be recorded, based on time of transplantation, age at transplantation, underlying disease (biliary atresia) and donor type (living or deceased liver donor).

Primary outcomes

Prevalence

The prevalence of PVO will be calculated as the total number of PVO patients (transplanted between 1 January 2001 and 1 January 2020 and diagnosed with PVO between 1 January 2001 and 1 January 2021) divided by the total number of transplanted patients at paediatric age between 1 January 2001 and 1 January 2020. As the majority of PVO cases are diagnosed within the first year after transplantation, we chose a minimum of 1 year follow-up time.

Primary and secondary patency

Primary patency is defined as the interval between index procedure to treat stenosis or occlusion and time to re-stenosis or re-occlusion. Primary patency ends when either re-stenosis or re-occlusion occur for the first time after intervention. Primary patency will be represented as percentages at 1, 3, 5, 10, 15 and 20 years after the diagnosis of PVO.

Secondary patency is defined as the interval between index procedure and time of failure to re-establish flow when re-occlusion cannot be achieved or is not successfully treated (including all the intervening manipulations designed to re-establish functionality in intercurrent PVO). Secondary patency will be represented as percentages at 1, 3, 5, 10, 15 and 20 years after treatment for PVO.

Secondary outcomes

Patient and graft survival

Patient survival is defined as the period from date of first PVO intervention until date of death. Patients who are alive at the end of the follow-up will be censored. Graft survival is defined as the period from the date of PVO intervention until the date of re-transplantation or death. Patients who are alive without a re-transplantation at the end of the follow-up will be censored. The decision to re-transplant is based on an assessment by the individual centre. Causes of re-transplantation or death will be recorded. Patient and graft survival will be determined as percentages at 1, 3, 5, 10, 15 and 20 years after treatment for PVO.

Severe PVO complications are defined as severe signs of portal hypertension (ascites, variceal bleeding) or porto-systemic shunting (any grade of hepatic encephalopathy, hepatopulmonary syndrome, portopulmonary hypertension). Ascites will be diagnosed by physical examination or imaging. These complications will be determined following each intervention until the end of the follow-up.

Technical success

Technical success is defined as the success of the intervention during the procedure (reestablishment of portal flow, without residual stenosis) and will be based on an assessment by the individual centre.

Current management practice

Current management practice is defined as the workflow process that includes experience of centres/team, care structure, screening, and assessment criteria, and postprocedural follow-up intended to optimize patient care.

Data management

Subject records will be pseudo-anonymized by means of allocating each subject a unique study number. The local investigators will maintain a list with subject's name, date of birth, local ID, and unique study number. Data will be stored by the local investigators and coordinating centre for 15 years after termination of the study. All data and records generated during this study will be kept in accordance with institutional policies regarding subject privacy, and the data and records of all patients will not be used for any purpose other than conducting this study.

Statistical analysis

All data analyses will be performed with IBM SPSS Statistics version 26. Descriptive statistics will be applied using the mean and standard deviation for variables with normal distribution, and median and interquartile ranges (IQR) for variables with skewed distribution. Dichotomous variables will be compared using the Chi-square test or the Fisher exact test or both. Continuous variables will be compared using the Mann-Whitney U test. For the analysis of primary and secondary patency, freedom from severe PVO complications, and patient and graft survival, the Kaplan-Meier method will be used. P-values less than 0.05 will be considered statistically significant.

Follow-up

Follow-up data for this study will be collected up to and including 1 January 2021.

Patient and Public Involvement

Neither patients nor the public were involved in the design of this study.

ETHICS AND DISSEMINATION

This study will be conducted according to the principles of the declaration of Helsinki (adopted by the 18th WMA General Assembly, Helsinki, Finland, June 1964 and amended by the 64th WMA General Assembly, Fortaleza, Brazil, October 2013) and the local national laws governing the conduct of clinical research studies. For the Netherlands, the study protocol has been evaluated as one that does not fall under the Medical Research Involving Human Subjects Act (WMO) by the University Medical Centre Groningen's IRB on 3 February 2021 (METc 2021/072). To adhere to the General Data Protection Regulation (EU) 2016/679, a data transfer

The results of this study will be disseminated by publication of peer-reviewed manuscripts, presentation in an abstract form at scientific meetings, and data sharing with other researchers through academically established means. The outcomes of this study will also be utilized to design an evidence-based, feasible diagnostic and therapeutic algorithm for paediatric patients with portal vein complications following liver transplantation, which will be implemented in the form of national/international guidelines.

DISCUSSION

Key findings

 The PORTAL registry is the first global collaboration between paediatric hepatologists, interventional radiologists, and liver transplant surgeons and will lead to the creation of the largest possible cohort of patients who have experienced PVO after paediatric liver transplantation. Based on this large group of patients, we will gain the broadest insight into current management practices, prevalence numbers, and efficacy of the individual treatments.

Strengths and limitations

Current literature regarding patients with PVO after paediatric liver transplantation is based on single centre studies. It is therefore difficult to determine which patients with PVO should be treated, and also when and how. A recent systematic review of single centre studies showed that treatment protocols for PVO differed between centres and that findings on long-term results are scarce and difficult to compare between centres.⁴ A major strength of this study is the large-scale aggregation of patient data that will occur in the PORTAL registry, which we consider is not only the best but the only feasible strategy to overcome the lack of standardized care. We

 aim to include more than 15 paediatric liver transplantation centres across Europe, North America, South America, Asia, and Oceania. It is therefore expected that we will have a sufficient number of participants to provide substantive answers to the research questions, including prognostic information regarding long-term outcomes after treatment for patients, parents, and healthcare professionals.

In addition, there is currently no consensus on the optimal clinical pathway for patients who present with PVO, with individual centres managing patients through locally determined patient pathway protocols. This lack of consensus includes all aspects of the patient pathway: screening protocol, diagnostic criteria, decision to treat, choice of the treatment modality, and post-procedural care.^{4,5} We therefore expect heterogeneous data on all these topics. In this regard, another strength of the registry is that it will allow the review of differing pathways and their associated outcomes within a large patient cohort undergoing various interventions, and thus provide data on the basis of which greater international consensus on the optimal management and treatment strategy in this patient population will be created.

Finally, while the retrospective design and risk of missing data is a limitation of the registry, we have attempted to reduce the amount of missing data by focusing on the most pressing questions, such as the prevalence and efficacy of treatment. This is supported by the recommendations of the NAPPED consortium, which stated that it was essential to keep it simple from the start.⁶

Implications for the future

This is the first such global registry in the field of paediatric liver transplant. The results of the PORTAL registry study will lead to more knowledge about current and past management practices, prevalence, and treatment of PVO patients after paediatric liver transplantation and will be the first step towards more consensus on patient management. It is expected that the

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AUTHORS' CONTRIBUTIONS

This study is conceptualized by BA, HVD and RB. All authors contributed to the study design and approved the final version of the manuscript.

DECLARATION OF COMPETING INTEREST

None

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Case Report Form (CRF) ON PAPER

Version 1.4, March 2022

Subject Number:

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

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Gender: Male o Female o Yes Portal vein obstruction (PVO): \circ No *If applicable;* Portal vein anastomosis stenosis (PVAS): ○ Yes ○ No Portal vein thrombosis (PVT): ○ Yes ○ No Date/age first diagnosis PVAS and/or PVT: o Yes \circ No Treatment performed: *If applicable;* How many treatments? 0 1 ○ 2 ○ 3 or more (please specify) 01/01/2021 Date end of follow-up: Other (please specify): __/__/ Please specify reason if date end of follow-up is other Lost to follow-up than 01/01/2021: o Death • Re-transplantation after PVO treatment Other (please specify): _____ Age end of follow-up: Thrombosis portal vein or MRB/other surgical shunt without therapeutical options: ○ Yes ○ No Date/age thrombosis portal vein or MRB/other surgical shunt without therapeutical options: Re-transplantation after PVO treatment(s): ○ Yes ○ No Date/ age diagnosis re-transplantation: Cause of re-transplantation: Deceased after PVO treatment(s): ○ Yes ○ No Date/age of death: Please specify the cause of death: Medical history/comorbidities at baseline Primary disease: • Biliary atresia Other (please specify): \circ 1 \circ 2 \circ 3 or more (please specify) Number of transplantations: Date/age transplantation 1: __/___, _____ Date/age transplantation 2: __/___, _____

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PART II: INTERVENTION CRF (please fill in for each intervention in separate form)

CRF 2.1 GENERAL INFORMATION

Treatment characteristics	
Date/age intervention (if conservative treatment, specify date/age of diagnosis):	_/_/,
Indication:	○ PVAS ○ PVT

CLINICAL CHARACTERISTICS AT DIAGNOSIS / TREATMENT

Clinical characteristics at intervention (6 months before	
intervention)	
Platelet count absolute number (If known, please provide the unit of choice):	o Unknown
Splenomegaly:	○ Yes ○ No ○ Unknown
Ascites:	○ Yes ○ No ○ Unknown
Recent gastrointestinal bleeding (6 months before intervention):	○ Yes ○ No ○ Unknown
History of gastrointestinal bleeding (during the whole period before	○ Yes ○ No ○ Unknown
intervention)	
Imaging characteristics	
Modality of imaging:	o DUS
	∘ CT
	∘ MRI
	o Transient elastography
	Other (please specify):
	○ Unknown
If applicable;	
DUS	
PVAS (radiological interpretation):	○ Yes ○ No ○ Unknown
PVT (radiological interpretation):	○ Yes ○ No ○ Unknown
Anastomosis diameter:	mm
Anastomotic velocity:	cm/s
Pre-anastomotic velocity:	cm/s
Post-anastomotic velocity:	cm/s
Splenomegaly:	○ Yes ○ No ○ Unknown

Spleen size:	cm	○ Unknown
If applicable;		
CT scan		
PVAS (radiological interpretation):	○ Yes ○ No	Unknown
PVT (radiological interpretation):	∘ Yes ∘ No	Unknown
Cavernous transformation portal vein:	∘ Yes ∘ No	Unknown
Anastomosis diameter:	mm	Unknown
Splenomegaly:	∘ Yes ∘ No	Unknown
Spleen size:	cm	o Unknown
If applicable;		
MRI scan		
PVAS (radiological interpretation):	○ Yes ○ No	Unknown
PVT (radiological interpretation):	∘ Yes ∘ No	Unknown
Cavernous transformation portal vein:	∘ Yes ∘ No	Unknown
Anastomosis diameter:	mm	o Unknown
Splenomegaly:	∘ Yes ∘ No	Unknown
Spleen size:	cm	o Unknown
If applicable;		
Transient elastography (Fibroscan)		
Liver stiffness:	kPa	Unknown
Spleen stiffness:	kPa	o Unknown

CRF 2.2 TREATMENT INFORMATION

Treatment type:	o None, conservative with monitoring
	o PTA of portal vein anastomosis
	o PTA/stent of portal vein anastomosis
	○ Mesorex bypass (MRB)
	o PTA of MRB anastomosis
	o PTA/stent of MRB anastomosis
	o Endovascular recanalization portal vein with PTA
	o Endovascular recanalization portal vein with PTA/stent
	o Splenic arterial embolism
	○ Splenectomy
	o Other intervention(s) (text):
Endovascular specific treatment	
details	
Access endovascular intervention (more	o Trans-splenic
than one answer is possible):	o Trans-hepatic
	o Trans-mesenteric
	○ Unknown
Pre-interventional pressure gradient	L:
stenosis:	mmHg Ounknown
If applicable;	
Portal vein anastomosis stenosis:	PTA performed: ○ Yes ○ No
	Stent inserted: • Yes • No
	Post-interventional pressure gradient: mmHg
If applicable;	
PTA protocol	
How many dilatations in one	
treatment session?	○ 1 dilatation
	Balloon size used: mm Ounknown
	Duration dilatation: min Ounknown
	o 2 dilatations
	Balloon size used: mm Ounknown
	Duration dilatation: min Ounknown
	o 3 dilatations

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	Duration dilatation: min Ounknown	
	More (please specify)	
Embolization of collaterals	∘ Yes ∘ No	
If applicable;		
Stent placement	Type stent:	
	o Self-expandable	
	Balloon expandable	
	○ Unknown	
	Stent manufacturer & type: O Unknown	
	Diameter: mm	
	Length: mm Ounknown	
Embolization of collaterals	∘ Yes ∘ No	
Mesorex bypass preparation details		
Balloon occlusion portography		
performed:	o Yes o No o Unknown	
Liver biopsy performed:	○ Yes ○ No ○ Unknown	
Are you willing to share the liver biopsy		
data?	∘ Yes ∘ No	
Mesorex bypass specific treatment		
details	4	
Venous graft used:	○ Yes ○ No ○ Unknown	
Prosthetic graft used:	○ Yes ○ No ○ Unknown	
Location of venous graft:	○ Internal jugular vein	
	○ Iliac vein	
	○ Femoral vein	
	o Saphenous vein	
	Other (please specify):	
	○ Unknown	
Type of venous graft:	Auto graft (patients' own venous graft)	
	Matched living donor (same as living liver donor)	
	Unmatched living donor (unmatched to living liver donor)	
	Matched deceased donor (same donor as deceased liver donor)	

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If applicable; Anticoagulation 2:	
Sort:	Unfractionated Heparin
	o LMWH heparin prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
	○ Unknown
Duration:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
	o Unknown
Target level anticoagulation available?	∘ Yes ∘ No ∘ Unknown
	If applicable; target INR: O Unknown
	If applicable; anti-Xa: U/ml Ounknown
If applicable; Anticoagulation 3:	4
Sort:	Unfractionated Heparin
	o LMWH heparin prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	o Other (please specify):
	○ Unknown
Duration:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
	o Unknown
Target level anticoagulation available?	∘ Yes ∘ No ∘ Unknown
	If applicable; target INR: O Unknown
	If applicable; anti-Xa: U/ml Ounknown

FOLLOW-UP CRF

Direct post-procedural, up to two weeks (short term)	
Which modality do you use for radiological follow-up for this	
intervention (more than one answer is possible)?	o DUS
	∘ CT
	∘ MRI
	o Transient elastography
	Other (please specify):
	○ Unknown
If DUS; please specify last DUS within 2 weeks after	
intervention:	
Anastomotic velocity:	cm/s
Pre-anastomotic velocity:	cm/s 0 Unknown
Post-anastomotic velocity:	cm/s
If transient elastography; please specify last transient	
elastography within 2 weeks after intervention:	
Liver stiffness:	kPa
Spleen stiffness:	kPa
Platelet count, last number within 2 weeks after intervention:	o Unknown
Postinterventional complications	
Type:	○ Infection ○ Thrombosis ○ Bleeding
If thrombosis;	
Intervention performed ?	○ Yes ○ No ○ Unknown
If yes;	
Date/age intervention:	_/_/,
Type intervention:	o Interventional thrombolysis
	Interventional thrombectomy
	Surgical thrombectomy
	Other (please specify):
	○ Unknown
Technical success:	○ Yes ○ No ○ Unknown

Postprocedural, after to two weeks of intervention (long	
term)	
Which modality do you use for follow-up for this intervention	
(more than one answer is possible)?	o DUS
	○ CT
	o MRI
	o Transient elastography
	o Other (please specify):
	○ Unknown
Severe PVO complications	
Severe complications of PVO after intervention:	○ Yes ○ No ○ Unknown
Which complications (more than one answer is possible)?	o Ascites
	o Gastrointestinal bleeding
	Hepatic encephalopathy (any grade)
	Hepatopulmonary syndrome
	o Portopulmonary hypertension
Date/age first severe complication of PVO after intervention:	_/_/,

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Site Specific Information Form Part I: Current Management Practice

Version 1.4, March 2022

Inve	stigator	name:

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

Bader A. M. Alfares, coordinating researcher

Hubert P. J. van der Doef & Reinoud P.H. Bokkers, principle investigators

E: b.a.m. alfares@umcg.nl, h.p.j. van. der. doef@umcg.nl, r.p.h. bokkers@umcg.nl

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Oo you have a specialized team for PVO and		
other vascular problems after liver		
transplantation?	○ Yes ○ No	
If yes; who is part of the team?		
Pediatric gastroenterologists-hepatologists:	○ Yes ○ No	
Pediatric radiologists:	○ Yes ○ No	2
Interventional radiologists:	∘ Yes ∘ No	Cer
Hepato-Pancreato-Biliary surgeons:	○ Yes ○ No	Ę
Other (please specify):		Ş
Do you have a specialized multi-disciplinary		- Opy
meeting for PVO and other vascular problems		ب
after liver transplantation?	∘ Yes ∘ No	
If yes; who is part of the team?		<u>ב</u>
Pediatric gastroenterologists-hepatologists:	∘ Yes ∘ No	Florected by copyright, including for uses te
Pediatric radiologists:	∘ Yes ∘ No	u ve
Interventional radiologists:	∘ Yes ∘ No	
Hepato-Pancreato-Biliary surgeons:	∘ Yes ∘ No	מופע
Other (please specify):		5
Do you have a protocol for the care of patients	1/2.	EXT all c
with a PVO?	∘ Yes ∘ No	2
If yes; does it contain the following topics?		ומ זמ
Screening:	∘ Yes ∘ No	
Diagnosis:	∘ Yes ∘ No	ي <u>خ</u>
Indication for treatment:	∘ Yes ∘ No	2
Treatment:	∘ Yes ∘ No	<u> </u>
Postprocedural care:	∘ Yes ∘ No	2
Is your center willing to share their protocol?	○ Yes ○ No	<u> </u>
Screening		<u> </u>
What is/are the current radiological	○ Doppler ultrasound (DUS)	
investigation(s) for screening for PVO in the	o CT scan	Ai naillily, and sillillai tecillologies
outpatient department (multiple answers are	○ Transient Elastography (TE)	ē.
possible)?	Other (please specify):	

s the PVO screening for patients with PVO	○ Yes ○ No
isk factors similar to patients without PVO	
risk factors?	
If yes; What is the current timing of the	○ 3 months after transplantation
preferred radiological screening investigation	○ 6 months after transplantation
in the outpatient department (multiple answers are	○ 9 months after transplantation
possible)?	○ 1 year after transplantation
	○ 2 years after transplantation
	○ 3 years after transplantation
	○ 4 years after transplantation
	o 5 years after transplantation
	○ 6 years after transplantation
	○ 7 years after transplantation
	 1 year after transplantation 2 years after transplantation 3 years after transplantation 4 years after transplantation 5 years after transplantation 6 years after transplantation 7 years after transplantation 8 years after transplantation 9 years after transplantation 10 years after transplantation
	○ 9 years after transplantation
	○ 10 years after transplantation
	○ 11 years after transplantation
	○ 12 years after transplantation
	○ 13 years after transplantation
	○ 14 years after transplantation
	○ 15 years after transplantation
	○ 16 years after transplantation
	○ 17 years after transplantation
	 17 years after transplantation 18 years after transplantation other
If no; what kind of risk factors do you use	 Biliary atresia Living related liver transplantation Venous jump/interposition graft portal vein Age liver transplantation <1 year Surgical intervention portal vein within 30 days after liver transplantation (thrombectomy, new anastomosis, reposition of
(multiple answers are possible)?	○ Living related liver transplantation
	○ Venous jump/interposition graft portal vein
	○ Age liver transplantation <1 year
	o Surgical intervention portal vein within 30 days after liver
	transplantation (thrombectomy, new anastomosis, reposition of
	vessel(s))
	o other:
If no;	○ 3 months after transplantation

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What is the current timing of the preferred o 6 months after transplantation radiological screening investigation in the o 9 months after transplantation outpatient department in patients with PVO • 1 year after transplantation o 2 years after transplantation risk factors (multiple answers are possible)? • 3 years after transplantation • 4 years after transplantation • 5 years after transplantation o 6 years after transplantation o 7 years after transplantation • 8 years after transplantation o 9 years after transplantation • 10 years after transplantation • 11 years after transplantation • 12 years after transplantation • 13 years after transplantation • 14 years after transplantation • 15 years after transplantation • 16 years after transplantation • 17 years after transplantation • 18 years after transplantation o other If no: o 3 months after transplantation What is the current timing of the preferred o 6 months after transplantation radiological screening investigation in the o 9 months after transplantation outpatient department in patients without PVO • 1 year after transplantation • 2 years after transplantation risk factors (multiple answers are possible)? • 3 years after transplantation • 4 years after transplantation • 5 years after transplantation • 6 years after transplantation • 7 years after transplantation • 8 years after transplantation o 9 years after transplantation • 10 years after transplantation • 11 years after transplantation

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	o 12 years after transplantation	
	o 13 years after transplantation	
	o 14 years after transplantation	
	o 15 years after transplantation	
	o 16 years after transplantation	
	o 17 years after transplantation	
	o 18 years after transplantation	
	o other	
Assessment criteria		
What is your center's non-invasive radiological		
criteria to determine stenosis of the portal vein		
anastomosis?		
Please report the following:		
Preferred radiological investigation(s)		
Radiological criteria and radiological cut-		
off values (with a reference if applicable)		
Preferred radiological investigation(s):	Doppler ultrasound (DUS)	
	○ CT scan	
	o Transient Elastography (TE)	
	Other (please specify):	
Non-invasive radiological criteria and cut-off	If applicable; DUS:	
values (with a reference if applicable):	Pre-anastomotic velocity:	
	∘ Yes ∘ N/A	
	If yes; cut-off value: cm/s	
	Post-anastomotic velocity:	
	∘ Yes ∘ N/A	
	If yes; cut-off value: cm/s	
	Anastomotic velocity:	
	○ Yes ○ N/A	
	If yes; cut-off value: cm/s	
	Anastomotic-to-pre-anastomotic velocity ratio:	
	○ Yes ○ N/A	
	If yes; cut-off value:	
	Anastomotic diameter:	

	○ Yes ○ N/A	
	If yes; cut-off value: mm	
	Presence of turbulence:	
	○ Yes ○ N/A	
	If applicable; CT scan:	
	Anastomotic diameter:	-
	○ Yes ○ N/A	Ċ
	If yes; cut-off value: mm	Ş
	Presence of collaterals:	Š
	○ Yes ○ N/A	<u>c</u>
	Presence of cavernoma:	
	○ Yes ○ N/A	2
		<u>.</u>
	If applicable; TE/SWE:	- 9 9
	Liver stiffness:	
	∘ Yes ∘ N/A	
	If yes; cut-off value: kPa	3
	Spleen stiffness:	2
	○ Yes ○ N/A	<u>.</u>
	If yes; cut-off value: kPa	2 2 -
What is your center's interventional	4	
adiological criteria to determine stenosis of		
he portal vein anastomosis during an invasive		2
ortography?		ي
Please report the following:		2
Radiological criteria and radiological cut-		ć
off values (with a reference if applicable):		S and solling
nterventional radiological criteria and cut-off	Pressure gradient anastomosis:	
values (with a reference if applicable):	○ Yes ○ N/A	Č
	If yes; cut-off value: < mmHg	ē.
	Visual aspect anastomosis:	
	○ Yes ○ N/A	
	If yes; cut-off value: %	

How does your center define technical success	
after interventional radiological treatment?	
Please report the following:	
Radiological criteria and radiological cut-	
off values (with a reference if applicable):	
Radiological criteria and radiological cut-off	Pressure gradient anastomosis:
values (with a reference if applicable):	○ Yes ○ N/A
	If yes; cut-off value: < mmHg
	Drop in pressure gradient (%) from baseline:
	○ Yes ○ N/A
	If yes; cut-off value: %
	Residual venographic stenosis (%):
	○ Yes ○ N/A
	If yes; cut-off value: %
Postprocedural care	
PTA	
How many types of anticoagulation?	○1 ○2 ○3
Anticoagulation 1:	o DOAC
	If chosen; please specify type:
	o Unfractionated Heparin
	o LMWH prophylactic dose
	o LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	o Other (please specify):
Duration anticoagulation 1:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 1:	INR:
	anti-Xa:U/ml
Anticoagulation 2 (if applicable):	o DOAC
	If chosen; please specify type:
	o Unfractionated Heparin

	o I MWH prophylactic dose
	LMWH prophylactic dose LMWH therepouting dose
	LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 2:	INR:
	anti-Xa:U/ml
Anticoagulation 3 (if applicable):	o DOAC
(1)	If chosen; please specify type:
	• Unfractionated Heparin
	LMWH prophylactic dose
	○ LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 3:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 3:	INR:
	anti-Xa:U/ml
PTA/stent	
How many types of anticoagulation?	○1 ○2 ○3
Anticoagulation 1:	∘ DOAC
	If chosen; please specify type:
	o Unfractionated Heparin
	○ LMWH prophylactic dose
	○ LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid

	Other (please specify):
Duration anticoagulation 1:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 1:	INR:
	anti-Xa:U/ml
Anticoagulation 2 (if applicable):	o DOAC
	If chosen; please specify type:
	o Unfractionated Heparin
	o LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	Other (place enecify)
	Other (please specify):
	Other (please specify):
Duration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
Duration anticoagulation 2:	
Duration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
	○ Temporally ○ Lifelong ○ Unknown
	○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR:
Therapeutic range anticoagulation 2:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml
Therapeutic range anticoagulation 2:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC
Therapeutic range anticoagulation 2:	 Temporally O Lifelong O Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml O DOAC If chosen; please specify type:
Therapeutic range anticoagulation 2:	 Temporally O Lifelong O Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml O DOAC If chosen; please specify type: O Unfractionated Heparin
Therapeutic range anticoagulation 2:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose
Therapeutic range anticoagulation 2:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose ○ LMWH therapeutic dose
Therapeutic range anticoagulation 2:	 Temporally O Lifelong O Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa: U/ml ODOAC If chosen; please specify type: Unfractionated Heparin LMWH prophylactic dose LMWH therapeutic dose Vitamin K antagonist
Therapeutic range anticoagulation 2:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose ○ LMWH therapeutic dose ○ Vitamin K antagonist ○ Acetylsalicylic acid
Therapeutic range anticoagulation 2: Anticoagulation 3 (if applicable):	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose ○ LMWH therapeutic dose ○ Vitamin K antagonist ○ Acetylsalicylic acid
Therapeutic range anticoagulation 2: Anticoagulation 3 (if applicable):	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa: U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose ○ LMWH therapeutic dose ○ Vitamin K antagonist ○ Acetylsalicylic acid ○ Other (please specify):
Duration anticoagulation 2: Therapeutic range anticoagulation 2: Anticoagulation 3 (if applicable): Duration anticoagulation 3:	 ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: weeks/months/years INR: anti-Xa:U/ml ○ DOAC If chosen; please specify type: ○ Unfractionated Heparin ○ LMWH prophylactic dose ○ LMWH therapeutic dose ○ Vitamin K antagonist ○ Acetylsalicylic acid ○ Other (please specify): ○ Temporally ○ Lifelong ○ Unknown

	anti-Xa:U/ml
MRB	
How many types of anticoagulation?	○ 1 ○ 2 ○ 3
Anticoagulation 1:	o DOAC
	If chosen; please specify type:
	Unfractionated Heparin
	o LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Ouration anticoagulation 1:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 1:	INR:
	anti-Xa:U/ml
Anticoagulation 2 (if applicable):	o DOAC
	If chosen; please specify type:
	Unfractionated Heparin
	LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Ouration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 2:	INR:
	anti-Xa:U/ml
Anticoagulation 3 (if applicable):	o DOAC
	If chosen; please specify type:
	Unfractionated Heparin

	LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 3:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 3:	INR:
	anti-Xa:U/ml
Radiological follow-up after treatment	
Is the radiological follow-up after all PVO	• Yes (please fill in the radiological follow-up at all
interventions (PTA, PTA/stent, MRB) the	interventions)
same?	No (please fill in the radiological follow-up for every
	intervention specific below)
All interventions	6
Which modality do you use for follow-up (more	o DUS
than one answer is possible)?	o CT
	o MRI
	o Transient elastography
	Other (please specify):
How often is radiological follow-up carried	o 3 months after intervention
out? (more than one answer is possible)?	o 6 months after intervention
	o 9 months after intervention
	○ 1 year after intervention
	○ 2 years after transplantation
	o 3 years after transplantation
	○ 4 years after transplantation
	○ 5 years after transplantation
	o 6 years after transplantation
	o 7 years after transplantation
	○ 8 years after transplantation
	o 9 years after transplantation

	○ 10 years after transplantation	
	○ 11 years after transplantation	
	○ 12 years after transplantation	
	○ 13 years after transplantation	
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	○ 18 years after transplantation	•
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PTA		,
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	○ 9 months after intervention	
	o 1 year after intervention	
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	o 3 years after transplantation	
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	○ 7 years after transplantation	
	○ 8 years after transplantation	
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	○ 14 years after transplantation	
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	○ 11 years after transplantation	i training, and similar technologies
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than one answer is possible)?	○ CT
	∘ MRI
	o Transient elastography
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How often is radiological follow-up carried	o 3 months after intervention
out? (more than one answer is possible)?	o 6 months after intervention
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	Other (please specify):
	I .

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Site Specific Information Form Part II: Prevalence Study

Version 1.4, March 2022

Investigator name:	
--------------------	--

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

Bader A. M. Alfares, coordinating researcher

Hubert P. J. van der Doef & Reinoud P.H. Bokkers, principle investigators

E: b.a.m. alfares@umcg.nl, h.p.j. van. der. doef@umcg.nl, r.p.h. bokkers@umcg.nl

Prevalence				
From which period do you start inclusion for the	01/01/2001			
prevalence study?	Other (pleas	e specify):/_	/	
From which period do you start inclusion	01/01/2001			
diagnosed PVO patients?	Other (please specify):/			
How many paediatric liver transplantations (LT)	Date of LT:	Date of LT:	Date of LT:	Date of LT:
were performed since start inclusion?	01/01/2001 -	01/01/2006 -	01/01/2011 -	01/01/2016 -
Please include number of transplantations within different	01/01/2006	01/01/2011	01/01/2016	01/01/2016 - 01/01/2020
groups and time periods:				•
All				;
Biliary atresia				(
Living donor liver transplantation				
Age at liver transplantation <2 years				
Age at liver transplantation <1 year				
Age at liver transplantation <1 year AND				
Living donor liver transplantation AND				
Biliary atresia				
Age at liver transplantation <1 year AND				
Deceased donor liver transplantation AND Biliary atresia				
				•



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description			
Administrative information					
Title	1	PORTAL registry is a multicentre, retrospective, observational registry of patients who underwent liver transplantation and were diagnosed and treated for PVO at age <18 years			
Trial registration	2a	The PORTAL registry is registered in the Netherlands Trial Register (NL9261). Registration date: 28-02-2021. Website: www.trialregister.nl			
	2b	Appendix I			
Protocol version	3	Issue date: 12 January 2021 Protocol amendment number: 4 Authors: BA, RB, HVD			
Funding	4	N.A.			
Roles and responsibilities	5a	All authors were actively involved in this study design and read and approved the final manuscript. BA, HVD and RB designed the original study protocol and initiated the study.			
	5b	Trial Sponsor: University Medical Centre Groningen, Groningen, The Netherlands Reinoud P.H. Bokkers, MD PhD EBIR			
		University Medical Centre Groningen (UMCG)			
		Department of Radiology, Medical Imaging Centre			
		Hanzeplein 1, 9721 GZ Groningen, the Netherlands			
		Email: r.p.h.bokkers@umcg.nl			
		Phone: +31-50-3616161			

This sponsor source had no role in the design of this study and will not have any role during its execution, analyses, interpretation of the data, or decision to submit results

5d Principal investigators and coordinating researcher

Design and conduct of PORTAL registry
Preparation of protocol and revisions
Preparation of PORTAL registry-related items: site specific information form, case report form, and e-crf guidelines
Managing correspondence with collaborating centres
Publication of study reports

Lead investigators

In each participating centre, a lead investigator ((interventional) radiologist, paediatric hepatologist, and liver-transplant surgeon) will be identified, to be responsible for identification, recruitment, data collection and completion of (e)CRFs, along with follow up of study patients and adherence to study protocol and investigators brochure. One investigator per country will be chosen as a national coordinator

Project management office

Assistance with administrative issues
Data verification
Advice for Principal investigators and coordinating researcher

Medical Ethics Review Committee (METc in Dutch)
Agreement of final protocol and amendments (if applicable)

Contract Research Desk

Agreement of final clinical site agreement

Introduction

Background and 6a rationale

Background: Liver transplantation is the standard care for patients with end-stage liver disease, metabolic liver diseases, and acute liver failure. Despite marked improvements in operating techniques, vascular complications, and especially portal vein obstruction (PVO), occur frequently after paediatric liver transplantation. Prevalence numbers in the literature differ from 8-12%, which is probably related to the distribution of the risk factors (young age at liver transplantation, biliary atresia, living related liver transplantation) within the investigated population and small sample size (only single centre data is reported)

Rationale: The PORTAL registry study will gather one of the largest international data sets on the characteristics of PVO in regard to current management practice, prevalence numbers, and efficacy of the individual treatments. Given the fact that the current data are only single-centre studies which do not have the adequate numbers to be able to give answers to such important questions on who, when and how to treat patients with PVO after liver transplantation. Therefore, PORTAL registry will be one of the first multicentre studies to analyze the diagnostic and therapeutic characteristics of PVO after paediatric liver transplantation to inform future quality of care initiatives for this group of patients which is regarded to be the only feasible strategy to obtain more insights on prevalence of PVO, and long-term efficacy of the individual PVO treatments in paediatric patients after liver transplantation

6b N.A.

Objectives

The objectives of this study are to assess the efficacy of the individual treatments for portal vein obstruction (PVO) after paediatric liver transplantation, the prevalence numbers of PVO, and the current management practice

Trial design

This is an international, multi-centre, retrospective, observational registry study

Methods: Participants, interventions, and outcomes

Study setting

PORTAL registry is a multicentre, retrospective, observational registry of patients who underwent liver transplantation and were diagnosed and treated for PVO. List of countries where data will be collected can be found in https://portalregistry.eu/

Eligibility criteria 10

The study aims to include approximately 400 paediatric patients. Patients will be included if the following inclusion criteria are present:

- 1. Treated for PVO (PVAS or PVT) after liver transplantation (all interventions are included and conservative treatment as well)
- 2. Age at intervention <18 years
- 3. Intervention in period 01-01-2001 and 01-01-2021

Investigator requirements:

All Investigators must submit the following documentation to be considered approved investigators:

- 1. Signed and dated recent curriculum vitae
- 2. Signed Clinical Study Agreement (CSA)
- 3. Complete site qualification process and site initiation

Interventions

11a N.A.

11b N.A.

11c N.A.

11d N.A.



Outcomes

12 Primary outcome measures:

- Patency
- 1) Primary patency is defined from index procedure to treat stenosis or occlusion to time to re-stenosis or re-occlusion. Primary patency ends when either re-stenosis or re-occlusion occur for the first time post intervention
- 2) Secondary patency is from index procedure to time of failure to re-establish flow following re-occlusion. Secondary patency ends once re-occlusion cannot be or is not treated
- Prevalence numbers

The prevalence of PVO will be calculated as the total PVO patients divided by the total of transplanted patients at paediatric age. The total transplanted patients will be calculated from patients with one year follow-up and date of transplantation between 01-01-2000 and 01-01-2020, as a large proportion of the PVO occurs in the first year after liver transplantation

Secondary outcomes:

Patient and graft survival

Patient survival is defined from date of PVO intervention until date of death. Patients who are alive at the end of the follow-up will be censored. Graft survival is defined from date of PVO intervention until date of re-transplantation or death. Patients who are alive without a re-transplantation at the end of the follow-up will be censored.

Freedom of severe PVO complications

Severe PVO complications are defined as severe signs of portal hypertension (ascites, gastrointestinal bleeding) or portosystemic shunting (any grade of hepatic encephalopathy, hepatopulmonary syndrome, portopulmonary hypertension). This will be determined following each intervention until end of the follow-up

o Technical success

Technical success is defined as the success of the intervention during the procedure (re-establishment of portal flow, without residual stenosis) and is based on the assessment of the centre itself

Participant timeline

The data on prevalence numbers and efficacy of the treatments protocols are expected to be finalized in September 2022. Regarding data on current management practice, we aim to receive the paper-bases questionnaire in March 2022. Once the trial master file is completed by a participating centre, a virtual site initiation visit will be planned to discuss the study and to initiate the project officially

Sample size

We are expected that the study will consists of 400 paediatric patients

Recruitment Clinical site personnel will collect the data and enter it in REDCap database as well as paper-based clinical site information form by local research personnel. Subject records will be pseudo-anonymized by means of allocating each subject with a unique study number Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer- generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned
Implementatio n	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions
Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial

Methods: Data collection, management, and analysis

Data collection methods

A single questionnaire (Site Specific Information Form) is provided to acquire data on the prevalence and current management practice. Within this questionnaire, we will request the amount of transplanted patients within the total paediatric group and in subgroups based on time of transplantation, age at transplantation, underlying disease (biliary atresia) and donor type (living or deceased liver donor). Moreover, within this questionnaire, data on experience of the centres. multidisciplinary team, structure of care, screening protocol, and assessment criteria will be obtained. Data on efficacy of the individual portal vein revascularisation treatments will be registered in REDCap database (https://redcap.umcg.nl), Within the REDCap database, patients treated for PVO (PVAS or PVT) after liver transplantation are included with an age at intervention of <18 years). Inclusion period is within the last 20 years from 1-1-2001 until 1-1-2021

18b N.A.

18a

Data management

Clinical site personnel will collect the data and enter it in REDCap database as well as paper-based clinical site information form by local research personnel. Subject records will be pseudo-anonymized by means of allocating each subject with a unique study number. The local investigators will keep a list with the subject names, date of birth, local ID, and unique study number. Data will be stored by the local investigators and coordinating centre for 15 years after termination of the study. All data and records generated during this study will be kept in accordance with institutional policies regarding subject privacy and the data and records of all patients will not be used for any purpose other than conducting this study

Statistical methods

20a All data analyses will be performed by using IBM SPSS Statistics version 26. Descriptive statistics will be applied using the mean and standard deviation for variables with normal distribution, and median and interquartile ranges (IQR) for variables with skewed distribution. Dichotomous variables will be compared with the Chi-square test or the Fisher exact test or both. Continuous variables will be compared with the Mann-Whitney U test. For the analysis of the primary and secondary patency, freedom of severe PVO complications and patient and graft survival, the Kaplan-Meier test will used. P-values less than 0.05 will be considered statistically significant

20b N.A.

20c N.A.

Methods: Monitoring

Data monitoring 21a

To the extent applicable, the Site Parties shall permit the Study Monitor, Auditor, IRB and any official with a legal right to inspect and access all relevant documentation and Patient Data for monitoring of the progress of the Clinical Study, the proper collection and recording of Clinical Data, and altogether the good quality of the Clinical Study and compliance with applicable Law. Parties will make in good faith arrangements concerning the planning and follow-up of such audits or inspections. For the avoidance of doubt, no copying of the Patient Data is permissible and any access to the Patient Data shall be arranged for in the premises of the Study Site

21b N.A.

Harms

Due to the retrospective nature of the study, the risks of participation are minimal, and this study will not influence the on-going diagnostics and treatment of the included patients. Adverse events are not expected

Auditing 23 N.A.

26a

Ethics and dissemination

Research ethics 24 approval

Ethical approval has been obtained from the University Medical Centre Groningen's Institutional Review Board (METc 2021/072) prior to the start of the study

Protocol amendments

Any modifications to the protocol which may impact on the conduct of the study, potential benefit of the patient or may affect patient safety, including changes of study objectives, study design, patient population, sample sizes, study procedures, or significant administrative aspects will require a formal amendment to the protocol and therefore, IRB will be notified

Consent or assent

If mandated by the local national laws, a waiver of informed consent will be requested governing the conduct of clinical research studies, and General Data Protection Regulation act. If a consent is claimed, the local investigator will assure that the consent forms are signed by study participants/their parents

26b N.A.

Confidentiality 27 All study-related information will be stored securely at the study site. All participant information will be stored in locked file in areas with limited access (only for authorized personnel). All reports, data collection, and administrative forms will be identified by a coded ID number only to maintain participant confidentiality. All records that contain names or other personal identifiers will be stored separately from study records identified by code number. All local databases will be secured with password-protected access systems. Forms, lists, logbooks, and any other listings that link participant ID numbers to other identifying information will be stored in a separate, locked file in an area with limited access

Declaration of interests

28 N.A.

Access to data

29 Only authorized personnel (project principal investigators and coordinating researcher) will have direct access to the data sets

Ancillary and post-trial care

30 N.A.

Dissemination policy

- The results of this study will be disseminated by publication of peer-reviewed manuscripts, presentation in an abstract form at scientific meetings, and data sharing with other researchers through academically-established means.
- 31b For each main paper, there are three co-author positions per centre available. Following the completion of the study, all collaborators can submit a proposal for a substudy
- The outcome of this study will also be utilized to design an evidence-based, feasible therapeutic pathway for paediatric patients with portal vein complications following liver transplantation and implement this in an inter(national) guidelines

Appendices

Informed

32 N.A.

consent

materials

Biological

33 N.A.

specimens

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "Attribution-NonCommercial-NoDerivs 3.0 Unported" license.

BMJ Open

The prevalence, management, and efficacy of treatment in portal vein obstruction after paediatric liver transplantation: protocol of the retrospective international multicentre PORTAL registry

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Secondary Subject Heading:	Paediatrics, Surgery
Keywords:	Paediatric hepatology < PAEDIATRICS, Paediatric transplant surgery < PAEDIATRIC SURGERY, Interventional radiology < RADIOLOGY & IMAGING

SCHOLARONE™ Manuscripts

The prevalence, management, and efficacy of treatment in portal vein obstruction after paediatric liver transplantation: protocol of the retrospective international multicentre PORTAL registry

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LIST OF ABBREVIATIONS

PTA Percutaneous Transluminal Angioplasty

PVAS Portal Vein Anastomotic Stenosis

PVO

PVT



Introduction: Portal vein obstruction (PVO) consists of anastomotic stenosis (PVAS) and thrombosis (PVT), which occurs due to a progression of the former. The aim of this large-scale international study is to assess the prevalence, current management practices, and efficacy of treatment in patients with PVO.

Methods and analysis: The Portal vein Obstruction Revascularisation Therapy After Liver transplantation (PORTAL) registry will facilitate an international, retrospective, multicentre, observational study, with 25 centres around the world already actively involved. Paediatric patients (aged <18 years) with a diagnosed PVO between 1 January 2001 and 1 January 2021 after liver transplantation will be eligible for inclusion. The primary endpoints are the prevalence of PVO, primary and secondary patency after PVO intervention, and current management practices. Secondary endpoints are patient and graft survival, severe complications of PVO, and technical success of revascularization techniques.

Ethics and dissemination: Medical Ethics Review Board of the University Medical Center Groningen has approved the study (METc 2021/072). The results of this study will be disseminated via peer-reviewed publications and scientific presentations at national and international conferences.

Trial registration number: The PORTAL registry is registered in the Netherlands Trial Register at www.trialregister.nl (NL9261, 28-02-2021).

ARTICLE SUMMARY

Strengths and limitations of this study

- This will be the first global collaboration between paediatric hepatologists, interventional radiologists, and liver transplant surgeons to provide a valuable information on PVO management and prevalence.
- The strength of the PORTAL registry multicentre project is the combination of a survey to explore routine clinical practice and electronic database to investigate the prevalence and the efficacy of different therapeutic options.
- The PORTAL registry is not powered to evaluate the natural history of PVO following paediatric liver transplantation which therefore a definitive future study will be needed.

Liver transplantation is an established treatment for paediatric patients with end stage liver disease, metabolic liver diseases, hepatic malignancy, and acute liver failure. Despite marked improvements in operating techniques, vascular complications, especially portal vein obstruction (PVO), remain common.² However, little is known regarding the prevalence, risk factors, and most optimal management strategies for this complication. PVO consists of portal vein anastomotic stenosis (PVAS) or portal vein thrombosis

(PVT). The rate of PVO after living donor liver transplantation has been reported to be 9-14%, in comparison with deceased donor liver transplantation, at <3%. However, in specific risk groups, such as biliary atresia or young age transplantation, the prevalence of PVO is unknown, but thought to be higher. 1,3 The clinical course of PVO differs, from absence of symptoms to severe symptoms of portal hypertension (16% of patients have ascites and 26% have gastrointestinal bleeding from oesophageal varices).⁴ There are a multitude of different treatment strategies, ranging from conservative management, endovascular therapy, or surgical options by means of mesorex bypass or other surgical shunts. A recent systematic review comparing the various treatments showed that there is no consensus on the most optimal strategy. This is largely due to heterogeneity in the clinical characteristics of the patients who were treated, along with variation in the treatment protocol and postprocedural care across the single centre studies included.⁴

PORTAL is a multicentre, retrospective, observational registry of paediatric patients who have been diagnosed and treated for PVO after liver transplantation. The objective of the registry study is threefold. Firstly, it will assess the overall prevalence of PVO after paediatric liver transplantation, including taking into account various risk groups. Secondly, it will evaluate current management practices in terms of the experience of various centres, the composition of the team, the structure of care, screening, assessment criteria, postprocedural

care, and radiological follow-up after treatment. Thirdly, it also intends to assess the efficacy of the individual portal vein revascularization treatments.

METHODS AND ANALYSIS

Study design and participants

The study design takes the form of an international, retrospective, multicentre, observational registry of paediatric liver transplantation patients with PVO. Patients are eligible for inclusion if the following criteria are met: 1) the patient is diagnosed with PVO (PVAS or PVT) after liver transplantation (perioperative PVT will not be included in the analysis) and 2) the patient's age at the time of intervention (or time of diagnosis for patients who were treated conservatively) was <18 years, and 3) the date of intervention was between 1 January 2001 and 1 January 2021. Patients are excluded from the study if the following criteria are present: 1) patients suspected to have PVT of an either intra- or post-hepatic origin (i.e. severe fibrosis, cirrhosis, transplant failure, intrahepatic vascular changes, secondary PVT) and 2) patients with follow-up of less than 1 year.

Collection of data

Subjects will be identified through a retrospective review of the medical records of all patients who underwent liver transplantation at age <18. Data from subjects who are eligible for inclusion will be anonymously entered into either a REDCap database (https://redcap.umcg.nl) or a standardized paper case-report form (Supplementary file 1). Information regarding patient demographics, underlying disease, symptoms, treatment, and outcome will be gathered. The following types of interventions will be included: conservative treatment, endovascular treatments (percutaneous transluminal angioplasty [PTA] with or without stent placement,

endovascular recanalization, splenic artery or varices/cavernoma embolization), and surgical treatments (all types of surgical shunts and splenectomy).

To determine the prevalence and current management practices, each centre will also complete a structured questionnaire that records the experience of the centre, the composition of the team, the structure of care, screening, assessment criteria, postprocedural care, and radiological follow-up after treatment (Supplementary file 2). In addition, the number of patients who underwent liver transplant between 1 January 2001 and 1 January 2020 within the total paediatric group and in subgroups will also be recorded, based on time of transplantation, age at transplantation, underlying disease (biliary atresia) and donor type (living or deceased liver donor).

Primary outcomes

Prevalence

The prevalence of PVO will be calculated as the total number of PVO patients (transplanted between 1 January 2001 and 1 January 2020 and diagnosed with PVO between 1 January 2001 and 1 January 2021) divided by the total number of transplanted patients at paediatric age between 1 January 2001 and 1 January 2020. As the majority of PVO cases are diagnosed within the first year after transplantation, we chose a minimum of 1 year follow-up time.

Primary and secondary patency

Primary patency is defined as the interval between index procedure to treat stenosis or occlusion and time to re-stenosis or re-occlusion. Primary patency ends when either re-stenosis or re-occlusion occur for the first time after intervention. Primary patency will be represented as percentages at 1, 3, 5, 10, 15 and 20 years after the diagnosis of PVO.

Secondary patency is defined as the interval between index procedure and time of failure to re-establish flow when re-occlusion cannot be achieved or is not successfully treated (including all the intervening manipulations designed to re-establish functionality in intercurrent PVO). Secondary patency will be represented as percentages at 1, 3, 5, 10, 15 and 20 years after treatment for PVO.

Secondary outcomes

Patient and graft survival

Patient survival is defined as the period from date of first PVO intervention until date of death. Patients who are alive at the end of the follow-up will be censored. Graft survival is defined as the period from the date of PVO intervention until the date of re-transplantation or death. Patients who are alive without a re-transplantation at the end of the follow-up will be censored. The decision to re-transplant is based on an assessment by the individual centre. Causes of re-transplantation or death will be recorded. Patient and graft survival will be determined as percentages at 1, 3, 5, 10, 15 and 20 years after treatment for PVO.

Severe PVO complications are defined as severe signs of portal hypertension (ascites, variceal bleeding) or porto-systemic shunting (any grade of hepatic encephalopathy, hepatopulmonary syndrome, portopulmonary hypertension). Ascites will be diagnosed by physical examination or imaging. These complications will be determined following each intervention until the end of the follow-up.

Technical success

Technical success is defined as the success of the intervention during the procedure (reestablishment of portal flow, without residual stenosis) and will be based on an assessment by the individual centre.

Current management practice

Current management practice is defined as the workflow process that includes experience of centres/team, care structure, screening, and assessment criteria, and postprocedural follow-up intended to optimize patient care.

Data management

Subject records will be pseudo-anonymized by means of allocating each subject a unique study number. The local investigators will maintain a list with subject's name, date of birth, local ID, and unique study number. Data will be stored by the local investigators and coordinating centre for 15 years after termination of the study. All data and records generated during this study will be kept in accordance with institutional policies regarding subject privacy, and the data and records of all patients will not be used for any purpose other than conducting this study.

Statistical analysis

All data analyses will be performed with IBM SPSS Statistics version 26. Descriptive statistics will be applied using the mean and standard deviation for variables with normal distribution, and median and interquartile ranges (IQR) for variables with skewed distribution. Dichotomous variables will be compared using the Chi-square test or the Fisher exact test or both. Continuous variables will be compared using the Mann-Whitney U test. For the analysis of primary and secondary patency, freedom from severe PVO complications, and patient and graft survival, the Kaplan-Meier method will be used. P-values less than 0.05 will be considered statistically significant.

Follow-up

Follow-up data for this study will be collected up to and including 1 January 2021.

Patient and Public Involvement

Neither patients nor the public were involved in the design of this study.

ETHICS AND DISSEMINATION

This study will be conducted according to the principles of the declaration of Helsinki (adopted by the 18th WMA General Assembly, Helsinki, Finland, June 1964 and amended by the 64th WMA General Assembly, Fortaleza, Brazil, October 2013) and the local national laws governing the conduct of clinical research studies. For the Netherlands, the study protocol has been evaluated as one that does not fall under the Medical Research Involving Human Subjects Act (WMO) by the University Medical Centre Groningen's IRB on 3 February 2021 (METc 2021/072). To adhere to the General Data Protection Regulation (EU) 2016/679, a data transfer

The results of this study will be disseminated by publication of peer-reviewed manuscripts, presentation in an abstract form at scientific meetings, and data sharing with other researchers through academically established means. The outcomes of this study will also be utilized to design an evidence-based, feasible diagnostic and therapeutic algorithm for paediatric patients with portal vein complications following liver transplantation, which will be implemented in the form of national/international guidelines.

DISCUSSION

Key findings

 The PORTAL registry is the first global collaboration between paediatric hepatologists, interventional radiologists, and liver transplant surgeons and will lead to the creation of the largest possible cohort of patients who have experienced PVO after paediatric liver transplantation. Based on this large group of patients, we will gain the broadest insight into current management practices, prevalence numbers, and efficacy of the individual treatments.

Strengths and limitations

Current literature regarding patients with PVO after paediatric liver transplantation is based on single centre studies. It is therefore difficult to determine which patients with PVO should be treated, and also when and how. A recent systematic review of single centre studies showed that treatment protocols for PVO differed between centres and that findings on long-term results are scarce and difficult to compare between centres.⁴ A major strength of this study is the large-scale aggregation of patient data that will occur in the PORTAL registry, which we consider is not only the best but the only feasible strategy to overcome the lack of standardized care. We

aim to include more than 15 paediatric liver transplantation centres across Europe, North America, South America, Asia, Africa, and Oceania. It is therefore expected that we will have a sufficient number of participants to provide substantive answers to the research questions, including prognostic information regarding long-term outcomes after treatment for patients, parents, and healthcare professionals.

In addition, there is currently no consensus on the optimal clinical pathway for patients who present with PVO, with individual centres managing patients through locally determined patient pathway protocols. This lack of consensus includes all aspects of the patient pathway: screening protocol, diagnostic criteria, decision to treat, choice of the treatment modality, and post-procedural care.^{4,5} We therefore expect heterogeneous data on all these topics. In this regard, another strength of the registry is that it will allow the review of differing pathways and their associated outcomes within a large patient cohort undergoing various interventions, and thus provide data on the basis of which greater international consensus on the optimal management and treatment strategy in this patient population will be created.

Although the registry aimed to be as comprehensive as possible, its retrospective design and the risk of missing data posed limitations. To address this issue, we prioritized fundamental questions such as PVO prevalence and treatment effectiveness. Following the NAPPED consortium's advice for conducting a large-scale international registry, we kept the design straightforward.⁶ However, this approach may have resulted in some outcomes being overlooked in this study, such as partial PVT following thrombectomy. Nevertheless, the data from this registry can provide a foundation for more detailed investigations and post-hoc analyses to further explore these outcomes

Implications for the future

This is the first such global registry in the field of paediatric liver transplant. The results of the PORTAL registry study will lead to more knowledge about current and past management practices, prevalence, and treatment of PVO patients after paediatric liver transplantation and will be the first step towards more consensus on patient management. It is expected that the data from the PORTAL registry and the global collaboration will be used to accomplish the next step in improving the clinical care of PVO patients – a multidisciplinary guideline for screening, diagnosis, and treatment of PVO after paediatric liver transplantation. A prospective study is planned subsequent to this retrospective data analysis with this goal in mind. It will most likely rely on a slightly amended PORTAL registry, incorporating the knowledge gained from the retrospective analysis, integrating imaging studies – with a centralized review – and including laboratory analysis to harmonize findings and guide future analysis.

FUNDING AND DISCLOSURES

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AUTHORS' CONTRIBUTIONS

This manuscript is designed, conceptualized, drafted by BA, HVD, and RB. BW, TC, GN, MD, DA, VB, GV, PK, MMK, AK, JQB, MMH, MLK, PM, MB, DP, MK, SS, HU, VM, MA, SFA, EG, FG, GC, JM, SS, MDS, VA, JWU, HE, DD, JM, SH, ES, JVP, MM, RP, CTF, LSN, MF, CJ, MIRD, PF, AAS, PMW, MRA, RTF, BM, RJH, RK, VP, AM, KS, GG, SM, GP, MS, TA, GM, WH, MB, RAJD, RHD contributed equally to the study design, methodology, and approved the final version of the manuscript.

DECLARATION OF COMPETING INTEREST

None

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Case Report Form (CRF) ON PAPER

Version 1.4, March 2022

Subject Number:

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

Bader A. M. Alfares, coordinating researcher

Hubert P. J. van der Doef & Reinoud P.H. Bokkers, principle investigators

E: b.a.m.alfares@umcg.nl, h.p.j.van.der.doef@umcg.nl, r.p.h.bokkers@umcg.nl

PART I: BASELINE CRF

Gender:	○ Male ○ Female
Portal vein obstruction (PVO):	∘ Yes ∘ No
If applicable;	
Portal vein anastomosis stenosis (PVAS):	∘ Yes ∘ No
Portal vein thrombosis (PVT):	∘ Yes ∘ No
Date/age first diagnosis PVAS and/or PVT:	_/_/,
Treatment performed:	∘ Yes ∘ No
If applicable;	
How many treatments?	\circ 1 \circ 2 \circ 3 or more (please specify)
Date end of follow-up:	01/01/2021
	o Other (please specify)://
Please specify reason if date end of follow-up is other	○ Lost to follow-up
than 01/01/2021:	o Death
	o Re-transplantation after PVO treatment
	Other (please specify):
Age end of follow-up:	
Thrombosis portal vein or MRB/other surgical shunt),
without therapeutical options:	∘ Yes ∘ No
Date/age thrombosis portal vein or MRB/other	
surgical shunt without therapeutical options:	_/_/
Re-transplantation after PVO treatment(s):	○ Yes ○ No
Date/ age diagnosis re-transplantation:	_/_/,
Cause of re-transplantation:	
Deceased after PVO treatment(s):	∘ Yes ∘ No
Date/age of death:	_/_/,
Please specify the cause of death:	
Medical history/comorbidities at baseline	
Primary disease:	o Biliary atresia
	Other (please specify):
Number of transplantations:	\circ 1 \circ 2 \circ 3 or more (please specify)
	Date/age transplantation 1:/,
	Date/age transplantation 2:/,,

	Date/age transplantation 3:/,
	Date/age transplantation 4:/,
	Date/age transplantation 5:/,
	Date/age transplantation 6:/,
Characteristics of last transplant before the first	
diagnosis PVO	
Date/age last transplantation:	_/_/,
Indication last transplantation:	o Biliary atresia
	o Re-transplantation
	Other (please specify):
Type last transplantation:	○ Living-donor
	o Deceased-donor
Size last transplantation:	○ Segment 2,3
	○ Segment 2,3,4
	o Right segment
	○ Full size
Size portal vein (on pre-transplantation CT scan):	mm Ounknown
Preventive portoplasty:	∘ Yes ∘ No
Venous jump/interposition graft portal vein:	∘ Yes ∘ No
Non-portal vascular complications within 30 days	
after last liver transplantation:	∘ Yes ∘ No
Number of non-portal vascular complications within	7
30 days after last liver transplantation:	\circ 1 \circ 2 \circ 3 or more (please specify):
Characteristics of interventions of non-portal vascular	
complications within 30 days after last liver	
transplantation:	
Intervention 1:	
Date intervention	_/_/
Location intervention	Hepatic artery
	o Hepatic vein

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Type intervention	Surgical: thrombectomy
	o Surgical: new anastomosis
	○ Surgical: reposition of vessel(s)○ IR PTA
	○ IR PTA/stent
	o Other intervention(s) (text):
Intervention 2:	
Date intervention	//
Location intervention	Hepatic artery
	o Hepatic vein
Type intervention	o Surgical: thrombectomy
	o Surgical: new anastomosis
	o Surgical: reposition of vessel(s)
	○ IR PTA
	○ IR PTA/stent
	Other intervention(s) (text):
Intervention 3:	
Date intervention	
Location intervention	Hepatic artery
	Hepatic vein
Type intervention	Surgical: thrombectomy
	Surgical: new anastomosis
	○ Surgical: reposition of vessel(s)○ IR PTA
	○ IR PTA/stent
	Other intervention(s) (text):

PART II: INTERVENTION CRF (please fill in for each intervention in separate form)

CRF 2.1 GENERAL INFORMATION

Treatment characteristics	
Date/age intervention (if conservative treatment, specify date/age of diagnosis):	_/_/,
Indication:	○ PVAS ○ PVT

CLINICAL CHARACTERISTICS AT DIAGNOSIS / TREATMENT

Clinical characteristics at intervention (6 months before	
intervention)	
Platelet count absolute number (If known, please provide the unit of choice):	o Unknown
Splenomegaly:	○ Yes ○ No ○ Unknown
Ascites:	○ Yes ○ No ○ Unknown
Recent gastrointestinal bleeding (6 months before intervention):	○ Yes ○ No ○ Unknown
History of gastrointestinal bleeding (during the whole period before intervention)	○ Yes ○ No ○ Unknown
Imaging characteristics	
Modality of imaging:	o DUS
	∘ CT
	o MRI
	o Transient elastography
	Other (please specify):
	○ Unknown
If applicable;	
DUS	
PVAS (radiological interpretation):	○ Yes ○ No ○ Unknown
PVT (radiological interpretation):	○ Yes ○ No ○ Unknown
Anastomosis diameter:	mm
Anastomotic velocity:	cm/s
Pre-anastomotic velocity:	cm/s
Post-anastomotic velocity:	cm/s Ounknown
Splenomegaly:	○ Yes ○ No ○ Unknown

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Spleen size:	cm	○ Unknown
If applicable;		
CT scan		
PVAS (radiological interpretation):	○ Yes ○ No	Unknown
PVT (radiological interpretation):	○ Yes ○ No	Unknown
Cavernous transformation portal vein:	○ Yes ○ No	Unknown
Anastomosis diameter:	mm	Unknown
Splenomegaly:	○ Yes ○ No	Unknown
Spleen size:	cm	Unknown
If applicable;		
MRI scan		
PVAS (radiological interpretation):	∘ Yes ∘ No	o Unknown
PVT (radiological interpretation):	○ Yes ○ No	o Unknown
Cavernous transformation portal vein:	∘ Yes ∘ No	o Unknown
Anastomosis diameter:	mm	o Unknown
Splenomegaly:	○ Yes ○ No	o Unknown
Spleen size:	cm	o Unknown
If applicable;		
Transient elastography (Fibroscan)		
Liver stiffness:	kPa	o Unknown
Spleen stiffness:	kPa	o Unknown
4		

CRF 2.2 TREATMENT INFORMATION

Treatment type:	o None, conservative with monitoring	
	o PTA of portal vein anastomosis	
	o PTA/stent of portal vein anastomosis	
	Mesorex bypass (MRB)	
	○ PTA of MRB anastomosis	
	o PTA/stent of MRB anastomosis	
	o Endovascular recanalization portal vein with PTA	
	o Endovascular recanalization portal vein with PTA/stent	
	o Splenic arterial embolism	
	○ Splenectomy	
	Other intervention(s) (text):	
Endovascular specific treatment		
details		
Access endovascular intervention (more	o Trans-splenic	
than one answer is possible):	o Trans-hepatic	
	o Trans-mesenteric	
	○ Unknown	
Pre-interventional pressure gradient	L:	
stenosis:	mmHg Ounknown	
If applicable;		
Portal vein anastomosis stenosis:	PTA performed: ○ Yes ○ No	
	Stent inserted: • Yes • No	
	Post-interventional pressure gradient: mmHg	
If applicable;		
PTA protocol		
How many dilatations in one		
treatment session?	○ 1 dilatation	
	Balloon size used: mm	
	Duration dilatation: min Ounknown	
	○ 2 dilatations	
	Balloon size used: mm Ounknown	
	Duration dilatation: min Ounknown	
	○ 3 dilatations	

	Balloon size used: mm Ounknown	
	Duration dilatation: min Ounknown	
	o More (please specify)	
Embolization of collaterals	○ Yes ○ No	
If applicable;		
Stent placement	Type stent:	
	o Self-expandable	
	Balloon expandable	
	○ Unknown	
	Stent manufacturer & type: O Unknown	
	Diameter: mm	
	Length: mm Ounknown	
Embolization of collaterals	∘ Yes ∘ No	
If applicable;		
Portal vein thrombosis:	Access endovascular intervention (more than one answer is possible):	
	○ Trans-splenic ○ Trans-hepatic ○ Trans-mesenteric	
	○ Unknown	
	Length recanalization: cm Ounknown	
	PTA performed: • Yes • No	
	Stent inserted: • Yes • No	
	Post-interventional pressure gradient: mmHg	
If applicable;	0	
PTA protocol		
How many dilatations in one		
treatment session?	○ 1 dilatation	
	Balloon size used: mm	
	Duration dilatation: min Ounknown	
	○ 2 dilatations	
	Balloon size used: mm	
	Duration dilatation: min Ounknown	
	o 3 dilatations	
	Balloon size used: mm	

	Duration dilatation: min Ounknown	
	o More (please specify)	
Embolization of collaterals	○ Yes ○ No	
If applicable;		
Stent placement	Type stent:	
	o Self-expandable	
	Balloon expandable	
	○ Unknown	
	Stent manufacturer & type: O Unknown	
	Diameter: mm	
	Length: mm Ounknown	
Embolization of collaterals	∘ Yes ∘ No	
Mesorex bypass preparation details		
Balloon occlusion portography		
performed:	∘ Yes ∘ No ∘ Unknown	
Liver biopsy performed:	○ Yes ○ No ○ Unknown	
Are you willing to share the liver biopsy	7.	
data?	∘ Yes ∘ No	
Mesorex bypass specific treatment		
details		
Venous graft used:	○ Yes ○ No ○ Unknown	
Prosthetic graft used:	○ Yes ○ No ○ Unknown	
Location of venous graft:	○ Internal jugular vein	
	○ Iliac vein	
	o Femoral vein	
	o Saphenous vein	
	Other (please specify):	
	○ Unknown	
Type of venous graft:	Auto graft (patients' own venous graft)	
	Matched living donor (same as living liver donor)	
	Unmatched living donor (unmatched to living liver donor)	
	Matched deceased donor (same donor as deceased liver donor)	

	Unmatched deceased donor (unmatched to deceased liver donor)	
	○ Unknown	
Intraprocedural anticoagulation		
Intraprocedural anticoagulation:	○ Yes ○ No ○ Unknown	
Unfractionated Heparin used:	○ Yes ○ No ○ Unknown	
If yes;		
Dosage:	○ 50 IU/kg	
	∘75IU/kg	
	○ 100 IU/kg	
	Other (please specify):	
	o Unknown	
If no; please specify other intraprocedural	o LMWH prophylactic dose	
anticoagulation	o LMWH therapeutic dose	
	Other (please specify):	
	o Unknown	
Postinterventional anticoagulation		
Postinterventional anticoagulation:	o Yes o No o Unknown	
How many types of anticoagulation?	○ 1 ○ 2 ○ 3 Please specify in time sequence ○ Unknown	
If applicable; Anticoagulation 1:	<i>L</i> :	
Sort:	Unfractionated Heparin	
	LMWH heparin prophylactic dose	
	o LMWH therapeutic dose	
	○ Vitamin K antagonist	
	Acetylsalicylic acid	
	Other (please specify):	
	○ Unknown	
Duration:	○ Temporally ○ Lifelong ○ Unknown	
	If temporally; please specify duration: weeks/months/years	
	○ Unknown	
Target level anticoagulation available?	○ Yes ○ No ○ Unknown	
	If applicable; target INR: O Unknown	
	If applicable; anti-Xa: U/ml • Unknown	

If applicable; Anticoagulation 2:		
Sort:	Unfractionated Heparin	
	o LMWH heparin prophylactic dose	
	○ LMWH therapeutic dose	
	o Vitamin K antagonist	
	o Acetylsalicylic acid	
	Other (please specify):	
	○ Unknown	
Duration:	○ Temporally ○ Lifelong ○ Unknown	
	If temporally; please specify duration: weeks/months/years	
	○ Unknown	
Target level anticoagulation available?	○ Yes ○ No ○ Unknown	
	If applicable; target INR: O Unknown	
	If applicable; anti-Xa: U/ml ○ Unknown	
If applicable; Anticoagulation 3:	<u> </u>	
Sort:	o Unfractionated Heparin	
	o LMWH heparin prophylactic dose	
	o LMWH therapeutic dose	
	○ Vitamin K antagonist	
	Acetylsalicylic acid	
	Other (please specify):	
	○ Unknown	
Duration:	○ Temporally ○ Lifelong ○ Unknown	
	If temporally; please specify duration: weeks/months/years	
	○ Unknown	
Target level anticoagulation available?		
	○ Yes ○ No ○ Unknown	
	○ Yes ○ No ○ UnknownIf applicable; target INR: ○ Unknown	

FC D W in

FOLLOW-UP CRF

Direct post-procedural, up to two weeks (short term)	
Which modality do you use for radiological follow-up for this	
intervention (more than one answer is possible)?	o DUS
	o CT
	o MRI
	o Transient elastography
	o Other (please specify):
	o Unknown
If DUS; please specify last DUS within 2 weeks after	
intervention:	
Anastomotic velocity:	cm/s Ounknown
Pre-anastomotic velocity:	cm/s Ounknown
Post-anastomotic velocity:	cm/s 0 Unknown
If transient elastography; please specify last transient	
elastography within 2 weeks after intervention:	
Liver stiffness:	kPa
Spleen stiffness:	kPa
Platelet count, last number within 2 weeks after intervention:	o Unknown
Postinterventional complications	
Type:	○ Infection ○ Thrombosis ○ Bleeding
If thrombosis;	
Intervention performed ?	∘ Yes ∘ No ∘ Unknown
If yes;	
Date/age intervention:	_/_/
Type intervention:	o Interventional thrombolysis
	o Interventional thrombectomy
	o Surgical thrombectomy
	o Other (please specify):
	○ Unknown
Technical success:	∘ Yes ∘ No ∘ Unknown

Postprocedural, after to two weeks of intervention (long	1
term)	
Which modality do you use for follow-up for this intervention	
(more than one answer is possible)?	o DUS
	○ CT
	∘ MRI
	o Transient elastography
	Other (please specify):
	○ Unknown
Severe PVO complications	
Severe complications of PVO after intervention:	○ Yes ○ No ○ Unknown
Which complications (more than one answer is possible)?	o Ascites
	o Gastrointestinal bleeding
	Hepatic encephalopathy (any grade)
	Hepatopulmonary syndrome
	o Portopulmonary hypertension
Date/age first severe complication of PVO after intervention:	_/_/,

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Site Specific Information Form Part I: Current Management Practice

Version 1.4, March 2022

Inve	estigator	name:	

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

Bader A. M. Alfares, coordinating researcher

Hubert P. J. van der Doef & Reinoud P.H. Bokkers, principle investigators

E: b.a.m.alfares@umcg.nl, h.p.j.van.der.doef@umcg.nl, r.p.h.bokkers@umcg.nl

Experience of centers	
Since when does the liver transplantation	
program start?	_/_/
Since when does the living donor liver	
transplantation program start?	_/_/
Is a preventive portoplasty a standard	
procedure for a hypoplastic portal vein?	○ Yes ○ No
If yes; when was the first performed?	_/_/
Is a PTA a standard procedure?	○ Yes ○ No
If yes; when was the first performed?	_/_/
Is a stent placement a standard procedure?	○ Yes ○ No
If yes; when was the first performed?	 ○ Yes ○ No ─/_/ ○ Yes ○ No ─/_/ ○ Yes ○ No _/_/ ○ Yes ○ No _/_/
Is a MRB a standard procedure?	○ Yes ○ No
If yes; when was the first performed?	_/_/
Current composition of the team	
How many pediatric gastroenterologists-	
hepatologists who are responsible for the	
management of pediatric liver transplantation	
patients?	
How many pediatric radiologists who are	
responsible for the radiological management of	
pediatric liver transplantation patients?	
How many interventional radiologists who	
perform the procedures on children after liver	
transplantation (PTA/stent)?	
How many Hepato-Pancreato-Biliary surgeons	
who are responsible for the surgical	
management of pediatric liver transplantation	
patients?	
How many Hepato-Pancreato-Biliary surgeons	
who perform MRBs in children after liver	
transplantation?	
Current structure of the care	

Do you have a specialized team for PVO and		
other vascular problems after liver	o Vos. o No	
transplantation?	○ Yes ○ No	
If yes; who is part of the team?	a War a Na	
Pediatric gastroenterologists-hepatologists:	○ Yes ○ No	
Pediatric radiologists:	○ Yes ○ No	3
Interventional radiologists:	○ Yes ○ No	Frotected by copyright, including for uses re
Hepato-Pancreato-Biliary surgeons:	○ Yes ○ No	0
Other (please specify):		, , , , , , , , , , , , , , , , , , ,
Do you have a specialized multi-disciplinary		pyric
meeting for PVO and other vascular problems]
after liver transplantation?	○ Yes ○ No	
If yes; who is part of the team?		
Pediatric gastroenterologists-hepatologists:	○ Yes ○ No	ğ
Pediatric radiologists:	○ Yes ○ No	gecr
Interventional radiologists:	○ Yes ○ No	
Hepato-Pancreato-Biliary surgeons:	○ Yes ○ No	aled to
Other (please specify):		
Do you have a protocol for the care of patients	Z .	
with a PVO?	○ Yes ○ No	u ca
If yes; does it contain the following topics?		<u> </u>
Screening:	○ Yes ○ No	
Diagnosis:	○ Yes ○ No	2
Indication for treatment:	∘ Yes ∘ No	٩
Treatment:	∘ Yes ∘ No	9,
Postprocedural care:	∘ Yes ∘ No	
Is your center willing to share their protocol?	○ Yes ○ No	
Screening		<u>2</u>
What is/are the current radiological	o Doppler ultrasound (DUS)	
investigation(s) for screening for PVO in the	○ CT scan	Ai trailling, and similar technologies
outpatient department (multiple answers are	o Transient Elastography (TE)	ē.
possible)?	Other (please specify):	

s the PVO screening for patients with PVO	○ Yes ○ No
isk factors similar to patients without PVO	
risk factors?	
If yes; What is the current timing of the	o 3 months after transplantation
preferred radiological screening investigation	o 6 months after transplantation
n the outpatient department (multiple answers are	o 9 months after transplantation
possible)?	○ 1 year after transplantation
	o 2 years after transplantation
	o 3 years after transplantation
	o 4 years after transplantation
	o 5 years after transplantation
	o 6 years after transplantation
	o 7 years after transplantation
	o 8 years after transplantation
	o 9 years after transplantation
	○ 10 years after transplantation
	○ 11 years after transplantation
	○ 12 years after transplantation
	○ 13 years after transplantation
	○ 14 years after transplantation
	○ 15 years after transplantation
	○ 16 years after transplantation
	○ 17 years after transplantation
	○ 18 years after transplantation
	o other
If no; what kind of risk factors do you use	○ Biliary atresia
multiple answers are possible)?	o Living related liver transplantation
	○ Venous jump/interposition graft portal vein
	○ Age liver transplantation <1 year
	o Surgical intervention portal vein within 30 days after liver
	transplantation (thrombectomy, new anastomosis, reposition of
	vessel(s))
	o other:
If no;	o 3 months after transplantation

What is the current timing of the preferred radiological screening investigation in the outpatient department in patients with PVO risk factors (multiple answers are possible)?

- o 6 months after transplantation
- o 9 months after transplantation
- 1 year after transplantation
- o 2 years after transplantation
- 3 years after transplantation
- 4 years after transplantation
- 5 years after transplantation
- o 6 years after transplantation
- o 7 years after transplantation
- 8 years after transplantation
- o 9 years after transplantation
- 10 years after transplantation
- 11 years after transplantation
- 12 years after transplantation
- 13 years after transplantation
- 14 years after transplantation
- 15 years after transplantation
- 16 years after transplantation
- 17 years after transplantation
- 18 years after transplantation
- o other

If no:

What is the current timing of the preferred radiological screening investigation in the outpatient department in patients without PVO risk factors (multiple answers are possible)?

- o 3 months after transplantation
- o 6 months after transplantation
- o 9 months after transplantation
- 1 year after transplantation
- 2 years after transplantation
- 3 years after transplantation
- 4 years after transplantation
- 5 years after transplantation
- 6 years after transplantation
- 7 years after transplantation
- 8 years after transplantation
- o 9 years after transplantation
- 10 years after transplantation
- 11 years after transplantation

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	○ 12 years after transplantation	
	○ 13 years after transplantation	
	○ 14 years after transplantation	
	○ 15 years after transplantation	
	○ 16 years after transplantation	
	○ 17 years after transplantation	
	○ 18 years after transplantation	
	o other	
Assessment criteria		,
What is your center's non-invasive radiological		;
riteria to determine stenosis of the portal vein		(
nastomosis?		
Please report the following:		
Preferred radiological investigation(s)		(
Radiological criteria and radiological cut-		
off values (with a reference if applicable)		
referred radiological investigation(s):	○ Doppler ultrasound (DUS)	
	○ CT scan	
	○ Transient Elastography (TE)	
	Other (please specify):	
Non-invasive radiological criteria and cut-off	If applicable; DUS :	
ralues (with a reference if applicable):	Pre-anastomotic velocity:	
	○ Yes ○ N/A	
	If yes; cut-off value: cm/s	
	Post-anastomotic velocity:	ę
	○ Yes ○ N/A	
	If yes; cut-off value: cm/s	
	Anastomotic velocity:	
	○ Yes ○ N/A	
	If yes; cut-off value: cm/s	ļ
	Anastomotic-to-pre-anastomotic velocity ratio:	
	○ Yes ○ N/A	
	If yes; cut-off value:	
	Anastomotic diameter:	

	○ Yes ○ N/A	
	If yes; cut-off value: mm	
	Presence of turbulence:	
	○ Yes ○ N/A	
	If applicable; CT scan:	
	Anastomotic diameter:	
	○ Yes ○ N/A	
	If yes; cut-off value: mm	
	Presence of collaterals:	ļ
	○ Yes ○ N/A	•
	Presence of cavernoma:	
	○ Yes ○ N/A	
	If applicable; TE/SWE:	
	Liver stiffness:	
	∘ Yes ∘ N/A	
	If yes; cut-off value: kPa	
	Spleen stiffness:	
	∘ Yes ∘ N/A	
	If yes; cut-off value: kPa	
What is your center's interventional	1	(
radiological criteria to determine stenosis of		
the portal vein anastomosis during an invasive		
portography?		ģ
Please report the following:		
Radiological criteria and radiological cut-		
off values (with a reference if applicable):		
Interventional radiological criteria and cut-off	Pressure gradient anastomosis:	•
values (with a reference if applicable):	○ Yes ○ N/A	
	If yes; cut-off value: < mmHg	
	Visual aspect anastomosis:	
	○ Yes ○ N/A	
	If yes; cut-off value: %	

How does your center define technical success	
after interventional radiological treatment?	
Please report the following:	
Radiological criteria and radiological cut-	
off values (with a reference if applicable):	
Radiological criteria and radiological cut-off	Pressure gradient anastomosis:
values (with a reference if applicable):	○ Yes ○ N/A
	If yes; cut-off value: < mmHg
	Drop in pressure gradient (%) from baseline:
	○ Yes ○ N/A
	If yes; cut-off value: %
	Residual venographic stenosis (%):
	○ Yes ○ N/A
	If yes; cut-off value: %
Postprocedural care	
PTA	
How many types of anticoagulation?	○1 ○2 ○3
Anticoagulation 1:	o DOAC
	If chosen; please specify type:
	o Unfractionated Heparin
	o LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	o Other (please specify):
Duration anticoagulation 1:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 1:	INR:
	anti-Xa:U/ml
Anticoagulation 2 (if applicable):	o DOAC
	If chosen; please specify type:
	o Unfractionated Heparin

	LMWH prophylactic dose
	○ LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/year
Therapeutic range anticoagulation 2:	INR:
	anti-Xa:U/ml
Anticoagulation 3 (if applicable):	∘ DOAC
	If chosen; please specify type:
	o Unfractionated Heparin
	○ LMWH prophylactic dose
	○ LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 3:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/year
Therapeutic range anticoagulation 3:	INR:
	anti-Xa:U/ml
PTA/stent	
How many types of anticoagulation?	○1 ○2 ○3
Anticoagulation 1:	○ DOAC
	If chosen; please specify type:
	○ Unfractionated Heparin
	○ LMWH prophylactic dose
	○ LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid

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Other (please specify): Duration anticoagulation 1: ○ Temporally ○ Lifelong ○ Unknown *If temporally*; please specify duration: ____ weeks/months/years INR: ____ Therapeutic range anticoagulation 1: Protected by copyright, including for uses related to text and anti-Xa: ____U/ml Anticoagulation 2 (*if applicable*): o DOAC If chosen; please specify type: Unfractionated Heparin LMWH prophylactic dose LMWH therapeutic dose o Vitamin K antagonist o Acetylsalicylic acid Other (please specify):_____ Duration anticoagulation 2: ○ Temporally ○ Lifelong ○ Unknown *If temporally*; please specify duration: ____ weeks/months/years INR: ____ Therapeutic range anticoagulation 2: ata mining, Al training, and similar technologies anti-Xa: U/ml Anticoagulation 3 (if applicable): o DOAC If chosen; please specify type:_____ Unfractionated Heparin LMWH prophylactic dose LMWH therapeutic dose • Vitamin K antagonist Acetylsalicylic acid Other (please specify):_____ Duration anticoagulation 3: ○ Temporally ○ Lifelong ○ Unknown If temporally; please specify duration: ____ weeks/months/years Therapeutic range anticoagulation 3: INR: ____

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MDD	anti-Xa:U/ml
MRB	
How many types of anticoagulation?	01 02 03
Anticoagulation 1:	o DOAC
	If chosen; please specify type:
	Unfractionated Heparin
	LMWH prophylactic dose
	LMWH therapeutic dose
	○ Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 1:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
The manageria manage antique applation 1.	INR:
Therapeutic range anticoagulation 1:	
A .: 1 .: 2	anti-Xa:U/ml
Anticoagulation 2 (if applicable):	O DOAC
	If chosen; please specify type:
	Unfractionated Heparin LAWIII prophylastic data
	LMWH prophylactic dose
	LMWH therapeutic dose
	O Vitamin K antagonist
	Acetylsalicylic acid
	Other (please specify):
Duration anticoagulation 2:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/years
Therapeutic range anticoagulation 2:	INR:
	anti-Xa:U/ml
Anticoagulation 3 (if applicable):	o DOAC
	If chosen; please specify type:
	Unfractionated Heparin

	o LMWH prophylactic dose
	o LMWH therapeutic dose
	o Vitamin K antagonist
	Acetylsalicylic acid
	o Other (please specify):
Duration anticoagulation 3:	○ Temporally ○ Lifelong ○ Unknown
	If temporally; please specify duration: weeks/months/year
Therapeutic range anticoagulation 3:	INR:
	anti-Xa:U/ml
Radiological follow-up after treatment	
Is the radiological follow-up after all PVO	• Yes (please fill in the radiological follow-up at all
interventions (PTA, PTA/stent, MRB) the	interventions)
same?	No (please fill in the radiological follow-up for every
	intervention specific below)
All interventions	<u></u>
Which modality do you use for follow-up (more	o DUS
than one answer is possible)?	∘ CT
	∘ MRI
	o Transient elastography
	Other (please specify):
How often is radiological follow-up carried	o 3 months after intervention
out? (more than one answer is possible)?	o 6 months after intervention
	o 9 months after intervention
	○ 1 year after intervention
	o 2 years after transplantation
	o 3 years after transplantation
	o 4 years after transplantation
	o 5 years after transplantation
	o 6 years after transplantation
	o 7 years after transplantation
	0 8 years after transplantation
	o 9 years after transplantation

	○ 10 years after transplantation	
	○ 11 years after transplantation	
	○ 12 years after transplantation	
	○ 13 years after transplantation	
	○ 14 years after transplantation	
	○ 15 years after transplantation	_
	○ 16 years after transplantation	rote
	o 17 years after transplantation	cted
	○ 18 years after transplantation	by c
	Other (please specify):	Protected by copyright, including for uses re
TA		ight,
Which modality do you use for follow-up (more	o DUS	incl
nan one answer is possible)?	∘ CT	udin İn
	∘ MRI	g for
	o Transient elastography	use
	Other (please specify):	s rela
low often is radiological follow-up carried	○ 3 months after intervention	ated to
ut? (more than one answer is possible)?	○ 6 months after intervention	
	o 9 months after intervention	text and
	o 1 year after intervention	9 9
	o 2 years after transplantation	ata m
	o 3 years after transplantation	
	○ 4 years after transplantation	g, <u>A</u>
	○ 5 years after transplantation	l training, and similar technologies
	○ 6 years after transplantation	ning.
	○ 7 years after transplantation	and
	○ 8 years after transplantation	<u>si</u> m
	○ 9 years after transplantation	ilar t
	○ 10 years after transplantation	echr
	○ 11 years after transplantation	10log
	○ 12 years after transplantation	jies.
	○ 13 years after transplantation	
	○ 14 years after transplantation	
	○ 15 years after transplantation	

	○ 16 years ofter transplantation	
	16 years after transplantation	
	17 years after transplantation	
	• 18 years after transplantation	
	Other (please specify):	
ΓA/ stent		
Thich modality do you use for follow-up (more	o DUS	7
n one answer is possible)?	∘ CT	otec
	∘ MRI	D93
	o Transient elastography	y co
	Other (please specify):	pyri
ow often is radiological follow-up carried	o 3 months after intervention	gni,
t? (more than one answer is possible)?	o 6 months after intervention	Protected by copyright, including for uses related to
	o 9 months after intervention	
	o 1 year after intervention	jior
	o 2 years after transplantation	USes
	o 3 years after transplantation	rei
	o 4 years after transplantation) Ted
	o 5 years after transplantation	
	o 6 years after transplantation	rext and
	o 7 years after transplantation	າດ ດີ
	o 8 years after transplantation	ita m
	o 9 years after transplantation	
	o 10 years after transplantation	9, AI
	○ 11 years after transplantation	i training, and similar technologies
	○ 12 years after transplantation	ling
	○ 13 years after transplantation	and
	o 14 years after transplantation	S
	○ 15 years after transplantation	llart
	○ 16 years after transplantation	ecnr
	○ 17 years after transplantation	Joio
	○ 18 years after transplantation	gies.
	Other (please specify):	

Which modality do you use for follow-up (more	o DUS
than one answer is possible)?	○ CT
	∘ MRI
	o Transient elastography
	o Other (please specify):
How often is radiological follow-up carried	o 3 months after intervention
out? (more than one answer is possible)?	o 6 months after intervention
	o 9 months after intervention
	○ 1 year after intervention
	o 2 years after transplantation
	o 3 years after transplantation
	o 4 years after transplantation
	o 5 years after transplantation
	o 6 years after transplantation
	o 7 years after transplantation
	○ 8 years after transplantation
	○ 9 years after transplantation
	○ 10 years after transplantation
	○ 11 years after transplantation
	o 12 years after transplantation
	o 13 years after transplantation
	o 14 years after transplantation
	 15 years after transplantation
	o 16 years after transplantation
	o 17 years after transplantation
	○ 18 years after transplantation
	Other (please specify):

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PORTAL Registry

Portal vein Obstruction Revascularisation Therapy After Liver transplantation

Site Specific Information Form Part II: Prevalence Study

Version 1.4, March 2022

Investigator name:

Please complete all forms as fully as possible.

Thank you for your cooperation.

Kind regards,

The PORTAL Registry team

Bader A. M. Alfares, coordinating researcher

Hubert P. J. van der Doef & Reinoud P.H. Bokkers, principle investigators

E: b.a.m.alfares@umcg.nl, h.p.j.van.der.doef@umcg.nl, r.p.h.bokkers@umcg.nl

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Prevalence				
From which period do you start inclusion for the	01/01/2001			
prevalence study?	Other (please specify):/			
From which period do you start inclusion	01/01/2001			
diagnosed PVO patients?	Other (please specify):/			
How many paediatric liver transplantations (LT)	Date of LT:	Date of LT:	Date of LT:	Date of LT:
were performed since start inclusion?	01/01/2001 -	01/01/2006 -	01/01/2011 -	01/01/2016 - 01/01/2020
Please include number of transplantations within different groups and time periods:	01/01/2006	01/01/2011	01/01/2016	01/01/2020
All				
Biliary atresia				37 60 77
Living donor liver transplantation				
Age at liver transplantation <2 years				
Age at liver transplantation <1 year				
Age at liver transplantation <1 year AND Living donor liver transplantation AND Biliary atresia				
Age at liver transplantation <1 year AND				6
Deceased donor liver transplantation AND Biliary atresia				
				,
				g g g
				y 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
				17

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description		
Administrative information				
Title	1	PORTAL registry is a multicentre, retrospective, observational registry of patients who underwent liver transplantation and were diagnosed and treated for PVO at age <18 years		
Trial registration	2a	The PORTAL registry is registered in the Netherlands Trial Register (NL9261). Registration date: 28-02-2021. Website: www.trialregister.nl		
	2b	Appendix I		
Protocol version	3	Issue date: 12 January 2021 Protocol amendment number: 4 Authors: BA, RB, HVD		
Funding	4	N.A.		
Roles and responsibilities	5a	All authors were actively involved in this study design and read and approved the final manuscript. BA, HVD and RB designed the original study protocol and initiated the study.		
	5b	Trial Sponsor: University Medical Centre Groningen, Groningen, The Netherlands Reinoud P.H. Bokkers, MD PhD EBIR		
		University Medical Centre Groningen (UMCG)		
		Department of Radiology, Medical Imaging Centre		
		Hanzeplein 1, 9721 GZ Groningen, the Netherlands		
		Email: r.p.h.bokkers@umcg.nl		
		Phone: +31-50-3616161		

5c This sponsor source had no role in the design of this study and will not have any role during its execution, analyses, interpretation of the data, or decision to submit results

5d Principal investigators and coordinating researcher

Design and conduct of PORTAL registry
Preparation of protocol and revisions
Preparation of PORTAL registry-related items: site specific information form, case report form, and e-crf guidelines
Managing correspondence with collaborating centres
Publication of study reports

Lead investigators

In each participating centre, a lead investigator ((interventional) radiologist, paediatric hepatologist, and liver-transplant surgeon) will be identified, to be responsible for identification, recruitment, data collection and completion of (e)CRFs, along with follow up of study patients and adherence to study protocol and investigators brochure. One investigator per country will be chosen as a national coordinator

Project management office

Assistance with administrative issues
Data verification
Advice for Principal investigators and coordinating researcher

Medical Ethics Review Committee (METc in Dutch)
Agreement of final protocol and amendments (if applicable)

Contract Research Desk

Agreement of final clinical site agreement

Introduction

Background and 6a rationale

Background: Liver transplantation is the standard care for patients with end-stage liver disease, metabolic liver diseases, and acute liver failure. Despite marked improvements in operating techniques, vascular complications, and especially portal vein obstruction (PVO), occur frequently after paediatric liver transplantation. Prevalence numbers in the literature differ from 8-12%, which is probably related to the distribution of the risk factors (young age at liver transplantation, biliary atresia, living related liver transplantation) within the investigated population and small sample size (only single centre data is reported)

Rationale: The PORTAL registry study will gather one of the largest international data sets on the characteristics of PVO in regard to current management practice, prevalence numbers, and efficacy of the individual treatments. Given the fact that the current data are only single-centre studies which do not have the adequate numbers to be able to give answers to such important questions on who, when and how to treat patients with PVO after liver transplantation. Therefore, PORTAL registry will be one of the first multicentre studies to analyze the diagnostic and therapeutic characteristics of PVO after paediatric liver transplantation to inform future quality of care initiatives for this group of patients which is regarded to be the only feasible strategy to obtain more insights on prevalence of PVO, and long-term efficacy of the individual PVO treatments in paediatric patients after liver transplantation

6b N.A.

Objectives

The objectives of this study are to assess the efficacy of the individual treatments for portal vein obstruction (PVO) after paediatric liver transplantation, the prevalence numbers of PVO, and the current management practice

Trial design

This is an international, multi-centre, retrospective, observational registry study

Methods: Participants, interventions, and outcomes

Study setting

PORTAL registry is a multicentre, retrospective, observational registry of patients who underwent liver transplantation and were diagnosed and treated for PVO. List of countries where data will be collected can be found in https://portalregistry.eu/

Eligibility criteria 10

The study aims to include approximately 400 paediatric patients. Patients will be included if the following inclusion criteria are present:

- 1. Treated for PVO (PVAS or PVT) after liver transplantation (all interventions are included and conservative treatment as well)
- 2. Age at intervention <18 years
- 3. Intervention in period 01-01-2001 and 01-01-2021

Investigator requirements:

All Investigators must submit the following documentation to be considered approved investigators:

- 1. Signed and dated recent curriculum vitae
- 2. Signed Clinical Study Agreement (CSA)
- 3. Complete site qualification process and site initiation

Interventions

11a N.A.

11b N.A.

11c N.A.

11d N.A.



Outcomes

12 Primary outcome measures:

- Patency
- 1) Primary patency is defined from index procedure to treat stenosis or occlusion to time to re-stenosis or re-occlusion. Primary patency ends when either re-stenosis or re-occlusion occur for the first time post intervention
- 2) Secondary patency is from index procedure to time of failure to re-establish flow following re-occlusion. Secondary patency ends once re-occlusion cannot be or is not treated
- Prevalence numbers

The prevalence of PVO will be calculated as the total PVO patients divided by the total of transplanted patients at paediatric age. The total transplanted patients will be calculated from patients with one year follow-up and date of transplantation between 01-01-2000 and 01-01-2020, as a large proportion of the PVO occurs in the first year after liver transplantation

Secondary outcomes:

Patient and graft survival

Patient survival is defined from date of PVO intervention until date of death. Patients who are alive at the end of the follow-up will be censored. Graft survival is defined from date of PVO intervention until date of re-transplantation or death. Patients who are alive without a re-transplantation at the end of the follow-up will be censored.

Freedom of severe PVO complications

Severe PVO complications are defined as severe signs of portal hypertension (ascites, gastrointestinal bleeding) or portosystemic shunting (any grade of hepatic encephalopathy, hepatopulmonary syndrome, portopulmonary hypertension). This will be determined following each intervention until end of the follow-up

Technical success

Technical success is defined as the success of the intervention during the procedure (re-establishment of portal flow, without residual stenosis) and is based on the assessment of the centre itself

Participant timeline

The data on prevalence numbers and efficacy of the treatments protocols are expected to be finalized in September 2022. Regarding data on current management practice, we aim to receive the paper-bases questionnaire in March 2022. Once the trial master file is completed by a participating centre, a virtual site initiation visit will be planned to discuss the study and to initiate the project officially

Sample size

We are expected that the study will consists of 400 paediatric patients

Recruitment 15 Clinical site personnel will collect the data and enter it in REDCap database as well as paper-based clinical site information form by local research personnel. Subject records will be pseudo-anonymized by means of allocating each subject with a unique study number

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer- generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned
Implementatio n	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions
Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial

Methods: Data collection, management, and analysis

years from 1-1-2001 until 1-1-2021

Data collection methods

A single questionnaire (Site Specific Information Form) is provided to acquire data on the prevalence and current management practice. Within this questionnaire, we will request the amount of transplanted patients within the total paediatric group and in subgroups based on time of transplantation, age at transplantation, underlying disease (biliary atresia) and donor type (living or deceased liver donor). Moreover, within this questionnaire, data on experience of the centres. multidisciplinary team, structure of care, screening protocol, and assessment criteria will be obtained. Data on efficacy of the individual portal vein revascularisation treatments will be registered in REDCap database (https://redcap.umcg.nl), Within the REDCap database, patients treated for PVO (PVAS or PVT) after liver transplantation are included with an age at intervention of <18 years). Inclusion period is within the last 20

18b N.A.

18a

Data management

Clinical site personnel will collect the data and enter it in REDCap database as well as paper-based clinical site information form by local research personnel. Subject records will be pseudo-anonymized by means of allocating each subject with a unique study number. The local investigators will keep a list with the subject names, date of birth, local ID, and unique study number. Data will be stored by the local investigators and coordinating centre for 15 years after termination of the study. All data and records generated during this study will be kept in accordance with institutional policies regarding subject privacy and the data and records of all patients will not be used for any purpose other than conducting this study

Statistical methods

20a All data analyses will be performed by using IBM SPSS Statistics version 26. Descriptive statistics will be applied using the mean and standard deviation for variables with normal distribution, and median and interquartile ranges (IQR) for variables with skewed distribution. Dichotomous variables will be compared with the Chi-square test or the Fisher exact test or both. Continuous variables will be compared with the Mann-Whitney U test. For the analysis of the primary and secondary patency, freedom of severe PVO complications and patient and graft survival, the Kaplan-Meier test will used. P-values less than 0.05 will be considered statistically significant

20b N.A.

20c N.A.

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Methods: Monitoring

Data monitoring 21a

To the extent applicable, the Site Parties shall permit the Study Monitor, Auditor, IRB and any official with a legal right to inspect and access all relevant documentation and Patient Data for monitoring of the progress of the Clinical Study, the proper collection and recording of Clinical Data, and altogether the good quality of the Clinical Study and compliance with applicable Law. Parties will make in good faith arrangements concerning the planning and follow-up of such audits or inspections. For the avoidance of doubt, no copying of the Patient Data is permissible and any access to the Patient Data shall be arranged for in the premises of the Study Site

21b N.A.

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Harms

Due to the retrospective nature of the study, the risks of participation are minimal, and this study will not influence the on-going diagnostics and treatment of the included patients. Adverse events are not expected

23 N.A. Auditing

Ethics and dissemination

Research ethics 24 approval

Ethical approval has been obtained from the University Medical Centre Groningen's Institutional Review Board (METc 2021/072) prior to the start of the study

Protocol amendments 25

Any modifications to the protocol which may impact on the conduct of the study, potential benefit of the patient or may affect patient safety, including changes of study objectives, population, sample studv design, patient sizes. procedures, or significant administrative aspects will require a formal amendment to the protocol and therefore, IRB will be notified

Consent or assent

26a

If mandated by the local national laws, a waiver of informed consent will be requested governing the conduct of clinical research studies, and General Data Protection Regulation act. If a consent is claimed, the local investigator will assure that the consent forms are signed by study participants/their parents

N.A. 26b

Confidentiality 27 All study-related information will be stored securely at the study site. All participant information will be stored in locked file in areas with limited access (only for authorized personnel). All reports, data collection, and administrative forms will be identified by a coded ID number only to maintain participant confidentiality. All records that contain names or other personal identifiers will be stored separately from study records identified by code number. All local databases will be secured with password-protected access systems. Forms, lists, logbooks, and any other listings that link participant ID numbers to other identifying information will be stored in a separate, locked file in an area with limited access

Declaration of interests

28 N.A.

Access to data

29 Only authorized personnel (project principal investigators and coordinating researcher) will have direct access to the data sets

Ancillary and post-trial care

30 N.A.

Dissemination policy

The results of this study will be disseminated by publication of peer-reviewed manuscripts, presentation in an abstract form at scientific meetings, and data sharing with other researchers through academically-established means.

- 31b For each main paper, there are three co-author positions per centre available. Following the completion of the study, all collaborators can submit a proposal for a substudy
- The outcome of this study will also be utilized to design an evidence-based, feasible therapeutic pathway for paediatric patients with portal vein complications following liver transplantation and implement this in an inter(national) guidelines

Appendices

Informed 32 N.A.

consent materials

Biological 33 N.A.

specimens

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "Attribution-NonCommercial-NoDerivs 3.0 Unported" license.