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Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

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Title: Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

AUTHORS:

Amanda E. Perry¹

Mitch G. Waterman²

Allan O. House³

Alexandra Wright-Hughes⁴

Joanne Greenhalgh⁵

Amanda Farrin⁴

Gerry Richardson⁶

Ann Hopton¹

Nat Wright 7

Corresponding authors email address: amanda.perry@york.ac.uk

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¹ Department of Health Sciences, University of York

² Faculty of Medicine and Health, University of Leeds, Leeds LS2 9JT, UK

³Leeds Institute of Health Sciences, University of Leeds, Leeds LS2 9JT, UK

⁴ Clinical Trials Research Unit, Leeds Institute of Clinical Trials Research,

University of Leeds, Leeds LS2 9JT, UK

⁵ Sociology and Social Policy, Faculty of Education and Social Science and Law, University of Leeds, LS2 9JT, UK.

⁶ Centre for Health Economics, University of York, York, YO10 5DD Sociology and Social Policy, Faculty of Education and Social Science and Law, University of Leeds, LS2 9JT, UK.

^{7.} Spectrum Community Health CIC, Wakefield, WF1 5RH.

ABSTRACT

Objectives: Problem-solving skills training is adaptable, inexpensive and simple to deliver. However, its application with prisoners who self-harm is unknown. The study assessed the feasibility and acceptability of a Problem-Solving Training (PST) intervention for prison staff and prisoners who self-harm, to inform the design of a large-scale study.

Design and setting: A mixed methods design used routinely collected data, individual outcome measures, an economic protocol and qualitative interviews at four prisons in Yorkshire and Humber, UK.

Participants: (i) front-line prison staff, (ii) male and female prisoners with an episode of self-harm in the previous two weeks.

Intervention: The intervention comprised a one-hour staff training session and a 30-minute prisoner session using adapted workbooks and case studies.

Outcomes: We assessed the study processes - coverage of training; recruitment and retention rates and adequacy of intervention delivery - and available data (completeness of outcome data, integrity of routinely collected data and access to NHS resource information). Prisoner outcomes assessed incidence of self-harm, quality of life and depression at baseline and at follow-up. Qualitative findings are presented elsewhere.

Results: Recruitment was higher than anticipated for staff n=280, but lower for prisoners, n=48. Retention was good with 43/48 (89%) prisoners completing the intervention, at follow-up we collected individual outcome data for 34/48 (71%) of prisoners. Access to routinely collected data was inconsistent. Prisoners were frequent users of NHS healthcare. The additional cost of training and intervention delivery was deemed minimal in comparison to 'treatment as usual'. Outcome measures of self-harm, quality of life and depression were found to be acceptable.

Conclusions: The intervention proved feasible to adapt. Staff training was delivered but on the whole it was not deemed feasible for staff to deliver the intervention. A large-scale study is warranted, but modifications to the implementation of the intervention are required.

STRENGTHS AND LIMITATIONS OF THIS STUDY

Prison staff and prisoners were involved in the development of our questionnaires, the intervention adaptation and production of the workbooks.

The feasibility study was conducted across four prison sites including male and female prisoners.

Outcome data were collected via a variety of different sources demonstrating variability and differences in data collection procedures.

It was not deemed feasible for staff to deliver the intervention.

INTRODUCTION

Problem-solving skills training delivered in a systematic manner provide a non-specialist intervention that is accessible to anyone following brief training. Deficits in problem-solving skills are often found in people who self-harm and can result in reliance on others, leading to a passive as opposed to an active problem-solving approach [1-3]. Trials of problem-solving skills in the *community* demonstrate that teaching people to use brief problem-solving skills can reduce repetition of self-harm behaviour [4-6].

In prison, despite growing numbers of those who self-harm there is a lack of psychological support for prisoners and a recognised need to provide adequate staff training (NICE Guidance CG133: <u>https://www.nice.org.uk/guidance/cg133/chapter/2-Research-recommendations)</u>. Evaluations of trials in prisons have explored alternative therapy models for those who self-harm (e.g., cognitive behaviour therapy and interpersonal psychotherapy), but such interventions require the use of extensive resources, large numbers of therapy sessions and qualified clinical therapists, making them inaccessible for prisoners who might only be incarcerated for short periods of time [7, 8].

Use of a brief PST intervention offers one solution to this problem. It has the advantages of being deliverable by any member of staff making it an attractive, inexpensive opportunity to reduce repeat self-harm. However, it is unclear whether the training is acceptable, or whether it can be implemented by staff in this setting. We therefore assessed the feasibility and acceptability of adapting an existing PST for frontline prison staff with the intention that they would deliver the intervention to prisoners who self-harm. This article reports on the acceptability and feasibility of the training, and the implementation of the intervention. Detailed methods on the qualitative findings are submitted elsewhere [9].

MATERIALS AND METHODS

Study design and setting

The study used a mixed-methods design - including quantitative collection of routine data, individual outcome measures and economic resource data, and information from staff to identify how much time was spent on 'usual care'.

The study took place in four prisons in Yorkshire and Humber between September 2014 and May 2017. The study sites included two male adult local prisons where the majority of prisoners are awaiting sentence (housing up to 1,212 and 1052 prisoners, prisons A and B), one female prison (housing up to 416: prison C) and one resettlement prison where sentenced prisoners are housed prior to transfer (housing up to 825: prison D).We report on our intervention using the template for intervention description and replication (TIDier) checklist [10].

Patient and Public Involvement

Our research questions and outcome measures were not informed by prisoner preferences and prisoners were not involved in the recruitment to the study. Prisoners did contribute significantly to the format and adaptation of the training materials. The training materials were printed from within the prison by prisoners. The results were disseminated using an A4 summary sheet which was sent to prisoners and prison staff.

The intervention

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The PST intervention was originally devised in New Zealand for patients who self-harm in the community [11]. The seven-step model includes 'getting the right attitude' (step one), reflection and recognising triggers (step two), defining a clear problem (step three), brain storming solutions (step four), decision making (step five), making a plan (step six) and reviewing progress (step seven). Problem-solving skills are an approach that encourages an individual to address their problems in a proactive manner using the systematic seven-step process. The theory behind social problem-solving is well established and often forms part of more extensive cognitive behaviour therapy sessions [12, 13].

The adaptation of the training and intervention materials

The training was adapted using focus groups. They were used to ensure (i) the appropriateness and context of the case materials and (ii) to promote discussion with staff and prisoners about their views on how the study might work.

Staff training and recruitment

Staff were recruited with the help of prison liaison staff who assisted with room bookings, shift management and allocation of individuals to attend the training course. Using estimates provided by the prison about: the number, and type of staff employed by the prison, we estimated a feasible recruitment goal of 125 trained staff across the four sites in our 12-month training period.

Staff received a one-hour training session between March 2015 and August 2016. Training was delivered by the research team in a flexible manner (e.g., during induction or on a lunchtime). Eligible prison staff included anyone with responsibility for prisoners who were at risk of self-harm and who were monitored under the prison system (Assessment Care in Custody Teamwork: ACCT[14]). Invited staff groups included management, probation, teaching, prison officers, chaplaincy, psychologists, specialist suicide prevention assessors and nursing staff. All staff receiving the training gave full informed consent.

Recruitment and implementation of the intervention with prisoners who self-harmed

Recruitment of prisoners occurred at prison sites A, B and D. In site C access to the prison site was limited. Our feasible recruitment goal of 120 were based on access to three sites and monthly prison information on the numbers of those 'at risk'.

Prisoners were identified via the ACCT register and approached by a member of the research team or prison staff. Eligible prisoners were 1) >16 years or over and (2) with an episode of self-harm or attempted suicide in the previous two weeks. Prisoners were excluded if (i) an ACCT was opened for reasons other than actual self-harm or attempted suicidal behaviour, (ii) they were deemed too unwell by prison staff, or (iii) posed a risk to the researchers. Consenting participants completed baseline and follow-up questionnaires.

The entirety of the intervention was delivered in a 30-minute session. The session demonstrated use of the seven-steps using the booklets and case studies developed in the focus groups. Prisoners were invited to attend subsequent follow-up sessions to assess progress and support their engagement with the intervention.

Feasibility and acceptability measures

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Data were collected on rates of recruitment, consent and retention for staff and prisoners. Reasons for non-participation and withdrawal were collected, where possible.

For outcome measures we assessed feasibility and acceptability by recording completion and follow-up rates. Typically, completion rates <50% are taken to indicate non-feasibility, >75% as indicating feasibility, and 51-74% as ambiguous - requiring modifications to design or implementation plans and reconsideration.

Our primary outcome proposed for a definitive trial was incidence of self-harm. Data on self-harm and/or attempted suicides were recorded at three months prior to baseline, baseline, post-intervention and at three months follow-up (or up to point of release or transfer) from SystmOne using the search terms 'self-harm' and 'F213'⁷.

Individual secondary outcomes at baseline and follow-up included measurement of quality of life using the EQ-VAS: [15] and depression using the PHQ-9:[16]. The EQ-VAS is a self-rated questionnaire providing description of the subject's current health in five dimensions i.e., mobility, self-care, usual activities, pain/discomfort and anxiety/depression and is rated into one of three degrees of disability (severe, moderate or none). The PHQ-9 is a well validated tool for the measurement of depression with robust psychometric properties, reliability and validity in adult community populations.

Costs were estimated by: (i) completion of a self-report questionnaire reporting on access to NHS treatment before, during and after the study (ii) staff interviews to ascertain the average time spent on each ACCT process, (iii) a case note review of eleven prisoner ACCT documents to record the amount of staff time involved in the ACCT procedure, and (iv) the number of training sessions, numbers of staff attending each session, and the duration and timing of each training session.

We obtained routinely collected electronic ACCT data consisting of individual and monthly ACCT information between January 2012 and December 2016. The time period of the data collection was prescribed by the individual prison data collection protocols (table 1 supplementary materials). We found that data were comparable from our four prison sites across this time period. Prior to 2012 the comparability of data and access to data were found to be limited and December 2016 was the latest date for which all prisons had complete data.

DATA ANALYSIS

Data were summarised, by prison, using descriptive summary statistics. The information included the description of the focus group participants, the number of training sessions and staff attending training sessions. The feasibility and success of recruitment of prisoners to the study is evaluated through summaries of the screening, eligibility, consent and recruitment processes.

A summary of the variability of available routine data across: outcomes, prison and wings (where available), and the estimated cost of usual care were informed using staff information and case review process. Delivery and implementation of the PST intervention were estimated using the numbers of training sessions, numbers of staff attending, standardised staff costs, facilitator time in the delivery of the session and preparation for each session alongside the cost of materials. Summary statistics for prisoner's baseline characteristics and

⁷ F213 is the title of the form used by the prison service to record incidents of self-harm behaviour

outcomes for the incidence of self-harm behaviour, quality of life, depression and information on access to NHS treatment were recorded.

RESULTS

Feasibility assessment

Adapting and developing the materials

During 2015 staff and prisoners were nominated by each prison to participate in focus groups. 31 staff participants attended (table two supplementary materials). They comprised of mainly operational 17/31(55%) or managerial 6/31 (19%) staff with a mean age of 37 years. The majority were female 20/31 (66%), spoke English as their first language 27/31 (88%) and were British 27/31 (90%).

Six focus groups involving 67 prisoners, included mainly male prisoners 56/67 (83.6%) with a mean age of 39.8 years (SD 9.63). There were fewer prisoners on remand or first-time offenders involved in the focus groups, compared to recruited prisoners for the study (table three supplementary materials). The process resulted in two gender-specific picture booklets and a series of exercises with associated case study scenarios that were used in the training and delivery of the intervention.

Coverage of staff training and recruitment

280 prison staff were trained between March 2015 and August 2016 (see figure 1). Training was delivered by the research team to staff groups with a mean size of 8 staff (range of group sizes 2-19). Recruitment of staff to training sessions appeared to be acceptable and feasible.

[Insert Figure 1 here]

Staff trained were mainly operational (120, 43%) or healthcare staff (78, 28%); other staff included a number of voluntary, managerial, admin, education, and offender manager probation staff. Mean age of staff trained was 42 years, 59% were male, and almost all spoke English as their first language and were British. Trained staff had spent a median of 8 years (range <1 month – 36 years) working in the prison service (see table 1).

[Insert table 1 here]

Screening and recruitment of prisoners

During the three-month recruitment period at each site a total of 281 prisoners were eligible to participate as per the study criteria. Of these, 106/281 (37%) were released or transferred to another prison site prior to invitation to attend an appointment in healthcare. The average time between identification of an eligible participant and meeting them to inform them about the studied varied between at each site between one and three weeks.

Of the remaining 175 (62%), 95/175 were not seen in healthcare for a variety of reasons. These included: 66/95 (69%) people who did not attend their appointment to be informed about the study following three consecutive invitations, 9/95 (9%) were considered too dangerous to approach, 6/95 (6%), lacked sufficient capacity, 5/95 (5%), were transferred or released prior to attending the appointment, 8/95 (8%), were not approached by the research team due to limited resources within the team and one person died 1/95 (1%). Of the remaining 83 people, 6 (7%) attending the appointment were deemed not eligible reporting

no incident of actual self-harm behaviour. For the remaining 75 people 29/75 (39%) did not consent to take part leaving 48/75 (66%) consenting participants.

[Insert Figure 2 here]

The median age of prisoners was 30 years (range 59 to 58 years). All but three were White British, and all spoke English as their first language. Two thirds 32/48 (67%) were single and had never married; the majority smoked 39/48 (83%) and did not have a physical or learning disability (36/48 77% and 33/48 69%). Only a minority of prisoners recruited from prison B and none of those in prison D were on remand, whilst almost half of prison A recruited prisoners were on remand 22/48 (46%). Only a quarter were first time offenders 12/48 (25%), the number of times prisoners had been in prison ranged up to 50, with a median of 3 times. The median length of sentence was 27 months, with prisoners having spent a median of 3 months (range 2 days to 2 years) in their current and a median of 9 months left in prison (range 3 days to 15 years). For self-harm details see table four supplementary materials.

Retention

5/48 (10%) participants did not complete the intervention and withdrew from the study (figure 2); although general reasons were not provided for withdrawal. We tracked the transfer of 7/48 (15%) prisoners between our study sites. Transfer reasons included the progression of prisoners through their sentence (e.g., from a local prison to our resettlement prison) or were unexpected due to a security breech.

Adequacy of intervention delivery with prisoners who self-harmed

Between August 2015 and June 2016 delivery of the intervention by staff occurred for only two prisoners. At prison C the research team had limited access to deliver the intervention and instead the prison decided to take the booklets and distribute them on the wings to target bullying. For the remaining 46/48 (96%) participants the intervention was delivered by members of the research team in the healthcare unit.

The median time spent on intervention delivery was 40 minutes per prisoner, (range 30-90 minutes). The overall time spent with the researcher, including the baseline assessment, intervention delivery, follow up questionnaire for outcomes and qualitative interview averaged a median of 80 minutes, (range 30 minutes up to 2 hours 30 minutes) over a period of 1-7 contact appointments. In interviews, the intervention was acceptable to prisoners who received the intervention [5].

Acceptability of outcome measures

Use of routinely collected data to inform large-scale study

We found that reporting of self-harm data was complicated and recorded by several different methods, with variability in recording and differing definitions of self-harm across the four sites (table five supplementary materials). Figure 3 shows the variability in monthly number of ACCTs opened at each site per 100 prisoners. The greatest variability of open ACCTs was displayed in prison C (our female site): figures 1 and 2 supplementary materials provide further details.

[Insert Figure 3 here]

Estimating the costs

The estimated cost of usual care were gathered in staff interviews whereby staff told us how much time on average they spent conducting each element of the ACCT process (figure 3 supplementary materials). Using this data each task in the ACCT process was assigned a proportionate salary costs (table six supplementary materials).

The eleven case reviews identified a total of twenty-four ACCTS documents. For two prisoners the ACCT was in use at the point of data collection providing a conservative estimate of cost. The numbers of case reviews for each prisoner ranged from one to thirty-three, the number of staff observations ranged between 0 and 5520. The total administrative costs for the eleven prisoners was estimated at £21,650, an average of £1,968 per prisoner (range £375-£6416).

Training costs included a notional hourly rate (of £15 per person) to release staff attending the training session. The delivery costs included travel, preparation time and cost of course materials. Across sites we estimated training and intervention costs of between £500 and £6406 equating to a cost per prisoner of between £125 and £246 (table seven supplementary material). Overall it proved feasible to gather resource information to provide a cost estimate of usual care, delivery of training and implementation of the intervention.

Prisoner outcomes

100% of those agreeing to participate in the study completed the baseline assessment. Follow-up times varied considerably, taking place a median of 2.8 months after recruitment but up to a maximum of 15 months for one prisoner (see figure 4). The timing of follow up assessments fell into three clusters, the largest cluster taking place within the first three months post recruitment, a further set taking place between 6 and 8 months post recruitment in prison A. Follow-up was affected when access to prison A was halted for a three-month period. Overall the average follow-up rate for questionnaire returns was 34/48 (71%) across the three sites. The changes in scores reflect them as potentially useful outcome measures that could be used in a large-scale evaluation.

[Insert Figure 4 here].

Primary outcome: incidence of self-harm behaviour

Incidence of self-harm behaviour appeared to decrease over the life time of the project. At 3 months prior to baseline, 32/48 (66%) prisoners had harmed themselves. This reduced to 9/48 (18%) prisoners at post-test. Data on prisoner ACCTs are shown in figure three supplementary materials.

Secondary outcomes

Quality of life

A total of 32/48 (66%) of individuals completed full information on the EQ-VAS. The baseline mean score (0.504, SD 0.34) fell post intervention (0.625, SD, 0.347).

Depression

At baseline, median scores were high at 18 and most prisoners had either moderately severe 18/48 (38%) or severe depression 20/48 (40%). Prisoners' at follow-up had lower depression

scores with just 7/48 (15%) classed as moderately severely depressed, and 13/48 (27%) as severely depressed.

Access to NHS services

All 48 prisoners had received some NHS service provision whilst in prison. Access to a GP (range 1-10 appointments), pharmacist (daily drug dispensing) or duty nurse (range 1-35 appointments) appointments were the most cited points of contact. 35/48 (73%) prisoners reported accessing mental health services, two reported access to a psychological therapy. Just under a quarter 11/48 (23%) had experienced a hospital admission and 13/48 (27%) (range 1-9) reported attending accident and emergency in the three months prior to incarceration. In all cases, admissions were related to synthetic cannabinoids intake, overdose, attempted hanging or feeling suicidal. There were no adverse events reported as part of the PST intervention during the study period.

DISCUSSION

The study aimed to assess the feasibility and acceptability of adapting and implementing a brief PST intervention for prison staff and prisoners at risk of self-harm. Our results indicate that staff can be trained in using these skills though most were unable to implement them with those who self-harmed. Prison staff faced severe time pressures, and limited resources making it difficult to accommodate the translation of knowledge into practice. This is a common problem in the design and implementation of complex interventions in organisations other than healthcare [17]. These findings emerged during the implementation phase. The brief nature of the training sessions themselves did not, perhaps, facilitate the expression of these doubts or tackle approaches to translation of skills into practice in a pressured environment.

Attrition from the study sample by prisoners was minimal due to the 30-minute intervention design. Previous prison trials have demonstrated relatively high levels of attrition. In our study (despite a lack of access to one site for three months) we managed to produce encouraging follow-up rates (71%) suggesting that our outcomes were acceptable. Our findings are comparable with other pilot trials of self-harm in prisons [7, 8] and trials of suicide prevention more broadly in the community [18]. We were able to track participants through our sites. This allowed us to collect follow-up data on seven participants who were released from prison A, and either returned back to the same prison during the study period or were transferred to prison C prior to release. Prison function is therefore an important consideration. Turnover of prisoners at our local prison sites (e.g., prisons A and B) was considerably greater than in our resettlement prison. This finding is supported elsewhere with data provided from prison A in a recent Inspectorate report showing that 430/1109 (38%) were imprisoned for less than three months in 2017. Prisoners followed from prison A through to prison C were notably in a better position to engage with training when in the resettlement prison. This system of 'tracking' participants provides a potential mechanism to ensure adequate follow-up in a large-scale study.

There were limitations with the development of our economic protocol in the assumptions made with regards to costs for usual care which are not necessarily representative. Access, quality and consistency of these data varied across the prison sites and led us to conclude that such routine data could only be used to measure the impact of any future evaluation if additional data were provided or stricter collection protocols and monitoring were deployed.

We also propose that any new study should include individual self-report information and information from local and national data sources. This method is not dissimilar to other data collection mechanisms in two pilot trials of self-harm in UK prisons where prisoners report suicidal behaviours, thoughts and feelings [7, 19].

In designing a large-scale study, we have sufficient information to inform our outcomes of measurement and feasibility of data collection. However, alternative implementation mechanisms need to be identified prior to any large-scale study. Our qualitative findings (submitted elsewhere) suggest two alternative options: first, use of trusted prisoners as 'problem-solving champions' to deliver the skills to peers on the wings and/or second, delivery of problem-solving skills to prisoners through education classes.

CONCLUSIONS

The study suggests that the modified version of PST, adapted for training, was acceptable to prisoners. Although the study demonstrated that it was currently not feasible to deliver the intervention using prison staff it provides insight into how such an intervention with prisoner-staff involvement can be adapted for use in a different environment.

Author Affiliations:

Department of Health Sciences, University of York Faculty of Medicine and Health, University of Leeds, Leeds LS2 9JT, UK Leeds Institute of Health Sciences, University of Leeds, Leeds LS2 9JT, UK Clinical Trials Research Unit, Leeds Institute of Clinical Trials Research, University of Leeds, Leeds LS2 9JT, UK Centre for Health Economics, University of York, York, YO10 5DD Spectrum Community Health CIC, Wakefield, WF1 5RH.

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Contributors:

AP, AH AND MW designed and conducted most of the study with considerable input from AH. AWH and AF took the lead in performing the statistical analyses together and JG was the lead for analysing the qualitative interviews with AP. GR and NW led the development of the economic protocol and information on the study costs. NW supported access to the prison sites and all authors provided input into the writing of the manuscript.

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Competing interests None declared

Ethics approval: Ethical approval for the study was obtained for phase one from NHS REC approval [NRES, North East York, 28.10.14] and NOMS [1.9.14] and phases two-five [Bristol REC Centre, London South East, 6.1.15] from NHS REC approval, NOMS [6.3.15] and the Department of Health Sciences at the University of York for all phases [11.12.14]. As the material was adapted and developed for appropriate use within each prison we were granted one substantive amendment to the project from all parties during July 2015.

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Data sharing statement: Participant level data, the full data set and statistical codes are available from the corresponding author.

Figure Legends:

Figure 1: Staff trained and participating focus groups

Figure 2:Flow of study participants through study

Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 4: Time between recruitment and questionnaire follow-up assessment

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Table one: Demographic information of staff trained

	PrisonA	PrisonB	PrisonC	PrisonD	Total
Time montring in the second	(n=175)	(n=79)	(n=18)	(n=8)	(n=280)
Time working in the prison	l				
service (Years)	170	70	10	7	075
N (DD)	172	78	18	7	275
Mean (SD)	8.5 (8.93)	13.0 (9.04)	12.9 (8.45)	, ,	10.1 (9.16)
Median (Range)	6.0 (0.0, 36.0)	11.8 (0.1, 35.3)	12.5 (0.5, 25.0)	10.5 (1.3, 29.2)	,8.0 (0.0 36.0)
Fime working in this prison	L	,	,	,	,
(Years)					
N	172	78	18	7	275
Mean (SD)	6.2 (7.48)		7.9 (7.61)	9.0 (7.24)	7.8 (7.96)
Median (Range)	3.3 (0.0, 31.0)	10.9 (0.1,		7.9 (1.1, 20.8)	5.5 (0.
Since working here have you		35.3)			35.3)
encountered an incident of self-					
harm?					
Yes	119 (68.0%)	68 (86.1%)	18 (100.0%)	8 (100.0%)	213 (76.1%)
No	52 (29.7%)	11 (13.9%)	0 (0.0%)	0 (0.0%)	63 (22.5%)
Missing	4 (2.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	4 (1.4%)
Most recent self-harm	· /		- (0.0,0)		. (
incident?					
Within the past 7 days	44 (37.0%)	28 (41.2%)	7 (38.9%)	3 (37.5%)	82 (38.5%)
Within the past month	24 (20.2%)	17 (25.0%)	4 (22.2%)	0 (0.0%)	45 (21.1%)
Two months or more	20 (16.8%)	8 (11.8%)	2 (11.1%)	1 (12.5%)	31 (14.6%)
Missing	31 (26.1%)	15 (22.1%)	5 (27.8%)	4 (50.0%)	55 (25.8%)
Type of incident?	21 (20.170)	10 (22.170)	2 (21.070)	. (00.070)	20 (20.070)
	10 (8.4%)	1 (1.5%)	0 (0.0%)	1 (12.5%)	12 (5.6%)
Self-injury	94 (79.0%)	61 (89.7%)	16 (88.9%)	6 (75.0%)	177 (83.1%)
	7 (5.9%)	4 (5.9%)	2 (11.1%)	1 (12.5%)	14 (6.6%)
self-injury	(3.770)	+(3.770)	2(11.170)	1 (12.570)	14 (0.070)
Suicide	7 (5.9%)	2 (2.9%)	0 (0.0%)	0 (0.0%)	9 (4.2%)
Missing	1(0.8%)	2(2.9%) 0(0.0%)	0 (0.0%)	0 (0.0%)	1(0.5%)
0	1 (0.8%)	0(0.0%)	0 (0.0%)	0 (0.0%)	1 (0.5%)
Attended self-harm training?	71 (12 20%)	48 (60.8%)	13 (77 20%)	3 (37 50%)	138 (49.3%)
Yes	74 (42.3%)	48 (60.8%) 30 (38.0%)		3 (37.5%) 5 (62.5%)	138 (49.3%)
No Connet recell	96 (54.9%)	· · · ·	3 (16.7%)	5 (62.5%)	· · · · · ·
Cannot recall	4 (2.3%)	1(1.3%)	2(11.1%)	0(0.0%)	7 (2.5%)
Missing	1 (0.6%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.4%)
Time since self-harm	l				
training?	~ ~	15	10	2	107
N (OD)	66	45	13	3	127
Mean (SD)	30.5 (38.22)	. ,	41.7 (53.84)	· · · ·	27.8 (36.64)
Median (Range)					,12.0 (0.
Who provided this training?	180.0)	120.0)	168.0)	42.0)	180.0)
Prison service	59 (79.7%)	42 (87.5%)	8 (61.5%)	3 (100.0%)	112 (81.2%)
NHS	· /	42(87.5%) 0(0.0%)		0 (0.0%)	, ,
	3(4.1%)				5 (3.6%) 2 (2.2%)
Nurse Training	2 (2.7%)	1 (2.1%)	0 (0.0%)	0 (0.0%)	3 (2.2%)

	PrisonA	PrisonB	PrisonC	PrisonD	Total
	(n=175)	(n=79)	(n=18)	$\frac{(\mathbf{n=8})}{0,(0,0\%)}$	(n=280)
Other including University	3(4.1%)	2(4.2%)	1(7.7%)	0(0.0%)	6(4.3%)
Missing	7 (9.5%)	3 (6.3%)	2 (15.4%)	0 (0.0%)	12 (8.7%)
Length of training? 1 hour	19 (25.7%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	20 (14 50)
2 hours	19 (25.7%) 9 (12.2%)	0 (0.0%) 4 (8.3%)	1 (7.7%) 1 (7.7%)	· /	20 (14.5%) 15 (10.9%)
2 hours Half day	9 (12.2%) 16 (21.6%)	4 (8.3%) 14 (29.2%)	1 (7.7%) 1 (7.7%)	1 (33.3%) 1 (33.3%)	13 (10.9%) 32 (23.2%)
Full day	16 (21.6%) 13 (17.6%)	14 (29.2%) 18 (37.5%)	1 (7.7%) 7 (53.8%)	1 (33.3%) 1 (33.3%)	32 (23.2%) 39 (28.3%)
More than one day	13 (17.0%) 7 (9.5%)	18 (37.3%) 4 (8.3%)	7 (33.8%) 3 (23.1%)	1(33.3%) 0(0.0%)	14 (10.1%)
Missing	10 (13.5%)	4 (8.5%) 8 (16.7%)	5(25.1%) 0(0.0%)	0 (0.0%) 0 (0.0%)	14 (10.1%)

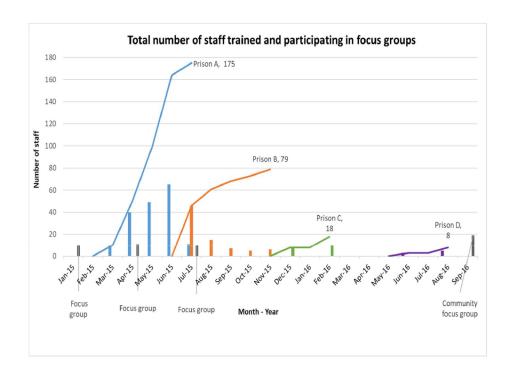


Figure 1: Staff trained and participating focus groups

Figure 1: Staff trained and participating focus groups

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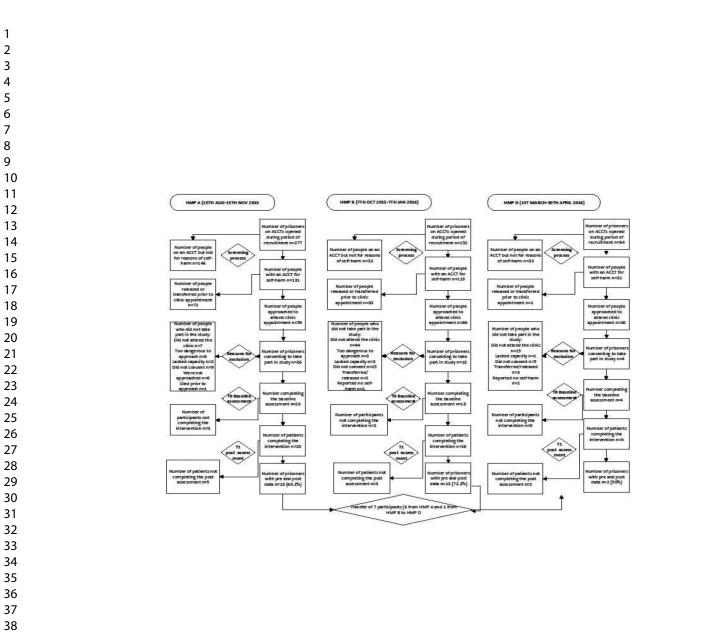


Figure 2: Flow of study participants through study

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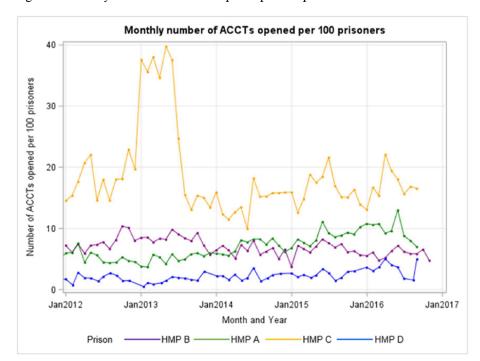


Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 3: Monthly numbers of ACCTs openned per 100 prisoners

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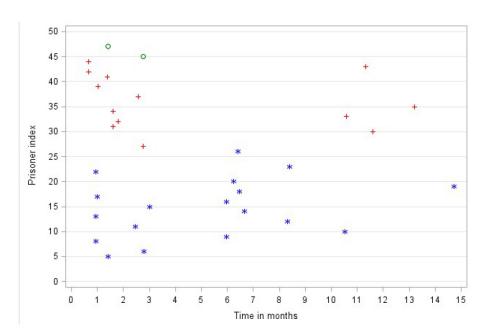


Figure 4: Time between recruitment and questionnaire follow-up assessment

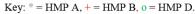


Figure 4: Time between recruitment and questionnaire follow-up assessment

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1 2 3 4 5	Web Appendix Supplementary Materials
6	Appendix A: Table one data collection protocols
7 8	Appendix B: Table two prison staff focus group participation
9 10	Appendix C: Table three prisoner focus group participation
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12	Appendix D: Table four prisoner self-harm details
13 14	Appendix E: Table five coding variability across prison sites
15 16	Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month
17	Appendix G: Figure 2 monthly number of ACCTs opened per 100 prisoners
18 19	Appendix H: Figure 3 Assessment Care in Custody and Teamwork (ACCT) process
20	Appendix I: Table six standardised ACCT process costs
21 22	
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24 25	Appendix K: Figure three prisoner ACCTs, intervention and post assessment relative to
26	baseline assessment
27 28	Appendix L: Figure four Phq-9 score at baseline and follow-up
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Appendix A: Table of	ne data collection protoc	ols
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	Prison				
Prison Site	Prison A	Prison B	Prison D	Prison C	
Frequency of ACCTs per year					
2009			61		
2010			118		
2011	754		168		
2012	756	840	170	779	
2013	730	734	154	718	
2014	1012	645	208	688	
2015	1219	798	249	729	
2016 – partial*	1010	675	262	605	
Number of ACCTs per year					
(2012 - 2015)					
Mean (SD)	929.3	754.3 (84.88)	195.3 (42.39)	728.5	
	(231.31)	. ,	. ,	(37.86)	
Median (Range)	884 (730,	766 (645,	189 (154,	724 (688,	
	1219)	840)	249)	779)	

*2016 frequencies up to September in Prison A, D and Cl, and up to November in Prison B.

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Appendix B: Table two prison staff focus group participation

	PrisonA	PrisonB	PrisonC
	(n=10)	(n=11)	(n=10)
Type of staff			0 (00 00()
Operational staff	7 (70.0%)	7 (63.6%)	3 (30.0%)
Managerial staff	0 (0.0%)	1 (9.1%)	5 (50.0%)
Healthcare staff	0 (0.0%)	1 (9.1%)	2 (20.0%)
Visitor to the prison	2 (20.0%)	0 (0.0%)	0 (0.0%)
Admin / Probation	1 (10.0%)	1 (9.1%)	0 (0.0%)
Missing	0 (0.0%)	1 (9.1%)	0 (0.0%)
Gender			
Male	4 (40.0%)	5 (45.5%)	3 (30.0%)
Female	6 (60.0%)	6 (54.5%)	7 (70.0%)
Age			
Ν	10	10	9
Mean (SD)	33.7 (10.07)	42.7 (11.41)	46.9 (6.15)
Median (Range)	33.0 (19, 49)	44.0 (21, 58)	47.0 (36, 56)
First language			
English	8 (80.0%)	11 (100.0%)	10 (100.0%)
Hungarian	0 (0.0%)	0 (0.0%)	0 (0.0%)
German	0 (0.0%)	0 (0.0%)	0 (0.0%)
Missing	2 (20.0%)	0 (0.0%)	0 (0.0%)
Ethnic group			
British	10 (100.0%)	11 (100.0%)	9 (90.0%)
Irish / Other whi	te 0 (0.0%)	0 (0.0%)	0 (0.0%)
background	(,		
	ck 0 (0.0%)	0 (0.0%)	0 (0.0%)
Caribbean		. (,	- ()
Indian / Pakistani	0 (0.0%)	0 (0.0%)	1 (10.0%)
Religious preference	. ()	. (,	- (
No religion	2 (20.0%)	6 (54.5%)	1 (10.0%)
Christian	8 (80.0%)	5 (45.5%)	8 (80.0%)
Muslim	0 (0.0%)	0 (0.0%)	1 (10.0%)
Hindu	0 (0.0%)	0 (0.0%)	0 (0.0%)
Consider yourse	· /	0 (0.070)	0 (0.070)
disabled?	/11 /		
Yes	0 (0.0%)	0 (0.0%)	0 (0.0%)
No	10 (100.0%)	11 (100.0%)	10 (100.0%)
Highest academ	· · · · ·	11 (100.070)	10 (100.070)
qualification?			
Post Graduate	0 (0.0%)	0 (0.0%)	0 (0.0%)
Graduate	5 (50.0%)	5 (45.5%)	2 (20.0%)
	5 (50.0%) 5 (50.0%)	3 (43.3%)	2 (20.0%) 7 (70.0%)
A Level or equivalent	```	· /	· /
GCSE or equivalent	0 (0.0%)	3 (27.3%)	1 (10.0%)

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Appendix C: Table three prisoner focus group participation

	PrisonA	PrisonB	PrisonC	
	(n=13)	(n=43)	(n=11)	Total (n=67)
Are you on Remand?				
Yes	2 (15.4%)	2 (4.7%)	1 (9.1%)	5 (7.5%)
No	11 (84.6%)	38 (88.4%)	10 (90.9%)	59 (88.1%)
Missing	0 (0.0%)	3 (7.0%)	0 (0.0%)	3 (4.5%)
First time offender?				
Yes	4 (30.8%)	19 (44.2%)	7 (63.6%)	30 (44.8%)
No	9 (69.2%)	23 (53.5%)	4 (36.4%)	36 (53.7%)
Missing	0 (0.0%)	1 (2.3%)	0 (0.0%)	1 (1.5%)
Age first entered prisor	1			
(years)				
Ν	13	42	11	66
Mean (SD)	21.3 (7.61)	30.0 (13.13)	40.5 (12.71)	30.0 (13.35)
Median (Range)	18.0 (15.0	,27.0 (13.0,	39.0 (18.0,	,26.0 (13.0,
	40.0)	61.0)	60.0)	61.0)
Number of times in prison?				
N	13	40	11	64
Mean (SD)	7.2 (8.67)	2.8 (3.12)	1.2 (0.40)	3.4 (4.94)
Median (Range)	4.0 (0.0, 30.0)	1.5 (1.0, 15.0)	1.0 (1.0, 2.0)	1.0 (0.0, 30.0)
Time spent in this prison?				
(months)				
Ν	13	42	11	66
Mean (SD)	9.2 (10.66)	29.0 (25.27)	34.8 (26.98)	26.0 (24.71)
Median (Range)	6.0 (1.0, 42.0)	24.0 (2.0.	24.0 (11.0,	,16.0 (1.0,
		102.0)	84.0)	102.0)
Length of sentence (months)				·
N	11	38	11	60
Mean (SD)	167.5 (344.02)	208.5 (231.48)	181.9 (108.94)	196.1 (236.56)
Median (Range)				126.0 (8, 1188)
Months left until sentence			· · · /	· · · /
expiry				
N	9	30	8	47
Mean (SD)	36.1 (44.62)	64.0 (59.95)	76.5 (56.46)	60.8 (57.15)
Median (Range)	· · · ·			,40.2 (0.8,
	120.2)	257.9)	154.6)	257.9)

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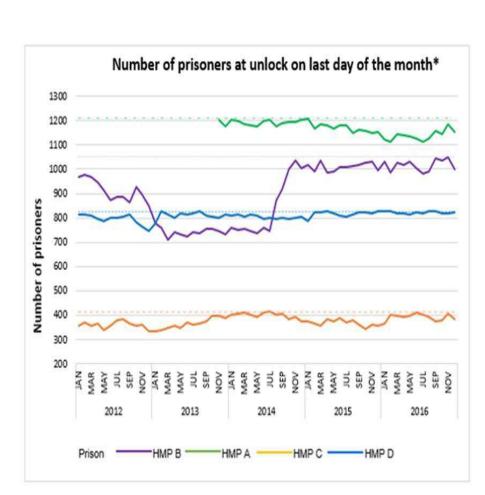
· · ·	4 1.5 (1.86) 0.8 (0.1, 4.2)	48 (100.0%) 46 1.0 (0.79)
16 0.7 (0.45) 0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	4 1.5 (1.86) 0.8 (0.1, 4.2)	46 1.0 (0.79)
16 0.7 (0.45) 0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	4 1.5 (1.86) 0.8 (0.1, 4.2)	46 1.0 (0.79)
0.7 (0.45) 0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	1.5 (1.86) 0.8 (0.1, 4.2)	1.0 (0.79)
0.7 (0.45) 0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	1.5 (1.86) 0.8 (0.1, 4.2)	1.0 (0.79)
0.7 (0.45) 0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	1.5 (1.86) 0.8 (0.1, 4.2)	1.0 (0.79)
0.7 (0.0, 1.5) 0 (0.0%) 2 (11.1%)	0.8 (0.1, 4.2)	1.0 (0.79) 0.7 (0.0, 4.2
0 (0.0%) 2 (11.1%)	,	0.7 (0.0, 4.2
2 (11.1%)	0(0.0%)	
2 (11.1%)	0(0.0%)	
	0 (0.070)	1 (2.1%)
1 (5.6%)	0 (0.0%)	5 (10.4%)
- (0.0/0)	1 (25.0%)	6 (12.5%)
4 (22.2%)	1 (25.0%)	5 (10.4%)
2 (11.1%)	0 (0.0%)	5 (10.4%)
1 (5.6%)	· · · ·	5 (10.4%)
7 (38.9%)	· /	20 (41.7%)
1 (5.6%)	· /	1 (2.1%)
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3 (16.7%)	0 (0.0%)	5 (10.4%)
11 (61.1%)	· /	29 (60.4%)
4 (22.2%)	· /	10 (20.8%)
× ,		~ /
0 (0.0%)	0 (0.0%)	1 (2.1%)
0 (0.0%)	· · · ·	3 (6.3%)
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4 (22.2%)	0 (0.0%)	10 (20.8%)
6 (33.3%)	· /	18 (37.5%)
	· /	11 (22.9%)
· /	· · ·	8 (16.7%)
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1 (5.6%)		
,		
	4 (22.2%) 3 (16.7%) 1 (5.6%)	3 (16.7%) 2 (50.0%)

Appendix E: Table five coding variability across prison sites

Prison B	Prison A	Prison C	Prison D
Prior to Nov 2013: Not	Recorded as free text.	Not	Prior to mid-2016:
available		available	1. Suicide Attempt
Nov 2013 – Aug 2014: coded as self-	Not always possible to distinguish acts		2. Statement/thoughts of intent to kill self
harm or concerns	of self-harm and suicide from intent,		3. Self-Harm
Aug 2014 – Oct 2015: free text	statements, or concerns.		4. Statement of intent/thoughts to self-harm
reasons			5. Unusual Behaviour
Oct 2015 – present:			6. Low mood
1. Suicide attempt or statement of			7. Problems related to Drug / Alcohol
intent to take own life			withdraw
2. Self-injury or statement to self-			8. External Concerns
harm			9. Deportation
3. Unusual behaviour/talk			10. Bullying
4. Very low mood			11. Other
5. Drug Alcohol Withdrawal			Mid-2016 to present:
6. Other concerns			1. Suicide attempt or Statement of intent to
7. Self-harm warning received from			take own life
court			2. Self-Injury or Statement to Self-Harm
Not possible to distinguish acts of self-			3. Unusual behaviour/Talk
harm and suicide from intent,			4. Low Mood
statements, or concerns.			5. Drug Alcohol Withdrawal
			6. Other Concerns
			Method coding also provided and varies
Following coding:	Following coding:		Following coding:
• 39% Related to SH or suicide	• 36% due to SH incident or suicide		• 39% due to a SH incident or suicide attempt
• 20% Other	attempt		• 24% related to SH or suicide
• 41% Missing	• 39% related to SH or suicide		• 33% Other
C	• 25% Other		• 4% Missing
	• <1% Missing		

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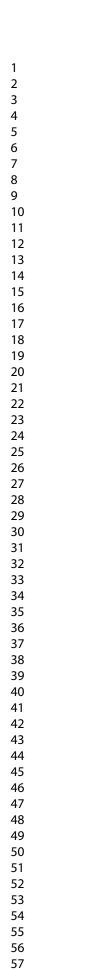
Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month



*---- indicates capacity

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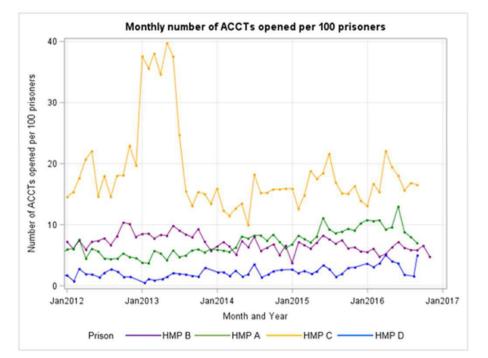
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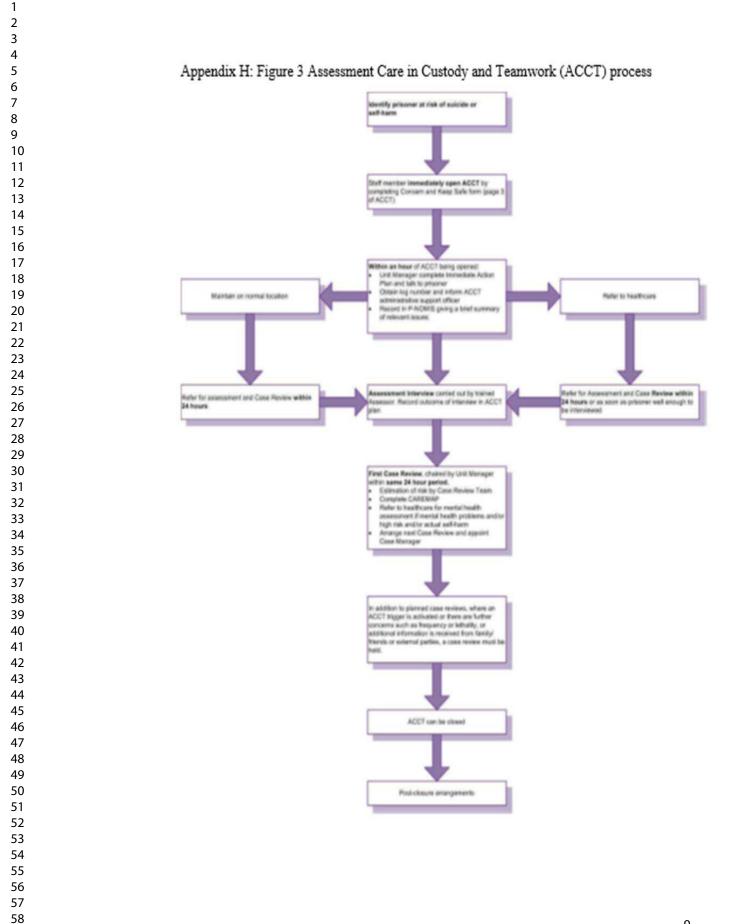
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Appendix I: Table six standardised ACCT process costs

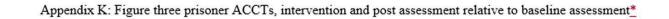
ACCT task	Initial ACCT	Initial	Case review	Observation	Post closure	Audit
per person	opening and	assessme	attendance by	and case note	review (7 days	checks and
per person	assignment of	nt by	two	entry into the	after an ACCT	data entry
	case manager by	Case	operational	ACCT	has been shut).	on the
	safer custody	Manager	staff, one	documentatio	Interview	ACCT
	administration	(minutes	healthcare/othe	n by Case	between patient	documentat
	staff)	r agency e.g.,	manager	and Case	on once the
	(minutes)	£	chaplaincy	(minutes)	Manager	ACCT shut
	£		(minutes)	£	(minutes)	by safer
			£		£	custody
						administrat
						or (minutes)
						£
Time	(30)	(30)	(60)	(5)	(30)	(30)
allocated						
Standardised	4.60	6.50	39	1.05	6.50	4.60
cost		2	0			
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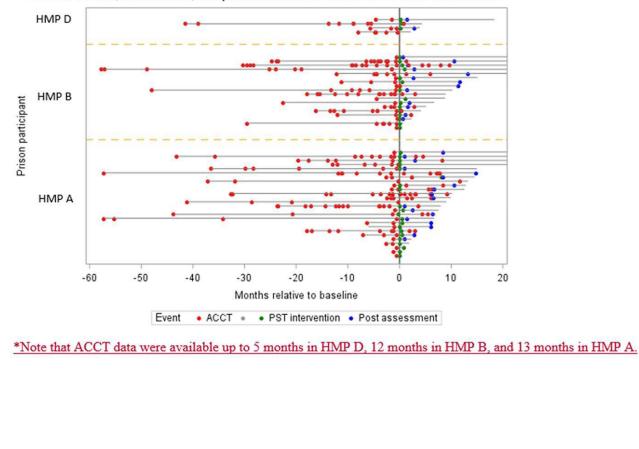
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Appendix J: Table seven training and implementation costs

Prison	Training Period	Number of staff trained	Number of training sessions	Cost for staff attending the training sessions (£)	Average cost per training sessions (£)]	Number of prisoners receiving the intervention	Overall intervention time (minutes)	Average intervention time spent per person (minutes)	Cost of intervention per head (£)	Cost of training and intervention delivery (£)	Overall cost per prisoner (£)]
HMP A	15.2.15- 7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP B	15.2.15- 7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP C	11.12.15- 26.2.16	18	2	270	207.6	-	- 0	5,	-	415.20	0
HMP D	23.6.16- 9.8.16	8	2	120	132.6	4	90	22.5	£28.87	500.7	125.17

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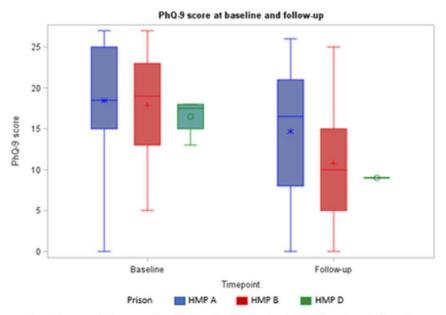




Prisoner ACCTs, intervention, and post assessment relative to baseline assessment

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Appendix L: Figure four Phq-9 score at baseline and follow-up

Note: follow-up took place a median of 2.8 months post-baseline, between 3 weeks and 15 months.

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Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

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Date Submitted by the Author:24-Apr-2019Complete List of Authors:perry, Amanda; Unviersity of York, Health Sciences; University of York Waterman, Mitchell; University of Leeds, Faculty of Medicine and Health House, Allan; Academic Unit of Psychiatry, Wright-Hughes, Alexandra; University of Leeds, Clinical Trials Research Unit Greenhalgh, Joanne; University of Leeds, Sociology and Social Policy Farrin, Amanda; University of Leeds, Clinical Trials Research Unit Richardson, Gerry; University of Leeds, Clinical Trials Research Unit Richardson, Gerry; University of York, Centre for Health Economics Hopton, Ann; University of York, Health Sciences Wright, Nat; Spectrum Community Health CIC Primary Subject HeadingMental healthSecondary Subject Heading:Health economics, Public health, Medical education and trainingKewwords:Prisoners, Suicide & self-harm < PSYCHIATRY, Feasibility, EDUCATION &	Manuscript ID	bmjopen-2018-026095.R1
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Keywords: Prisoners, Suicide & self-harm < PSYCHIATRY, Feasibility, EDUCATION &		Mental health
	Secondary Subject Heading:	Health economics, Public health, Medical education and training
TRAINING (see Medical Education & Training)	Keywords:	Prisoners, Suicide & self-harm < PSYCHIATRY, Feasibility, EDUCATION & TRAINING (see Medical Education & Training)

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Title: Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

AUTHORS:

Amanda E. Perry¹

Mitch G. Waterman²

Allan O. House³

Alexandra Wright-Hughes⁴

Joanne Greenhalgh⁵

Amanda Farrin⁴

Gerry Richardson⁶

Ann Hopton¹

Nat Wright 7

Corresponding authors email address: amanda.perry@york.ac.uk

⁶ Centre for Health Economics, University of York, York, YO10 5DD Sociology and Social Policy, Faculty of Education and Social Science and Law, University of Leeds, LS2 9JT, UK.

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¹ Department of Health Sciences, University of York

² Faculty of Medicine and Health, University of Leeds, Leeds LS2 9JT, UK

³Leeds Institute of Health Sciences, University of Leeds, Leeds LS2 9JT, UK

⁴ Clinical Trials Research Unit, Leeds Institute of Clinical Trials Research,

University of Leeds, Leeds LS2 9JT, UK

⁵ Sociology and Social Policy, Faculty of Education and Social Science and Law, University of Leeds, LS2 9JT, UK.

^{7.} Spectrum Community Health CIC, Wakefield, WF1 5RH.

ABSTRACT

Objectives: Problem-solving skills training is adaptable, inexpensive and simple to deliver. However, its application with prisoners who self-harm is unknown. The study assessed the feasibility and acceptability of a Problem-Solving Training (PST) intervention for prison staff and prisoners who self-harm, to inform the design of a large-scale study.

Design and setting: A mixed methods design used routinely collected data, individual outcome measures, an economic protocol and qualitative interviews at four prisons in Yorkshire and Humber, UK.

Participants: (i) front-line prison staff, (ii) male and female prisoners with an episode of self-harm in the previous two weeks.

Intervention: The intervention comprised a one-hour staff training session and a 30-minute prisoner session using adapted workbooks and case studies.

Outcomes: We assessed the study processes - coverage of training; recruitment and retention rates and adequacy of intervention delivery - and available data (completeness of outcome data, integrity of routinely collected data and access to NHS resource information). Prisoner outcomes assessed incidence of self-harm, quality of life and depression at baseline and at follow-up. Qualitative findings are presented elsewhere.

Results: Recruitment was higher than anticipated for staff n=280, but lower for prisoners, n=48. Retention was good with 43/48 (89%) prisoners completing the intervention, at follow-up we collected individual outcome data for 34/48 (71%) of prisoners. Access to routinely collected data was inconsistent. Prisoners were frequent users of NHS healthcare. The additional cost of training and intervention delivery was deemed minimal in comparison to 'treatment as usual'. Outcome measures of self-harm, quality of life and depression were found to be acceptable.

Conclusions: The intervention proved feasible to adapt. Staff training was delivered but on the whole it was not deemed feasible for staff to deliver the intervention. A large-scale study is warranted, but modifications to the implementation of the intervention are required.

STRENGTHS AND LIMITATIONS OF THIS STUDY

Prison staff and prisoners were involved in the development of our questionnaires, the intervention adaptation and production of the workbooks.

The feasibility study was conducted across four prison sites including male and female prisoners.

Outcome data were collected via a variety of different sources demonstrating variability and differences in data collection procedures.

It was not deemed feasible for staff to deliver the intervention.

INTRODUCTION

Problem-solving skills training delivered in a systematic manner provide a non-specialist intervention that is accessible to anyone following brief training. Deficits in problem-solving skills are often found in people who self-harm and can result in reliance on others, leading to a passive as opposed to an active problem-solving approach [1-3]. Problem solving skills have been used in a variety of different contexts and most recently are promoted by The World Health Organisation as 'Problem Management Plus' (PM+ [4]) . They refer to their scheme as a simplified, scalable intervention, in that their delivery requires a less intensive level of specialist human resource use [5]. Trials of problem-solving skills in the *community* demonstrate that teaching people to use brief problem-solving skills can reduce repetition of self-harm behaviour [6-8].

In prison, despite growing numbers of those who self-harm there is a lack of psychological support for prisoners and a recognised need to provide adequate staff training (NICE Guidance CG133: <u>https://www.nice.org.uk/guidance/cg133/chapter/2-Research-recommendations)</u>. Evaluations of trials in prisons have explored alternative therapy models for those who self-harm (e.g., cognitive behaviour therapy and interpersonal psychotherapy), but such interventions require the use of extensive resources, large numbers of therapy sessions and qualified clinical therapists, making them inaccessible for prisoners who might only be incarcerated for short periods of time [9, 10].

Use of a brief PST intervention offers one solution to this problem. It has the advantages of being deliverable by any member of staff making it an attractive, inexpensive opportunity to reduce repeat self-harm. However, it is unclear whether the training is acceptable, or whether it can be implemented by staff in this setting. We therefore assessed the feasibility and acceptability of adapting an existing PST for frontline prison staff with the intention that they would deliver the intervention to prisoners who self-harm. This article reports on the acceptability and feasibility of the training, and the implementation of the intervention. Detailed methods on the qualitative findings are elsewhere [11].

MATERIALS AND METHODS

Study design and setting

The study used a mixed-methods design - including quantitative collection of routine data, individual outcome measures and economic resource data, and information from staff to identify how much time was spent on 'usual care'.

The study took place in four prisons in Yorkshire and Humber between September 2014 and May 2017. The study sites included two male adult local prisons where the majority of prisoners are awaiting sentence (housing up to 1,212 and 1052 prisoners, prisons A and B), one female prison (housing up to 416: prison C) and one resettlement prison where sentenced prisoners are housed prior to transfer (housing up to 825: prison D).We report on our intervention using the template for intervention description and replication (TIDier) checklist [12].

Patient and Public Involvement

Our research questions and outcome measures were not informed by prisoner preferences and prisoners were not involved in the recruitment to the study. Prisoners did contribute significantly to the format and adaptation of the training materials. The training materials were printed from within the prison by prisoners. The results were disseminated using an A4 summary sheet which was sent to prisoners and prison staff.

The intervention

The PST intervention that we adapted for use in our study was originally devised in New Zealand for patients who self-harm in the community [13]. The theory behind social problem-solving is well established and often forms part of more extensive cognitive behaviour therapy sessions [14, 15]. The seven-step model includes 'getting the right attitude' (step one), reflection and recognising triggers (step two), defining a clear problem (step three), brain storming solutions (step four), decision making (step five), making a plan (step six) and reviewing progress (step seven). Problem-solving skills are an approach that encourages an individual to address their problems in a proactive manner using the systematic seven-step process.

The adaptation of the training and intervention materials

The training was adapted using focus groups. They were used to ensure (i) the appropriateness and context of the case materials and (ii) to promote discussion with staff and prisoners about their views on how the study might work. The refinement process involved a series of structured discussions facilitated by the research team to inform literacy levels in the population and scenario situations that could be used in training as examples of people that staff and prisoners could recognise and/or deal with on a regular basis.

Staff training and recruitment

Staff were recruited with the help of prison liaison staff who assisted with room bookings, shift management and allocation of individuals to attend the training course. Using estimates provided by the prison about: the number, and type of staff employed by the prison, we estimated a feasible recruitment goal of 125 trained staff across the four sites in our 12-month training period.

Staff received a one-hour training session between March 2015 and August 2016. Training was delivered by the research team in a flexible manner (e.g., during induction or on a lunchtime). Eligible prison staff included anyone with responsibility for prisoners who were at risk of self-harm and who were monitored under the prison system (Assessment Care in Custody Teamwork: ACCT[16]). Invited staff groups included management, probation, teaching, prison officers, chaplaincy, psychologists, specialist suicide prevention assessors and nursing staff. All staff receiving the training gave full informed consent.

Recruitment and implementation of the intervention with prisoners who self-harmed

Recruitment of prisoners occurred at prison sites A, B and D. In site C access to the prison site was limited. Our feasible recruitment goal of 120 were based on access to three sites and monthly prison information on the numbers of those 'at risk'.

Prisoners were identified via the ACCT register and approached by a member of the research team or prison staff. Eligible prisoners were 1) >16 years or over and (2) with an episode of self-harm or attempted suicide in the previous two weeks. Prisoners were excluded if (i) an ACCT was opened for reasons other than actual self-harm or attempted suicidal behaviour, (ii) they were deemed too unwell by prison staff, or (iii) posed a risk to the researchers. Consenting participants completed baseline and follow-up questionnaires.

The entirety of the intervention was delivered in a 30-minute session. The session demonstrated use of the seven-steps using the booklets and case studies developed in the focus groups. Prisoners were invited to attend subsequent follow-up sessions to assess progress and support their engagement with the intervention.

Feasibility and acceptability measures

Data were collected on rates of recruitment, consent and retention for staff and prisoners. Reasons for non-participation and withdrawal were collected, where possible.

For outcome measures we assessed feasibility and acceptability by recording completion and follow-up rates. Typically, completion rates <50% are taken to indicate non-feasibility, >75% as indicating feasibility, and 51-74% as ambiguous - requiring modifications to design or implementation plans and reconsideration.

Our primary outcome proposed for a definitive trial was incidence of self-harm. Data on self-harm and/or attempted suicides were recorded at three months prior to baseline, baseline, post-intervention and at three months follow-up (or up to point of release or transfer) from SystmOne using the search terms 'self-harm' and 'F213'⁷. We explored recording of self-harm incidents through the prison ACCT register but found inconsistencies in the coding of data across the four sites.

Individual secondary outcomes at baseline and follow-up included measurement of quality of life using the EQ-VAS: [17] and depression using the PHQ-9:[18]. The EQ-VAS is a self-rated questionnaire providing description of the subject's current health in five dimensions i.e., mobility, self-care, usual activities, pain/discomfort and anxiety/depression and is rated into one of three degrees of disability (severe, moderate or none). The PHQ-9 is a well validated tool for the measurement of depression with robust psychometric properties, reliability and validity in adult community populations.

Cost of usual care were estimated by: (i) completion of a self-report questionnaire reporting on access to NHS treatment before, during and after the study, (ii) staff interviews to ascertain the average time spent on each ACCT process and (iii) a case note review of eleven prisoner ACCT documents to record the amount of staff time involved in the ACCT procedure.

The costs of training included (i) the costs to release staff in attending the training sessions, (ii) the facilitator time in the delivery of the training and (iii) the number of training sessions, numbers of staff attending each session, and the duration and timing of each training session. We obtained routinely collected electronic ACCT data consisting of individual and monthly ACCT information between January 2012 and December 2016. The time period of the data collection was prescribed by the individual prison data collection protocols (Appendix A supplementary materials). We found that data were comparable from our four prison sites across this time period. Prior to 2012 the comparability of data and access to data were found to be limited and December 2016 was the latest date for which all prisons had complete data.

DATA ANALYSIS

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⁷ F213 is the title of the form used by the prison service to record incidents of self-harm behaviour

Data were summarised, by prison, using descriptive summary statistics. The information included the description of the focus group participants, the number of training sessions and staff attending training sessions. The feasibility and success of recruitment of prisoners to the study is evaluated through summaries of the screening, eligibility, consent and recruitment processes.

A summary of the variability of available routine data across: outcomes, prison and wings (where available), and the estimated cost of usual care were informed using staff information and case review process. Delivery and implementation of the PST intervention were estimated using the numbers of training sessions, numbers of staff attending, standardised staff costs, facilitator time in the delivery of the session and preparation for each session alongside the cost of materials. Summary statistics for prisoner's baseline characteristics and outcomes for the incidence of self-harm behaviour, quality of life, depression and information on access to NHS treatment were recorded.

RESULTS

Feasibility assessment

Adapting and developing the materials

During 2015 staff and prisoners were nominated by each prison to participate in focus groups. 31 staff participants attended (Appendix B supplementary materials). They comprised of mainly operational 17/31(55%) or managerial 6/31 (19%) staff with a mean age of 37 years. The majority were female 20/31 (66%), spoke English as their first language 27/31 (88%) and were British 27/31 (90%).

Six focus groups involving 67 prisoners, included mainly male prisoners 56/67 (83.6%) with a mean age of 39.8 years (SD 9.63). There were fewer prisoners on remand or first-time offenders involved in the focus groups, compared to recruited prisoners for the study (Appendix C supplementary materials). The process resulted in two gender-specific picture booklets and a series of exercises with associated case study scenarios that were used in the training and delivery of the intervention.

Coverage of staff training and recruitment

280 prison staff were trained between March 2015 and August 2016 (see figure 1). Training was delivered by the research team to staff groups with a mean size of 8 staff (range of group sizes 2-19). Recruitment of staff to training sessions appeared to be acceptable and feasible.

[Insert Figure 1 here]

Staff trained were mainly operational (120, 43%) or healthcare staff (78, 28%); other staff included a number of voluntary, managerial, admin, education, and offender manager probation staff. Mean age of staff trained was 42 years, 59% were male, and almost all spoke English as their first language and were British. Trained staff had spent a median of 8 years (range <1 month – 36 years) working in the prison service (see table 1).

[Insert table 1 here]

Screening and recruitment of prisoners

During the three-month recruitment period at each site a total of 281 prisoners were eligible to participate as per the study criteria. Of these, 106/281 (37%) were released or transferred

to another prison site prior to invitation to attend an appointment in healthcare. The average time between identification of an eligible participant and meeting them to inform them about the studied varied between at each site between one and three weeks.

Of the remaining 175 (62%), 95/175 were not seen in healthcare for a variety of reasons. These included: 66/95 (69%) people who did not attend their appointment to be informed about the study following three consecutive invitations, 9/95 (9%) were considered too dangerous to approach, 6/95 (6%), lacked sufficient capacity, 5/95 (5%), were transferred or released prior to attending the appointment, 8/95 (8%), were not approached by the research team due to limited resources within the team and one person died 1/95 (1%). Of the remaining 83 people, 6 (7%) attending the appointment were deemed not eligible reporting no incident of actual self-harm behaviour. For the remaining 75 people 29/75 (39%) did not consent to take part leaving 48/75 (66%) consenting participants.

[Insert Figure 2 here]

The median age of prisoners was 30 years (range 59 to 58 years). All but three were White British, and all spoke English as their first language. Two thirds 32/48 (67%) were single and had never married; the majority smoked 39/48 (83%) and did not have a physical or learning disability (36/48 77% and 33/48 69%). Only a minority of prisoners recruited from prison B and none of those in prison D were on remand, whilst almost half of prison A recruited prisoners were on remand 22/48 (46%). Only a quarter were first time offenders 12/48 (25%), the number of times prisoners had been in prison ranged up to 50, with a median of 3 times. The median length of sentence was 27 months, with prisoners having spent a median of 3 months (range 2 days to 2 years) in their current and a median of 9 months left in prison (range 3 days to 15 years). For self-harm details see Appendix D supplementary materials.

Retention

5/48 (10%) participants did not complete the intervention and withdrew from the study (figure 2); although general reasons were not provided for withdrawal. We tracked the transfer of 7/48 (15%) prisoners between our study sites. Transfer reasons included the progression of prisoners through their sentence (e.g., from a local prison to our resettlement prison) or were unexpected due to a security breech.

Adequacy of intervention delivery with prisoners who self-harmed

Between August 2015 and June 2016 delivery of the intervention by staff occurred for only two prisoners. At prison C the research team had limited access to deliver the intervention and instead the prison decided to take the booklets and distribute them on the wings to target bullying. For the remaining 46/48 (96%) participants the intervention was delivered by members of the research team in the healthcare unit.

The median time spent on intervention delivery was 40 minutes per prisoner, (range 30-90 minutes). The overall time spent with the researcher, including the baseline assessment, intervention delivery, follow up questionnaire for outcomes and qualitative interview averaged a median of 80 minutes, (range 30 minutes up to 2 hours 30 minutes) over a period of 1-7 contact appointments. In interviews, the intervention was acceptable to prisoners who received the intervention [7].

Acceptability of outcome measures

Use of routinely collected data to inform large-scale study

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We found that reporting of self-harm data was complicated and recorded by several different methods, with variability in recording and differing definitions of self-harm across the four sites (Appendix E supplementary materials). Figure 3 shows the variability in monthly number of ACCTs opened at each site per 100 prisoners. The greatest variability of open ACCTs was displayed in prison C (our female site): Appendices F supplementary materials provide further details.

[Insert Figure 3 here]

Estimating the costs of usual care

Access to NHS services

 All 48 prisoners had received some NHS service provision whilst in prison. Access to a GP (range 1-10 appointments), pharmacist (daily drug dispensing) or duty nurse (range 1-35 appointments) appointments were the most cited points of contact. 35/48 (73%) prisoners reported accessing mental health services, two reported access to a psychological therapy. Just under a quarter 11/48 (23%) had experienced a hospital admission and 13/48 (27%) (range 1-9) reported attending accident and emergency in the three months prior to incarceration. In all cases, admissions were related to synthetic cannabinoids intake, overdose, attempted hanging or feeling suicidal. There were no adverse events reported as part of the PST intervention during the study period.

We collected information from staff about how much time they spent conducting each element of the ACCT process (Appendix G supplementary materials). Using an average time spent, each task in the ACCT process was assigned a proportionate salary costs (Appendix H supplementary materials).

We combined this staff information with data that we collected from the case review of eleven prisoners who had been on an ACCT during the study period. The eleven prisoners represented a total of twenty-four ACCTS documents that had been 'open' and 'shut' during their stay within the prison. For two prisoners the ACCT was in use at the point of data collection providing a conservative estimate of cost. We added up the numbers of case reviews for each prisoner which ranged from one to thirty-three, and added up the number of staff observations per ACCT document which ranged between 0 and5520 staff observations. The total administrative costs for the eleven prisoners was estimated at £21,650, an average of £1,968 per prisoner (range £375-£6416).

Estimating the costs of training

Training costs included a notional hourly rate (of £15 per person) to cover the cost of releasing staff to attend the training session, and included the travel, preparation time and facilitator time in delivering the course and the cost of course materials. Across sites we estimated the training costs of between £500 and £6406 equating to a cost per prisoner of between £125 and £246 (Appendix H supplementary material).

Overall it proved feasible to gather resource information to provide a cost estimate of usual care, delivery of training and implementation of the intervention

Prisoner outcomes

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100% of those agreeing to participate in the study completed the baseline assessment. Follow-up times varied considerably, taking place a median of 2.8 months after recruitment but up to a maximum of 15 months for one prisoner (Appendix I supplementary materials). The timing of follow up assessments fell into three clusters, the largest cluster taking place within the first three months post recruitment, a further set taking place between 6 and 8 months post recruitment in prison A. Follow-up was affected when access to prison A was halted for a three-month period (figure 4). Overall the average follow-up rate for questionnaire returns was 34/48 (71%) across the three sites. The changes in scores reflect them as potentially useful outcome measures that could be used in a large-scale evaluation.

[Insert Figure 4 here].

Primary outcome: incidence of self-harm behaviour

Incidence of self-harm behaviour appeared to decrease over the life time of the project. At 3 months prior to baseline, 32/48 (66%) prisoners had harmed themselves. This reduced to 9/48 (18%) prisoners at post-test.

Secondary outcomes

Quality of life

A total of 32/48 (66%) of individuals completed full information on the EQ-VAS. The baseline mean score (0.504, SD 0.34) fell post intervention (0.625, SD, 0.347).

Depression

At baseline, median scores were high at 18 and most prisoners had either moderately severe 18/48 (38%) or severe depression 20/48 (40%). Prisoners' at follow-up had lower depression scores with just 7/48 (15%) classed as moderately severely depressed, and 13/48 (27%) as severely depressed (Appendix J supplementary materials).

DISCUSSION

The study aimed to assess the feasibility and acceptability of adapting and implementing a brief PST intervention for prison staff and prisoners at risk of self-harm. Our results indicate that staff can be trained in using these skills though most were unable to implement them with those who self-harmed. Prison staff faced severe time pressures, and limited resources making it difficult to accommodate the translation of knowledge into practice. This is a common problem in the design and implementation of complex interventions in organisations other than healthcare [19]. These findings emerged during the implementation phase. The brief nature of the training sessions themselves did not, perhaps, facilitate the expression of these doubts or tackle approaches to translation of skills into practice in a pressured environment.

Attrition from the study sample by prisoners was minimal due to the 30-minute intervention design. Previous prison trials have demonstrated relatively high levels of attrition. In our study (despite a lack of access to one site for three months) we managed to produce encouraging follow-up rates (71%) suggesting that our outcomes were acceptable. Our findings are comparable with other pilot trials of self-harm in prisons [9, 10] and trials of

suicide prevention more broadly in the community [20]. We were able to track participants through our sites. This allowed us to collect follow-up data on seven participants who were released from prison A, and either returned back to the same prison during the study period or were transferred to prison C prior to release. Prison function is therefore an important consideration. Turnover of prisoners at our local prison sites (e.g., prisons A and B) was considerably greater than in our resettlement prison. This finding is supported elsewhere with data provided from prison A in a recent Inspectorate report showing that 430/1109 (38%) were imprisoned for less than three months in 2017. Prisoners followed from prison A through to prison C were notably in a better position to engage with training when in the resettlement prison. This system of 'tracking' participants provides a potential mechanism to ensure adequate follow-up in a large-scale study.

There were limitations with the development of our economic protocol in the assumptions made with regards to costs for usual care which are not necessarily representative. Access, quality and consistency of these data varied across the prison sites and led us to conclude that such routine data could only be used to measure the impact of any future evaluation if additional data were provided or stricter collection protocols and monitoring were deployed. We also propose that any new study should include individual self-report information and information from local and national data sources. This method is not dissimilar to other data collection mechanisms in two pilot trials of self-harm in UK prisons where prisoners report suicidal behaviours, thoughts and feelings [9, 21].

In designing a large-scale study, we have sufficient information to inform our outcomes of measurement and feasibility of data collection. However, alternative implementation mechanisms need to be identified prior to any large-scale study. Our qualitative findings [11] suggest two alternative options: first, use of trusted prisoners as 'problem support mentors' to deliver the skills to peers on the wings and/or second, delivery of problem-solving skills to prisoners through education classes.

CONCLUSIONS

The study suggests that the modified version of PST, adapted for training, was acceptable to prisoners. Although the study demonstrated that it was currently not feasible to deliver the intervention using prison staff it provides insight into how such an intervention with prisoner-staff involvement can be adapted for use in a different environment.

Author Affiliations:

Department of Health Sciences, University of York Faculty of Medicine and Health, University of Leeds, Leeds LS2 9JT, UK Leeds Institute of Health Sciences, University of Leeds, Leeds LS2 9JT, UK Clinical Trials Research Unit, Leeds Institute of Clinical Trials Research, University of Leeds, Leeds LS2 9JT, UK Centre for Health Economics, University of York, York, YO10 5DD Spectrum Community Health CIC, Wakefield, WF1 5RH.

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Contributors:

AP, AH AND MW designed and conducted most of the study with considerable input from AKH. AWH and AF took the lead in performing the statistical analyses together and JG was the lead for analysing the qualitative interviews with AP. GR and NW led the development of the economic protocol and information on the study costs. NW supported access to the prison sites and all authors provided input into the writing of the manuscript.

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Disclaimer: The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR or the Department of Health

Patient consent: Obtained

Competing interests None declared

Ethics approval: Ethical approval for the study was obtained for phase one from NHS REC approval [NRES, North East York, 28.10.14] and NOMS [1.9.14] and phases two-five [Bristol REC Centre, London South East, 6.1.15] from NHS REC approval, NOMS [6.3.15] and the Department of Health Sciences at the University of York for all phases [11.12.14]. As the material was adapted and developed for appropriate use within each prison we were granted one substantive amendment to the project from all parties during July 2015.

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Data sharing statement: The data is available by contacting the lead author amanda.perry@york.ac.uk. Any data will not contain any unique personal identifiable information about the persons in the database

Figure Legends:

Figure 1: Staff trained and participating focus groups

Figure 2: Flow of study participants through study

Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 4: Time between recruitment and questionnaire follow-up assessment

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	PrisonA (n=175)	PrisonB (n=79)	PrisonC (n=18)	PrisonD (n=8)	Total (n=280)
Time working in the prison	· /	(** /)			(ii 200)
service (Years)	L.				
N	172	78	18	7	275
Mean (SD)	8.5 (8.93)			12.1 (9.91)	
	6.0 (0.0, 36.0)	· · · · ·			
Median (Range)	0.0 (0.0, 30.0)		12.5 (0.5,		8.0 (0.0, 36.0
Time weathing in this avisou		35.3)	25.0)	29.2)	
Time working in this prison					
(Years)	170	70	10	7	275
N (CD)	172	78	18	7	275
Mean (SD)	6.2 (7.48)		7.9 (7.61)		7.8 (7.96)
Median (Range)	3.3 (0.0, 31.0)		4.5 (0.3, 24.3)	7.9 (1.1, 20.8)	5.5 (0.0, 35.3
		35.3)			
Since working here have you					
encountered an incident of self-					
harm?					
Yes	119 (68.0%)	68 (86.1%)	· · · · ·	8 (100.0%)	213 (76.1%)
No	52 (29.7%)	11 (13.9%)	0 (0.0%)	0 (0.0%)	63 (22.5%)
Missing	4 (2.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	4 (1.4%)
Most recent self-harm	l				
incident?					
Within the past 7 days	44 (37.0%)	28 (41.2%)	7 (38.9%)	3 (37.5%)	82 (38.5%)
Within the past month	24 (20.2%)	17 (25.0%)	· · · ·	0 (0.0%)	45 (21.1%)
Two months or more	20 (16.8%)	8 (11.8%)	2 (11.1%)	1 (12.5%)	31 (14.6%)
Missing	31 (26.1%)	15 (22.1%)	5 (27.8%)	4 (50.0%)	55 (25.8%)
Type of incident?	()		()	()	
Self-poisoning	10 (8.4%)	1 (1.5%)	0 (0.0%)	1 (12.5%)	12 (5.6%)
Self-injury	94 (79.0%)	61 (89.7%)		6 (75.0%)	177 (83.1%)
	17 (5.9%)	4 (5.9%)	2 (11.1%)	1 (12.5%)	14 (6.6%)
self-injury	((0.570)	1 (0.070)	2 (11.170)	1 (12.070)	11(0.070)
Suicide	7 (5.9%)	2 (2.9%)	0 (0.0%)	0 (0.0%)	9 (4.2%)
Missing	1 (0.8%)				1 (0.5%)
Attended self-harm training?	1 (0.070)	0 (0.070)	0 (0.070)	0 (0.070)	1 (0.570)
Yes	74 (42.3%)	48 (60.8%)	13 (72.2%)	3 (37.5%)	138 (49.3%)
No	· · · · ·		· · · · · ·	5 (57.5%) 5 (62.5%)	· · · · · · · · · · · · · · · · · · ·
	96(54.9%)				
Cannot recall			· /		7(2.5%)
Missing	1 (0.6%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.4%)
Time since self-harm	l				
training?		4.5	10	2	107
N (CD)	66	45	13	3	127
Mean (SD)				19.0 (20.42)	
Median (Range)				12.0 (3.0,	
	180.0)	120.0)	168.0)	42.0)	180.0)
Who provided this training?					
Prison service	59 (79.7%)	42 (87.5%)	8 (61.5%)	3 (100.0%)	112 (81.2%)
NHS	3 (4.1%)	0 (0.0%)	2 (15.4%)	0 (0.0%)	5 (3.6%)

	PrisonA	PrisonB	PrisonC	PrisonD	Total
	(n=175)	(n=79)	(n=18)	(n=8)	(n=280)
Other including University	3 (4.1%)	2 (4.2%)	1 (7.7%)	0 (0.0%)	6 (4.3%)
Missing	7 (9.5%)	3 (6.3%)	2 (15.4%)	0 (0.0%)	12 (8.7%)
Length of training?					
1 hour	19 (25.7%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	20 (14.5%)
2 hours	9 (12.2%)	4 (8.3%)	1 (7.7%)	1 (33.3%)	15 (10.9%)
Half day	16 (21.6%)	14 (29.2%)	1 (7.7%)	1 (33.3%)	32 (23.2%)
Full day	13 (17.6%)	18 (37.5%)	7 (53.8%)	1 (33.3%)	39 (28.3%)
More than one day	7 (9.5%)	4 (8.3%)	3 (23.1%)	0 (0.0%)	14 (10.1%)
Missing	10 (13.5%)	8 (16.7%)	0 (0.0%)	0 (0.0%)	18 (13.0%)

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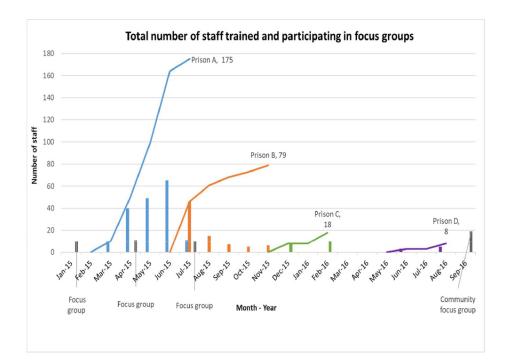
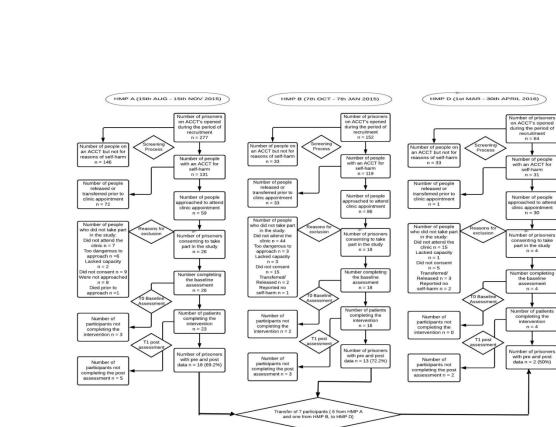


Figure 1: Staff trained and participating focus groups

Figure 1: Staff trained and participating focus groups

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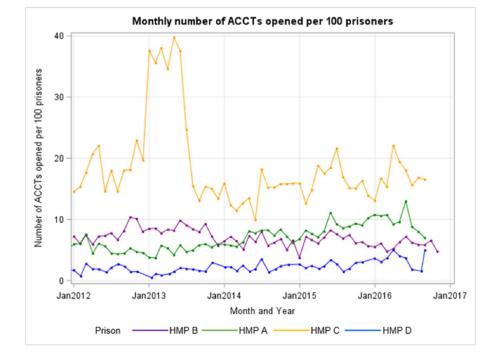


Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 3: Monthly numbers of ACCTs openned per 100 prisoners

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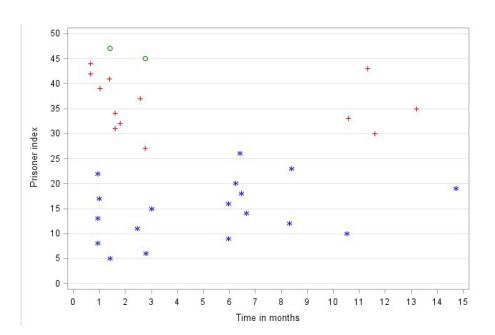


Figure 4: Time between recruitment and questionnaire follow-up assessment

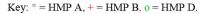


Figure 4: Time between recruitment and questionnaire follow-up assessment

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Web Appendix Supplementary Materials

Appendix A: Table one data collection protocols

- Appendix B: Table two prison staff focus group participation
- Appendix C: Table three prisoner focus group participation
- Appendix D: Table four prisoner self-harm details
- Appendix E: Table five coding variability across prison sites
- Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month
- Appendix G: Table six standardised ACCT process costs
- Appendix H: Table seven training and implementation costs

Appendix I: Figure 2 prisoner ACCTs, intervention and post assessment relative to baseline assessment

Appendix J: Figure 3 Phq-9 score at baseline and follow-up

	Prison			
Prison Site	Prison A	Prison B	Prison D	Prison C
Frequency of ACCTs per year				
2009			61	
2010			118	
2011	754		168	
2012	756	840	170	779
2013	730	734	154	718
2014	1012	645	208	688
2015	1219	798	249	729
2016 – partial*	1010	675	262	605
Number of ACCTs per year				
(2012 - 2015)				
Mean (SD)	929.3	754.3 (84.88)	195.3 (42.39)	728.5
	(231.31)			(37.86)
Median (Range)	884 (730,	766 (645,	189 (154,	724 (688,
/	1219)	840)	249)	779)

*2016 frequencies up to September in Prison A, D and Cl, and up to November in Prison B.

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	PrisonA	PrisonB	PrisonC
	(n=10)	(n=11)	(n=10)
Type of staff		, ,	
Operational staff	7 (70.0%)	7 (63.6%)	3 (30.0%)
Managerial staff	0 (0.0%)	1 (9.1%)	5 (50.0%)
Healthcare staff	0 (0.0%)	1 (9.1%)	2 (20.0%)
Visitor to the prison	2 (20.0%)	0 (0.0%)	0 (0.0%)
Admin / Probation	1 (10.0%)	1 (9.1%)	0 (0.0%)
Missing	0 (0.0%)	1 (9.1%)	0 (0.0%)
Gender			
Male	4 (40.0%)	5 (45.5%)	3 (30.0%)
Female	6 (60.0%)	6 (54.5%)	7 (70.0%)
Age			× ,
Ň	10	10	9
Mean (SD)	33.7 (10.07)	42.7 (11.41)	46.9 (6.15)
Median (Range)	33.0 (19, 49)	· ,	47.0 (36, 56)
First language	· · · ·		
English	8 (80.0%)	11 (100.0%)	10 (100.0%)
Hungarian	0 (0.0%)	0 (0.0%)	0 (0.0%)
German	0 (0.0%)	0 (0.0%)	0 (0.0%)
Missing	2 (20.0%)	0 (0.0%)	0 (0.0%)
Ethnic group	· · · ·		. ,
British	10 (100.0%)	11 (100.0%)	9 (90.0%)
Irish / Other white	e 0 (0.0%)	0 (0.0%)	0 (0.0%)
background			× ,
White and Black	k 0 (0.0%)	0 (0.0%)	0 (0.0%)
Caribbean			
Indian / Pakistani	0 (0.0%)	0 (0.0%)	1 (10.0%)
Religious preference			
No religion	2 (20.0%)	6 (54.5%)	1 (10.0%)
Christian	8 (80.0%)	5 (45.5%)	8 (80.0%)
Muslim	0 (0.0%)	0 (0.0%)	1 (10.0%)
Hindu	0 (0.0%)	0 (0.0%)	0 (0.0%)
Consider yoursel	f		
disabled?			
Yes	0 (0.0%)	0 (0.0%)	0 (0.0%)
No	10 (100.0%)	11 (100.0%)	10 (100.0%)
Highest academi	c		
qualification?			
Post Graduate	0 (0.0%)	0 (0.0%)	0 (0.0%)
Graduate	5 (50.0%)	5 (45.5%)	2 (20.0%)
A Level or equivalent	5 (50.0%)	3 (27.3%)	7 (70.0%)
GCSE or equivalent	0 (0.0%)	3 (27.3%)	1 (10.0%)
	()		(

Appendix C: Table three prisoner focus group participation

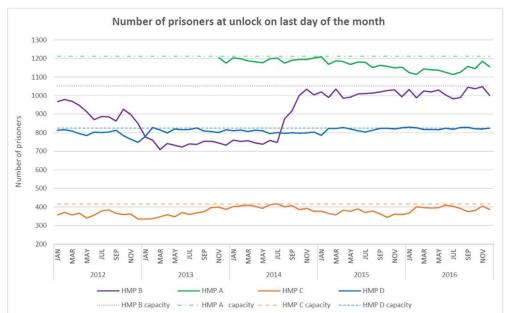
	PrisonA	PrisonB	PrisonC	
	(n=13)	(n=43)	(n=11)	Total (n=67)
Are you on Remand?				
Yes	2 (15.4%)	2 (4.7%)	1 (9.1%)	5 (7.5%)
No	11 (84.6%)	38 (88.4%)	10 (90.9%)	59 (88.1%)
Missing	0 (0.0%)	3 (7.0%)	0 (0.0%)	3 (4.5%)
First time offender?				
Yes	4 (30.8%)	19 (44.2%)	7 (63.6%)	30 (44.8%)
No	9 (69.2%)	23 (53.5%)	4 (36.4%)	36 (53.7%)
Missing	0 (0.0%)	1 (2.3%)	0 (0.0%)	1 (1.5%)
Age first entered pris	son			
(years)				
Ν	13	42	11	66
Mean (SD)	21.3 (7.61)	30.0 (13.13)	40.5 (12.71)	30.0 (13.35)
Median (Range)	18.0 (15.0	,27.0 (13.0	, 39.0 (18.0	,26.0 (13.
	40.0)	61.0)	60.0)	61.0)
Number of times in prison	?			
N	13	40	11	64
Mean (SD)			1.2 (0.40)	3.4 (4.94)
Median (Range)	4.0 (0.0, 30.0)	1.5 (1.0, 15.0)	1.0 (1.0, 2.0)	1.0 (0.0, 30.0)
Time spent in this prise	on?			
(months)				
Ν	13	42	11	66
Mean (SD)	9.2 (10.66)	29.0 (25.27)	34.8 (26.98)	26.0 (24.71)
Median (Range)	6.0 (1.0, 42.0)	24.0 (2.0	,24.0 (11.0	,16.0 (1.
-		102.0)	84.0)	102.0)
Length of sentence (month	s)			
N	11	38	11	60
Mean (SD)	167.5 (344.02)	208.5 (231.48)	181.9 (108.94)	196.1 (236.56)
Median (Range)	30.0 (18, 1188)	120.0 (8, 666)	168.0 (42, 333)	126.0 (8, 1188
Months left until sente	nce		,	•
expiry				
N	9	30	8	47
Mean (SD)	36.1 (44.62)	64.0 (59.95)	76.5 (56.46)	60.8 (57.15)
Median (Range)	16.3 (3.7	· · · · · · · · · · · · · · · · · · ·	· · · ·	,40.2 (0.
	120.2)	257.9)	154.6)	257.9)

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Appendix D: Table four prisoner self-harm details

	PrisonA	PrisonB		
	(n=26)	(n=18)	Prison D (n=4)	Total (n=48
Ever harmed yourself?				
Yes	26 (100.0%)	18 (100.0%)	4 (100.0%)	48 (100.0%)
Time since most recent self-harm	l			
(months)				
Ν	26	16	4	46
Mean (SD)	1.0 (0.72)	0.7 (0.45)	1.5 (1.86)	1.0 (0.79)
Median (Range)	1.0 (0.0, 3.0)	0.7 (0.0, 1.5)	0.8 (0.1, 4.2)	0.7 (0.0, 4.2
Self-Harm frequency				
Every day	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Twice a week	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
Once a week	4 (15.4%)	1 (5.6%)	1 (25.0%)	6 (12.5%)
Every two weeks	0 (0.0%)	4 (22.2%)	1 (25.0%)	5 (10.4%)
Once a month	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
3 monthly	3 (11.5%)	1 (5.6%)	1 (25.0%)	5 (10.4%)
Less often than three monthly	12 (46.2%)	7 (38.9%)	1 (25.0%)	20 (41.7%)
Missing	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)
Type of most recent self-harm				
Ligature	2 (7.7%)	3 (16.7%)	0 (0.0%)	5 (10.4%)
Cutting	14 (53.8%)	11 (61.1%)	4 (100.0%)	29 (60.4%)
OD Medication/ Recreational drug overdose	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Electrocution	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Hunger strike	3 (11.5%)	0 (0.0%)	0 (0.0%)	3 (6.3%)
How easy was it for you to get help?				
Very Easy	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Took some time	10 (38.5%)	6 (33.3%)	2 (50.0%)	18 (37.5%)
There was no help available	7 (26.9%)	4 (22.2%)	0 (0.0%)	11 (22.9%)
I didn't bother to ask	3 (11.5%)	3 (16.7%)	2 (50.0%)	8 (16.7%)
can't remember	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)

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1 2 3 Appe	ndix E: Table five coding variability across	s prison sites		-2018-026095 o
5	Prison B	Prison A	Prison C	Prizon D
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30	 Prior to Nov 2013: Not available Nov 2013 – Aug 2014: coded as self-harm or concerns Aug 2014 – Oct 2015: free text reasons Oct 2015 – present: Suicide attempt or statement of intent to take own life Self-injury or statement to self-harm Unusual behaviour/talk Very low mood Drug Alcohol Withdrawal Other concerns Self-harm warning received from court Not possible to distinguish acts of self-harm and suicide from intent, statements, or concerns. 	Recorded as free text. Not always possible to distinguish acts of self-harm and suicide from intent, statements, or concerns.	Not available	 Prior to mid-2016: Suicide Attempt Statement/thoughts of intent to kill self Self-Harm Statement of intent/thoughts to self-harm Unusual Behaviour Low mood Problems related to Drug / Alcohol withdraw External Concerns Deportation Deportation Other Mid-2016 to present: Suicide attempt or Statement of intent to take own life Self-Injury or Statement to Self-Harm Unusual behaviour/Talk Low Mood Drug Alcohol Withdrawal Other Concerns Method coding also provided and varies
31 32 33 34 35 36 37 38	 Following coding: 39% Related to SH or suicide 20% Other 41% Missing 	 Following coding: 36% due to SH incident or suicide attempt 39% related to SH or suicide 25% Other <1% Missing 		 Following coding: 32% due to a SH incident or suicide attempt 22% related to SH or suicide 33% Other 4 Missing
39 40 41 42 43 44	For peer r	eview only - http://bmjopen.bmj.com/site/abou	ıt/guidelines.xhtml	ographique de l

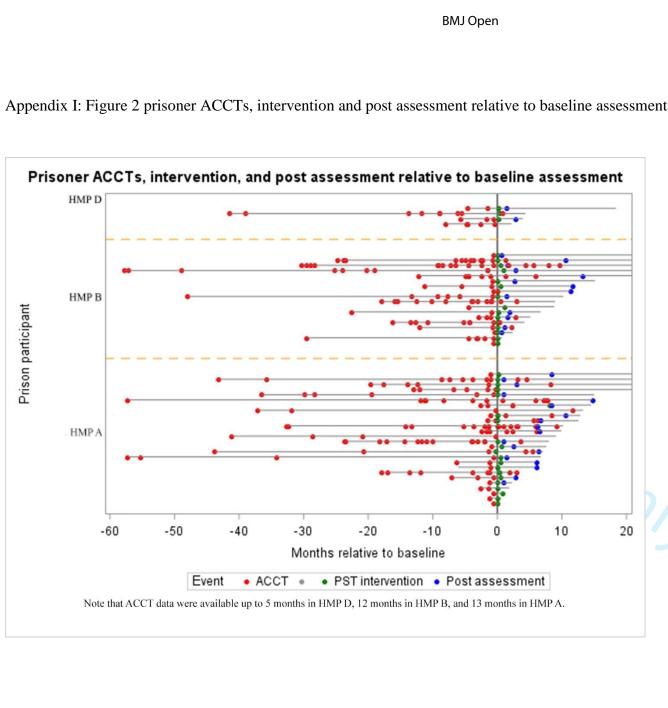


Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month



3 4	Appendix G: Table six standardised ACCT process costs									
5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	ACCT task per person	Initial ACCT opening and assignment of case manager by safer custody administration staff (minutes) £	Initial assessme nt by Case Manager (minutes) £	Case review attendance by two operational staff, one healthcare/othe r agency e.g., chaplaincy (minutes) £	Observation and case note entry into the ACCT documentatio n by Case manager (minutes) £	Post closure review (7 days after an ACCT has been shut). Interview between patient and Case Manager (minutes) £	Audit checks and data entry on the ACCT documentati on once the ACCT shut by safer custody administrat or (minutes) £	Protected by c		
20 21	Time allocated	(30)	(30)	(60)	(5)	(30)	(30)	opyrigr		
22 23 24	Standardised cost	4.60	6.50	39	1.05	6.50	4.60	nt, inciu		
25 26 27 28 29 30 31 32 33 34 35 36 37 38				er revie				copyright, including for uses related to text and data min		

					В	BMJ Open		mjopen-2018-026095 J by copyright, includ			Page 28 o
Арре	endix H: Tal	ble seven train	ning and imp	lementation (costs			on 3 (ling fo			
Prison	Training Period	Number of staff trained	Number of training sessions	Cost for staff attending the training sessions (£)	Average cost per training sessions (£)]	Number of prisoners receiving the intervention	intervention	Averagenet intergegine	Cost of intervention per head (£)	Cost of training and intervention delivery (£)	cost per
HMP A	15.2.15- 7.7.15	175	24	2625	172.87	26	1055	ig , A I trai	£35.17	6478	249.17
HMP B	15.2.15- 7.7.15	175	24	2625	172.87	26	1055	40.5 and	£35.17	6478	249.17
HMP C	11.12.15- 26.2.16	18	2	270	207.6	-	- 0	similar tec	-	415.20	0
HMP D	23.6.16- 9.8.16	8	2	120	132.6	4	90	chnologies	£28.87	500.7	125.17
			Fo	r peer review of	nlv - http://bmic	open.bmj.com/site/	/about/quidelin	es.xhtml			9





Prison participant

Appendix J: Figure 3 Phq-9 score at baseline and follow-up

