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Bioethical Issues in Biostatistical Consulting (BIBC): Findings from a U.S. National Pilot Study

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Abstract

OBJECTIVES: The overall purposes of this first U.S. national pilot study were: 1) to test the feasibility of online administration of the Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire to a random sample of American Statistical Association members; 2) to determine the prevalence and relative severity of a broad array of bioethical violations requests that are presented to biostatisticians by investigators seeking biostatistical consultations; and 3) to establish the sample size needed for a full-size Phase II Study.

METHODS:

Design: Cross-sectional survey as approved and endorsed by the American Statistical Association (ASA).

Participants: administered to a randomly drawn sample of 112 professional biostatisticians who were ASA members.

Primary and Secondary Outcome Measures: The 18 bioethical violations were first ranked by Perceived Severity scores, then categorized into three Perceived Severity subcategories in order to identify seven 'top tier concern violations' and seven '2nd tier concern violations'.

RESULTS: Methodologically, this Phase I Pilot Study demonstrated that the BIBC Questionnaire, administered online to a random sample of ASA members, served to identify bioethical violations that occurred during biostatistical consultations, and provided data needed to establish the sample size needed for a full-scale Phase II study. The #1 top tier concern was 'remove or alter some data records in order to better support the research hypothesis'. The #2 top tier concern was 'interpret the statistical findings based on expectation, not based on actual results'. In total, 14 of the 18 BIBC Questionnaire items, as judged by a combination of 'severity of violation' and 'frequency of

occurrence over past 5 years’ were rated by biostatisticians as ‘top tier’ or ‘2nd tier’ bioethical concerns.

CONCLUSION: This pilot study gives clear evidence that researchers make requests of their biostatistical consultants that are not only rated as severe violations, but further that these requests occur quite frequently. **Word Count: 300**

Article Summary: Strengths and Limitations of this study

- Strengths: - 1st study to quantify bioethics violations in biostatistical consulting
- verified that the BIBC Questionnaire detected differences in frequency and severity of bioethical violations
 - established sample size needed for full-sized study
 - established feasibility of recruitment and data collection methods
- Limitations: - small sample size of pilot study
- limited capability to conduct analysis of co-factors

Data Statement:

"Technical appendix, statistical code, and dataset available from Dr. Min Qi Wang, Department of Statistics, University of Maryland School of Public Health via his email: mqw@umd.edu

Introduction

This pilot study is the first U.S. national survey to quantitatively identify a wide array of

bioethical violations that arise between scientific investigators and their biostatistical consultants, a collaborative research consultation that underpins virtually all scientific studies. This study quantifies, for the first time, the frequency of requests for 'inappropriate data manipulation or practices' by investigators via consultations with biostatisticians on a national level. While this phenomenon has been known to exist, the extent to which it exists has simply not been adequately studied.¹⁻⁷ Two previous studies were identified that attempted to quantify aspects of bioethical violations in research and suggested violations levels were 'of concern,' but each of these two studies asked only 10 questions which directly addressed specific violations and each survey only achieved a low response rate, one 31%, one 37%.⁸⁻¹¹

The overall purposes of this pilot study, conducted in collaboration with the American Statistical Association (ASA), were three-fold: 1) to administratively pilot test the research methods proposed for use in a full-scale study using the newly developed Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire as administered to a random sample of U.S. biostatisticians; 2) to establish, for the first time, the prevalence and relative severity of a broad array of bioethical violations requests that are presented to biostatisticians by investigators seeking biostatistical consultations; and, 3) to gain estimates of the prevalence and relative severity of those bioethical violations to permit the planning and conducting of a full-scale, Phase II study.

Methods

This Phase I pilot national survey used a validated, pretested 18-item Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire as previously developed within an NIH/NIDCR Oral Health Disparities Center (U54 DE14257) in collaboration with the National Center for

Bioethics for Research and Health Care at Tuskegee University.¹² In this Phase I pilot study, the 18-item BIBC Questionnaire was administered to a randomly drawn sample of 112 professional biostatisticians who were members of the American Statistical Association, as drawn from their national membership list.

Each questionnaire item represents a different bioethical violation event. Specifically, the 18-items ask what bioethical violations the respondent has personally and directly been asked to do during their bioethical consultations over the past five years. Respondents were asked to make provide two assessments for each of the 18 items: 1) the total number of times they had been asked to do that specific bioethical violation over the past five years (using a 5-point ordinal scale: 0, 1, 2-4, 5-9, and 10+); and, 2) their own professional opinion on the ‘bioethical violation severity’ of that specific bioethical violation (using a 5-point ordinal scale ranging from least to most severe: 0-5).

Of the approximately 18,000 total American Statistical Association (ASA) members, approximately 5,000 members who are categorized as “working statisticians” (frequently performing data management and data analysis, consulting to other researchers in data analysis and statistics) comprised the available sample pool. They met the following eligibility criteria: 1) self-identified on their ASA annual registration forms as specializing in biomedical research consulting activities; and, 2) have at least two years of experience as biostatisticians. Our goal for this pilot study was draw a sample of 112 and to achieve a high response rate (>70%) via the use of an endorsement by the American Statistical Association (ASA) and the use three specific incentives to participate: 1) a \$99 Amazon gift certificate for completing the estimated 30 minute BIBC survey; 2) an web tool online data collection system that avoided the use of any personal identifier for the respondent; and finally for this novel line of inquiry in reporting of

violations, 3) the use of the concept of 'requests made to biostatisticians' as its dependent variable (as opposed to the alternative high-risk dependent variable of 'actually committed violations') to ensure higher participation rates, as well as greater participant candor, in this first exploratory study.

This pilot study was approved by the IRB at the University of Maryland School of Public Health and by the IRB at New York University.

Results

First, from an initial working list of 800 emails as provided by the American Statistical Association, a random selection process was used progressing in subsets of $n=50$ to obtain an $n = 112$ while avoiding an over-enrollment which would exceed budgetary limits for incentives for enrolled subjects. The final response rates for randomly drawn ASA members was 67%. The demographic data on the respondents revealed that respondents self-reported working as biostatisticians between 2-55 years (median number of years = 13), and 86.4% were employed full-time, 7.3% were self-employed, 2.7% part-time employed and 3.6% were retired. Of those currently working, 41.8% worked at a university (73.3% at a 1st tier research university and 11.1% at a 2nd tier research university) while 58.2% were employed at non-university jobs.

Table 1, on its left side, shows the 18 bioethical violations items from the BIBC Questionnaire in ranked order by percent of respondents rating the item as a '5' (most severe) in 'Perceived Severity', and then subcategorized into Severity Group I (the top 3 most egregious violations), Severity Group II (the next 8 most egregious violations),

and Severity Group III (the 7 least egregious violations). The bolded ‘q#’s’ –within Severity Groups I & II—are marked by a supra-numeral 1 (e.g. **q#2¹**) and indicate ‘top tier violations’ (i.e., have a ‘Perceived Severity’ score of 4-5 for at least 65% of the respondents AND a # of times asked in last 5 years’ of 1-10+ times for >20% of the respondents). There were 7 identified ‘top tier concern violations’.

The unbolded ‘q#’s’ with a supra-numeral 2 (e.g., q#7²) –all these are within Severity Group III—are labeled as ‘2nd tier concern violations’ (i.e., have a ‘Perceived Severity’ score of 4-5 for at least 33-64% of the respondents AND a # of times asked in last 5 years’ of 1-10+ times for >20% of the respondents). There were also 7 identified ‘2nd tier concern violations’.

Discussion and Conclusions

Thus 14 of the 18 BIBC Questionnaire items, as judged by a combination of ‘severity of violation’ and ‘frequency of occurrence over past 5 years’ were rated by biostatisticians as ‘top tier’ or ‘2nd tier’ bioethical concerns, i.e., minimally having the characteristics of a ‘Perceived Severity’ score of 4-5 for at least 33% of the respondents AND having been ‘been asked during a biostatistical consultation’ over the past 5 years for at least 20% of the respondents.

In addition, there are clear public health implications from the findings of this Phase I pilot study. First, the pilot U.S. national survey quantitatively identified a wide array of bioethical violations that arise between scientific investigators and their biostatistical consultants, giving clear evidence that researchers make requests of their biostatistical consultants that are not only rated as severe violations, and that these requests occur quite frequently. Second, these

Phase I pilot findings provide strong evidence in support of future studies that will: 1) provide replication of these findings in a large sample of subjects, and 2) allow a more refined analysis of the findings by demographic variables.

Following our successful completion of this Phase I pilot study, our research team submitted as Phase II grant that was funded by the ORI at the U.S. DHHS to conduct a follow-up Phase II full-sized study which currently is currently underway, again in collaboration with the American Statistical Association. The findings from that Phase II full-sized study will serve to guide the development of future educational bioethical training modules targeted at university-based clinical research training programs and their directors as well as to encourage and develop means for research universities and companies to improve their institutional environmental efforts regarding job and publication pressures to reduce the frequency of these bioethical violation requests.

Word Count: 1,280

Each Author's Specific Contributions:

MinQi Wang, PhD: led the research team in the writing of Phase I grant; designed the online data collection system; conducted the data analysis; reviewed and approved the final draft of this manuscript

Alice F. Yan, MD, PhD: contributed to the writing of the Phase I grant; administered and managed the online data collection system; contributed to the data analysis; reviewed and approved the final draft of this manuscript

Ralph V. Katz, DMD, MPH, PhD: initially conceived of the project and research design method; contributed to the writing of this Phase I grant; wrote the first draft of this manuscript

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Competing Interests Statement:

The authors of this paper have read and understood the BMJ Group policy on declaration of interests and declare that they have none.

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Table 1. Ranking of Bioethical Violations by ‘Perceived Severity’ and ‘Number of Times Directly Asked to do it over the past 5 years’: BIBC Phase I (n=112)

Findings for q#1-18 which asked biostatisticians “to estimate the number of times—during the past five years—that you, personally, have been DIRECTLY asked to do this”.

		Perceived Severity score		# of times asked over past 5 years		
		‘most severe’ or ‘high end’				
Severity Group I: Top 3 bioethical violations as ranked on ‘Perceived Severity’		a ‘5’	‘a 4 or 5’	never	1-9	10
q#10.	Falsify the statistical significance to support a desired result	91%	92%	96%	3%	1%
q#9.	Change data in order to achieve the desired outcome	85%	90%	96%	4%	0%
q#2. ¹	Remove or alter some data records in order to better support the research hypothesis	70%	87%	64%	35%	1%
Severity Group II: next 8 ranked bioethical violations on ‘Perceived Severity’						
q#8. ¹	Interpret the statistical findings based on expectation, not based on the actual results	44%	71%	69%	30%	1%
q#3. ¹	Not report the presence of key missing data that could bias the results	35%	77%	73%	25%	2%
q14.	Did not fully describe the treatment under study since protocol wasn’t exactly followed	33%	65%	83%	17%	0%
q12. ¹	Ignored violations of assumptions since results may change from positive to negative	33%	69%	68%	29%	3%
q15.	Not to mention interim analyses to avoid the problem of ‘too much testing’	30%	64%	84%	15%	1%
q16. ¹	Report power based on a <i>post-hoc</i> calculation but make it appear as a <i>priori</i> statement	30%	65%	73%	25%	2%
q18. ¹	Request not to properly adjust for multiple testing when ‘a <i>priori</i> , originally planned secondary outcomes’ get shifted to a ‘a <i>posteriori</i> primary outcome status’	29%	66%	72%	27%	1%
q6. ¹	Modify a measurement scale in order to achieve some desired results rather than adhering to the original scale as validate	25%	65%	73%	26%	1%
Severity Group III: Lowest 7 bioethical violations as ranked on ‘Perceived Severity’						
q7. ²	Remove categories of a variable in order to report more favorable results	20%	60%	60%	40%	0%
q11. ²	Reporting results before data has been cleaned and validated	18%	49%	40%	51%	9%
q5. ²	Conduct too many post-hoc tests but purposefully fail to adjust alpha levels in order to make results look more impressive than they really are	17%	61%	39%	48%	13%
q13. ²	Did not discuss duration of follow-up since it wasn’t consistent	16%	39%	74%	26%	-
q1. ²	Stress only the significant findings	14%	45%	35%	55%	10%
q4. ²	Not report the model statistics (including effect size in ANOVA or R ² in linear regression)					

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285	because it appeared too small to indicate any meaningful changes	12%	39%	66%	32%	29%
286	q17. ² Fail to show plot since it didn't show as strong as effect as you would have hoped for	8%	33%	51%	45%	4%
287						
288	¹ 1 st top tier concern violations, i.e., Perceived Severity score of 4-5 for at least 65% of sample + "# of times asked in last 5 years" of 1-10+ times for at least 20% of sample					
289						
290	² 2 nd tier concern violations, i.e., Perceived Severity score of 4-5 for 33-64% of sample + "# of times asked in last 5 years" of 1-10+ times for at least 20% of sample					
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Identifying bioethical issues in biostatistical consulting: findings from a U.S. national pilot survey of biostatisticians

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Keywords:	ETHICS (see Medical Ethics), MEDICAL ETHICS, PUBLIC HEALTH, STATISTICS & RESEARCH METHODS

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Abstract

OBJECTIVES: The overall purposes of this first U.S. national pilot study were to: 1) test the feasibility of online administration of the Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire to a random sample of American Statistical Association members; 2) determine the prevalence and relative severity of a broad array of bioethical violations requests that are presented to biostatisticians by investigators seeking biostatistical consultations; and 3) establish the sample size needed for a full-size Phase II Study.

METHODS:

Design: A descriptive survey as approved and endorsed by the American Statistical Association (ASA).

Participants: administered to a randomly drawn sample of 112 professional biostatisticians who were ASA members.

Primary and Secondary Outcome Measures: The 18 bioethical violations were first ranked by Perceived Severity scores, then categorized into three Perceived Severity subcategories in order to identify seven 'top tier concern violations' and seven '2nd tier concern violations'.

RESULTS: Methodologically, this Phase I Pilot Study demonstrated that the BIBC Questionnaire, administered online to a random sample of ASA members, served to identify bioethical violations that occurred during biostatistical consultations, and provided data needed to establish the sample size needed for a full-scale Phase II study. The #1 top tier concern was 'remove or alter some data records in order to better support the research hypothesis'. The #2 top tier concern was 'interpret the statistical findings based on expectation, not based on actual results'. In total, 14 of the 18 BIBC Questionnaire items, as judged by a combination of 'severity of violation' and 'frequency of

occurrence over past 5 years’ were rated by biostatisticians as ‘top tier’ or ‘2nd tier’ bioethical concerns.

CONCLUSION: This pilot study gives clear evidence that researchers make requests of their biostatistical consultants that are not only rated as severe violations, but further that these requests occur quite frequently.

Word Count: 299

Article Summary: Strengths and Limitations of this study

- Strengths: - 1st study to quantify bioethics violations in U.S. biostatistical consulting
- verified that the BIBC Questionnaire detected differences in frequency and severity of bioethical violations
 - established sample size needed for full-sized study
 - established feasibility of recruitment and data collection methods

- Limitations: - small sample size of pilot study
- limited capability to conduct analysis of co-factors

Data Statement:

"Technical appendix, statistical code, and dataset available from Dr. Min Qi Wang, Department of Statistics, University of Maryland School of Public Health via his email: mqw@umd.edu

71 Introduction

72 This pilot study is the first U.S. national survey to quantitatively identify a wide array of
73 bioethical violations that arise between scientific investigators and their biostatistical consultants,
74 a collaborative research consultation that underpins virtually all scientific studies. This
75 descriptive survey quantifies, for the first time, the frequency of requests for 'inappropriate data
76 manipulation or practices' by investigators via consultations with biostatisticians on a national
77 level. While this phenomenon has been known to exist, the extent to which it exists has simply
78 not been adequately studied, and this lack of research on bioethical research violations has been
79 lamented by several authors.¹⁻¹³

80 While six previous studies that attempted to quantify aspects of bioethical violations in
81 research have suggested violations levels were 'of concern', each study has major limitations that
82 preclude the drawing of firm and clear conclusions.^{1,2,6,9,11,12} One early study in 1993 only
83 reported on the rate of exposure of doctoral students to perceived misconduct,¹ while another
84 study of that era that evaluated 23 possible ethical research violations reported 10% of the
85 membership of three surveyed professional research societies had observed data falsification or
86 fabrication.² Two later studies targeted research coordinators and asked only a very limited
87 number of questions and achieved low response rates, one 31%, one 37%.^{5, 11, 12} A fifth study,
88 a survey seeking the opinion of scientific meeting program chairs from their annual international
89 research meeting, which focused only on scientific abstracts submitted to their annual meeting,
90 assessed 26 problematic research practices, achieved a response rate of 78% and reported that
91 30% had observed falsification of data and 54% had observed plagiarism one or more times.⁶
92 The sixth study, which sought to assess scientific fraud experienced by an international group of
93 biostatisticians, reported that 51% were aware of at least one fraudulent study but only achieved

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a response rate of 37%.⁹

The overall purposes of this pilot study, conducted in collaboration with the American Statistical Association (ASA), were three-fold: 1) to administratively pilot test the research methods proposed for use in a full-scale study using the newly developed Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire as administered to a random sample of U.S. biostatisticians; 2) to establish, for the first time, the prevalence and relative severity of a broad array of bioethical violations requests that are presented to biostatisticians by investigators seeking biostatistical consultations; and, 3) to gain estimates of the prevalence and relative severity of those bioethical violations to permit the planning and conducting of a full-scale, Phase II study.

Methods

This Phase I pilot national survey used a validated, pretested 18-item Bioethical Issues in Biostatistical Consulting (BIBC) Questionnaire as previously developed within an NIH/NIDCR Oral Health Disparities Center (U54 DE14257) in collaboration with the National Center for Bioethics for Research and Health Care at Tuskegee University.¹³ In this Phase I pilot study, the 18-item BIBC Questionnaire was administered to a randomly drawn sample of 112 professional biostatisticians who were members of the American Statistical Association, as drawn from their national membership list.

Each questionnaire item represents a different bioethical violation event. Specifically, the 18-items ask what bioethical violations the respondent has personally and directly been asked to do during their bioethical consultations over the past five years. Respondents were asked to make two assessments for each of the 18 items: 1) the total number of times they had been asked

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120 0-5).

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124 and statistics) comprised the available sample pool. They met the following eligibility criteria: 1)
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132 violations, 3) the use of the concept of 'requests made to biostatisticians' as its dependent variable
133 (as opposed to the alternative high-risk dependent variable of 'actually committed violations') to
134 ensure higher participation rates, as well as greater participant candor, in this first exploratory
135 study. Data analysis for this initial pilot study consisted of descriptive analysis of the
136 demographic variables, as well as for both the 'perceived severity' rankings and 'frequency'
137 rankings of the 18 listed possible bioethical violations.

138 This pilot study was approved by the IRB at the University of Maryland School
139 of Public Health and by the IRB at New York University as an Expedited Review

category involving minimal risk for the subjects.

Results

First, from an initial working list of 800 emails as provided by the American Statistical Association, a random selection process was used progressing in subsets of n=50 to obtain an n = 112 while avoiding an over-enrollment which would exceed budgetary limits for incentives for enrolled subjects. The final response rates for randomly drawn ASA members was 67%. The demographic data on the respondents revealed that respondents self-reported working as biostatisticians between 2-55 years (median number of years = 13), and 86.4% were employed full-time, 7.3% were self-employed, 2.7% part-time employed with 3.6% not currently working. Of those currently working, 41.8% worked at a university (73.3% at a 1st tier research university and 11.1% at a 2nd tier research university) while 58.2% were employed at non-university jobs.

Table 1, on its left side, shows the 18 bioethical violations items from the BIBC Questionnaire in ranked order by percent of respondents rating the item as a ‘5’ (most severe) in ‘Perceived Severity’, and then subcategorized into Severity Group I (the top 3 most egregious violations), Severity Group II (the next 8 most egregious violations), and Severity Group III (the 7 least egregious violations). The bolded ‘q#’s –within Severity Groups I & II—are marked by a supra-numeral 1 (e.g. **q#2¹**) and indicate ‘top tier violations’ (i.e., have a ‘Perceived Severity’ score of 4-5 for at least 65% of the respondents AND a # of times asked in last 5 years’ of 1-10+ times for >20% of the respondents). There were 7 identified ‘top tier concern violations’.

The unbolded ‘q#’s’ with a supra-numeral 2 (e.g., q#7²)—all these are within Severity Group III—are labeled as ‘2nd tier concern violations’ (i.e., have a ‘Perceived Severity’ score of 4-5 for at least 33-64% of the respondents AND a # of times asked in last 5 years’ of 1-10+ times for >20% of the respondents). There were also 7 identified ‘2nd tier concern violations.

Based upon these pilot study findings that the observed effect size of most of the variables in relation to the demographic factors were moderate (i.e., in the range of 0.3-04), our follow-up Phase II study will seek a sample of 400 ASA members which will have a statistical power above 80% while being able to detect a minimum of 10% difference of the dependent variable between demographic and environmental variables.

Discussion and Conclusions

Thus 14 of the 18 BIBC Questionnaire items, as judged by a combination of ‘severity of violation’ and ‘frequency of occurrence over past 5 years’ were rated by biostatisticians as ‘top tier’ or ‘2nd tier’ bioethical concerns, i.e., minimally having the characteristics of a ‘Perceived Severity’ score in the high range (i.e., a score of 4 or 5) for at least 33% of the respondents AND having been ‘been asked during a biostatistical consultation’ over the past 5 years for at least 20% of the respondents. Inevitably, if unfortunately, the limited sample size of this pilot study prevents detailed sub-analyses of the findings by demographic and work-environmental factors. Finally, given that these findings are from a pilot study designed to answer methodologic issues, any detailed comparisons of our bioethical violations findings with prior studies would be inappropriate; those comparisons must await the findings from our funded—and now

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underway—full-sized, Phase II study.

Nevertheless, there are clear public health implications from the findings of this Phase I pilot study. First, the pilot U.S. national survey quantitatively identified a wide array of bioethical violations that arise between scientific investigators and their biostatistical consultants, giving clear evidence that researchers make requests of their biostatistical consultants that are not only rated as severe violations, and that these requests occur quite frequently. Second, these Phase I pilot findings provide strong evidence in support of future studies that will: 1) provide replication of these findings in a large sample of subjects, and 2) allow a more refined analysis of the findings by demographic variables.

Following our successful completion of this Phase I pilot study, our research team submitted a Phase II grant that was funded by the Office of Research Integrity (ORI) at the U.S. DHHS to conduct a follow-up Phase II full-sized study which currently is currently underway, again in collaboration with the American Statistical Association. The findings from that Phase II full-sized study will serve to more definitively describe both the frequency and severity of bioethical violations requested during biostatistical consultations, as well as guide the development of future educational bioethical training modules targeted at university-based clinical research training programs and their directors as well as to encourage and develop means for research universities and companies to improve their institutional environmental efforts regarding job and publication pressures to reduce the frequency of these bioethical violation requests.

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Each Author’s Specific Contributions:

MinQi Wang, PhD: led the research team in the writing of Phase I grant; designed the online

data collection system; conducted the data analysis; reviewed and approved the final draft of this manuscript

Alice F. Yan, MD, PhD: contributed to the writing of the Phase I grant; administered and managed the online data collection system; contributed to the data analysis; reviewed and approved the final draft of this manuscript

Ralph V. Katz, DMD, MPH, PhD: initially conceived of the project and research design method; contributed to the writing of this Phase I grant; wrote the first draft of this manuscript; and, served as primary responding author to journal reviewers questions.

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The authors of this paper have read and understood the BMJ Group policy on declaration of interests and declare that they have none.

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Table 1. Ranking of Bioethical Violations by ‘Perceived Severity’ and ‘Number of Times Directly Asked to do it over the past 5 years’: BIBC Phase I (n=112)

Findings for q#1-18 which asked biostatisticians “to estimate the number of times—during the past five years—that you, personally, have been DIRECTLY asked to do this”.

	Perceived Severity score		# of times asked over past 5 years		
	‘most severe’ or ‘high end’		never	1-9	10+
Severity Group I: Top 3 bioethical violations as ranked on ‘Perceived Severity’					
	a ‘5’	‘a 4 or 5’			
q#10. Falsify the statistical significance to support a desired result	91%	92%	96%	3%	1%
q#9. Change data in order to achieve the desired outcome	85%	90%	96%	4%	0%
q#2. ¹ Remove or alter some data records in order to better support the research hypothesis	70%	87%	64%	35%	1%
Severity Group II: next 8 ranked bioethical violations on ‘Perceived Severity’					
q#8. ¹ Interpret the statistical findings based on expectation, not based on the actual results	44%	71%	69%	30%	1%
q#3. ¹ Not report the presence of key missing data that could bias the results	35%	77%	73%	25%	2%
q14. Did not fully describe the treatment under study since protocol wasn’t exactly followed	33%	65%	83%	17%	0%
q12. ¹ Ignored violations of assumptions since results may change from positive to negative	33%	69%	68%	29%	3%
q15. Not to mention interim analyses to avoid the problem of ‘too much testing’	30%	64%	84%	15%	1%
q16. ¹ Report power based on a <i>post-hoc</i> calculation but make it appear as a <i>a priori</i> statement	30%	65%	73%	25%	2%
q18. ¹ Request not to properly adjust for multiple testing when ‘ <i>a priori</i> , originally planned secondary outcomes’ get shifted to a ‘ <i>a posteriori</i> primary outcome status’	29%	66%	72%	27%	1%
q6. ¹ Modify a measurement scale in order to achieve some desired results rather than adhering to the original scale as validate	25%	65%	73%	26%	1%
Severity Group III: Lowest 7 bioethical violations as ranked on ‘Perceived Severity’					
q7. ² Remove categories of a variable in order to report more favorable results	20%	60%	60%	40%	0%
q11. ² Reporting results before data has been cleaned and validated	18%	49%	40%	51%	9%
q5. ² Conduct too many post-hoc tests but purposefully fail to adjust alpha levels in order to make results look more impressive than they really are	17%	61%	39%	48%	13%
q13. ² Did not discuss duration of follow-up since it wasn’t consistent	16%	39%	74%	26%	-
q1. ² Stress only the significant findings	14%	45%	35%	55%	10%
q4. ² Not report the model statistics (including effect size in ANOVA or R ² in linear regression) because it appeared too small to indicate any meaningful changes	12%	39%	66%	32%	2%

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q17.² Fail to show plot since it didn't show as strong as effect as you would have hoped for 8% 33% 51% 45% 4%

¹ 1st top tier concern violations, i.e., Perceived Severity score of 4-5 for at least 65% of sample + "# of times asked in last 5 years" of 1-10+ times for least 20% of sample

² 2nd tier concern violations, i.e., Perceived Severity score of 4-5 for 33-64% of sample + "# of times asked in last 5 years" of 1-10+ times for at least 20% of sample

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