

BMJ Open

Does n-3 LCPUFA supplementation during pregnancy improve the Intelligence Quotient of children at school age? Follow-up of a Randomised Controlled Trial

Journal:	BMJ Open
Manuscript ID	bmjopen-2016-011465
Article Type:	Protocol
Date Submitted by the Author:	11-Feb-2016
Complete List of Authors:	Gould, jacqueline; South Australian Health and Medical Research Institute, Healthy Mothers, Babies and Children Treyvaud, Karli ; karli.treyvaud@mcri.edu.au Yelland, Lisa; University of Adelaide, School of Public Health Anderson, Peter; Murdoch Childrens Research Institute, Smithers, Lisa; University of Adelaide, Australia Gibson, Robert; The University of Adelaide, FOODplus Research Centre McPhee, Andrew; Women's and Children's Hospital, Department of Neonatal Medicine Makrides, Maria; Women's & Children's Health Research Institute
Primary Subject Heading:	Obstetrics and gynaecology
Secondary Subject Heading:	Paediatrics, Nutrition and metabolism
Keywords:	Docosahexaenoic acid, neurodevelopment, omega-3 fatty acids, prenatal, LCPUFA, cognition

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

1
2
3
4

Does n-3 LCPUFA supplementation during pregnancy increase the Intelligence
Quotient of children at school age? : Follow-up of a Randomised Controlled
Trial

5 Dr Jacqueline F Gould (BSocSci & BHLthSc (Hons), PhD)
6 Women's & Children's Health Research Institute
7 72 King William Road, North Adelaide, SA, 5006
8 South Australian Health and Medical Research Institute, Adelaide, Australia
9 jacqueline.gould@adelaide.edu.au
10 Phone: +618 8161 7443
11 Fax: +618 8239 0267

12
13 Dr Karli Treyvaud (BSc (Hons) DPsych MAPS)
14 Victorian Infant Brain Studies (VIBeS)
15 Murdoch Children's Research Institute
16 Royal Children's Hospital
17 Flemington Road, Parkville, VIC, 3052
18 karli.treyvaud@mcri.edu.au

19
20 Dr Lisa N Yelland (BMA &CompSc (Hons), PhD)
21 Women's & Children's Health Research Institute
22 72 King William Road, North Adelaide, SA, 5006
23 School of Public Health,
24 The University of Adelaide
25 South Australian Health and Medical Research Institute, Adelaide, Australia
26 lisa.yelland@adelaide.edu.au

27
28 Prof Peter J Anderson (BA, GradDip(AppPsych), PhD, MAPS)
29 Victorian Infant Brain Studies (VIBeS)
30 Murdoch Children's Research Institute
31 Royal Children's Hospital
32 Flemington Road, Parkville, VIC, 3052
33 peter.anderson@mcri.edu.au

34
35 Dr Lisa G Smithers (BAppSc, GradDip(Hum Nutr), MPH, PhD)
36 School of Public Health,
37 The University of Adelaide
38 Mail drop DX 650 550, Adelaide, SA, 5005
39 lisa.smithers@adelaide.edu.au

40
41 Prof Robert A Gibson (BSc, PhD)
42 FOODplus Research Centre, School of Agriculture, Food and Wine
43 Discipline of Paediatrics,
44 The University of Adelaide
45 Waite Campus, Glen Osmond, SA, 5064
46 robert.gibson@adelaide.edu.au

48 Dr Andrew J McPhee (MBBS, FRACP (Paediatrics))
49 Neonatal Services
50 Women's and Children's Hospital
51 72 King William Road, North Adelaide, SA, 5006
52 Andrew.McPhee@health.sa.gov.au
53

54 Prof Maria Makrides (BSc, BND, PhD)
55 *Corresponding Author*
56 Women's & Children's Health Research Institute
57 72 King William Road, North Adelaide, SA, 5006
58 South Australian Health and Medical Research Institute, Adelaide, Australia
59 maria.makrides@health.sa.gov.au
60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

80 **ABSTRACT**

81 **Introduction**

82 Despite recommendations that pregnant women increase their docosahexaenoic
83 acid (DHA) intake to support fetal brain development, a recent systematic review
84 found a lack of high-quality data to support the long term-effects of DHA
85 supplementation on children’s neurodevelopment.

86 **Methods and Analysis**

87 We will assess child neurodevelopment at 7 years of age in follow-up of a multi-
88 centre double-blind randomised controlled trial of DHA supplementation in
89 pregnancy.

90 In 2010-2012, n=2399 Australian women with a singleton pregnancy <21 weeks’
91 gestation were randomised to receive three capsules daily containing a total dose of
92 800 mg DHA/day or a vegetable oil placebo until birth. N=726 children from Adelaide
93 (all n=97 born preterm, random sample of n=630 born at term) were selected for
94 neurodevelopmental follow-up and n= 638 (preterm n=85) are still enrolled at 7 years
95 of age.

96 At the 7-year follow-up, a psychologist will assess the primary outcome, intelligence
97 quotient, with the Wechsler Abbreviated Scale of Intelligence, 2nd edition. Specific
98 measures of executive functioning (Fruit Stroop and the Rey Complex Figure),
99 attention (Test of Everyday Attention for Children), memory and learning (Rey
100 Auditory Verbal Learning Test), language (Clinical Evaluation of Language
101 Fundamentals, 4th edition) and basic educational skills (Wide Range Achievement
102 Test, 4th edition) will also be administered.

103 Caregivers will be asked to complete questionnaires measuring behaviour and
104 executive functioning.

Families, clinicians and research personnel are blinded to group assignment with the exception of families who requested un-blinding prior to the follow-up. All analyses will be conducted according to the intention-to-treat principal.

Ethics and Dissemination

All procedures will be approved by the relevant institutional ethics committees prior to commencement of the study. The results of this study will be disseminated in peer reviewed journal publications and academic presentations.

Trial Registration

Australian New Zealand Clinical Trials Registry: www.anzctr.org.au

ACTRN1260500056906 & ACTRN12614000770662

INTRODUCTION

The omega-3 long-chain polyunsaturated fatty acid (n-3 LCPUFA), docosahexaenoic acid (DHA, 22:6 n-3), is a crucial nutrient for the developing brain. It is known to be involved in neurogenesis, signal transduction and neurotransmission[1]. During pregnancy DHA is preferentially transferred across the placenta to the fetus in high amounts[2], where it accumulates in developing neural tissues, particularly during the fetal brain growth spurt in the last trimester of pregnancy[3]. The frontal areas of the brain are a primary area of DHA accretion and undergo rapid growth at this time. This area of the brain, specifically the frontal cortex, is important for language, memory and higher-order cognitive functioning, including purposeful, goal-directed behaviours which are often referred to as executive functions[4]. The importance of adequate DHA during this key period of brain development is demonstrated in studies of preterm infants who are denied the full gestation period to accumulate DHA. Infants who are born preterm have lower concentrations of DHA in brain tissues[2] and are at increased risk of developmental delay[5], impaired executive functioning[6], attention problems[7] and attention deficit/hyperactivity disorder[8] compared with their term-born counterparts.

Prenatal DHA Intake and Child Development: Evidence from Cohort Studies

A supply of DHA in the diet is considered important during pregnancy, with fish being the richest source of DHA. The most compelling data linking maternal DHA intake from fish and seafood during pregnancy with childhood intelligent quotient (IQ) comes from a well-conducted cohort study of 5449 mother-child pairs from the Avon Longitudinal Study of Pregnancy and Childhood[9]. Fish and seafood intake above the level recommended for pregnancy by the US government was associated with a

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

180 decreased risk of being in the lowest quartile for verbal IQ and suboptimum pro-
181 social behaviour, fine motor, communication and social development scores at 8
182 years of age[9]. These findings are supported by other smaller cohort studies
183 reporting that seafood intake in pregnancy is associated with developmental benefits
184 in childhood such as advanced motor development, social development[10 11] and
185 language skills at 18 months[10], higher receptive vocabulary at 3 years[12], higher
186 IQ, language and motor development scores at 4 years[13], and reduced
187 hyperactivity, as well as higher verbal IQ at 9 years[14]. Similarly, cohort studies in
188 which blood DHA concentrations were measured at the end of pregnancy reported
189 associations between higher DHA status and improved attention, and reduced
190 distractibility in infants from birth to 18 months[15 16], and better motor development
191 and fewer internalising behaviour problems in children at 7 years of age[17].
192 Although these epidemiological studies controlled for numerous confounding factors,
193 there is always the possibility that residual or unknown confounding influenced the
194 results[18]. Thus randomised controlled trials (RCT) are essential to establish the
195 extent of benefit of gestational DHA supply on cognition in childhood.

196

197 *RCT's of Maternal DHA Supplementation and Child Development*

198 There are 11 RCTs investigating the effect of prenatal DHA supplementation on
199 childhood cognitive outcomes that are published or are awaiting publication[16 17
200 19-33]. However, the majority of these studies have limitations that potentially
201 influenced their results. Most studies did not clearly report, or did not have adequate
202 processes to independently generate the randomisation sequence, or to conceal the
203 random allocation, increasing the risk of selection bias[34]. Furthermore, all studies
204 suffer high attrition (between 27-86%)[15 16 19-27 33], compounding the fact that

many trials were relatively small and therefore underpowered to detect clinically meaningful differences in cognitive outcomes from the beginning[15 16 19-27 32 33]. Other potential biases include systematic post-randomisation losses such as greater attrition from the DHA-supplemented group compared with the control group[19 20], or post-randomisation exclusion criteria[19 20 24 27] and possible publication bias where results from completed trials are not published in full[25 29]. One trial modified the eligibility criteria to include participants taking prenatal supplements containing low dose DHA (up to 200mg/day) after the trial had commenced because the high use of supplements was causing recruitment problems[28].

Given the variation in trial quality, it is not surprising that the results of RCTs investigating the effect of DHA supplementation during that last half of pregnancy on measures of child neurodevelopment have been mixed and largely demonstrated no effect of supplementation[34]. For example, of the 9 trials in which development quotient (DQ) or IQ were assessed, 6 reported no effect of DHA supplementation on DQ or IQ at 10 months[22], 12 months[32], 18 months[27 30 31], 2.5 years[26], 6.5 years[24], 7 years[20] or 12 years of age[33], although positive effects of DHA supplementation are reported by 4 trials on one subtest of a DQ assessment at 18 months[31], 2.5 years[26] and 4 years of age[29], as well as the DQ score at 4 years of age[19]. Most trials assessed too few children (15 to 125 per group) and did not have the statistical power to detect the sort of differences that might realistically be expected between groups as a result of DHA supplementation[34].

The DOMInO (DHA to Optimise Mother Infant Outcome) Trial

Our DOMInO RCT (trial registration #12605000569606 at www.anzctr.org.au) was designed to evaluate the effects of a substantial dose of DHA during the second half

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

230 of pregnancy on symptoms of postnatal depression to 6 months postpartum and
231 infant cognitive development at 18 months of age[35]. Women were eligible if they
232 had a singleton pregnancy less than 21 weeks' gestation and were able to give
233 informed consent. Women were excluded if there was a known fetal abnormality, a
234 bleeding disorder, a history of drug or alcohol abuse or English was not spoken in
235 the home (as children undergoing developmental testing were required to
236 understand and take instructions from a psychologist in English). At study entry,
237 women were randomly assigned to receive either a fish oil concentrate (800 mg
238 DHA/day) or a blend of vegetable oils (no DHA) in capsules that were identical in
239 appearance from ~20 weeks' gestation until birth. The DOMInO Trial is the largest
240 RCT of maternal DHA supplementation in pregnancy with n=2,399 women enrolled
241 around Australia.

242

243 *Outcomes at 18 months:* The primary neurodevelopmental outcome was the
244 cognitive scale of the Bayley Scales of Infant Development, Third Edition (Bayley-III)
245 at 18 months of age in a subset of n=726 children (powered to detect a clinically
246 meaningful (4-point) difference in development). The neurodevelopment cohort
247 subset consisted of all preterm children and a random sample of term born children
248 whose mothers were recruited from Adelaide. Secondary outcomes included Bayley-
249 III language and motor scales, as well as developmental delay. We assessed 694 of
250 the 726 (95.6%) infants selected for the neurodevelopment cohort. We found no
251 significant difference between groups in the mean cognitive scores of children whose
252 mothers were assigned to receive DHA supplements compared with those assigned
253 to receive placebo (101.8±11.1 vs 101.8±12.6), although fewer children from the
254 DHA group had scores indicative of mildly delayed cognitive development (DQ<85,

2.7% vs 6.6%, RR 0.41, 95% CI 0.22 to 0.78, $p=0.007$)[35]. These data are consistent with the Avon Longitudinal Study of Pregnancy and Childhood finding [9] and provide evidence that DHA supplementation is effective at preventing developmental delay in early childhood. Mean language and motor scores did not differ between the groups, although there was a surprising treatment by sex interaction for the language outcome, which indicated that girls and boys responded differently to DHA treatment.

In addition to the 18 month follow-up, a nested side-study assessed early emergence of executive functioning in a nested side-study ($n=185$) of term-born DOMInO children[36]. The measures used were specialised to detect differences in the early development of the executive functioning skills attention, working memory and inhibitory control. We found no significant group differences[36].

Outcomes at 4 years: To further explore the differences found at 18 months, we assessed neurodevelopment again at 4 years with $n=646$ (92% of the 726 children in the neurodevelopment cohort) consenting to an assessment with a psychologist (trial registration #12611001125910 at www.anzctr.org.au)[37]. The primary outcome was DQ as assessed by the Differential Ability Scales 2nd Edition. Secondary outcomes were general language ability measured with the Clinical Evaluation of Language Fundamentals Preschool, 2nd Edition, inhibitory control measured with the efficiency score of the Day-Night Stroop, and short term memory measured with the Recognition of Pictures and Recall of Digits Forward tests from the Differential Ability Scales. Parents completed the Strengths and Difficulties Questionnaire (SDQ) and the Behaviour Rating Inventory of Executive Function (BRIEF)-Preschool and provided information regarding family demographics, the child's dietary intake of

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

280 DHA-rich foods, such as fish, eggs, DHA-enriched breads and yogurts, use of DHA
281 supplements, parent reported medical diagnosis of Attention Deficit Hyperactivity
282 Disorder (ADHD), autism and behavioural or learning disorders of children, as well
283 as the home environment (Home Screening Questionnaire (HSQ))[38], life events
284 (the Recent Life Events (RLE))[39], and family functioning (the Family Assessment
285 Device (FAD))[40]. As at 18 months, we found no significant mean group difference
286 in general cognitive functioning of children whose mothers were assigned to receive
287 DHA supplements compared with those assigned to receive placebo at 4 years
288 (DHA group DQ=99.6, 95% CI 98.4 to 100.8 vs Control group DQ=99.4, 95% CI 98.3
289 to 100.6)[25]. We also found that there was no longer a group difference in cognitive
290 delay, and no sex by treatment interactions, although children from the DHA group
291 had slightly poorer scores on the parent-completed measures of behaviour and
292 executive functioning than control-group children, indicating increased parent-
293 perceived problems in the DHA group[37].

294

295 *Rationale for the Current Follow-Up*

296 We propose to conduct a neurodevelopmental assessment focussed primarily on
297 cognitive functioning at 7 years of age in the DOMInO neurodevelopment cohort.
298 Whilst the previous assessment at 4-years for these children provided an indication
299 of neurodevelopment in the preschool years and school readiness, new skills
300 develop with age, and as a result, long-term effects on cognitive functioning and
301 deficits that emerge in later years need to be examined.
302 Cognitive skills develop rapidly during early childhood[41] and by age 7 years most
303 cognitive domains can be reliably assessed using valid and standardised
304 instruments[42]. Importantly, a measure of IQ at 7 years of age is predictive of adult

IQ and adult attained education and occupation[43], occupational status, material well-being[44] and mortality risk[45]. Although an assessment after 7 years of age will also provide a predictive measure of adulthood IQ, there is a greater chance of loss to follow-up as the children get older. Assessment at age 7 provides us with the best compromise between an assessment of cognitive function that is predictive of adult functioning with maximal follow-up and the lowest risk of attrition. The suite of developmental assessments at early, middle and late (18 months, 4 and 7 years) childhood is complementary and will provide a more complete picture of the effect of DHA supplementation in pregnancy on children's developmental trajectory during early-mid childhood. Follow-up at 7 years is vital to complete the picture and provide the long-term outcome data necessary to indicate the permanency of any effects of prenatal DHA supplementation. Furthermore, given the information relating to previous trial quality and low power, the methodologically robust and well-powered DOMInO RCT is expected to provide robust data regarding the effect of DHA supplementation in pregnancy on long-term cognitive development of children[34].

320

321 *Aims and Hypothesis*

Our aim is to determine whether DHA supplementation during pregnancy enhances cognitive function at 7 years of age, with our primary outcome being IQ. We hypothesise that children who were exposed to a DHA-rich environment during the second half of gestation will have higher IQ scores at 7 years of age than children whose mothers consumed a regular Australian diet typically low in DHA.

327

328 **METHODS AND ANALYSIS**

329 **Study Design**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

330 This is a prospective, follow-up study of children born to women who participated in
331 the DOMInO Trial. Children will be invited to undergo a cognitive assessment with a
332 psychologist when they are 7 years (\pm 3 months) of age (corrected age for preterm
333 birth). Families could request to be unblinded after completion of analysis of the 18
334 month results. Families who requested unblinding were given the telephone number
335 of an independent statistician who held the randomisation sequence, and were
336 asked not to discuss treatment allocation with study staff. All families, clinicians and
337 study staff are blinded to group treatment allocation, with the exception of families
338 who requested un-blinding prior to the follow-up.

339
340 **Setting**

341 Children in the neurodevelopment cohort were born at the Women's & Children's
342 Hospital, or the Flinders Medical Centre, Adelaide, Australia. Appointments will be
343 conducted in study clinics at the hospital and medical centre, where possible. If
344 necessary, appointments will be conducted at the participant's home, or at a location
345 close to their home such as a school or community centre. Appointments will
346 commence March 2013 and will be completed by August 2015.

347
348 **Participants and Recruitment**

349 All children included in the DOMInO Trial neurodevelopment cohort, who have not
350 died and whose parents have not withdrawn consent, will be invited to participate in
351 the 7 year-follow-up (n =638, 88.2% of the original n=726). Primary carers for eligible
352 children will be initially contacted via an invitation letter sent 3 months prior to the
353 child's 7th birthday, followed by a telephone call. Children in the DOMInO Trial are all

from singleton pregnancies. Figure 1 is a flow chart of the neurodevelopment cohort follow-up assessments according to the CONSORT statement.

Measures

See Table 1 for a summary of all outcomes assessed and measures used.

Primary Outcome: Full scale IQ at 7 years of age will be assessed using the Wechsler Abbreviated Scale of Intelligence, Second Edition (WASI-II)[46]. The WASI-II consists of four subtests (Block Design, Vocabulary, Matrix Reasoning, and Similarities) and provides a brief (average 30 minutes) and reliable estimate of the child's general intellectual functioning. Full scale IQ, Verbal Comprehension Index and Perceptual Reasoning Index scores will be calculated. Each scale is age standardised with a mean of 100 (SD 15). Mild intellectual impairment will be defined as a full-scale IQ from 70 to 84 (from -2 to < -1 SD from the mean), and major intellectual impairment defined as an IQ < 70 (i.e. < -2 SD from the mean). Corrected age will be used to standardise the scores of children who were born preterm.

Secondary Outcomes: Secondary outcomes include neurobehavioural domains that are thought to be sensitive to DHA depletion and are important indicators of child development: executive function, attention, memory and learning and behaviour.

1. *Executive function* will be assessed with the Rey Complex Figure (RCF)[47], the Fruit Stroop test (F-Stroop)[48], the Number Repetition Subtest of the Clinical Evaluation of Language Fundamentals 4th Edition (CELF-4)[49] and the BRIEF[50]. The RCF requires participants to copy a complex geometric figure and evaluates spatial organisation (the ability to perceive and interpret complex spatial stimuli) and strategic decision making (the capacity to plan

379 ahead and devise efficient and effective strategies to reach a specific goal).
380 The F-Stroop assesses behavioural inhibition and mental flexibility. The
381 Number Repetition from the CELF-4 requires participants to recall a series of
382 digits in the order they were presented, and in the reversed order. It measures
383 working memory, a core element of executive functioning. The BRIEF is a
384 parent-completed questionnaire that is an important adjunct to formal
385 assessment of executive functioning as some elements of executive
386 dysfunction are more obvious in everyday settings such as the home and
387 kindergarten.

2. *Attention* will be assessed using subtests from the Test of Everyday Attention
for Children (TEACH)[51]. The TEACH provides a comprehensive assessment
of attention skills across different modalities. The subtests to be administered
will be Sky Search (selective attention), Score! (sustained attention), Creature
Counting (attentional control) and Sky Search Dual Task (divided attention).
The divided attention score will be calculated by multiplying the proportion of
visual stimuli found by the proportion of auditory stimuli counted, multiplied by
10 (with 10 signifying a perfect score)[52].

3. *Memory & learning* will be assessed with the Rey Auditory Verbal Learning
Test (RAVLT)[53]. This test is used extensively to assess immediate verbal
memory, learning ability and delayed recall. It requires the child to learn a list
of 15 spoken words over 5 trials. Delayed recall and recognition trials will also
be administered.

4. *Language* will be measured with the core subtests of the Clinical Evaluation of
Language Fundamentals 4th Edition (CELF-4)[49]. This test will provide a

Core Language score with a mean of 100 and SD of 15 as a measure of general language abilities.

5. *Behaviour* will be evaluated with the parent version of the Strength and Difficulties Questionnaire (SDQ). The SDQ is a well-validated questionnaire that assesses overall behaviour problems, emotional symptoms, hyperactivity/inattention, peer relationship problems, and prosocial behaviour. As there is growing speculation that DHA plays a role in preventing and reducing ADHD, we will also administer a specific ADHD diagnostic questionnaire, namely the Conners' ADHD/DSM-IV Scales, which will be completed by parents[54].

6. *Academic Abilities/Educational Progress* will be captured with the Word Reading, Spelling and Math Computation subtests of the Wide Range Achievement Test, 4th edition (WRAT-4)[55].

Other Outcomes: Children will have their head, waist and hip circumferences measured, they will be weighed and their height will be measured at the time of the cognitive assessment as an index of the nutritional well-being of children.

Additional Data: Socio-demographic data (such as parental age, education, employment, gestational age at birth, birth weight, birth order, sex) were collected for the DOMInO Trial at trial entry or at birth. Parental education and employment were collected again at the 4 year follow-up and will also be collected at the 7-year follow up, as these details may change over time. Information regarding duration of exclusive breastfeeding, type of infant formula used, age at introduction to solid foods and the number of, and reason for, hospitalisations have all been collected as

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

428 part of the DOMInO Trial. At the 7-year follow-up we will again seek information
429 about the child’s medically diagnosed conditions (such as Autism Spectrum Disorder
430 or ADHD), medications and hospitalisations. The recent use of DHA supplements
431 and DHA-rich or fortified foods were collected at 18 months and 4 years of age, and
432 will again be collected at 7 years. All hospital admissions >24 hours will be
433 documented as possible adverse events and the frequency of events will be
434 compared between the treatment and control groups. Admission to intensive (Level
435 3) care or death will be treated as possible serious adverse events.
436

Table 1. Assessments used at 7 years of age to capture child development

Domains	Measure	Respondent
General intellectual ability	Wechsler Abbreviated Scale of Intelligence-2 nd Edition	Child
Executive Function	Rey Complex Figure	Child
Executive Function	Fruit Stroop Task	Child
Executive Function	Number Repetition from the Clinical Evaluation of Language Fundamentals-4 th Edition	Child
Executive Function	Behaviour Rating Inventory of Executive Functioning	Parent
Attention	Test of Everyday Attention for Children	Child
Memory & learning	Rey Auditory Verbal Learning Test	Child
Language	Clinical Evaluation of Language Fundamentals-4 th Edition	Child
Behaviour	Strengths and Difficulties Questionnaire	Parent
Behaviour - ADHD	Conners' ADHD/DSM-IV Scales	Parent
Educational Progress	Wide Range Achievement Test-4 th Edition	Child
Growth	Anthropometry	Child
Demographics	Background questions	Parent

Sample Size and Statistical Analysis

There were originally 726 children selected for developmental follow-up. If 80% of the original 726 participate in the 7 year follow-up, we will have at least 89% power to detect a 4-point difference in Full Scale IQ (mean 100, SD 15) between the treatment and control groups (alpha = 0.05, 2-sided). If 75%, or even 70%, of the

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

original 726 are successfully followed-up at age 7 years, the power remains high (at least 87% and 85% respectively). To achieve a minimum of 80% power, we would need to successfully follow-up 61% of participants (222 per group). Detection of a 4-point difference in IQ is realistic and comparable to the magnitude of cognitive benefit found in 8-year-old children whose mothers consumed 2-3 servings of fish/week during pregnancy compared with those who ate <1 serving/week[9] and the findings from one RCT[19]. Similar sized differences in DQ and IQ have been observed between iron deficient anaemic and non-anaemic children[56] and also in children who were exposed to high and low levels of lead from the environment[57]. In both cases public health policy was changed as a result; infant cereals were fortified with iron and the lead is now removed from petrol and the environment as much as possible.

All analyses will be performed on an intention-to-treat basis according to the mother's allocation to the treatment or control group. No interim analyses will be conducted for this study and all analyses will be performed according to the pre-specified statistical analysis plan. Analyses will be performed using SAS Version 9.3 or later, and Stata Release 13 or later. No data transformations are planned or expected. Both adjusted and unadjusted analyses will be performed, with the adjusted results used to draw conclusion about the effect of treatment on the outcomes of interest. Results will be presented as differences in means for continuous outcomes, or relative risks for binary outcomes, with 95% confidence intervals and 2-sided p-values. Statistical significance will be assessed at the 5% level and no adjustment will be made for the number of analyses planned, as a single primary outcome has been pre-specified for the study.

470 Potential Confounders: Since recruiting centre and parity were used as stratification
471 variables in the randomisation process, all analyses will be adjusted for centre and
472 parity.

473 Adjustment will also be made for additional baseline variables that are potential
474 confounders for some outcomes specified *a priori*; these include smoking during
475 pregnancy, maternal secondary education, maternal further education and infant
476 sex.

477
478 Primary Outcome: Mean IQ scores will be compared between the treatment groups
479 using a linear regression model.

480
481 Secondary Outcomes: Will be analysed using linear regression models for
482 continuous (normally distributed) outcomes and log binomial regression models for
483 binary outcomes.

484
485 Secondary Analyses: We will test for evidence of effect modification by sex by
486 including a treatment by sex interaction for primary and secondary
487 neurodevelopmental outcomes, as we have previously found differential effects of
488 DHA supplementation on aspects of the neurodevelopment of boys and girls[35 58].

489
490 Missing Data: Data collected on participants up to the point of withdrawal will be
491 included in the analysis. Children who are missing scores on psychological
492 assessments because they were untestable for developmental reasons will be
493 reviewed by a psychologist (who is blinded to treatment group) to determine whether
494 the lowest possible score should be assigned. Multiple imputation will be used to

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

495 create 100 complete datasets for analysis using the fully conditional specification
496 method separately by treatment group.

497
498 Analyses will be performed on both the raw and imputed data, with conclusions to be
499 drawn based on the results of the analyses performed on the imputed data. Imputed
500 datasets will include all children whose primary carer consented to the follow-up
501 study. Sensitivity analyses will be performed using different imputation models and
502 for all 726 children in the originally selected sub-sample, excluding known deaths.

503
504 Accounting for Study Design: The selection procedure for the neurodevelopmental
505 follow-up was stratified by preterm status, sex, recruiting centre and time period.
506 Sampling weights were calculated for each infant as the inverse of the probability of
507 selection. Infants will be weighted according to these sampling weights and the
508 stratification variables will be specified in all analyses.

509
510 **Ethics and Dissemination**

511 Approval in writing from the Human Research Ethics Committee at each study site
512 (the Women's and Children's Hospital, Adelaide and Flinders Medical Centre,
513 Adelaide) shall be granted prior to the initiation of the study at that site. This study
514 will be carried out in accordance with the Australian National Statement on Ethical
515 Conduct in Research Involving Humans which builds upon the ethical codes of the
516 Declaration of Helsinki and the Principles of International Conference on
517 Harmonisation Good Clinical Practice (as adopted in Australia). Caregivers will be
518 required to provide written informed consent prior to participation in the follow-up
519 study, and will be given a copy of the signed Consent Form and Participant

Information Sheet. Parents will be advised that they are free to decline any aspect of the 7 year follow-up, or withdraw from the study at any time without prejudice. This is a follow-up study with no active intervention and is considered a low-risk study. The developmental assessments described in this protocol will be conducted by a team of trained assessors supervised by a psychologist. The full suite of assessments will take each child about 2 ½ to 3 hours, including a break between neurodevelopmental assessments. The assessments do not pose any apparent physical risk to children and are enjoyable for 7-year-old children. Given the short and engaging nature of the tasks, children generally maintain interest and concentration throughout the assessment. If a child becomes upset or uncooperative during the assessment, the child will be given time to recover or parents offered the opportunity to return and complete the assessment on another occasion. Parents will be given \$50 to cover travel/parking and childcare expenses of other siblings not attending the appointment. The results of this follow-up study will be published in peer-reviewed journals and presented at academic conferences. No individual participants will be identified or identifiable. All data will be analysed in de-identified form. Data, both paper copies and the electronic database, will be kept (locked and password protected) for 30 years after completion of the study and publication of the results.

DISCUSSION

Despite the paucity of evidence, recommendations exist internationally to increase DHA intake during pregnancy[59-61] and the nutritional supplement industry markets prenatal DHA supplements to optimise fetal brain development. This project addresses national[62] and international[63 64] calls for rigorous scientific evidence

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

545 regarding benefits of fish oil supplementation during pregnancy for child
546 development from RCTs. Such trials are fundamental to establishing a causal link
547 between DHA exposure during gestation and child development. Our follow-up study
548 can provide robust data regarding the potential long-term effects of supplementing
549 the diets of pregnant women with DHA on cognitive functioning in middle childhood.
550 IQ at 7 years is an important outcome as it is known to predict adult IQ, academic
551 achievement, income[43] and employment[43 44]. In fact, a one-point increase in a
552 nation's average IQ is associated with a 0.11% annual increase in quality of life as
553 assessed by gross domestic product per capita[65]. If the results of this study
554 indicate beneficial effects of DHA supplementation, changes to public health policy
555 and subsequent strengthening of human capital has the potential for enormous
556 economic benefits for Australia and the World.
557 Only two other RCTs have followed children through to 7 years of age after
558 supplementing pregnant women with DHA, however one study only assessed 143
559 children of the 590 participants included in the trial (75% attrition)[20] and the other
560 study only included 50 of 98 children (49% attrition)[33] which meant the
561 randomisation integrity may not have been maintained in either study.
562 Strengths of our study include building on a well-powered, well-conducted multi-
563 centre RCT with the highest retention rates to date, and assessment of a range of
564 neurodevelopmental domains. The DOMInO Trial has the broadest inclusion criteria
565 of all the RCTs of DHA supplementation in pregnancy to maximise
566 representativeness of the sample.
567 The DOMInO Trial is likely one of the last opportunities to compare the effect of a
568 DHA supplement with a placebo due to the high use of prenatal supplements that

569 contain DHA by pregnant women today. We will provide the first robust data
570 regarding the long-term effects of maternal DHA supplementation during pregnancy.
571

For peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

572

573 **Acknowledgements**

574 We would like to thank all the families that have generously contributed to the
575 DOMInO Trial, and the many subsequent follow-up studies.
576 We would like to thank the staff at the Women’s and Children’s Health Research
577 Institute (Adelaide, Australia) and the Data Management and Analysis Centre (the
578 University of Adelaide, Australia) for contributing to the DOMInO study.
579 Both the original DOMInO Trial and the 7-year follow-up study were funded by
580 Australian National Health and Medical Research Grants (DOMInO trial: 349301, 7-
581 year follow-up: 1048493). DOMInO trial treatment and control capsules were
582 donated by Incromega 500 TG, Croda Chemicals, East Yorkshire, England. These
583 agencies had no role in the study design or conduct; in the data collection,
584 management, analysis, or interpretation; or in the preparation, review, or approval of
585 the manuscript. Makrides, Gibson and Yelland are supported by Australian National
586 Health and Medical Research Fellowships (Makrides: 1061704, Gibson: 1046207,
587 Yelland: 1052388).

589 **Competing Interests**

590 Professor Makrides reports serving on scientific advisory boards for Nestle, Fonterra,
591 and Nutricia. Professor Gibson reports serving on scientific advisory board for
592 Fonterra and Ferrero. Associated honoraria for Professors Makrides and Gibson are
593 paid to their institutions to support conference travel and continuing education for
594 postgraduate students and early career researchers. The remaining authors (JFG,
595 LGS, LNY, KT, PJA, AJMP) and investigators declare that they have no financial
596 disclosures or competing interests.

597

598 **List of Abbreviations**

599	ADHD	Attention Deficit Hyperactivity Disorder
600	Bayley-III	Bayley Scales of Infant Development, 3 rd Edition
601	CELF-4	Clinical Evaluation of Language Fundamentals, 4 th Edition
602	DHA	Docosahexaenoic acid
603	DOMInO	Docosohexanoic Acid to Optimise Mother Infant Outcome
604	DQ	Development Quotient
605	F-Stroop	Fruit Stroop Test
606	IQ	Intelligence Quotient
607	n-3 LCPUFA	Omega-3 Long Chain Polyunsaturated Fatty Acid
608	RAVLT	Rey Auditory Verbal Learning Test
609	RCF	Rey Complex Figure
610	RCT	Randomised Controlled Trial
611	SDQ	Strengths and Difficulties Questionnaire
612	TEACH	Test of Everyday Attention for Children
613	WASI-II	Wechsler Abbreviated Scale of Intelligence, 2 nd Edition
614	WRAT-4	Wide Range Achievement Test, 4 th Edition

615

616

617 **Authors Contributions**

618 *Study concept and design:* Makrides, Smithers, Yelland, Treyvaud, Gould, Anderson,
 619 Gibson, McPhee.
 620 *Drafting the protocol:* Gould, Makrides.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

621 *Comment and approval of the final draft of the protocol:* Gould, Makrides, Smithers,
622 Yelland, Treyvaud, Anderson, Gibson, McPhee.
623 *Statistical expertise:* Yelland, Makrides, Smithers.
624 *Obtained funding:* Makrides, Smithers, Yelland, Treyvaud.
625 *Administrative, technical, or material support:* Gould, Makrides, Smithers, Yelland,
626 Treyvaud, Anderson, Gibson, McPhee.

629 **REFERENCES**

630 1. Innis SM. Dietary (n-3) fatty acids and brain development. *J Nutr* 2007;**137**(4):855-
631 9 doi: 137/4/855.
632 2. Haggarty P, Page K, Abramovich DR, Ashton J, Brown D. Long-chain
633 polyunsaturated fatty acid transport across the perfused human placenta.
634 *Placenta* 1997;**18**(8):635-42
635 3. Martinez M. Tissue levels of polyunsaturated fatty acids during early human
636 development. *J Pediatr* 1992;**120**(4 Pt 2):S129-38
637 4. Anderson V, Jacobs R, Anderson P. *Executive functions and the frontal lobes. A*
638 *lifespan perspective*. New York: Taylor & Francis, 2008.
639 5. Anderson P, Doyle LW. Neurobehavioral outcomes of school-age children born
640 extremely low birth weight or very preterm in the 1990s. *J Am Med Assoc*
641 2003;**289**(24):3264-72 doi: 10.1001/jama.289.24.3264289/24/3264.
642 6. Anderson PJ, Doyle LW, Group VICS. Executive functioning in school-aged
643 children who were born very preterm or with extremely low birth weight in the
644 1990s. *Paediatrics* 2004;**114**:50-7

- 645 7. Taylor GH, Hack M, Klein N. Attention deficits in children with < 750 gm
646 birthweight. *Child Neuropsych* 1998;**4**:21-34
- 647 8. Bhutta AT, Cleves MA, Casey PH, Cradock MM, Anand KJ. Cognitive and
648 behavioral outcomes of school-aged children who were born preterm: a meta-
649 analysis. *J Am Med Assoc* 2002;**288**(6):728-37
- 650 9. Hibbeln JR, Davis JM, Steer C, et al. Maternal seafood consumption in pregnancy
651 and neurodevelopment outcomes in childhood (ALSPAC study): an
652 observational cohort study. *Lancet* 2007;**369**:578-285
- 653 10. Daniels JL, Longnecker MP, Rowland AS, Golding J. Fish intake during
654 pregnancy and early cognitive development of offspring. *Epidemiol*
655 2004;**15**(4):394-402 doi: 00001648-200407000-00004.
- 656 11. Oken E, Osterdal ML, Gillman MW, et al. Associations of maternal fish intake
657 during pregnancy and breastfeeding duration with attainment of
658 developmental milestones in early childhood: a study from the Danish
659 National Birth Cohort. *Am J Clin Nutr* 2008;**88**(3):789-96
- 660 12. Oken E, Radesky JS, Wright RO, et al. Maternal fish intake during pregnancy,
661 blood mercury levels, and child cognition at age 3 years in a US cohort. *Am J*
662 *Epidemiol* 2008;**167**(10):1171-81 doi: 10.1093/aje/kwn034.
- 663 13. Mendez MA, Torrent M, Julvez J, Ribas-Fito N, Kogevinas M, Sunyer J. Maternal
664 fish and other seafood intakes during pregnancy and child neurodevelopment
665 at age 4 years. *Public Health Nutr* 2009;**12**(10):1702-10
- 666 14. Gale CR, Robinson SM, Godfrey KM, Law CM, Schlotz W, O'Callaghan FJ. Oily
667 fish intake during pregnancy – association with lower hyperactivity but not with
668 higher full-scale IQ in offspring. *J Child Psychol Psychiat* 2008;**49**(10):1061-68

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

15. Colombo J, Kannass KN, Shaddy DJ, et al. Maternal DHA and the development of attention in infancy and toddlerhood. *Child Dev* 2004;**75**(4):1254-67 doi: 10.1111/j.1467-8624.2004.00737.x CDEV737.

16. Kannass KN, Colombo J, Carlson SE. Maternal DHA levels and toddler free-play attention. *Devl Neuropsych* 2009;**34**(2):159-74 doi: 909290198 [pii]10.1080/87565640802646734.

17. Krabbendam L, Bakker E, Hornstra G, van Os J. Relationship between DHA status at birth and child problem behaviour at 7 years of age. *Prostaglandins Leukot Essent Fatty Acids* 2007;**76**(1):29-34 doi: S0952-3278(06)00166-9 [pii]10.1016/j.plefa.2006.09.004.

18. Lawlor DA, Davey Smith G, Kundu D, Bruckdorfer KR, Ebrahim S. Those confounded vitamins: what can we learn from the differences between observational versus randomised trial evidence? *Lancet* 2004;**363**(9422):1724-7 doi: 10.1016/S0140-6736(04)16260-0S0140673604162600.

19. Helland IB, Smith L, Saarem K, Saugstad OD, Drevon C. Maternal supplemenatation with very-long-chain n-3 fatty acids during pregnancy and lactation augments children's IQ at 4 years of age. *Pediatr* 2003;**111**(1):e39-e44

20. Helland IB, Smith L, Blomen B, Saarem K, Saugstad OD, Drevon CA. Effect of supplementing pregnant and lactating mothers with n-3 very-long-chain fatty acids on children's IQ and body mass index at 7 years of age. *Pediatr* 2008;**122**(2):e472-79 doi: 10.1542/peds.2007-2762.

21. Smuts CM, Huang M, Mundy D, Plasse T, Major S, Carlson SE. A randomized trial of docosahexaenoic acid supplementation during the third trimester of pregnancy. *Obstet Gynecol* 2003;**101**(3):469-79
22. Tofail F, Kabir I, Hamadani JD, et al. Supplementation of fish-oil and soy-oil during pregnancy and psychomotor development of infants. *J Health Popul Nutr* 2006;**24**(1):48-56
23. Judge MP, Harel O, Lammi-Keefe CJ. Maternal consumption of a docosahexaenoic acid-containing functional food during pregnancy: benefit for infant performance on problem-solving but not on recognition memory tasks at age 9 mo. *Am J Clin Nutr* 2007;**85**(6):1572-77
24. Campoy C, Escolano-Margarit MV, Ramos R, et al. Analysis of long term effects of fish oil and 5-MTHF supplementation to pregnant women on neurological outcome of their offspring: The nuheal trial. *J Pediatr Gastroenterol Nutr* 2010;**50**:E23-E24
25. Decsi T, Campoy C, Koletzko B. Effect of N-3 polyunsaturated fatty acid supplementation in pregnancy: the Nuheal trial. *Adv Exp Med Biol* 2005;**569**:109-13 doi: 10.1007/1-4020-3535-7_15.
26. Dunstan JA, Simmer K, Dixon G, Prescott SL. Cognitive assessment of children at 2 1/2 years following fish oil supplementation in pregnancy: a randomized controlled trial. *Arch Dis Fetal Neonatal Ed* 2008;**93**(1):F45-50
27. van Goor SA, Dijck-Brouwer DA, Erwich JJ, Schaafsma A, Hadders-Algra M. The influence of supplemental docosahexaenoic and arachidonic acids during pregnancy and lactation on neurodevelopment at eighteen months. *Prostaglandins, Leukotrienes, and Essential Fatty Acids* 2011;**84**(5-6):139-46

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

716 28. Carlson SE, Colombo J. KUDOS Trial. Secondary KUDOS Trial.
717 [http://clinicaltrials.gov/ct2/show/NCT00266825?term=DHA+and+pregnancy&r](http://clinicaltrials.gov/ct2/show/NCT00266825?term=DHA+and+pregnancy&rank=5)
718 [ank=5](http://clinicaltrials.gov/ct2/show/NCT00266825?term=DHA+and+pregnancy&rank=5)
719 29. Karlsson T, Birberg-Thornberg U, Duchon K, Gustafsson PA. LC-PUFA
720 supplemented to mothers during pregnancy and breast-feeding improves
721 cognitive performance in the children four years later-an rct study. ISSFAL.
722 Maastricht, 2010:113.
723 30. Ramakrishnan U, Martorell R, Stein AD, et al. Effect of prenatal supplementation
724 with docosahexanoic acid on child size and development at 18 mo:
725 randomized placebo-controlled trial in Mexico. ISSFAL. Maastricht, 2010:112.
726 31. Mulder KA, King DJ, Innis SM. Omega-3 Fatty Acid Deficiency in Infants before
727 Birth Identified Using a Randomized Trial of Maternal DHA Supplementation
728 in Pregnancy. *PLoS One* 2014;**9**(1):e83764 doi:
729 10.1371/journal.pone.0083764.
730 32. Hurtado JA, Iznaola C, Pena M, et al. Effects of Maternal Omega-3
731 Supplementation on Fatty Acids And on Visual and Cognitive Development: A
732 Randomized Trial. *J Pediatr Gastroenterol Nutr* 2015 doi:
733 10.1097/mpg.0000000000000864.
734 33. Meldrum S, Dunstan JA, Foster JK, Simmer K, Prescott SL. Maternal fish oil
735 supplementation in pregnancy: a 12 year follow-up of a randomised controlled
736 trial. *Nutrients* 2015;**7**(3):2061-7 doi: 10.3390/nu7032061.
737 34. Gould JF, Smithers LG, Makrides M. The effect of maternal omega-3 (n-3)
738 LCPUFA supplementation during pregnancy on early childhood cognitive and
739 visual development: a systematic review and meta-analysis of randomized

- controlled trials. *Am J Clin Nutr* 2013;**9**(3):531-44 doi: 10.3945/ajcn.112.045781.
35. Makrides M, Gibson RA, McPhee AJ, Yelland L, Quinlivan J, Ryan P. Effect of DHA supplementation during pregnancy on maternal depression and neurodevelopment of young children: a randomized controlled trial. *J Am Med Assoc* 2010;**304**(15):1675-83 doi: 304/15/1675 [pii]10.1001/jama.2010.1507.
36. Gould JF, Makrides M, Colombo J, Smithers LG. Randomized controlled trial of maternal omega-3 long-chain PUFA supplementation during pregnancy and early childhood development of attention, working memory, and inhibitory control. *Am J Clin Nutr* 2014;**99**(4):851-9 doi: 10.3945/ajcn.113.069203.
37. Makrides M, Gould JF, Gawlik NR, et al. Four-year follow-up of children born to women in a randomized trial of prenatal DHA supplementation. *J Am Med Assoc* 2014;**311**(17):1802-4 doi: 10.1001/jama.2014.2194.
38. Frankenburg WK, Coons CE. Home Screening Questionnaire: its validity in assessing home environment. *J Pediatr* 1986;**108**:624-26
39. Brugha T, P B, C T, Hurry J. The list of threatening experiences: a subset of 12 life event categories with considerable long-term contextual threat. *Psychol Med* 1985;**15**:189-94
40. Epstein Nea, Baldwin L, M, Bishop DS. The McMaster Family Assessment Device. *J Marit Fam Ther* 1983;**9**:171-80
41. Anderson V, Northam E, Hendy J, Wrennal J. *Developmental Neuropsychology – A clinical approach*. East Sussex: Psychology Press, 2001.
42. Baron IS. *Neuropsychological Evaluation of the Child*. New York: Oxford University Press, 2004.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

764 43. McCall RB. Childhood IQ's as Predictors of Adult Educational and Occupational
765 Status. *Science* 1977;**197**(4302):482-83

766 44. Firkowska-Mankiewicz A. Adult careers: Does childhood IQ predict later life
767 outcome? *J Policy Pract Intellect Disabil* 2011;**8**(1):1-9

768 45. Jokela M, Batty GD, Deary IJ, Gale CR, Kivimäki M. Low childhood IQ and early
769 adult mortality: The role of explanatory factors in the 1958 British birth cohort.
770 *Pediatrics* 2009;**124**(3):e380-e88

771 46. Wechsler D. Wechsler Abbreviated Scale of Intelligence -Second Edition.
772 PsychCorp; Pearson. U.S.A: Pearson, 2011.

773 47. Rey A. L'examen clinique en psychologie dans les cas d'encephalopathie
774 traumatique. *Arch of Psychol* 1941;**28**:286-340

775 48. Archibald S, Kerns K. Identification and description of new tests of executive
776 functioning in children. *Child Neuropsychol* 1999;**115-129**(5):115-29

777 49. Semel E, Wiig EH, Secord WA. Clinical Evaluation of Language Fundamentals
778 Fourth Edition Australia and New Zealand Standardised Edition. PsychCorp;
779 Pearson. Sydney, Australia: Pearson Clinical and Talent Assessment, 2006.

780 50. Gioia G, A, Isquith PK, Guy SC, Kenworthy L. Behavior Rating Inventory of
781 Executive Function. Psychological Assessment Resources. Florida, U.S.A:
782 Psychological Assessment Resources, 1996.

783 51. Manly T, Robertson IH, Anderson V, Nimmo-Smith I. TEA-Ch: The Test of
784 Everyday Attention for Children. Thames Valley Test Company Ltd. Bury St
785 Edmunds, England, 1999.

786 52. Wilson-Ching M, Molloy CS, Anderson VA, et al. Attention difficulties in a
787 contemporary geographic cohort of adolescents born extremely

- 788 preterm/extremely low birth weight. *J Internat Neuropsychol Society*
789 2013;**19**(10):1097-108 doi: 10.1017/s1355617713001057.
- 790 53. Rey A. L'examen clinique en psychologie. Paris: Press Universitaire de France,
791 1964.
- 792 54. Conners CK. Conners 3TM ADHD Index -Parent. Multi-Health Systems. Toronto,
793 Canada: Multi-Health Systems, 2008.
- 794 55. Wilkinson GS, Robertson GJ. Wide Range Achievement Test 4. Psychological
795 Assessment Resources Florida, U.S.A.: Psychological Assessment
796 Resources 2006.
- 797 56. Walter T, De Andraca I, Chadud P, Perales C. Iron deficiency anemia: adverse
798 effects on infant psychomotor development. *Paediatrics* 1989;**84**(1):7-17
- 799 57. Baghurst PA, McMichael AJ, Wigg NR, et al. Environmental exposure to lead
800 and children's intelligence at the age of seven years. The Port Pirie Cohort
801 Study. *New Engl J Med* 1992;**327**(18):1279-84 doi:
802 10.1056/NEJM199210293271805 [doi][published Online First: Epub Date]].
- 803 58. Makrides M, Gibson RA, McPhee AJ, et al. Neurodevelopmental outcomes of
804 preterm infants fed high-dose docosahexaenoic acid: a randomized controlled
805 trial. *J Am Med Assoc* 2009;**301**(2):175-82 doi: 301/2/175
806 [pii]10.1001/jama.2008.945.
- 807 59. Food and Agriculture Organization of the United Nations and the World Health
808 Organization. Interim Summary of Conclusions and Dietary
809 Recommendations on Total Fat & Fatty Acids. Geneva, 2008:1-14.
- 810 60. Brenna JT, Lapillonne A. Background paper on fat and fatty acid requirements
811 during pregnancy and lactation. *Ann Nutr Metab* 2009;**55**(1-3):97-122

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

812 61. Koletzko B, Cetin I, Brenna JT, et al. Dietary fat intakes for pregnant and
813 lactating women. *Br J Nutr* 2007;**98**(5):873-77

814 62. Koletzko B, Cetin I, Brenna JT. Dietary fat intakes for pregnant and lactating
815 women. *Br J Nutr* 2007;**98**(5):873-77

816 63. European Food Safety Authority. Opinion of the Scientific Panel on contaminants
817 in the food chain related to the safety assessment of wild and farmed fish
818 2005.

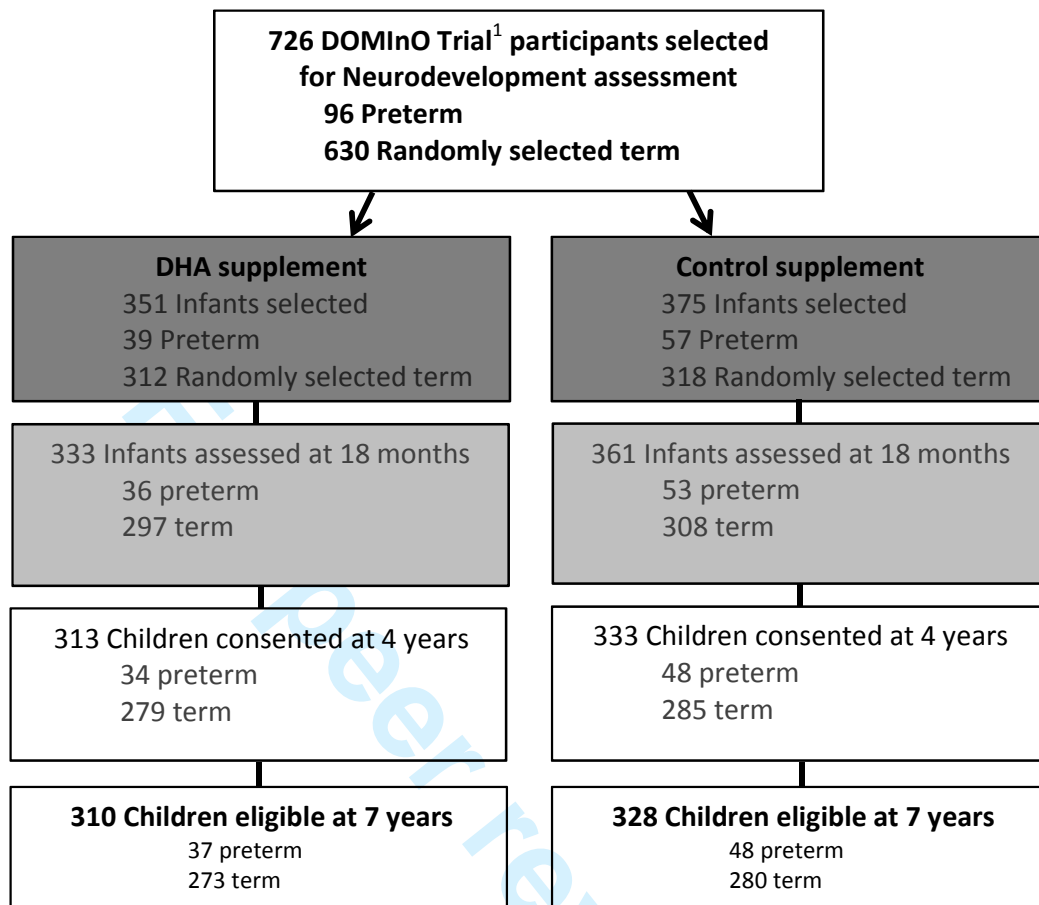
819 64. Royal Australian and New Zealand College of Obstetricians and Gynaecologists.
820 Vitamins and mineral supplementation in pregnancy. Secondary Vitamins and
821 mineral supplementation in pregnancy 2011.
822 [http://www.ranzcog.edu.au/component/content/article/503-college-statements-](http://www.ranzcog.edu.au/component/content/article/503-college-statements-and-guidelines/c-obs/279-vitamins-and-minerals-supplementation-c-obs-25.html)
823 [and-guidelines/c-obs/279-vitamins-and-minerals-supplementation-c-obs-](http://www.ranzcog.edu.au/component/content/article/503-college-statements-and-guidelines/c-obs/279-vitamins-and-minerals-supplementation-c-obs-25.html)
824 [25.html](http://www.ranzcog.edu.au/component/content/article/503-college-statements-and-guidelines/c-obs/279-vitamins-and-minerals-supplementation-c-obs-25.html).

825 65. Jones G, Schneider WJ. Intelligence, Human Capital and Economic Growth: A
826 Bayesian Averaging of Classical Estimates Approach. *J Econom Growth*
827 2006;**11**:71-93

831 Figure 1. Flow chart of participants selected for neurodevelopment follow-up
832 assessment in the DOMInO Trial

833 ¹ Docosahexaenoic acid to Optimise Mother Infant Outcome Trial

834





SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	4
	2b	All items from the World Health Organization Trial Registration Data Set	1-25
Protocol version	3	Date and version identifier	NA
Funding	4	Sources and types of financial, material, and other support	25
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	1-2, 26-27
	5b	Name and contact information for the trial sponsor	NA
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	NA
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	25-27

Introduction

Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	___5-12___
	6b	Explanation for choice of comparators	___9___
Objectives	7	Specific objectives or hypotheses	___12___
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	___9,13___

Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	___13___
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	___9, 13___
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	___9___
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	___NA___
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	___NA___
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	___NA___
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	___14-18___
Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	___Figure, 13___

1				
2				
3	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including	___18-19___
4			clinical and statistical assumptions supporting any sample size calculations	
5				
6	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	___13___
7				

8 **Methods: Assignment of interventions (for controlled trials)**

9	Allocation:			
10				
11				
12	Sequence	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any	___NA___
13	generation		factors for stratification. To reduce predictability of a random sequence, details of any planned restriction	
14			(eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants	
15			or assign interventions	
16				
17				
18	Allocation	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered,	___NA___
19	concealment		opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	
20	mechanism			
21				
22	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to	___NA___
23			interventions	
24				
25	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome	___13___
26			assessors, data analysts), and how	
27				
28		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's	___13___
29			allocated intervention during the trial	
30				
31				

32 **Methods: Data collection, management, and analysis**

33				
34	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related	14-16,18-21__
35	methods		processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of	
36			study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known.	
37			Reference to where data collection forms can be found, if not in the protocol	
38				
39		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be	___NA___
40			collected for participants who discontinue or deviate from intervention protocols	
41				
42				
43				
44				

Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	____18-21____
Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	____19-20____
	20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	____19-20____
	20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	____20-21____
Methods: Monitoring			
Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	____NA____
	21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	____NA____
Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	____17____
Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	____NA____
Ethics and dissemination			
Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	____21-22____
Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	____NA____

1				
2				
3	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	21-22
4				
5				
6		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	NA
7				
8				
9	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	22
10				
11				
12	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	25
13				
14				
15	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	22
16				
17				
18	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	NA
19				
20				
21	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	NA
22				
23				
24				
25				
26		31b	Authorship eligibility guidelines and any intended use of professional writers	26-27
27				
28		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	NA
29				
30	Appendices			
31				
32	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	Attached here
33				
34				
35	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	NA
36				
37				

38 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items.
39 Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons
40 “[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)” license.
41
42
43
44
45

BMJ Open

Does n-3 LCPUFA supplementation during pregnancy improve the Intelligence Quotient of children at school age? Follow-up of a Randomised Controlled Trial

Journal:	BMJ Open
Manuscript ID	bmjopen-2016-011465.R1
Article Type:	Protocol
Date Submitted by the Author:	24-Mar-2016
Complete List of Authors:	Gould, jacqueline; South Australian Health and Medical Research Institute, Healthy Mothers, Babies and Children Treyvaud, Karli ; karli.treyvaud@mcri.edu.au Yelland, Lisa; University of Adelaide, School of Public Health Anderson, Peter; Murdoch Childrens Research Institute, Smithers, Lisa; University of Adelaide, Australia Gibson, Robert; The University of Adelaide, FOODplus Research Centre McPhee, Andrew; Women's and Children's Hospital, Department of Neonatal Medicine Makrides, Maria; Women's & Children's Health Research Institute
Primary Subject Heading:	Obstetrics and gynaecology
Secondary Subject Heading:	Paediatrics, Nutrition and metabolism
Keywords:	Docosahexaenoic acid, neurodevelopment, omega-3 fatty acids, prenatal, LCPUFA, cognition

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2 **Does n-3 LCPUFA supplementation during pregnancy increase the Intelligence**
3 **Quotient of children at school age? : Follow-up of a Randomised Controlled**
4 **Trial**

5 Dr Jacqueline F Gould (BSocSci & BHLthSc (Hons), PhD)
6 Women's & Children's Health Research Institute
7 72 King William Road, North Adelaide, SA, 5006
8 South Australian Health and Medical Research Institute, Adelaide, Australia
9 jacqueline.gould@adelaide.edu.au
10 Phone: +618 8161 7443
11 Fax: +618 8239 0267

12
13 Dr Karli Treyvaud (BSc (Hons) DPsych MAPS)
14 Victorian Infant Brain Studies (VIBeS)
15 Murdoch Children's Research Institute
16 Royal Children's Hospital
17 Flemington Road, Parkville, VIC, 3052
18 karli.treyvaud@mcri.edu.au

19
20 Dr Lisa N Yelland (BMA &CompSc (Hons), PhD)
21 Women's & Children's Health Research Institute
22 72 King William Road, North Adelaide, SA, 5006
23 School of Public Health,
24 The University of Adelaide
25 South Australian Health and Medical Research Institute, Adelaide, Australia
26 lisa.yelland@adelaide.edu.au

27
28 Prof Peter J Anderson (BA, GradDip(AppPsych), PhD, MAPS)
29 Victorian Infant Brain Studies (VIBeS)
30 Murdoch Children's Research Institute
31 Royal Children's Hospital
32 Flemington Road, Parkville, VIC, 3052
33 peter.anderson@mcri.edu.au

34
35 Dr Lisa G Smithers (BAppSc, GradDip(Hum Nutr), MPH, PhD)
36 School of Public Health,
37 The University of Adelaide
38 Mail drop DX 650 550, Adelaide, SA, 5005
39 lisa.smithers@adelaide.edu.au

40
41 Prof Robert A Gibson (BSc, PhD)
42 FOODplus Research Centre, School of Agriculture, Food and Wine
43 Discipline of Paediatrics,
44 The University of Adelaide
45 Waite Campus, Glen Osmond, SA, 5064
46 robert.gibson@adelaide.edu.au
47

Dr Andrew J McPhee (MBBS, FRACP (Paediatrics))
Neonatal Services
Women's and Children's Hospital
72 King William Road, North Adelaide, SA, 5006
Andrew.McPhee@health.sa.gov.au

Prof Maria Makrides (BSc, BND, PhD)
Corresponding Author
Women's & Children's Health Research Institute
72 King William Road, North Adelaide, SA, 5006
South Australian Health and Medical Research Institute, Adelaide, Australia
maria.makrides@health.sa.gov.au

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

80 **ABSTRACT**

81 **Introduction**

82 Despite recommendations that pregnant women increase their docosahexaenoic
83 acid (DHA) intake to support fetal brain development, a recent systematic review
84 found a lack of high-quality data to support the long term-effects of DHA
85 supplementation on children’s neurodevelopment.

86 **Methods and Analysis**

87 We will assess child neurodevelopment at 7 years of age in follow-up of a multi-
88 centre double-blind randomised controlled trial of DHA supplementation in
89 pregnancy.

90 In 2010-2012, n=2399 Australian women with a singleton pregnancy <21 weeks’
91 gestation were randomised to receive three capsules daily containing a total dose of
92 800 mg DHA/day or a vegetable oil placebo until birth. N=726 children from Adelaide
93 (all n=97 born preterm, random sample of n=630 born at term) were selected for
94 neurodevelopmental follow-up and n= 638 (preterm n=85) are still enrolled at 7 years
95 of age.

96 At the 7-year follow-up, a psychologist will assess the primary outcome, intelligence
97 quotient, with the Wechsler Abbreviated Scale of Intelligence, 2nd edition. Specific
98 measures of executive functioning (Fruit Stroop and the Rey Complex Figure),
99 attention (Test of Everyday Attention for Children), memory and learning (Rey
100 Auditory Verbal Learning Test), language (Clinical Evaluation of Language
101 Fundamentals, 4th edition) and basic educational skills (Wide Range Achievement
102 Test, 4th edition) will also be administered.

103 Caregivers will be asked to complete questionnaires measuring behaviour and
104 executive functioning.

Families, clinicians and research personnel are blinded to group assignment with the exception of families who requested un-blinding prior to the follow-up. All analyses will be conducted according to the intention-to-treat principal.

Ethics and Dissemination

All procedures will be approved by the relevant institutional ethics committees prior to commencement of the study. The results of this study will be disseminated in peer reviewed journal publications and academic presentations.

Trial Registration

Australian New Zealand Clinical Trials Registry: www.anzctr.org.au

ACTRN1260500056906 & ACTRN12614000770662

Strengths and Limitations

- This follow-up study builds on a well powered and well-conducted randomised controlled trial
- This follow-up of the DOMInO trial will be one of only 4 randomised controlled trials to explore the effects of prenatal DHA supplements on child development beyond the age of 3 years
- A comprehensive range of neurological domains are measured in this follow-up
- Given the high usage of prenatal supplements containing DHA internationally, this is likely one of the last opportunities for a large trial to compare a DHA intervention with a placebo
- No planned adjustments for multiple comparisons may be a limitation

Key words

Docosahexaenoic acid, neurodevelopment, omega-3 fatty acids, prenatal, LCPUFA, cognition, child development

INTRODUCTION

The omega-3 long-chain polyunsaturated fatty acid (n-3 LCPUFA), docosahexaenoic acid (DHA, 22:6 n-3), is a crucial nutrient for the developing brain. It is known to be involved in neurogenesis, signal transduction and neurotransmission[1]. During pregnancy DHA is transferred across the placenta to the fetus in high amounts[2], where it accumulates in developing neural tissues, particularly during the fetal brain growth spurt in the last trimester of pregnancy[3]. The frontal areas of the brain are a primary area of DHA accretion and undergo rapid growth at this time. This area of the brain, specifically the frontal cortex, is important for language, memory and higher-order cognitive functioning, including purposeful, goal-directed behaviours which are often referred to as executive functions[4]. The importance of adequate DHA during this key period of brain development is indicated in studies of preterm infants who are denied the full gestation period to accumulate DHA. Infants who are born preterm have lower concentrations of DHA in brain tissues[2] and are at increased risk of developmental delay[5], impaired executive functioning[6], attention problems[7] and attention deficit/hyperactivity disorder[8] compared with their term-born counterparts.

Prenatal DHA Intake and Child Development: Evidence from Cohort Studies

A supply of DHA in the diet is considered important during pregnancy, with fish being the richest source of DHA. The most compelling data linking maternal DHA intake from fish and seafood during pregnancy with childhood intelligent quotient (IQ) comes from a well-conducted cohort study of 5449 mother-child pairs from the Avon Longitudinal Study of Pregnancy and Childhood[9]. Fish and seafood intake above

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

180 the level recommended for pregnancy by the US government was associated with a
181 decreased risk of being in the lowest quartile for verbal IQ and suboptimum pro-
182 social behaviour, fine motor, communication and social development scores at 8
183 years of age[9]. These findings are supported by other smaller cohort studies
184 reporting that seafood intake in pregnancy is associated with developmental benefits
185 in childhood such as advanced motor development, social development[10 11] and
186 language skills at 18 months[10], higher receptive vocabulary at 3 years[12], higher
187 IQ, language and motor development scores at 4 years[13], and reduced
188 hyperactivity, as well as higher verbal IQ at 9 years[14]. Similarly, cohort studies in
189 which blood DHA concentrations were measured at the end of pregnancy reported
190 associations between higher DHA status and improved attention, and reduced
191 distractibility in infants from birth to 18 months[15 16], and better motor development
192 and fewer internalising behaviour problems in children at 7 years of age[17].
193 Although these epidemiological studies controlled for numerous confounding factors,
194 there is always the possibility that residual or unknown confounding influenced the
195 results[18]. Thus randomised controlled trials (RCT) are essential to establish the
196 extent of benefit of gestational DHA supply on cognition in childhood.

197

198 *RCT's of Maternal DHA Supplementation and Child Development*

199 There are 11 RCTs investigating the effect of prenatal DHA supplementation on
200 childhood cognitive outcomes that are published or are awaiting publication[16 17
201 19-33]. However, the majority of these studies have limitations that potentially
202 influenced their results. Most studies did not clearly report, or did not have adequate
203 processes to independently generate the randomisation sequence, or to conceal the
204 random allocation, increasing the risk of selection bias[34]. Furthermore, all studies

205 suffer high attrition (between 27-86%)[15 16 19-27 33], compounding the fact that
206 many trials were relatively small and therefore underpowered to detect clinically
207 meaningful differences in cognitive outcomes from the beginning[15 16 19-27 32 33].
208 Other potential biases include systematic post-randomisation losses such as greater
209 attrition from the DHA-supplemented group compared with the control group[19 20],
210 or post-randomisation exclusion criteria[19 20 24 27] and possible publication bias
211 where results from completed trials are not published in full[25 29]. One trial modified
212 the eligibility criteria to include participants taking prenatal supplements containing
213 low dose DHA (up to 200mg/day) after the trial had commenced because the high
214 use of supplements was causing recruitment problems[28].

215 Given the variation in trial quality, it is not surprising that the results of RCTs
216 investigating the effect of DHA supplementation during that last half of pregnancy on
217 measures of child neurodevelopment have been mixed and largely demonstrated no
218 effect of supplementation[34]. For example, of the 9 trials in which development
219 quotient (DQ) or IQ were assessed, 6 reported no effect of DHA supplementation on
220 DQ or IQ at 10 months[22], 12 months[32], 18 months[27 30 31], 2.5 years[26], 6.5
221 years[24], 7 years[20] or 12 years of age[33], although positive effects of DHA
222 supplementation are reported by 4 trials on one subtest of a DQ assessment at 18
223 months[31], 2.5 years[26] and 4 years of age[29], as well as the DQ score at 4 years
224 of age[19]. Most trials assessed too few children (15 to 125 per group) and did not
225 have the statistical power to detect the sort of differences that might realistically be
226 expected between groups as a result of DHA supplementation[34].

227

228 *The DOMInO (DHA to Optimise Mother Infant Outcome) Trial*

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Our DOMInO RCT (trial registration #12605000569606 at www.anzctr.org.au) was designed to evaluate the effects of a substantial dose of DHA during the second half of pregnancy on symptoms of postnatal depression to 6 months postpartum and infant cognitive development at 18 months of age[35]. Women were eligible if they had a singleton pregnancy less than 21 weeks' gestation and were able to give informed consent. Women were excluded if there was a known fetal abnormality, a bleeding disorder, a history of drug or alcohol abuse or English was not spoken in the home (as children undergoing developmental testing were required to understand and take instructions from a psychologist in English). At study entry, women were randomly assigned to receive either a fish oil concentrate (800 mg DHA/day) or a blend of vegetable oils (no DHA) in capsules that were identical in appearance from ~20 weeks' gestation until birth. The DOMInO Trial is the largest RCT of maternal DHA supplementation in pregnancy with n=2,399 women enrolled around Australia.

Outcomes at 18 months: The primary neurodevelopmental outcome was the cognitive scale of the Bayley Scales of Infant Development, Third Edition (Bayley-III) at 18 months of age in a subset of n=726 children (powered to detect a clinically meaningful (4-point) difference in development). The neurodevelopment cohort subset consisted of all preterm children and a random sample of term born children whose mothers were recruited from Adelaide. Secondary outcomes included Bayley-III language and motor scales, as well as developmental delay. We assessed 694 of the 726 (95.6%) infants selected for the neurodevelopment cohort. We found no significant difference between groups in the mean cognitive scores of children whose mothers were assigned to receive DHA supplements compared with those assigned

to receive placebo (101.8 ± 11.1 vs 101.8 ± 12.6), although fewer children from the DHA group had scores indicative of mildly delayed cognitive development ($DQ < 85$, 2.7% vs 6.6%, RR 0.41, 95% CI 0.22 to 0.78, $p = 0.007$)[35]. These data are consistent with the Avon Longitudinal Study of Pregnancy and Childhood finding [9] and provide evidence that DHA supplementation is effective at preventing developmental delay in early childhood. Mean language and motor scores did not differ between the groups, although there was a surprising treatment by sex interaction for the language and adaptive behaviour outcomes, which indicated that girls and boys responded differently to DHA treatment [35].

In addition to the 18 month follow-up, a side-study assessed early emergence of executive functioning in a nested side-study ($n = 185$) of term-born DOMInO children[36]. The measures used were specialised to detect differences in the early development of the executive functioning skills attention, working memory and inhibitory control. We found no significant group differences[36].

Outcomes at 4 years: To further explore the differences found at 18 months, we assessed neurodevelopment again at 4 years with $n = 646$ (92% of the 726 children in the neurodevelopment cohort) consenting to an assessment with a psychologist (trial registration #12611001125910 at www.anzctr.org.au)[37]. The primary outcome was DQ as assessed by the Differential Ability Scales 2nd Edition. Secondary outcomes were general language ability measured with the Clinical Evaluation of Language Fundamentals Preschool, 2nd Edition, inhibitory control measured with the efficiency score of the Day-Night Stroop, and short term memory measured with the Recognition of Pictures and Recall of Digits Forward tests from the Differential Ability Scales. Parents completed the Strengths and Difficulties Questionnaire (SDQ) and

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

the Behaviour Rating Inventory of Executive Function (BRIEF)-Preschool and provided information regarding family demographics, the child's dietary intake of DHA-rich foods, such as fish, eggs, DHA-enriched breads and yogurts, use of DHA supplements, parent reported medical diagnosis of Attention Deficit Hyperactivity Disorder (ADHD), autism and behavioural or learning disorders of children, as well as the home environment (Home Screening Questionnaire (HSQ))[38], life events (the Recent Life Events (RLE))[39], and family functioning (the Family Assessment Device (FAD))[40]. As at 18 months, we found no significant mean group difference in general cognitive functioning of children whose mothers were assigned to receive DHA supplements compared with those assigned to receive placebo at 4 years (DHA group DQ=99.6, 95% CI 98.4 to 100.8 vs Control group DQ=99.4, 95% CI 98.3 to 100.6)[25]. We also found that there was no longer a group difference in cognitive delay, and no sex by treatment interactions, although children from the DHA group had slightly poorer scores on the parent-completed measures of behaviour and executive functioning than control-group children, indicating increased parent-perceived problems in the DHA group[37].

Rationale for the Current Follow-Up

We propose to conduct a neurodevelopmental assessment focussed primarily on cognitive functioning at 7 years of age in the DOMInO neurodevelopment cohort. Whilst the previous assessment at 4-years for these children provided an indication of neurodevelopment in the preschool years and school readiness, new skills develop with age, and as a result, long-term effects on cognitive functioning and deficits that emerge in later years need to be examined.

Cognitive skills develop rapidly during early childhood[41] and by age 7 years most cognitive domains can be reliably assessed using valid and standardised instruments[42]. Importantly, a measure of IQ at 7 years of age is predictive of adult IQ and adult attained education and occupation[43], occupational status, material well-being[44] and mortality risk[45]. Although an assessment after 7 years of age will also provide a predictive measure of adulthood IQ, there is a greater chance of loss to follow-up as the children get older. Assessment at age 7 provides us with the best compromise between an assessment of cognitive function that is predictive of adult functioning with maximal follow-up and the lowest risk of attrition. The suite of developmental assessments at early, middle and late (18 months, 4 and 7 years) childhood is complementary and will provide a more complete picture of the effect of DHA supplementation in pregnancy on children's developmental trajectory during early-mid childhood. Follow-up at 7 years is vital to complete the picture and provide the long-term outcome data necessary to indicate the permanency of any effects of prenatal DHA supplementation. Furthermore, given the information relating to previous trial quality and low power, the methodologically robust and well-powered DOMInO RCT is expected to provide robust data regarding the effect of DHA supplementation in pregnancy on long-term cognitive development of children[34].

321

322 *Aims and Hypothesis*

Our aim is to determine whether DHA supplementation during pregnancy enhances cognitive function at 7 years of age, with our primary outcome being IQ. The areas of the brain thought to be most susceptible to DHA exposure in the last trimester of pregnancy when our intervention took place are the frontal lobes. These lobes undergo two critical periods of development during childhood. We expect that the

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

328 hypothesised benefits of prenatal DHA supplementation on the performance of these
329 lobes will be detectable following these key development periods[4]. We hypothesise
330 that children who were exposed to a DHA-rich environment during the second half of
331 gestation will have higher IQ scores at 7 years of age than children whose mothers
332 consumed a regular Australian diet typically low in DHA.

333
334 **METHODS AND ANALYSIS**

335 **Study Design**

336 This is a prospective, follow-up study of children born to women who participated in
337 the DOMInO Trial. Children will be invited to undergo a cognitive assessment with a
338 psychologist when they are 7 years (\pm 3 months) of age (corrected age for preterm
339 birth). Families could request to be unblinded after completion of analysis of the 18
340 month results. Families who requested unblinding were given the telephone number
341 of an independent statistician who held the randomisation sequence, and were
342 asked not to discuss treatment allocation with study staff. All families, clinicians and
343 study staff are blinded to group treatment allocation, with the exception of families
344 who requested un-blinding prior to the follow-up. At the time of the four-year follow-
345 up, 2% of treatment group families and 5% of control group families had requested to
346 be unblinded, and this knowledge did not appear to influence child DHA intake from
347 food or supplements.

348
349 **Setting**

350 Children in the neurodevelopment cohort were born at the Women's & Children's
351 Hospital, or the Flinders Medical Centre, Adelaide, Australia. Appointments will be
352 conducted in study clinics at the hospital and medical centre, where possible. If

necessary, appointments will be conducted at the participant's home, or at a location close to their home such as a school or community centre. Appointments will commence March 2013 and will be completed by August 2015.

Participants and Recruitment

All children included in the DOMInO Trial neurodevelopment cohort, who have not died and whose parents have not withdrawn consent, will be invited to participate in the 7 year-follow-up (n =638, 88.2% of the original n=726). Primary carers for eligible children will be initially contacted via an invitation letter sent 3 months prior to the child's 7th birthday, followed by a telephone call. Figure 1 is a flow chart of the neurodevelopment cohort follow-up assessments according to the CONSORT statement.

Measures

See Table 1 for a summary of all outcomes assessed and measures used. The majority of the measures used will be psychologist administered, with the addition of some parent-completed questionnaires. The assessors for the follow-up study will be specifically trained and supervised by a supervising psychologist to ensure standardisation between assessors. All assessors will need to correctly administer an assessment on a non-study participant for approval by the supervising psychologist prior to conducting study appointments. The supervising psychologist will audit the first 20 assessments completed by each assessor, and if standardisation is assured, every fourth assessment by each assessor will be audited.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Primary Outcome: Full scale IQ at 7 years of age will be assessed using the Wechsler Abbreviated Scale of Intelligence, Second Edition (WASI-II)[46]. The WASI-II consists of four subtests (Block Design, Vocabulary, Matrix Reasoning, and Similarities) and provides a brief (average 30 minutes) and reliable estimate of the child's general intellectual functioning. Full scale IQ, Verbal Comprehension Index and Perceptual Reasoning Index scores will be calculated. Each scale is age standardised with a mean of 100 (SD 15). Mild intellectual impairment will be defined as a full-scale IQ from 70 to 84 (from -2 to < -1 SD from the mean), and major intellectual impairment defined as an IQ < 70 (i.e. < -2 SD from the mean). Corrected age will be used to standardise the scores of children who were born preterm.

Secondary Outcomes: Secondary outcomes include neurobehavioural domains that are thought to be sensitive to DHA depletion and are important indicators of child development: executive function, attention, memory and learning and behaviour.

1. *Executive function* will be assessed with the Rey Complex Figure (RCF)[47], the Fruit Stroop test (F-Stroop)[48], the Number Repetition Subtest of the Clinical Evaluation of Language Fundamentals 4th Edition (CELF-4)[49] and the BRIEF[50]. The RCF requires participants to copy a complex geometric figure and evaluates spatial organisation (the ability to perceive and interpret complex spatial stimuli) and strategic decision making (the capacity to plan ahead and devise efficient and effective strategies to reach a specific goal). The F-Stroop assesses behavioural inhibition and mental flexibility. The Number Repetition from the CELF-4 requires participants to recall a series of digits in the order they were presented, and in the reversed order. It measures working memory, a core element of executive functioning. The BRIEF is a

parent-completed questionnaire that is an important adjunct to formal assessment of executive functioning as some elements of executive dysfunction are more obvious in everyday settings such as the home and kindergarten.

2. *Attention* will be assessed using subtests from the Test of Everyday Attention for Children (TEACh)[51]. The TEACh provides a comprehensive assessment of attention skills across different modalities. The subtests to be administered will be Sky Search (selective attention), Score! (sustained attention), Creature Counting (attentional control) and Sky Search Dual Task (divided attention). The divided attention score will be calculated by multiplying the proportion of visual stimuli found by the proportion of auditory stimuli counted, multiplied by 10 (with 10 signifying a perfect score)[52].

3. *Memory & learning* will be assessed with the Rey Auditory Verbal Learning Test (RAVLT)[53]. This test is used extensively to assess immediate verbal memory, learning ability and delayed recall. It requires the child to learn a list of 15 spoken words over 5 trials. Delayed recall and recognition trials will also be administered.

4. *Language* will be measured with the core subtests of the Clinical Evaluation of Language Fundamentals 4th Edition (CELF-4)[49]. This test will provide a Core Language score with a mean of 100 and SD of 15 as a measure of general language abilities.

5. *Behaviour* will be evaluated with the parent version of the Strength and Difficulties Questionnaire (SDQ). The SDQ is a well-validated questionnaire that assesses overall behaviour problems, emotional symptoms, hyperactivity/inattention, peer relationship problems, and prosocial behaviour.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

427 As there is growing speculation that DHA plays a role in preventing and
428 reducing ADHD, we will also administer a specific ADHD diagnostic
429 questionnaire, namely the Conners' ADHD/DSM-IV Scales, which will be
430 completed by parents[54].

431 6. *Academic Abilities/Educational Progress* will be captured with the Word
432 Reading, Spelling and Math Computation subtests of the Wide Range
433 Achievement Test, 4th edition (WRAT-4)[55].

434

435 Other Outcomes: Children will have their head, waist and hip circumferences
436 measured, they will be weighed and their height will be measured at the time of the
437 cognitive assessment as an index of the nutritional well-being of children.

438

439 Additional Data: Socio-demographic data (such as parental age, education,
440 employment, gestational age at birth, birth weight, birth order, sex) were collected for
441 the DOMInO Trial at trial entry or at birth. Parental education and employment were
442 collected again at the 4 year follow-up and will also be collected at the 7-year follow
443 up, as these details may change over time. Information regarding duration of
444 exclusive breastfeeding, type of infant formula used, age at introduction to solid
445 foods and the number of, and reason for, hospitalisations have all been collected as
446 part of the DOMInO Trial. At the 7-year follow-up we will again seek information
447 about the child's medically diagnosed conditions (such as Autism Spectrum Disorder
448 or ADHD), medications and hospitalisations. All hospital admissions >24 hours will
449 be documented as possible adverse events and the frequency of events will be
450 compared between the treatment and control groups. Admission to intensive (Level
451 3) care or death will be treated as possible serious adverse events. The recent use

of DHA supplements and DHA-rich or fortified foods were collected at 18 months and
4 years of age, and will again be collected at 7 years.

For peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 1. Assessments used at 7 years of age to capture child development

Domains	Measure	Respondent
General intellectual ability	Wechsler Abbreviated Scale of Intelligence-2 nd Edition	Child
Executive Function	Rey Complex Figure	Child
Executive Function	Fruit Stroop Task	Child
Executive Function	Number Repetition from the Clinical Evaluation of Language Fundamentals-4 th Edition	Child
Executive Function	Behaviour Rating Inventory of Executive Functioning	Parent
Attention	Test of Everyday Attention for Children	Child
Memory & learning	Rey Auditory Verbal Learning Test	Child
Language	Clinical Evaluation of Language Fundamentals-4 th Edition	Child
Behaviour	Strengths and Difficulties Questionnaire	Parent
Behaviour - ADHD	Conners' ADHD/DSM-IV Scales	Parent
Educational Progress	Wide Range Achievement Test-4 th Edition	Child
Growth	Anthropometry	Child
Demographics	Background questions	Parent

Sample Size and Statistical Analysis

There were originally 726 children selected for developmental follow-up. If 80% of the original 726 participate in the 7 year follow-up, we will have at least 89% power to detect a 4-point difference in Full Scale IQ (mean 100, SD 15) between the treatment and control groups (alpha = 0.05, 2-sided). If 75%, or even 70%, of the

original 726 are successfully followed-up at age 7 years, the power remains high (at least 87% and 85% respectively). To achieve a minimum of 80% power, we would need to successfully follow-up 61% of participants (222 per group). Detection of a 4-point difference in IQ is realistic and comparable to the magnitude of cognitive benefit found in 8-year-old children whose mothers consumed 2-3 servings of fish/week during pregnancy compared with those who ate <1 serving/week[9] and the findings from one RCT[19]. Similar sized differences in DQ and IQ have been observed between iron deficient anaemic and non-anaemic children[56] and also in children who were exposed to high and low levels of lead from the environment[57]. In both cases public health policy was changed as a result; infant cereals were fortified with iron and the lead is now removed from petrol and the environment as much as possible.

All analyses will be performed on an intention-to-treat basis according to the mother's allocation to the treatment or control group. No interim analyses will be conducted for this study and all analyses will be performed according to the pre-specified statistical analysis plan. Analyses will be performed using SAS Version 9.3 or later, and Stata Release 13 or later. No data transformations are planned or expected. Both adjusted and unadjusted analyses will be performed, with the adjusted results used to draw conclusion about the effect of treatment on the outcomes of interest. Results will be presented as differences in means for continuous outcomes, or relative risks for binary outcomes, with 95% confidence intervals and 2-sided p-values. Statistical significance will be assessed at the 5% level and no adjustment will be made for the number of analyses planned, as a single primary outcome has been pre-specified for the study.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Potential Confounders: Since recruiting centre and parity were used as stratification variables in the randomisation process, all analyses will be adjusted for centre and parity.

Adjustment will also be made for additional baseline variables that are potential confounders for some outcomes specified *a priori*; these include smoking during pregnancy, maternal secondary education, maternal further education and infant sex.

Primary Outcome: Mean IQ scores will be compared between the treatment groups using a linear regression model.

Secondary Outcomes: Will be analysed using linear regression models for continuous (normally distributed) outcomes and log binomial regression models for binary outcomes.

Secondary Analyses: We will test for evidence of effect modification by sex by including a treatment by sex interaction for primary and secondary neurodevelopmental outcomes, as we have previously found differential effects of DHA supplementation on aspects of the neurodevelopment of boys and girls[35 58].

Missing Data: Data collected on participants up to the point of withdrawal will be included in the analysis. Children who are missing scores on psychological assessments because they were untestable for developmental reasons will be reviewed by a psychologist (who is blinded to treatment group) to determine whether the lowest possible score should be assigned. Multiple imputation will be used to

513 create 100 complete datasets for analysis using the fully conditional specification
514 method separately by treatment group.

515

516 Analyses will be performed on both the raw and imputed data, with conclusions to be
517 drawn based on the results of the analyses performed on the imputed data. Imputed
518 datasets will include all children whose primary carer consented to the follow-up
519 study. Sensitivity analyses will be performed using different imputation models and
520 for all 726 children in the originally selected sub-sample, excluding known deaths.

521

522 Accounting for Study Design: The selection procedure for the neurodevelopmental
523 follow-up was stratified by preterm status, sex, recruiting centre and time period.

524 Sampling weights were calculated for each infant as the inverse of the probability of
525 selection. Infants will be weighted according to these sampling weights and the
526 stratification variables will be specified in all analyses.

527

528 **Ethics and Dissemination**

529 Approval in writing from the Human Research Ethics Committee at each study site
530 (the Women's and Children's Hospital, Adelaide and Flinders Medical Centre,
531 Adelaide) shall be granted prior to the initiation of the study at that site. This study
532 will be carried out in accordance with the Australian National Statement on Ethical
533 Conduct in Research Involving Humans which builds upon the ethical codes of the
534 Declaration of Helsinki and the Principles of International Conference on
535 Harmonisation Good Clinical Practice (as adopted in Australia). Caregivers will be
536 required to provide written informed consent prior to participation in the follow-up
537 study, and will be given a copy of the signed Consent Form and Participant

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Information Sheet. Parents will be advised that they are free to decline any aspect of the 7 year follow-up, or withdraw from the study at any time without prejudice. This is a follow-up study with no active intervention and is considered a low-risk study. The developmental assessments described in this protocol will be conducted by a team of trained assessors supervised by a psychologist. The full suite of assessments will take each child about 2 ½ to 3 hours, including a break between neurodevelopmental assessments. The assessments do not pose any apparent physical risk to children and are enjoyable for 7-year-old children. Given the short and engaging nature of the tasks, children generally maintain interest and concentration throughout the assessment. If a child becomes upset or uncooperative during the assessment, the child will be given time to recover or parents offered the opportunity to return and complete the assessment on another occasion. Parents will be given \$50 to cover travel/parking and childcare expenses of other siblings not attending the appointment. The results of this follow-up study will be published in peer-reviewed journals and presented at academic conferences. No individual participants will be identified or identifiable. All data will be analysed in de-identified form. Data, both paper copies and the electronic database, will be kept (locked and password protected) for 30 years after completion of the study and publication of the results.

DISCUSSION

Despite the paucity of evidence, recommendations exist internationally to increase DHA intake during pregnancy[59-61] and the nutritional supplement industry markets prenatal DHA supplements to optimise fetal brain development. This project addresses national[61] and international[62 63] calls for rigorous scientific evidence

563 regarding benefits of fish oil supplementation during pregnancy for child
564 development from RCTs. Such trials are fundamental to establishing a causal link
565 between DHA exposure during gestation and child development. Our follow-up study
566 can provide robust data regarding the potential long-term effects of supplementing
567 the diets of pregnant women with DHA on cognitive functioning in middle childhood.
568 IQ at 7 years is an important outcome as it is known to predict adult IQ, academic
569 achievement, income[43] and employment[43 44]. In fact, a one-point increase in a
570 nation's average IQ is associated with a 0.11% annual increase in quality of life as
571 assessed by gross domestic product per capita[64]. If the results of this study
572 indicate beneficial effects of DHA supplementation, changes to public health policy
573 and subsequent strengthening of human capital has the potential for enormous
574 economic benefits for Australia and the World.
575 Only two other RCTs have followed children through to 7 years of age after
576 supplementing pregnant women with DHA, however one study only assessed 143
577 children of the 590 participants included in the trial (75% attrition)[20] and the other
578 study only included 50 of 98 children (49% attrition)[33] which meant the
579 randomisation integrity may not have been maintained in either study.
580 Strengths of our study include building on a well-powered, well-conducted multi-
581 centre RCT with the highest retention rates to date, and assessment of a range of
582 neurodevelopmental domains. The DOMInO Trial has the broadest inclusion criteria
583 of all the RCTs of DHA supplementation in pregnancy to maximise
584 representativeness of the sample.
585 The DOMInO Trial is likely one of the last opportunities to compare the effect of a
586 DHA supplement with a placebo due to the high use of prenatal supplements that

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

587 contain DHA by pregnant women today. We will provide the first robust data
588 regarding the long-term effects of maternal DHA supplementation during pregnancy.
589

For peer review only

590

Acknowledgements

We would like to thank all the families that have generously contributed to the DOMInO Trial, and the many subsequent follow-up studies.

We would like to thank the staff at the Women's and Children's Health Research Institute (Adelaide, Australia) and the Data Management and Analysis Centre (the University of Adelaide, Australia) for contributing to the DOMInO study.

Both the original DOMInO Trial and the 7-year follow-up study were funded by Australian National Health and Medical Research Grants (DOMInO trial: 349301, 7-year follow-up: 1048493). DOMInO trial treatment and control capsules were donated by Incromega 500 TG, Croda Chemicals, East Yorkshire, England. These agencies had no role in the study design or conduct; in the data collection, management, analysis, or interpretation; or in the preparation, review, or approval of the manuscript. Makrides, Gibson and Yelland are supported by Australian National Health and Medical Research Fellowships (Makrides: 1061704, Gibson: 1046207, Yelland: 1052388).

606

Competing Interests

Professor Makrides reports serving on scientific advisory boards for Nestle, Fonterra, and Nutricia. Professor Gibson reports serving on scientific advisory board for Fonterra and Ferrero. Associated honoraria for Professors Makrides and Gibson are paid to their institutions to support conference travel and continuing education for postgraduate students and early career researchers. The remaining authors (JFG, LGS, LNY, KT, PJA, AJMP) and investigators declare that they have no financial disclosures or competing interests.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

615

616

617

618

619

620

621

622

623

624

625

626

627

628

629

630

631

632

633

634

635

636

637

638

List of Abbreviations

ADHD	Attention Deficit Hyperactivity Disorder
Bayley-III	Bayley Scales of Infant Development, 3 rd Edition
CELF-4	Clinical Evaluation of Language Fundamentals, 4 th Edition
DHA	Docosahexaenoic acid
DOMInO	Docosohexanoic Acid to Optimise Mother Infant Outcome
DQ	Development Quotient
F-Stroop	Fruit Stroop Test
IQ	Intelligence Quotient
n-3 LCPUFA	Omega-3 Long Chain Polyunsaturated Fatty Acid
RAVLT	Rey Auditory Verbal Learning Test
RCF	Rey Complex Figure
RCT	Randomised Controlled Trial
SDQ	Strengths and Difficulties Questionnaire
TEACH	Test of Everyday Attention for Children
WASI-II	Wechsler Abbreviated Scale of Intelligence, 2 nd Edition
WRAT-4	Wide Range Achievement Test, 4 th Edition

Authors Contributions

Study concept and design: Makrides, Smithers, Yelland, Treyvaud, Gould, Anderson, Gibson, McPhee.

Drafting the protocol: Gould, Makrides.

639 *Comment and approval of the final draft of the protocol:* Gould, Makrides, Smithers,
 640 Yelland, Treyvaud, Anderson, Gibson, McPhee.

641 *Statistical expertise:* Yelland, Makrides, Smithers.

642 *Obtained funding:* Makrides, Smithers, Yelland, Treyvaud.

643 *Administrative, technical, or material support:* Gould, Makrides, Smithers, Yelland,
 644 Treyvaud, Anderson, Gibson, McPhee.

645

646

647 REFERENCES

- 648 1. Innis SM. Dietary (n-3) fatty acids and brain development. *Journal of Nutrition*
 649 2007;**137**(4):855-9 doi: 137/4/855 [pii][published Online First: Epub Date]].
- 650 2. Haggarty P, Page K, Abramovich DR, Ashton J, Brown D. Long-chain
 651 polyunsaturated fatty acid transport across the perfused human placenta.
 652 *Placenta* 1997;**18**(8):635-42
- 653 3. Martinez M. Tissue levels of polyunsaturated fatty acids during early human
 654 development. *The Journal of Pediatrics* 1992;**120**(4 Pt 2):S129-38
- 655 4. Anderson V, Jacobs R, Anderson P. *Executive functions and the frontal lobes. A*
 656 *lifespan perspective*. New York: Taylor & Francis, 2008.
- 657 5. Anderson P, Doyle LW. Neurobehavioral outcomes of school-age children born
 658 extremely low birth weight or very preterm in the 1990s. *Journal of the*
 659 *American Medical Association* 2003;**289**(24):3264-72 doi:
 660 10.1001/jama.289.24.3264
 661 289/24/3264 [pii][published Online First: Epub Date]].
- 662 6. Anderson PJ, Doyle LW, Group VICS. Executive functioning in school-aged
 663 children who were born very preterm or with extremely low birth weight in the
 664 1990s. *Paediatrics* 2004;**114**:50-7
- 665 7. Taylor GH, Hack M, Klein N. Attention deficits in children with < 750 gm
 666 birthweight. *Child Neuropsychology* 1998;**4**:21-34
- 667 8. Bhutta AT, Cleves MA, Casey PH, Cradock MM, Anand KJ. Cognitive and
 668 behavioral outcomes of school-aged children who were born preterm: a meta-
 669 analysis. *Journal of the American Medical Association* 2002;**288**(6):728-37
- 670 9. Hibbeln JR, Davis JM, Steer C, et al. Maternal seafood consumption in pregnancy
 671 and neurodevelopment outcomes in childhood (ALSPAC study): an
 672 observational cohort study. *Lancet* 2007;**369**:578-285
- 673 10. Daniels JL, Longnecker MP, Rowland AS, Golding J. Fish intake during
 674 pregnancy and early cognitive development of offspring. *Epidemiology*
 675 2004;**15**(4):394-402 doi: 00001648-200407000-00004 [pii][published Online
 676 First: Epub Date]].
- 677 11. Oken E, Osterdal ML, Gillman MW, et al. Associations of maternal fish intake
 678 during pregnancy and breastfeeding duration with attainment of

1
2
3 679 developmental milestones in early childhood: a study from the Danish
4 680 National Birth Cohort. *American Journal of Clinical Nutrition* 2008;**88**(3):789-
5 681 96
6 682 12. Oken E, Radesky JS, Wright RO, et al. Maternal fish intake during pregnancy,
7 683 blood mercury levels, and child cognition at age 3 years in a US cohort.
8 684 *American Journal of Epidemiology* 2008;**167**(10):1171-81 doi:
9 685 10.1093/aje/kwn034[published Online First: Epub Date]].
10 686 13. Mendez MA, Torrent M, Julvez J, Ribas-Fito N, Kogevinas M, Sunyer J. Maternal
11 687 fish and other seafood intakes during pregnancy and child neurodevelopment
12 688 at age 4 years. *Public Health Nutrition* 2009;**12**(10):1702-10
13 689 14. Gale CR, Robinson SM, Godfrey KM, Law CM, Schlotz W, O'Callaghan FJ. Oily
14 690 fish intake during pregnancy – association with lower hyperactivity but not with
15 691 higher full-scale IQ in offspring. *Journal of Child Psychology and Psychiatry*
16 692 2008;**49**(10):1061-68
17 693 15. Colombo J, Kannass KN, Shaddy DJ, et al. Maternal DHA and the development
18 694 of attention in infancy and toddlerhood. *Child Development* 2004;**75**(4):1254-
19 695 67 doi: 10.1111/j.1467-8624.2004.00737.x [doi]
20
21
22 696 CDEV737 [pii][published Online First: Epub Date]].
23 697 16. Kannass KN, Colombo J, Carlson SE. Maternal DHA levels and toddler free-play
24 698 attention. *Developmental Neuropsychology* 2009;**34**(2):159-74 doi:
25 699 909290198 [pii]
26
27
28 700 10.1080/87565640802646734 [doi][published Online First: Epub Date]].
29 701 17. Krabbendam L, Bakker E, Hornstra G, van Os J. Relationship between DHA
30 702 status at birth and child problem behaviour at 7 years of age. *Prostaglandins*
31 703 *Leukotrienes and Essential Fatty Acids* 2007;**76**(1):29-34 doi: S0952-
32 704 3278(06)00166-9 [pii]
33
34 705 10.1016/j.plefa.2006.09.004 [doi][published Online First: Epub Date]].
35 706 18. Lawlor DA, Davey Smith G, Kundu D, Bruckdorfer KR, Ebrahim S. Those
36 707 confounded vitamins: what can we learn from the differences between
37 708 observational versus randomised trial evidence? *Lancet*
38 709 2004;**363**(9422):1724-7 doi: 10.1016/S0140-6736(04)16260-0
39
40
41 710 S0140673604162600 [pii][published Online First: Epub Date]].
42 711 19. Helland IB, Smith L, Saarem K, Saugstad OD, Drevon C. Maternal
43 712 supplementation with very-long-chain n-3 fatty acids during pregnancy and
44 713 lactation augments children's IQ at 4 years of age. *Pediatrics*
45 714 2003;**111**(1):e39-e44
46 715 20. Helland IB, Smith L, Blomen B, Saarem K, Saugstad OD, Drevon CA. Effect of
47 716 supplementing pregnant and lactating mothers with n-3 very-long-chain fatty
48 717 acids on children's IQ and body mass index at 7 years of age. *Pediatrics*
49 718 2008;**122**(2):e472-79 doi: 10.1542/peds.2007-2762[published Online First:
50 719 Epub Date]].
51
52 720 21. Smuts CM, Huang M, Mundy D, Plasse T, Major S, Carlson SE. A randomized
53 721 trial of docosahexaenoic acid supplementation during the third trimester of
54 722 pregnancy. *Obstetrics & Gynecology* 2003;**101**(3):469-79
55 723 22. Tofail F, Kabir I, Hamadani JD, et al. Supplementation of fish-oil and soy-oil
56 724 during pregnancy and psychomotor development of infants. *Journal of Health,*
57 725 *Population, and Nutrition* 2006;**24**(1):48-56
58
59
60

23. Judge MP, Harel O, Lammi-Keefe CJ. Maternal consumption of a docosahexaenoic acid-containing functional food during pregnancy: benefit for infant performance on problem-solving but not on recognition memory tasks at age 9 mo. *American Journal of Clinical Nutrition* 2007;**85**(6):1572-77
24. Campoy C, Escolano-Margarit MV, Ramos R, et al. Analysis of long term effects of fish oil and 5-MTHF supplementation to pregnant women on neurological outcome of their offspring: The nuheal trial. *Journal of Pediatric Gastroenterology and Nutrition* 2010;**50**:E23-E24
25. Decsi T, Campoy C, Koletzko B. Effect of N-3 polyunsaturated fatty acid supplementation in pregnancy: the Nuheal trial. *Advances in Experimental Medicine and Biology* 2005;**569**:109-13 doi: 10.1007/1-4020-3535-7_15 [doi][published Online First: Epub Date]].
26. Dunstan JA, Simmer K, Dixon G, Prescott SL. Cognitive assessment of children at 2 1/2 years following fish oil supplementation in pregnancy: a randomized controlled trial. *Archives of Disease Fetal and Neonatal Edition* 2008;**93**(1):F45-50
27. van Goor SA, Dijck-Brouwer DA, Erwich JJ, Schaafsma A, Hadders-Algra M. The influence of supplemental docosahexaenoic and arachidonic acids during pregnancy and lactation on neurodevelopment at eighteen months. *Prostaglandins, Leukotrienes, and Essential Fatty Acids* 2011;**84**(5-6):139-46
28. Carlson SE, Colombo J. KUDOS Trial. Secondary KUDOS Trial. <http://clinicaltrials.gov/ct2/show/NCT00266825?term=DHA+and+pregnancy&rank=5>
29. Karlsson T, Birberg-Thornberg U, Duchén K, Gustafsson PA. LC-PUFA supplemented to mothers during pregnancy and breast-feeding improves cognitive performance in the children four years later-an rct study. *ISSFAL. Maastricht*, 2010:113.
30. Ramakrishnan U, Martorell R, Stein AD, et al. Effect of prenatal supplementation with docosahexanoic acid on child size and development at 18 mo: randomized placebo-controlled trial in Mexico. *ISSFAL. Maastricht*, 2010:112.
31. Mulder KA, King DJ, Innis SM. Omega-3 Fatty Acid Deficiency in Infants before Birth Identified Using a Randomized Trial of Maternal DHA Supplementation in Pregnancy. *PLoS One* 2014;**9**(1):e83764 doi: 10.1371/journal.pone.0083764[published Online First: Epub Date]].
32. Hurtado JA, Iznola C, Pena M, et al. Effects of Maternal Omega-3 Supplementation on Fatty Acids And on Visual and Cognitive Development: A Randomized Trial. *J Pediatr Gastroenterol Nutr* 2015 doi: 10.1097/mpg.0000000000000864[published Online First: Epub Date]].
33. Meldrum S, Dunstan JA, Foster JK, Simmer K, Prescott SL. Maternal fish oil supplementation in pregnancy: a 12 year follow-up of a randomised controlled trial. *Nutrients* 2015;**7**(3):2061-7 doi: 10.3390/nu7032061[published Online First: Epub Date]].
34. Gould JF, Smithers LG, Makrides M. The effect of maternal omega-3 (n-3) LCPUFA supplementation during pregnancy on early childhood cognitive and visual development: a systematic review and meta-analysis of randomized controlled trials. *Am J Clin Nutr* 2013;**9**(3):531-44 doi: 10.3945/ajcn.112.045781[published Online First: Epub Date]].
35. Makrides M, Gibson RA, McPhee AJ, Yelland L, Quinlivan J, Ryan P. Effect of DHA supplementation during pregnancy on maternal depression and neurodevelopment of young children: a randomized controlled trial. *Journal of*

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

the American Medical Association 2010;**304**(15):1675-83 doi: 304/15/1675
[pii]

10.1001/jama.2010.1507 [doi][published Online First: Epub Date]].

36. Gould JF, Makrides M, Colombo J, Smithers LG. Randomized controlled trial of maternal omega-3 long-chain PUFA supplementation during pregnancy and early childhood development of attention, working memory, and inhibitory control. *Am J Clin Nutr* 2014;**99**(4):851-9 doi: 10.3945/ajcn.113.069203[published Online First: Epub Date]].

37. Makrides M, Gould JF, Gawlik NR, et al. Four-year follow-up of children born to women in a randomized trial of prenatal DHA supplementation. *JAMA* 2014;**311**(17):1802-4 doi: 10.1001/jama.2014.2194[published Online First: Epub Date]].

38. Frankenburg WK, Coons CE. Home Screening Questionnaire: its validity in assessing home environment. *Journal of Pediatrics* 1986;**108**:624-26

39. Brugha T, P B, C T, Hurry J. The list of threatening experiences: a subset of 12 life event catagories with considerable long-term contextual threat. *Psychological Medicine* 1985;**15**:189-94

40. Epstein Nea, Baldwin L, M, Bishop DS. The McMaster Family Assessment Device. *J Marit Fam Ther* 1983;**9**:171-80

41. Anderson V, Northam E, Hendy J, Wrennal J. *Developmental Neuropsychology – A clinical approach*. East Sussex: Psychology Press, 2001.

42. Baron IS. *Neuropsychological Evaluation of the Child*. New York: Oxford University Press, 2004.

43. McCall RB. Childhood IQ's as Predictors of Adult Educational and Occupational Status. *Science* 1977;**197**(4302):482-83

44. Firkowska-Mankiewicz A. Adult careers: Does childhood IQ predict later life outcome? *Journal of Policy and Practice in Intellectual Disabilities* 2011;**8**(1):1-9

45. Jokela M, Batty GD, Deary IJ, Gale CR, Kivimäki M. Low childhood IQ and early adult mortality: The role of explanatory factors in the 1958 British birth cohort. *Pediatrics* 2009;**124**(3):e380-e88

46. Wechsler D. Wechsler Abbreviated Scale of Intelligence -Second Edition. PsychCorp; Pearson. U.S.A: Pearson, 2011.

47. Rey A. L'examen clinique en psychollogique dans les cas d'encephalopathic traumatique. *Arch of Psychol* 1941;**28**:286-340

48. Archibald S, Kerns K. Identification and description of new tests of executive functioning in children. *Child Neuropsychology* 1999;**115-129**(5):115-29

49. Semel E, Wiig EH, Secord WA. Clinical Evaluation of Language Fundamentals Fourth Edition Asutralia and New Zealand Standardised Edition. PsychCorp; Pearson. Sydney, Australia: Pearson Clinical and Talent Assessment, 2006.

50. Gioia G, A, Isquith PK, Guy SC, Kenworthy L. Behavior Rating Inventory of Executive Function. Psychological Assessment Resources. Florida, U.S.A: Psychological Assessment Resources, 1996.

51. Manly T, Robertson IH, Anderson V, Nimmo-Smith I. TEA-Ch: The Test of Everyday Attention for Children. Thames Valley Test Company Ltd. Bury St Edmunds, England, 1999.

52. Wilson-Ching M, Molloy CS, Anderson VA, et al. Attention difficulties in a contemporary geographic cohort of adolescents born extremely preterm/extremely low birth weight. *Journal of the International*

- Neuropsychological Society : JINS 2013;**19**(10):1097-108 doi:
 10.1017/s1355617713001057[published Online First: Epub Date]].
53. Rey A. L'examen clinique en psychologie. Paris: Press Universitaire de France, 1964.
54. Conners CK. Conners 3TM ADHD Index -Parent. Multi-Health Systems. Toronto, Canada: Multi-Health Systems, 2008.
55. Wilkinson GS, Robertson GJ. Wide Range Achievement Test 4. Psychological Assessment Resources Florida, U.S.A.: Psychological Assessment Resources 2006.
56. Walter T, De Andraca I, Chadud P, Perales C. Iron deficiency anemia: adverse effects on infant psychomotor development. *Paediatrics* 1989;**84**(1):7-17
57. Baghurst PA, McMichael AJ, Wigg NR, et al. Environmental exposure to lead and children's intelligence at the age of seven years. The Port Pirie Cohort Study. *The New England journal of medicine* 1992;**327**(18):1279-84 doi: 10.1056/NEJM199210293271805 [doi][published Online First: Epub Date]].
58. Makrides M, Gibson RA, McPhee AJ, et al. Neurodevelopmental outcomes of preterm infants fed high-dose docosahexaenoic acid: a randomized controlled trial. *Journal of the American Medical Association* 2009;**301**(2):175-82 doi: 301/2/175 [pii]
- 10.1001/jama.2008.945 [doi][published Online First: Epub Date]].
59. Food and Agriculture Organization of the United Nations and the World Health Organization. Interim Summary of Conclusions and Dietary Recommendations on Total Fat & Fatty Acids. Geneva, 2008:1-14.
60. Brenna JT, Lapillonne A. Background paper on fat and fatty acid requirements during pregnancy and lactation. *Annals of Nutrition and Metabolism* 2009;**55**(1-3):97-122
61. Koletzko B, Cetin I, Brenna JT, et al. Dietary fat intakes for pregnant and lactating women. *British Journal of Nutrition* 2007;**98**(5):873-77
62. European Food Safety Authority. Opinion of the Scientific Panel on contaminants in the food chain related to the safety assessment of wild and farmed fish 2005.
63. Royal Australian and New Zealand College of Obstetricians and Gynaecologists. Vitamins and mineral supplementation in pregnancy. Secondary Vitamins and mineral supplementation in pregnancy 2011.
<http://www.ranzcog.edu.au/component/content/article/503-college-statements-and-guidelines/c-obs/279-vitamins-and-minerals-supplementation-c-obs-25.html>.
64. Jones G, Schneider WJ. Intelligence, Human Capital and Economic Growth: A Bayesian Averaging of Classical Estimates Approach. *Journal of Economic Growth* 2006;**11**:71-93

Figure 1. Flow chart of participants selected for neurodevelopment follow-up assessment in the DOMInO Trial

¹ Docosahexaenoic acid to Optimise Mother Infant Outcome Trial

870

871

For peer review only

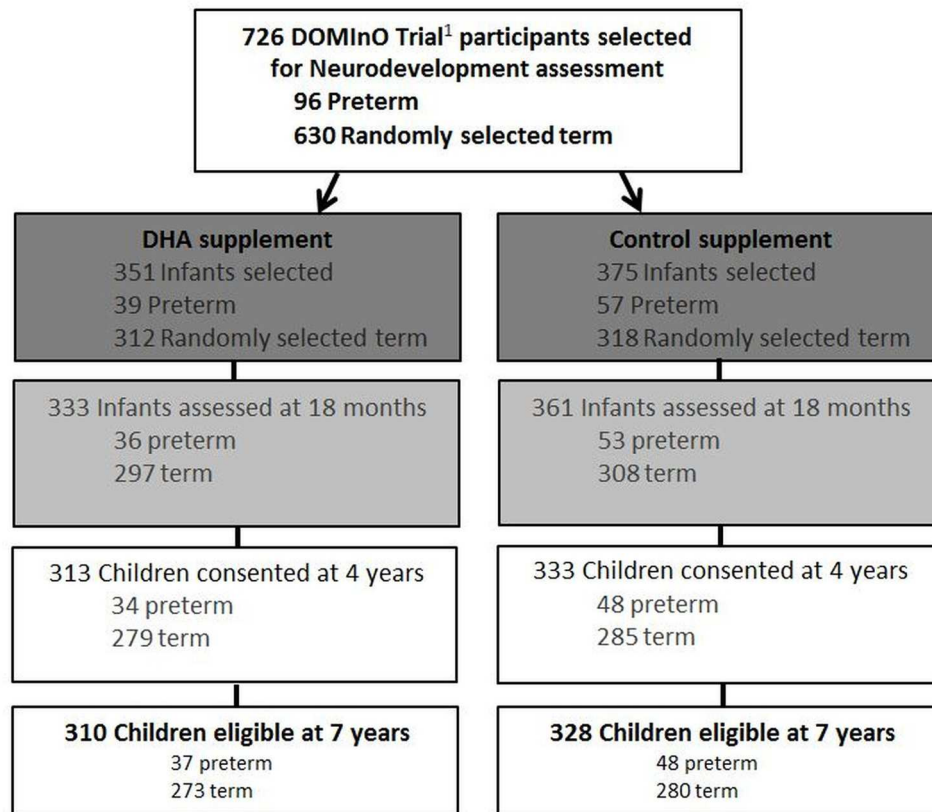


Figure 1. Flow chart of participants selected for neurodevelopment follow-up assessment in the DOMInO Trial

1 Docosahexaenoic acid to Optimise Mother Infant Outcome Trial

204x181mm (300 x 300 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	4
	2b	All items from the World Health Organization Trial Registration Data Set	1-25
Protocol version	3	Date and version identifier	NA
Funding	4	Sources and types of financial, material, and other support	25
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	1-2, 26-27
	5b	Name and contact information for the trial sponsor	NA
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	NA
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	25-27

Introduction

Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	___5-12___
	6b	Explanation for choice of comparators	___9___
Objectives	7	Specific objectives or hypotheses	___12___
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	___9,13___

Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	___13___
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	___9, 13___
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	___9___
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	___NA___
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	___NA___
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	___NA___
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	___14-18___
Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	___Figure, 13___

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	___18-19___
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	___13___

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	___NA___
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	___NA___
Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	___NA___
Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	___13___
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	___13___

Methods: Data collection, management, and analysis

Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	14-16,18-21__
	18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	___NA___

Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	____18-21____
Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	____19-20____
	20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	____19-20____
	20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	____20-21____
Methods: Monitoring			
Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	____NA____
	21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	____NA____
Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	____17____
Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	____NA____
Ethics and dissemination			
Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	____21-22____
Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	____NA____

1				
2				
3	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	21-22
4				
5				
6		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	NA
7				
8				
9	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	22
10				
11				
12	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	25
13				
14				
15	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	22
16				
17				
18	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	NA
19				
20				
21	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	NA
22				
23				
24				
25				
26		31b	Authorship eligibility guidelines and any intended use of professional writers	26-27
27				
28		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	NA
29				
30	Appendices			
31				
32	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	Attached here
33				
34				
35	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	NA
36				
37				

38 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items.
39 Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons
40 “[Attribution-NonCommercial-NoDerivs 3.0 Unported](#)” license.
41
42
43
44
45