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Effect of acupuncture on insulin sensitivity in women with polycystic ovary syndrome and insulin resistance: Study protocol of a prospective observational study

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1	Effect of acupuncture on insulin sensitivity in women with polycystic ovary syndrome
2	and insulin resistance: Study protocol of a prospective observational study
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1 ABSTRACT

- 2 INTRODUCTION: Hyperinsulinemia and insulin resistance are key features of polycystic
- 3 ovary syndrome (PCOS) and metabolic syndrome. The effect of five-weeks of acupuncture
- 4 treatment has been investigated in a completed prospective pilot trial (Clinicaltrials.gov:
- 5 NCT01457209), and acupuncture with electrical stimulation applied to insulin-resistant rats
- 6 with dihydrotestosterone-induced PCOS was shown to improve insulin sensitivity. Therefore,
- 7 we now aim to conduct a prospective observational study to evaluate whether using the same
- 8 acupuncture treatment protocol given over a longer period of time (6 months) than in the
- 9 previous pilot trial will improve insulin sensitivity in women with PCOS and insulin
- 10 resistance (IR). Our hypothesis is that acupuncture with combined manual and low-frequency
- electrical stimulation of the needles will improve insulin sensitivity in women with PCOS and
- 12 IR.
- 13 METHODS/ANALYSIS: This is a prospective observational trial. A total of 112 women with
- PCOS and IR will be recruited and categorized according to their body mass index (BMI) as
- normal weight (BMI = $18.5-23 \text{ kg/m}^2$) or as overweight/obese (BMI > 23 kg/m^2).
- Acupuncture will be applied three times per week for 6 months at 30 minutes per treatment.
- 17 The primary outcome will be the change in insulin sensitivity before and after 6 months of
- acupuncture treatment as measured by an oral glucose tolerance test.
- 19 ETHICS/DISSEMINATION: Ethical approval of this study has been granted from the
- 20 ethics committee of the First Affiliated Hospital of Guangzhou Medical University
- 21 (No. 2013039). Written and informed consent will be obtained from each patient
- before any study procedure is performed according to good clinical practice. The
- 23 results of this trial will be disseminated in a peer-reviewed journal and presented at
- 24 international congresses.
- 25 TRIAL REGISTRATION: ClinicalTrials.gov (NCT02026323) and the Chinese Clinical Trials
- 26 Registry (ChiCTR-OCH-13003921).
- 27 KEY WORDS: acupuncture, insulin resistance, polycystic ovary syndrome

1 Background

- Features of polycystic ovary syndrome (PCOS) include ovulatory dysfunction, clinical and biochemical signs of hyperandrogenism, and the presence of polycystic ovaries upon pelvic
- 4 scanning ¹². PCOS is the most common endocrine and metabolic disorder in reproductive-age
- 5 women ² and is found in 5.6% of Chinese women aged 19–45 years as shown in a recent
- 6 community-based study ¹. The main metabolic phenotype is hyperinsulinemia and insulin
- 7 resistance (IR), which is observed in about 50%-70% of all women with PCOS ^{3 4}.
- 8 Importantly, IR can be present in both lean and obese women with PCOS 56, especially in
- 9 those with hyperandrogenism and ovulatory dysfunction ⁷⁸.
- 10 PCOS causes significant menstrual and fertility issues, but over the past few decades its
- 11 additional metabolic and cardiovascular risks have become apparent. Women with PCOS
- display both IR and reduced insulin responsiveness ⁹ and have at least a 3-fold increased risk
- of developing type 2 diabetes mellitus ¹⁰. IR in women with PCOS has been attributed to
- post-binding defects in adipocytes and skeletal muscle insulin signaling ^{9 11}. Furthermore,
- compensatory hyperinsulinemia fuels ovarian androgen production by stimulating androgen
- production and secretion by theca cells and by reducing sex hormone-binding globulin
- 17 (SHBG) levels, which increases free androgens and further exacerbates PCOS symptoms ¹².
- Thus, there is a strong association between hyperinsulinemia and hyperandrogenemia.
- 19 Overweight/obesity is a common feature of PCOS ¹³. A Korean study showed that 61% of
- women with PCOS were lean, 10.3% were overweight, and 28.4% were obese¹⁴. Obesity
- aggravates most of the metabolic dysfunctions in women with PCOS. Women with comorbid
- PCOS and depression have been found to have higher BMI and IR compared to women with

- 1 PCOS without depression ¹⁵, and this increase in BMI negatively affects quality of life ¹⁶.
- 2 Despite the high prevalence of IR, impaired glucose tolerance, and/or type 2 diabetes mellitus
- 3 in women with PCOS, there is no consensus on the best long-term management of these
- 4 conditions. Pharmacological treatments, including metformin, are symptom oriented and
- 5 usually effective but have unpleasant gastrointestinal side effects. Therefore, it is important to
- 6 evaluate other non-pharmacological treatment strategies because most women with PCOS
- 7 require long-term treatment.
- 8 Acupuncture, which is one of the main treatment modalities of traditional Chinese medicine,
- 9 is increasingly being used in the area of reproductive endocrinology and infertility in many
- different parts of the world. Interestingly, increasing the treatment frequency and the number
- of treatments leads to higher ovulation frequency ¹⁷ indicating the importance of the correct
- treatment dose. In a secondary analysis of the trial by Jedel et al 18, low-frequency
- electro-acupuncture (EA) decreased high plasminogen activator inhibitor 1 (PAI-1) activity
- without affecting insulin sensitivity as measured by the euglycemic hyperinsulinemic clamp ¹⁹.
- 15 Clearly the intensity, frequency, and duration of low-frequency EA treatment in that study
- were too low to affect insulin sensitivity. Support for this assumption comes from our
- 17 experimental studies in the dihydrotestosterone (DHT)-induced PCOS rat model in which we
- 18 demonstrated that low-frequency EA improves whole-body insulin sensitivity in a
- dose-dependent manner ²⁰ ²¹.
- 20 EA induces both systemic and local effects involving intracellular insulin signaling pathways
- 21 in skeletal muscle and adipose tissue ²⁰ ²² ²³. Furthermore, EA has been shown to reduce
- 22 plasma glucose levels by promoting insulin production and to improve insulin sensitivity by

inducing secretion of endogenous β-endorphin in different rodent models of diabetes mellitus ^{24 25}. The potential role of acupuncture in the treatment of women with PCOS and IR has not yet been determined, and this is an important area to investigate because most women with PCOS require long-term treatment. There is one completed prospective pilot trial investigating the effect of five-weeks of acupuncture treatment with combined manual and low-frequency electrical stimulation of the needles (Clinicaltrials.gov: NCT01457209). Therefore, the aim of the proposed study is to investigate the clinical effectiveness of acupuncture on insulin sensitivity in women with PCOS and IR when acupuncture is given over a longer period of time (6 months) than in the previous pilot trial using the same treatment protocol. Our hypothesis is that acupuncture with combined manual and low-frequency electrical stimulation of the needles will improve insulin sensitivity in women with PCOS and IR.

Materials and Methods

Study Design

This is a single-center prospective observational study, and subjects will be enrolled from the
First Affiliated Hospital of Guangzhou Medical University. Ethical approval of this study has
been granted from the ethics committee of the First Affiliated Hospital of Guangzhou Medical
University (No. 2013039). Written and informed consent will be obtained from each patient
before any study procedure is performed according to good clinical practice. The trial has
been registered at ClinicalTrial.gov (NCT02026323) and with the Chinese Clinical Trials
Registry (ChiCTR-OCH-13003921).

Participants

- 3 Subjects will be recruited if they fulfill all of the inclusion criteria and do not meet any of the
- 4 exclusion criteria.

Inclusion criteria

- 7 1) Age between 18 and 40 years.
- 8 2) BMI \geq 18.5 kg/m².
- 9 3) Presence of PCOS as defined by the Rotterdam criteria and including at least two of the following three features:
- Oligomenorrhea or amenorrhea. Oligomenorrhea is defined as an intermenstrual interval >35 days or <8 menstrual bleedings in the past year. Amenorrhea is defined as complete cessation of menstrual cycles for 6 months or more when a patient has previously had regular cycles and for 12 months or more when the patient has had irregular cycles.
 - Clinical or biochemical hyperandrogenism. Biochemical hyperandrogenemia is defined as a total serum testosterone concentration above 60 ng/dL 26 , and clinical hyperandrogenism is defined as a Ferriman–Gallwey (FG) score \geq 5 in mainland China 27 .
 - Polycystic ovary morphology. This is defined as \geq 12 antral follicles (2–9 mm in diameter) or an ovarian volume > 10 mL upon transvaginal scanning ²⁸.
- 4) Presence of IR as defined by the homeostatic model assessment (HOMA-IR: [fasting insulin (μU/mL) × fasting glucose (mmol/L)] / 22.5). A value ≥ 2.14 will be considered

- to be indicative of IR ²⁹.
- 2 5) No desire to bear children and having used barrier methods of contraception for one
- year.
- 4 6) Willingness to sign the consent form.

5 Exclusion criteria

- 6 1) Having other endocrine disorders such as hyperprolactinemia (defined as two prolactin
- 7 levels measured at least one week apart of 25 ng/mL or greater or as determined by local
- 8 normative values), nonclassic congenital adrenal hyperplasia (17-hydroxyprogesterone <
- 9 3 nmol/L), or androgen-secreting tumors.
- 10 2) FSH levels > 15 mIU/mL. A normal level within the last year is adequate for entry.
- 11 3) Uncorrected thyroid disease defined as thyroid stimulating hormone (TSH) < 0.2
- 12 mIU/mL or TSH > 5.5 mIU/mL. A normal level within the last year is adequate for entry.
- 13 4) Type I diabetes mellitus or Type I and Type II patients who are receiving antidiabetic
- medications such as insulin, thiazolidinediones, acarbose, sulfonylureas, or other
- medications that are likely to confound the effects of the study. Patients currently
- 16 receiving metformin for a diagnosis of Type I or Type II diabetes or for PCOS are also
- 17 specifically excluded.
- 18 5) Suspected Cushing's syndrome.
- 19 6) Use of hormones or other medications in the past 3 months, including Chinese herbal
- prescriptions, which might affect the outcome.
- 21 7) Pregnancy within the last 6 weeks.
- 22 8) Post-abortion or postpartum within the last 6 weeks.

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1 9) Breastfeeding within the last 6 months.

- 2 10) Receiving acupuncture treatment related to PCOS within the past 2 months.
- 3 11) Having undergone a bariatric surgery procedure within the past 12 months or being in a
- 4 period of acute weight loss.
- 5 12) Having known congenital adrenal hyperplasia.
- 6 13) Lack of written consent to participate in the study.
- 7 Eligible subjects will be recruited and categorized according to their BMI as normal weight
- 8 (BMI = $18.5-23 \text{ kg/m}^2$) or overweight/obese (BMI $\geq 23 \text{ kg/m}^2$)³⁰.

Interventions

- 11 The acupuncture protocol is based on Western medical theories, and the study protocol
- 12 follows the CONSORT ³¹ and STRICTA ³² recommendations with detailed descriptions of the
- treatment, including the number of needles used, depth of needle insertion, how needles will
- be stimulated (manual or electrical), frequency of sessions, and length of the treatment period.
- 15 Acupuncture will be given according to a fixed protocol by traditional Chinese medicine
- practitioners educated in theoretical and practical acupuncture. The protocol is based on
- 17 experimental studies elucidating the effect of acupuncture in rodent models of diabetes
- mellitus and PCOS ²⁰⁻²² and in women with PCOS ^{19 33}.

20 Acupuncture protocol

- 21 Acupuncture will be given three times per week over 6 months for a total of 80 sessions.
- 22 Disposable, sterilized needles for single use made of stainless steel (0.20 mm × 30 mm and

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1	$0.20~\text{mm} \times 40/50~\text{mm}$; Hwoto, Suzhou Medical Appliance Fact., 215005 Suzhou, China) will
2	be inserted to a depth of 15 mm to 35 mm in segmental acupuncture points located in the
3	abdominal and leg muscles with innervations corresponding to the ovaries and pancreas that
4	have been shown to improve insulin sensitivity in rodents. Two sets of acupuncture points
5	will be alternated for every other treatment session. The two acupuncture protocols follow the
6	STRICTA recommendations and are presented in Table 1. The rationale for using the
7	traditional nomenclature of acupuncture points is that they are well described in the literature,
8	and acupuncturists trained according to medical acupuncture theories or trained in classic
9	traditional Chinese medicine theories all know the locations of such points and how the
10	needles should be inserted. Thus, using the acupuncture name/number makes it easier for all
11	practitioners to know where the needles were placed. All needles will be stimulated manually
12	by rotation until needle sensation is evoked, which is indicative of activation of a-delta and
13	c-fibers when the needles are inserted. Needles placed in the abdominal muscles and leg
14	muscles will be attached to an electrical stimulator (Export Abteilung, Schwa-Medico GmbH,
15	Germany) and electrically stimulated at 2 Hz for 30 min during each treatment session.
16	Needles not connected to the electrical stimulator will be stimulated manually 4 times during
17	each 30 min treatment session (Table 1).
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19	Study Procedures

The trial has two phases. The first phase is the 6 months of acupuncture treatment, and the second phase is 6 months of follow-up (Figure 1). Each specific visit and measurement is

- $(\mu U/mL) \times$ fasting glucose (mmol/L)] / 22.5) \geq 2.14 will be included and divided into the
- 3 normal weight (BMI = $18.5-23 \text{ kg/m}^2$) group and the overweight/obese (BMI $\geq 23 \text{ kg/m}^2$)
- 4 group. After inclusion and baseline measurements, acupuncture treatment will start and last
- 5 for 6 months followed by 6 months of follow_up.
- 6 Outcome measurements
- 7 Primary outcome

- 8 The primary outcome will be the changes in HOMA-IR between baseline and after 6 months
- 9 of acupuncture treatment and between baseline and the 6-month follow-up. The oral glucose
- tolerance test (OGTT) with 75 g glucose will be performed in all subjects after an overnight
- fast. Blood samples will be obtained to measure plasma glucose and serum insulin at 0, 60,
- and 120 min during the OGTT.

14 Secondary outcomes

- 15 1. HOMA-B: Islet β -cell function will be evaluated by the formula (20 \times fasting insulin
- (mU/mL) / (fasting plasma glucose (mmol/L) 3.5)) ³⁴ and by the C-peptide index
- 17 (CPI), which is measured as (fasting C-peptide (nmol/L) / fasting plasma glucose
- $(\text{mmol/L}) \times 100)^{35}$.
- 19 2. The insulin response to glucose will be assessed by calculating the area under the curve
- during the OGTT for glucose (AUC_{glu}) and insulin (AUC_{ins}) using the trapezoidal rule³⁶.
- 3. Menstrual bleeding patterns will be evaluated.
- 22 4. Body composition will be determined as weight, height, waist-to-hip circumference, and

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BMI.

- 2 5. Metabolic measures will include HbA1c, C-peptide, adiponectin, fasting cholesterol,
- fasting triglycerides (TG), ApoA1, ApoB, and blood pressure.
- 4 6. Endocrine measures will include hirsutism as assessed by Ferriman–Gallwey (FG) score,
- 5 the presence of acne, and serum concentrations of testosterone, sex hormone-binding
- 6 globulin (SHBG), follicle stimulating hormone (FSH), luteinizing hormone (LH), and
- 7 dehydroepiandrosterone sulfate (DHEAS).
- 8 7. Questionnaires will include the short form-36 (SF36) 37, the PCOS questionnaire
- 9 (PCOSQ) ³⁸, generic and diagnosis-specific health-related quality of life questionnaires,
- and the Chinese Quality of Life (ChQOL) questionnaire ³⁹. Symptoms of anxiety and
- depression will be assessed by the Zung Self-Rating Anxiety Scale (Zung SAS) and the
- Zung Self-Rating Depression Scale (Zung SDS) 40. In addition, the International
- Physical Activity Questionnaire (IPAQ) 41 written in Chinese will be used to measure the
- frequency and duration of moderate physical activity every week.
- 15 8. Adverse events will be recorded.

17 Data entry and quality control of data

- 18 Case report forms will be developed for data entry, and quality control of the data will be
- 19 handled at two different levels. The investigators will be required to ensure the accuracy of
- the data as the first level of control, and the second level will include data monitoring and
- validation that will be carried out on a regular basis throughout the study.

- 2 Our previous study on treating obese PCOS women with abdominal acupuncture showed that
- 3 the HOMA-IR was significantly reduced after treatment (3.9 \pm 1.4) compared to baseline
- 4 measurements $(2.5 \pm 1.7)^{33}$. A HOMA-IR ≥ 2.14 was considered to be abnormal ²⁹. If we
- 5 assume a more moderate 20% reduction in HOMA-IR, i.e., a reduction by 0.78, we will have
- 6 to recruit 40 overweight or obese subjects and 40 normal-weight subjects. With an estimated
- 7 40% drop out rate, we plan to recruit 56 normal weight and 56 overweight/obese women with
- 8 PCOS.

One sample of the Kolmogorov-Smirnov test will be used to test the normal distribution of

11 continuous variables. Continuous variables will be shown as means \pm standard deviations if

they are normally distributed or as medians with interquartile ranges if they are not normally

distributed. Statistical comparisons will be carried out according to the intention to treat by

Student's t-test, Mann-Whitney U-test, and Wilcoxon signed ranks test for continuous

variables and by χ^2 tests for categorical variables where appropriate. All statistical analyses of

the data will be performed using the SPSS program version 21.0 (SPSS Inc., Chicago, IL,

USA), and a P-value ≤ 0.05 will be considered statistically significant.

Safety and ethical considerations

- 20 Acupuncture is a safe procedure, and few side effects have been reported. The major risks of
- 21 acupuncture are local skin irritation, discomfort, and vasovagal reactions during the procedure.
- 22 The women who agree to participate in the study will sign a consent form. The study has been

1	approved by the ethics committee of the First Affiliated Hospital of Guangzhou Medical
2	University (No. 2013-039).
3	
4	Discussion
5	Acupuncture has been used in the treatment of women with PCOS ^{17-19 33} , but the effect of
6	acupuncture on IR in women with PCOS is still unknown. This prospective observational
7	study has been designed, therefore, to evaluate whether acupuncture improves insulin
8	sensitivity in women with PCOS and IR. The research seeks to add significantly to the clinical
9	evidence base and to allow conclusions to be made on the role of acupuncture in the treatment
10	of PCOS.
11	A limitation of the present study is that it is a single-center study without comparison groups,
12	and it can be argued that it lacks scientific rigor because of this. To increase the validity of the
13	study, we will have experts controlling the quality of study procedure through regular site
14	visits. The experts will monitor the recruitment of study subjects, the measurement and
15	treatment procedures, and the data analysis. We plan to conduct a randomized_controlled_trial
16	at a later data using standard care as a control.
17	This study was conceived and designed in 2013, and the first subject was recruited on
18	February 18, 2014. At the time of this manuscript submission, 368 women with PCOS and IR
19	had been recruited, and at the time of revision and resubmission 83 women had been
20	recruited.
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- 2 Apoal: Apolipoprotein A1; ApoB: Apolipoprotein B; C: Cervical vertebra; CV: Conception
- 3 vessel; DHEAS: Dehydroepiandrosterone sulfate; E2: Estradiol; EA: Electro-acupuncture;
- 4 FSH: Follicle-stimulating hormone; FG: Ferriman-Gallwey score; GDR: Glucose disposal
- 5 rate; IR: insulin resistance; L: Lumbar vertebra; LH: Luteinizing hormone; LI: Large intestine;
- 6 LR: Liver; PC: Pericardium; PCOS: Polycystic ovary syndrome; S: Sacral vertebra; SP:
- 7 Spleen; ST: Stomach; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total
- 8 cholesterol; TG: Triglyceride; Th: Thoracic vertebra; OGTT: Oral glucose tolerance test.

10 Competing interests

11 The authors declare that they have no competing interests.

Authors' contributions

- 14 YHZ, ESV, and EHNG contributed equally to this work, ESV, EHNG, and HMX conceived
- and designed the study. YHZ, EHNG, and ESV drafted and critically revised the manuscript
- 16 for important intellectual content. HXM sought funding and ethical approval. All authors
- 17 contributed to the further writing of the manuscript and approved the final manuscript.

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Table 1: Acupuncture points, stimulation, localization, tissue in which needles are inserted, and innervation areas. The two sets will be alternated for every other treatment.

Acupuncture	Stimulation	Localization	Muscle	Muscle
point			innervation	
Set 1				
Zhongji; CV3	EA	4 cun caudal to the umbilicus	Fibrous tissue, linea alba	L1
Zhongwan; CV12	EA	On the midline, 4 cun superior to the umbilicus	Fibrous tissue, linea alba	Th7-8
Guilai; ST29 Bilateral	EA	1 cun cranial to the pubic bone and 2 cun lateral of the midline	M. rectus abdominis	Th6-12
Liangqiu; ST34 Bilateral	EA	2 cun above the superior lateral border of the patella on the line	M. quadriceps femoris	femoral nerve
		connecting the anterior superior iliac spine found with the knee flexed		
Yinshi; ST33 Bilateral	EA	3 cun above the superior lateral border of the patella on the line connecting the anterior superior iliac spine found with the knee flexed	M. quadriceps femoris	femoral nerve
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the <i>medial malleolus</i>	Mm. flexor digitorum longus, tibialis posterior	L4–5, S1–2
Zusanli; ST36	DeQi four times	On the anterior lateral side of the leg, 3 cun below <i>Dubi</i> (ST35), one finger width (middle finger) from the anterior crest of the tibia	musculi tibialis anterior	L4–5, S1
Hegu; LI4	DeQi four times	On the highest point at <i>m. interosseus</i> dorsalis	Mm. interosseus dorsalis I, lumbricalis II, adductor pollicis	C8, Th1

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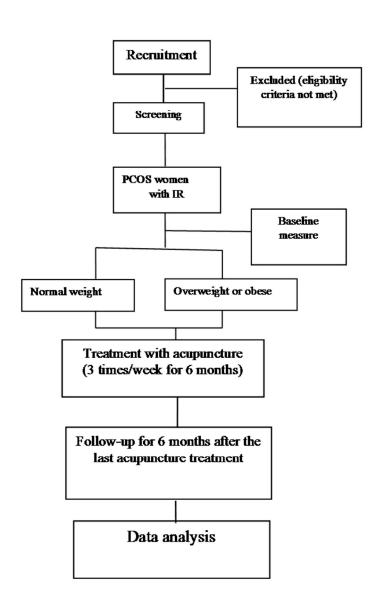
Set 2						
Daju; ST27	EA	3 cun cranial to the pubic bone and 2 cun lateral to the midline	pubic bone and 2 cun abdominis			
Qihai; CV6	EA	1.5 cun caudal to the umbilicus				
Xiawan; CV10	EA	2 cun cranial to the umbilicus	Fibrous tissue, linea alba	Th8		
Extra meridian point	EA	6 cun above the patella in line with SP10	M. quadriceps femoris	L2–L4		
Xuehai; SP10	EA	With the knee flexed, on the medial side of the thigh 2 cun above the superior medial corner of the patella on the prominence of the medial head of the quadriceps muscle of the thigh	M. quadriceps femoris	L2–L4		
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the medial malleolus	Mm. flexor digitorum longus, tibialis posterior	L4–5, S1–2		
Taichong; LR3	DeQi four times	Between metatarsal I & II, just distal to the caput	M. interosseus dorsalis I	S2-3		
Neiguan; PC6	DeQi four times	2 cun proximal to the processus styloideus radii, between the tendons of the palmaris longus and the flexor carpi radialis	M. flexor digitorum superficialis	C8, Th1		

(C: Cervical vertebra; CV: Conception vessel; L: Lumbar vertebra; LI: Large intestine; LR:

Liver; PC: Pericardium; S: Sacral vertebra; SP: Spleen; ST: Stomach; Th: Thoracic vertebra)

	Screening and			Mo	nth			Follow-up 6 th
	base line visit	1 st	2 nd	3 rd	4 th	5 th	6 th	month
Body composition (weight,	√	V	V	V	V	V	V	√
height, waist circumference,								
hip circumference)								
Menstrual cycle diary	V	√	√	√	√	√	√	\checkmark
Fasting blood samples for	1							\checkmark
Apoal, ApoB, TC, TG,								
C-peptide, HbA1c								
Fasting blood samples for	V							$\sqrt{}$
FSH, LH, SHBG, T, E2, P,								
DHEAS								
Transvaginal ultrasound	V						√	$\sqrt{}$
Questionnaire	V						√	\checkmark
FG/acne	V	7	√	7	V	7	√	\checkmark
OGTT	$\sqrt{}$			√			\checkmark	√

(Apoa1: Apolipoprotein A1; ApoB: Apolipoprotein B; DHEAS: Dehydroepiandrosterone sulfate; E2: Estradiol; FSH: Follicle-stimulating hormone; FG: Ferriman–Gallwey score; LH: Luteinizing hormone; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total cholesterol; TG: Triglyceride; OGTT: Oral glucose tolerance test.)



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How does acupuncture affect insulin sensitivity in women with polycystic ovary syndrome and insulin resistance? Study protocol of a prospective pilot study

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How does acupuncture affect insulin sensitivity in women with polycystic ovary syndrome and insulin resistance? Study protocol of a prospective pilot study
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1 ABSTRACT

2 INTRODUCTION: Hyperinsulinemia and insulin resistance are key features of polycystic

3 ovary syndrome (PCOS) and metabolic syndrome. The effect of five-weeks of acupuncture

4 treatment has been investigated in a completed prospective pilot trial (Clinicaltrials.gov:

5 NCT01457209), and acupuncture with electrical stimulation applied to insulin-resistant rats

6 with dihydrotestosterone-induced PCOS was shown to improve insulin sensitivity. Therefore,

7 we now aim to conduct a prospective pilot study to evaluate whether using the same

8 acupuncture treatment protocol given over a longer period of time (6 months) than in the

previous pilot trial will improve insulin sensitivity in women with PCOS and insulin

10 resistance (IR). Our hypothesis is that acupuncture with combined manual and low-frequency

electrical stimulation of the needles will improve insulin sensitivity in women with PCOS and

12 IR.

METHODS/ANALYSIS: This is a prospective pilot trial. A total of 112 women with PCOS

and IR will be recruited and categorized according to their body mass index (BMI) as normal

weight (BMI = $18.5-23 \text{ kg/m}^2$) or as overweight/obese (BMI > 23 kg/m^2). Acupuncture will

be applied three times per week for 6 months at 30 minutes per treatment. The primary

17 outcome will be the change in insulin sensitivity before and after 6 months of acupuncture

treatment as measured by an oral glucose tolerance test.

19 ETHICS/DISSEMINATION: Ethical approval of this study has been granted from the

20 ethics committee of the First Affiliated Hospital of Guangzhou Medical University

21 (No. 2013039). Written and informed consent will be obtained from each patient

before any study procedure is performed according to good clinical practice. The

23 results of this trial will be disseminated in a peer-reviewed journal and presented at

24 international congresses.

25 TRIAL REGISTRATION: Clinical Trials.gov (NCT02026323) and the Chinese Clinical Trials

26 Registry (ChiCTR-OCH-13003921).

27 KEY WORDS: acupuncture, insulin resistance, polycystic ovary syndrome

Background

2	Features of polycystic ovary syndrome (PCOS) include ovulatory dysfunction, clinical and
3	biochemical signs of hyperandrogenism, and the presence of polycystic ovaries upon pelvic
4	scanning ¹² . PCOS is the most common endocrine and metabolic disorder in reproductive-age
5	women ² and is found in 5.6% of Chinese women aged 19-45 years as shown in a recent
6	community-based study ¹ . The main metabolic phenotype is hyperinsulinemia and insulin
7	resistance (IR), which is observed in about 50%-70% of all women with PCOS ^{3 4} .
8	Importantly, IR can be present in both lean and obese women with PCOS 56, especially in
9	those with hyperandrogenism and ovulatory dysfunction ⁷⁸ .
10	PCOS causes significant menstrual and fertility issues, but over the past few decades its
11	additional metabolic and cardiovascular risks have become apparent. Women with PCOS
12	display both IR and reduced insulin responsiveness 9 and have at least a 3-fold increased risk
13	of developing type 2 diabetes mellitus ¹⁰ . IR in women with PCOS has been attributed to
14	post-binding defects in adipocytes and skeletal muscle insulin signaling 9 11. Furthermore,
15	compensatory hyperinsulinemia fuels ovarian androgen production by stimulating androgen
16	production and secretion by theca cells and by reducing sex hormone-binding globulin
17	(SHBG) levels, which increases free androgens and further exacerbates PCOS symptoms ¹² .
18	Thus, there is a strong association between hyperinsulinemia and hyperandrogenemia.
19	Overweight/obesity is a common feature of PCOS ¹³ . A Korean study showed that 61% of
20	women with PCOS were lean, 10.3% were overweight, and 28.4% were obese ¹⁴ . Obesity
21	aggravates most of the metabolic dysfunctions in women with PCOS. Women with comorbid
22	PCOS and depression have been found to have higher BMI and IR compared to women with

1 PCOS without depression ¹⁵, and this increase in BMI negatively affects quality of life ¹⁶.

2 Despite the high prevalence of IR, impaired glucose tolerance, and/or type 2 diabetes mellitus

3 in women with PCOS, there is no consensus on the best long-term management of these

4 conditions. Pharmacological treatments, including metformin, are symptom oriented and

usually effective but have unpleasant gastrointestinal side effects. Therefore, it is important to

evaluate other non-pharmacological treatment strategies because most women with PCOS

7 require long-term treatment.

8 Acupuncture, which is one of the main treatment modalities of traditional Chinese medicine,

is increasingly being used in the area of reproductive endocrinology and infertility in many

different parts of the world. Interestingly, increasing the treatment frequency and the number

of treatments leads to higher ovulation frequency ¹⁷ indicating the importance of the correct

12 treatment dose. In a secondary analysis of the trial by Jedel et al 18, low-frequency

electro-acupuncture (EA) decreased high plasminogen activator inhibitor 1 (PAI-1) activity

without affecting insulin sensitivity as measured by the euglycemic hyperinsulinemic clamp ¹⁹.

15 Clearly the intensity, frequency, and duration of low-frequency EA treatment in that study

were too low to affect insulin sensitivity. Support for this assumption comes from our

17 experimental studies in the dihydrotestosterone (DHT)-induced PCOS rat model in which we

demonstrated that low-frequency EA improves whole-body insulin sensitivity in a

dose-dependent manner ^{20 21}.

20 EA induces both systemic and local effects involving intracellular insulin signaling pathways

21 in skeletal muscle and adipose tissue ²⁰ ²² ²³. Furthermore, EA has been shown to reduce

22 plasma glucose levels by promoting insulin production and to improve insulin sensitivity by

inducing secretion of endogenous β-endorphin in different rodent models of diabetes mellitus ^{24 25}. The potential role of acupuncture in the treatment of women with PCOS and IR has not yet been determined, and this is an important area to investigate because most women with PCOS require long-term treatment. There is one completed prospective pilot trial investigating the effect of five-weeks of acupuncture treatment with combined manual and low-frequency electrical stimulation of the needles (Clinicaltrials.gov: NCT01457209). Therefore, the aim of the proposed study is to investigate the clinical effectiveness of acupuncture on insulin sensitivity in women with PCOS and IR when acupuncture is given over a longer period of time (6 months) than in the previous trial using the same treatment protocol. Our hypothesis is that acupuncture with combined manual and low-frequency electrical stimulation of the needles will improve insulin sensitivity in women with PCOS and IR.

Materials and Methods

15 Study Design

This is a single-center prospective pilot study, and subjects will be enrolled from the First

Affiliated Hospital of Guangzhou Medical University. Ethical approval of this study has been

granted from the ethics committee of the First Affiliated Hospital of Guangzhou Medical

University (No. 2013039). Written and informed consent will be obtained from each patient

before any study procedure is performed according to good clinical practice. The trial has

been registered at ClinicalTrial.gov (NCT02026323) and with the Chinese Clinical Trials

Registry (ChiCTR-OCH-13003921).

Participants

- 3 Subjects will be recruited if they fulfill all of the inclusion criteria and do not meet any of the
- 4 exclusion criteria.

Inclusion criteria

- 7 1) Age between 18 and 40 years.
- 8 2) BMI \geq 18.5 kg/m².
- 9 3) Presence of PCOS as defined by the Rotterdam criteria and including at least two of the following three features:
- Oligomenorrhea or amenorrhea. Oligomenorrhea is defined as an intermenstrual interval >35 days or <8 menstrual bleedings in the past year. Amenorrhea is defined as complete cessation of menstrual cycles for 6 months or more when a patient has previously had regular cycles and for 12 months or more when the patient has had irregular cycles.
 - Clinical or biochemical hyperandrogenism. Biochemical hyperandrogenemia is defined as a total serum testosterone concentration above 60 ng/dL 26 , and clinical hyperandrogenism is defined as a Ferriman–Gallwey (FG) score \geq 5 in mainland China 27 .
 - Polycystic ovary morphology. This is defined as ≥ 12 antral follicles (2–9 mm in diameter) or an ovarian volume > 10 mL upon transvaginal scanning 28 .
- 4) Presence of IR as defined by the homeostatic model assessment (HOMA-IR: [fasting insulin (μU/mL) × fasting glucose (mmol/L)] / 22.5). A value ≥ 2.14 will be considered

- to be indicative of IR 29 .
- 2 5) No desire to bear children and having used barrier methods of contraception for one
- year.
- 4 6) Willingness to sign the consent form.

5 Exclusion criteria

- 6 1) Having other endocrine disorders such as hyperprolactinemia (defined as two prolactin
- 7 levels measured at least one week apart of 25 ng/mL or greater or as determined by local
- 8 normative values), nonclassic congenital adrenal hyperplasia (17-hydroxyprogesterone <
- 9 3 nmol/L), or androgen-secreting tumors.
- 10 2) FSH levels > 15 mIU/mL. A normal level within the last year is adequate for entry.
- 11 3) Uncorrected thyroid disease defined as thyroid stimulating hormone (TSH) < 0.2
- 12 mIU/mL or TSH > 5.5 mIU/mL. A normal level within the last year is adequate for entry.
- 13 4) Type I diabetes mellitus or Type I and Type II patients who are receiving antidiabetic
- medications such as insulin, thiazolidinediones, acarbose, sulfonylureas, or other
- medications that are likely to confound the effects of the study. Patients currently
- 16 receiving metformin for a diagnosis of Type I or Type II diabetes or for PCOS are also
- 17 specifically excluded.
- 18 5) Suspected Cushing's syndrome.
- 19 6) Use of hormones or other medications in the past 3 months, including Chinese herbal
- prescriptions, which might affect the outcome.
- 21 7) Pregnancy within the last 6 weeks.
- 22 8) Post-abortion or postpartum within the last 6 weeks.

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- 2 10) Receiving acupuncture treatment related to PCOS within the past 2 months.
- 3 11) Having undergone a bariatric surgery procedure within the past 12 months or being in a
- 4 period of acute weight loss.

- 5 12) Having known congenital adrenal hyperplasia.
- 6 13) Lack of written consent to participate in the study.
- 7 Eligible subjects will be recruited and categorized according to their BMI as normal weight
- 8 (BMI = $18.5-23 \text{ kg/m}^2$) or overweight/obese (BMI $\ge 23 \text{ kg/m}^2$)³⁰.

Interventions

- 11 The acupuncture protocol is based on Western medical theories, and the study protocol
- 12 follows the CONSORT ³¹ and STRICTA ³² recommendations with detailed descriptions of the
- treatment, including the number of needles used, depth of needle insertion, how needles will
- be stimulated (manual or electrical), frequency of sessions, and length of the treatment period.
- 15 Acupuncture will be given according to a fixed protocol by traditional Chinese medicine
- practitioners educated in theoretical and practical acupuncture. The protocol is based on
- 17 experimental studies elucidating the effect of acupuncture in rodent models of diabetes
- mellitus and PCOS ²⁰⁻²² and in women with PCOS ^{19 33}.

20 Acupuncture protocol

- Acupuncture will be given three times per week over 6 months for a total of 80 sessions.
- 22 Disposable, sterilized needles for single use made of stainless steel (0.20 mm × 30 mm and

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1	$0.20~\text{mm} \times 40/50~\text{mm}$; Hwoto, Suzhou Medical Appliance Fact., 215005 Suzhou, China) will
2	be inserted to a depth of 15 mm to 35 mm in segmental acupuncture points located in the
3	abdominal and leg muscles with innervations corresponding to the ovaries and pancreas that
4	have been shown to improve insulin sensitivity in rodents. Two sets of acupuncture points
5	will be alternated for every other treatment session. The two acupuncture protocols follow the
6	STRICTA recommendations and are presented in Table 1. The rationale for using the
7	traditional nomenclature of acupuncture points is that they are well described in the literature,
8	and acupuncturists trained according to medical acupuncture theories or trained in classic
9	traditional Chinese medicine theories all know the locations of such points and how the
10	needles should be inserted. Thus, using the acupuncture name/number makes it easier for all
11	practitioners to know where the needles were placed. All needles will be stimulated manually
12	by rotation until needle sensation is evoked, which is indicative of activation of a-delta and
13	c-fibers when the needles are inserted. Needles placed in the abdominal muscles and leg
14	muscles will be attached to an electrical stimulator (Export Abteilung, Schwa-Medico GmbH,
15	Germany) and electrically stimulated at 2 Hz for 30 min during each treatment session.
16	Needles not connected to the electrical stimulator will be stimulated manually 4 times during
17	each 30 min treatment session (Table 1).
18	
19	Study Procedures
20	The trial has two phases. The first phase is the 6 months of acupuncture treatment, and the
21	second phase is 6 months of follow-up (Figure 1). Each specific visit and measurement is
22	summarized in Table 2

- 1 The women in the study will be screened for IR. Those with a HOMA-IR ([fasting insulin
- ($\mu U/mL$) × fasting glucose (mmol/L)] / 22.5) \geq 2.14 will be included and divided into the
- 3 normal weight (BMI = $18.5-23 \text{ kg/m}^2$) group and the overweight/obese (BMI $\geq 23 \text{ kg/m}^2$)
- 4 group. After inclusion and baseline measurements, acupuncture treatment will start and last
- 5 for 6 months followed by 6 months of follow-up.
- 6 Outcome measurements
- 7 Primary outcome
- 8 The primary outcome will be the changes in HOMA-IR between baseline and after 6 months
- 9 of acupuncture treatment and between baseline and the 6-month follow-up. The oral glucose
- tolerance test (OGTT) with 75 g glucose will be performed in all subjects after an overnight
- fast. Blood samples will be obtained to measure plasma glucose and serum insulin at 0, 60,
- and 120 min during the OGTT.
- 14 Secondary outcomes

- 15 1. HOMA-B: Islet β -cell function will be evaluated by the formula (20 \times fasting insulin
- (mU/mL) / (fasting plasma glucose (mmol/L) 3.5)) ³⁴ and by the C-peptide index
- 17 (CPI), which is measured as (fasting C-peptide (nmol/L) / fasting plasma glucose
- $(\text{mmol/L}) \times 100)^{35}$.
- 19 2. The insulin response to glucose will be assessed by calculating the area under the curve
- during the OGTT for glucose (AUC_{glu}) and insulin (AUC_{ins}) using the trapezoidal rule³⁶.
- 3. Menstrual bleeding patterns will be evaluated.
- 22 4. Body composition will be determined as weight, height, waist-to-hip circumference, and

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- 2 5. Metabolic measures will include HbA1c, C-peptide, adiponectin, fasting cholesterol,
- fasting triglycerides (TG), ApoA1, ApoB, and blood pressure.
- 4 6. Endocrine measures will include hirsutism as assessed by Ferriman–Gallwey (FG) score,
- 5 the presence of acne, and serum concentrations of testosterone, sex hormone-binding
- 6 globulin (SHBG), follicle stimulating hormone (FSH), luteinizing hormone (LH), and
- 7 dehydroepiandrosterone sulfate (DHEAS).
- 8 7. Questionnaires will include the short form-36 (SF36) 37, the PCOS questionnaire
- 9 (PCOSQ) 38, generic and diagnosis-specific health-related quality of life questionnaires,
- and the Chinese Quality of Life (ChQOL) questionnaire ³⁹. Symptoms of anxiety and
- depression will be assessed by the Zung Self-Rating Anxiety Scale (Zung SAS) and the
- 2 Zung Self-Rating Depression Scale (Zung SDS) 40. In addition, the International
- Physical Activity Questionnaire (IPAQ) 41 written in Chinese will be used to measure the
- frequency and duration of moderate physical activity every week.
- 15 8. Adverse events will be recorded.

17 Data entry and quality control of data

- 18 Case report forms will be developed for data entry, and quality control of the data will be
- 19 handled at two different levels. The investigators will be required to ensure the accuracy of
- the data as the first level of control, and the second level will include data monitoring and
- validation that will be carried out on a regular basis throughout the study.

- 2 Our previous study on treating obese PCOS women with abdominal acupuncture showed that
- 3 the HOMA-IR was significantly reduced after treatment (3.9 \pm 1.4) compared to baseline
- 4 measurements $(2.5 \pm 1.7)^{33}$. A HOMA-IR ≥ 2.14 was considered to be abnormal ²⁹. If we
- 5 assume a more moderate 20% reduction in HOMA-IR, i.e., a reduction by 0.78, we will have
- 6 to recruit 40 overweight or obese subjects and 40 normal-weight subjects. With an estimated
- 7 40% drop out rate, we plan to recruit 56 normal weight and 56 overweight/obese women with
- 8 PCOS.

One sample of the Kolmogorov-Smirnov test will be used to test the normal distribution of

11 continuous variables. Continuous variables will be shown as means \pm standard deviations if

they are normally distributed or as medians with interquartile ranges if they are not normally

distributed. Statistical comparisons will be carried out according to the intention to treat by

Student's t-test, Mann-Whitney U-test, and Wilcoxon signed ranks test for continuous

variables and by χ^2 tests for categorical variables where appropriate. All statistical analyses of

the data will be performed using the SPSS program version 21.0 (SPSS Inc., Chicago, IL,

USA), and a P-value ≤ 0.05 will be considered statistically significant.

Safety and ethical considerations

- 20 Acupuncture is a safe procedure, and few side effects have been reported. The major risks of
- 21 acupuncture are local skin irritation, discomfort, and vasovagal reactions during the procedure.
- 22 The women who agree to participate in the study will sign a consent form. The study has been

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1	approved by the ethics committee of the First Affiliated Hospital of Guangzhou Medical
2	University (No. 2013-039).
3	
4	Discussion
5	Acupuncture has been used in the treatment of women with PCOS ^{17-19 33} , but the effect of
6	acupuncture on IR in women with PCOS is still unknown. This prospective pilot study has
7	been designed, therefore, to evaluate whether acupuncture improves insulin sensitivity in
8	women with PCOS and IR. The research seeks to add significantly to the clinical evidence
9	base and to allow conclusions to be made on the role of acupuncture in the treatment of
10	PCOS.
11	A limitation of the present study is that it is a single-center study without comparison groups,
12	and it can be argued that it lacks scientific rigor because of this. To increase the validity of the
13	study, we will have experts controlling the quality of study procedure through regular site
14	visits. The experts will monitor the recruitment of study subjects, the measurement and
15	treatment procedures, and the data analysis. We plan to conduct a randomized controlled trial
16	at a later data using standard care as a control.
17	This study was conceived and designed in 2013, and the first subject was recruited on
18	February 18, 2014. At the time of this manuscript submission, 368 women with PCOS and IR
19	had been recruited, and at the time of revision and resubmission 83 women had been
20	recruited.
21	
22	

1	Abbre	viations

- 2 Apoal: Apolipoprotein A1; ApoB: Apolipoprotein B; C: Cervical vertebra; CV: Conception
- 3 vessel; DHEAS: Dehydroepiandrosterone sulfate; E2: Estradiol; EA: Electro-acupuncture;
- 4 FSH: Follicle-stimulating hormone; FG: Ferriman-Gallwey score; GDR: Glucose disposal
- 5 rate; IR: insulin resistance; L: Lumbar vertebra; LH: Luteinizing hormone; LI: Large intestine;
- 6 LR: Liver; PC: Pericardium; PCOS: Polycystic ovary syndrome; S: Sacral vertebra; SP:
- 7 Spleen; ST: Stomach; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total
- 8 cholesterol; TG: Triglyceride; Th: Thoracic vertebra; OGTT: Oral glucose tolerance test.

Competing interests

11 The authors declare that they have no competing interests.

Authors' contributions

- 14 YHZ, ESV, and EHNG contributed equally to this work, ESV, EHNG, and HMX conceived
- and designed the study. YHZ, EHNG, and ESV drafted and critically revised the manuscript
- 16 for important intellectual content. HXM sought funding and ethical approval. All authors
- 17 contributed to the further writing of the manuscript and approved the final manuscript.

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 Table 1: Acupuncture points, stimulation, localization, tissue in which needles are inserted,

and innervation areas. The two sets will be alternated for every other treatment.

Acupuncture Stimulation Localization point		Localization	Muscle	Muscle innervation	
Set 1				milet vacion	
Zhongji; CV3	EA	4 cun caudal to the umbilicus	Fibrous tissue, linea alba	L1	
Zhongwan; CV12	EA	On the midline, 4 cun superior to the umbilicus	Fibrous tissue, linea alba	Th7-8	
Guilai; ST29 Bilateral	EA	1 cun cranial to the pubic bone and 2 cun lateral of the midline	M. rectus abdominis	Th6-12	
Liangqiu; ST34 Bilateral	EA	2 cun above the superior lateral border of the patella on the line connecting the anterior superior iliac spine found with the knee flexed	M. quadriceps femoris	femoral nerve	
Yinshi; ST33 Bilateral	EA	3 cun above the superior lateral border of the patella on the line connecting the anterior superior iliac spine found with the knee flexed	M. quadriceps femoris	femoral nerve	
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the <i>medial malleolus</i>	Mm. flexor digitorum longus, tibialis posterior	L4–5, S1–2	
Zusanli; ST36	DeQi four times	On the anterior lateral side of the leg, 3 cun below <i>Dubi</i> (ST35), one finger width (middle finger) from the anterior crest of the tibia	musculi tibialis anterior	L4–5, S1	
Hegu; LI4	DeQi four times	On the highest point at <i>m. interosseus</i> dorsalis	Mm. interosseus dorsalis I, lumbricalis II, adductor pollicis	C8, Th1	

Set 2				
Daju; ST27	EA	3 cun cranial to the pubic bone and 2 cun lateral to the midline	M. rectus abdominis	Th6-12
Qihai; CV6	EA	1.5 cun caudal to the umbilicus	1.5 cun caudal to the Fibrous tissue,	
Xiawan; CV10	EA	2 cun cranial to the umbilicus	Fibrous tissue, linea alba	Th8
Extra meridian point	EA	6 cun above the patella in line with SP10	M. quadriceps femoris	L2–L4
Xuehai; SP10	EA	With the knee flexed, on the medial side of the thigh 2 cun above the superior medial corner of the patella on the prominence of the medial head of the quadriceps muscle of the thigh	M. quadriceps femoris	L2-L4
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the medial malleolus	Mm. flexor digitorum longus, tibialis posterior	L4-5, S1-2
Taichong; LR3	DeQi four times	Between metatarsal I & II, just distal to the caput	M. interosseus dorsalis I	S2-3
Neiguan; PC6	DeQi four times	2 cun proximal to the processus styloideus radii, between the tendons of the palmaris longus and the flexor carpi radialis	M. flexor digitorum superficialis	C8, Th1

2 Liver; PC: Pericardium; S: Sacral vertebra; SP: Spleen; ST: Stomach; Th: Thoracic vertebra)

1 Table 2 . Overview of study visits, including screening, baseline mea
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- 2 after-treatment measurements, and follow-up measurements. Months indicate when each
- 3 specific measurement takes place.

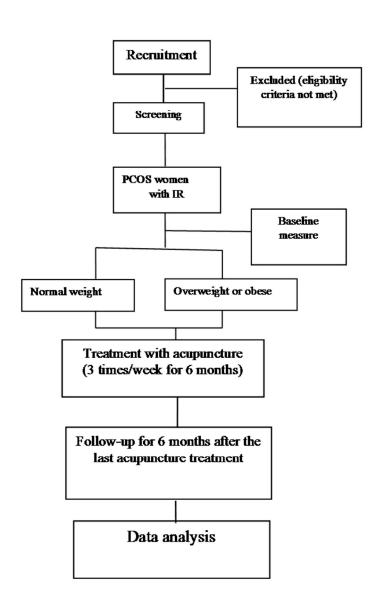
	Screening and			Mo	nth			Follow-up 6 th
	base line visit	1 st	2 nd	3 rd	4 th	5 th	6 th	month
Body composition (weight,	V	√	V	V	V	V	$\sqrt{}$	V
height, waist circumference,								
hip circumference)								
Menstrual cycle diary	V	$\sqrt{}$	$\sqrt{}$	V	√	$\sqrt{}$	\checkmark	$\sqrt{}$
Fasting blood samples for	V							\checkmark
Apoal, ApoB, TC, TG,								
C-peptide, HbA1c								
Fasting blood samples for	V						$\sqrt{}$	$\sqrt{}$
FSH, LH, SHBG, T, E2, P,		4						
DHEAS								
Transvaginal ultrasound	$\sqrt{}$						\checkmark	$\sqrt{}$
Questionnaire	V						\checkmark	\checkmark
FG/acne	V	V	√	1	V	V	V	√
OGTT	V			V			V	V

^{5 (}Apoal: Apolipoprotein A1; ApoB: Apolipoprotein B; DHEAS: Dehydroepiandrosterone

8 cholesterol; TG: Triglyceride; OGTT: Oral glucose tolerance test.)

⁶ sulfate; E2: Estradiol; FSH: Follicle-stimulating hormone; FG: Ferriman–Gallwey score; LH:

⁷ Luteinizing hormone; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total



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BMJ Open

How does acupuncture affect insulin sensitivity in women with polycystic ovary syndrome and insulin resistance? Study protocol of a prospective pilot study

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 Primary Subject Heading :	Complementary medicine
Secondary Subject Heading:	Complementary medicine
Keywords:	acupuncture, insulin resistance, polycystic ovary syndrome

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	Zneng et ut. / 1
1 2	How does acupuncture affect insulin sensitivity in women with polycystic ovary syndrome and insulin resistance? Study protocol of a prospective pilot study
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1 ABSTRACT

- 2 INTRODUCTION: Hyperinsulinemia and insulin resistance are key features of polycystic
- 3 ovary syndrome (PCOS) and metabolic syndrome. The effect of five-weeks of acupuncture
- 4 treatment has been investigated in a completed prospective pilot trial (Clinicaltrials.gov:
- 5 NCT01457209), and acupuncture with electrical stimulation applied to insulin-resistant rats
- 6 with dihydrotestosterone-induced PCOS was shown to improve insulin sensitivity. Therefore,
- 7 we now aim to conduct a prospective pilot study to evaluate whether using the same
- 8 acupuncture treatment protocol given over a longer period of time (6 months) than in the
- 9 previous pilot trial will improve insulin sensitivity in women with PCOS and insulin
- 10 resistance (IR). Our hypothesis is that acupuncture with combined manual and low-frequency
- electrical stimulation of the needles will improve insulin sensitivity in women with PCOS and
- 12 IR.
- METHODS/ANALYSIS: This is a prospective pilot trial. A total of 112 women with PCOS
- and IR will be recruited and categorized according to their body mass index (BMI) as normal
- weight (BMI = $18.5-23 \text{ kg/m}^2$) or as overweight/obese (BMI > 23 kg/m^2). Acupuncture will
- be applied three times per week for 6 months at 30 minutes per treatment. The primary
- outcome will be the change in insulin sensitivity before and after 6 months of acupuncture
- treatment as measured by an oral glucose tolerance test.
- 19 ETHICS/DISSEMINATION: Ethical approval of this study has been granted from the ethics
- 20 committee of the First Affiliated Hospital of Guangzhou Medical University (No. 2013039).
- 21 Written and informed consent will be obtained from each patient before any study procedure
- 22 is performed according to good clinical practice. The results of this trial will be disseminated
- in a peer-reviewed journal and presented at international congresses.
- 24 TRIAL REGISTRATION: Clinical Trials.gov (NCT02026323) and the Chinese Clinical Trials
- 25 Registry (ChiCTR-OCH-13003921).
- 26 KEY WORDS: acupuncture, insulin resistance, polycystic ovary syndrome

1 Background

- Features of polycystic ovary syndrome (PCOS) include ovulatory dysfunction, clinical and biochemical signs of hyperandrogenism, and the presence of polycystic ovaries upon pelvic scanning ¹². PCOS is the most common endocrine and metabolic disorder in reproductive-age women ² and is found in 5.6% of Chinese women aged 19-45 years as shown in a recent community-based study ¹. The main metabolic phenotype is hyperinsulinemia and insulin resistance (IR), which is observed in about 50%-70% of all women with PCOS ^{3 4}. Importantly, IR can be present in both lean and obese women with PCOS ⁵⁶, especially in those with hyperandrogenism and ovulatory dysfunction ⁷⁸. PCOS causes significant menstrual and fertility issues, but over the past few decades its additional metabolic and cardiovascular risks have become apparent. Women with PCOS display both IR and reduced insulin responsiveness ⁹ and have at least a 3-fold increased risk of developing type 2 diabetes mellitus ¹⁰. IR in women with PCOS has been attributed to post-binding defects in adipocytes and skeletal muscle insulin signaling ^{9 11}. Furthermore, compensatory hyperinsulinemia fuels ovarian androgen production by stimulating androgen production and secretion by theca cells and by reducing sex hormone-binding globulin (SHBG) levels, which increases free androgens and further exacerbates PCOS symptoms 12.
- Thus, there is a strong association between hyperinsulinemia and hyperandrogenemia.
- 19 Overweight/obesity is a common feature of PCOS ¹³. A Korean study showed that 61% of
- women with PCOS were lean, 10.3% were overweight, and 28.4% were obese¹⁴. Obesity
- aggravates most of the metabolic dysfunctions in women with PCOS. Women with comorbid
- 22 PCOS and depression have been found to have higher BMI and IR compared to women with

- 1 PCOS without depression ¹⁵, and this increase in BMI negatively affects quality of life ¹⁶.
- 2 Despite the high prevalence of IR, impaired glucose tolerance, and/or type 2 diabetes mellitus
- 3 in women with PCOS, there is no consensus on the best long-term management of these
- 4 conditions. Pharmacological treatments, including metformin, are symptom oriented and
- 5 usually effective but have unpleasant gastrointestinal side effects. Therefore, it is important to
- 6 evaluate other non-pharmacological treatment strategies because most women with PCOS
- 7 require long-term treatment.
- 8 Acupuncture, which is one of the main treatment modalities of traditional Chinese medicine,
- 9 is increasingly being used in the area of reproductive endocrinology and infertility in many
- different parts of the world. Interestingly, increasing the treatment frequency and the number
- of treatments leads to higher ovulation frequency ¹⁷ indicating the importance of the correct
- treatment dose. In a secondary analysis of the trial by Jedel et al 18, low-frequency
- electro-acupuncture (EA) decreased high plasminogen activator inhibitor 1 (PAI-1) activity
- without affecting insulin sensitivity as measured by the euglycemic hyperinsulinemic clamp ¹⁹.
- 15 Clearly the intensity, frequency, and duration of low-frequency EA treatment in that study
- were too low to affect insulin sensitivity. Support for this assumption comes from our
- 17 experimental studies in the dihydrotestosterone (DHT)-induced PCOS rat model in which we
- 18 demonstrated that low-frequency EA improves whole-body insulin sensitivity in a
- 19 dose-dependent manner ²⁰ ²¹.
- 20 EA induces both systemic and local effects involving intracellular insulin signaling pathways
- 21 in skeletal muscle and adipose tissue ²⁰ ²² ²³. Furthermore, EA has been shown to reduce
- 22 plasma glucose levels by promoting insulin production and to improve insulin sensitivity by

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1	inducing secretion of endogenous β -endorphin in different rodent models of diabetes mellitus
2	²⁴ ²⁵ . The potential role of acupuncture in the treatment of women with PCOS and IR has not
3	yet been determined, and this is an important area to investigate because most women with
4	PCOS require long-term treatment. There is one completed prospective pilot trial
5	investigating the effect of five-weeks of acupuncture treatment with combined manual and
6	low-frequency electrical stimulation of the needles (Clinicaltrials.gov: NCT01457209).
7	Therefore, the aim of this pilot study is to investigate the clinical effectiveness of acupuncture
8	on insulin sensitivity in women with PCOS and IR when acupuncture is given over a longer
9	period of time (6 months) than in the previous pilot trial using the same treatment protocol.
10	Our hypothesis is that acupuncture with combined manual and low-frequency electrical
11	stimulation of the needles will improve insulin sensitivity in women with PCOS and IR.
12	

Materials and Methods

Study Design

This is a single-center prospective pilot study, and subjects will be enrolled from the First 15 16 Affiliated Hospital of Guangzhou Medical University. Ethical approval of this study has been 17 granted from the ethics committee of the First Affiliated Hospital of Guangzhou Medical 18 University (No. 2013039). Written and informed consent will be obtained from each patient 19 before any study procedure is performed according to good clinical practice. The trial has been registered at ClinicalTrial.gov (NCT02026323) and with the Chinese Clinical Trials 20 Registry (ChiCTR-OCH-13003921).

Participants

- 2 Subjects will be recruited if they fulfill all of the inclusion criteria and do not meet any of the
- 3 exclusion criteria.

5 Inclusion criteria

- 6 1) Age between 18 and 40 years.
- 7 2) BMI $\geq 18.5 \text{ kg/m}^2$.
- 8 3) Presence of PCOS as defined by the Rotterdam criteria and including at least two of
- 9 the following three features:
- Oligomenorrhea or amenorrhea. Oligomenorrhea is defined as an intermenstrual
- 11 interval >35 days or <8 menstrual bleedings in the past year. Amenorrhea is defined as
- 12 complete cessation of menstrual cycles for 6 months or more when a patient has
- previously had regular cycles and for 12 months or more when the patient has had
- irregular cycles.
- Clinical or biochemical hyperandrogenism. Biochemical hyperandrogenemia is
- defined as a total serum testosterone concentration above 60 ng/dL ²⁶, and clinical
- hyperandrogenism is defined as a Ferriman–Gallwey (FG) score ≥ 5 in mainland China ²⁷.
- Polycystic ovary morphology. This is defined as ≥ 12 antral follicles (2–9 mm in
- diameter) or an ovarian volume > 10 mL upon transvaginal scanning ²⁸.
- 20 4) Presence of IR as defined by the homeostatic model assessment (HOMA-IR: [fasting
- insulin (μ U/mL) × fasting glucose (mmol/L)] / 22.5). A value \geq 2.14 will be considered
- to be indicative of IR 29 .

- 5) No desire to bear children and having used barrier methods of contraception for one year.
- 3 6) Willingness to sign the consent form.

Exclusion criteria

- 5 1) Having other endocrine disorders such as hyperprolactinemia (defined as two prolactin
- levels measured at least one week apart of 25 ng/mL or greater or as determined by local
- 7 normative values), nonclassic congenital adrenal hyperplasia (17-hydroxyprogesterone <
- 8 3 nmol/L), or androgen-secreting tumors.
- 9 2) FSH levels > 15 mIU/mL. A normal level within the last year is adequate for entry.
- 10 3) Uncorrected thyroid disease defined as thyroid stimulating hormone (TSH) < 0.2
- mIU/mL or TSH > 5.5 mIU/mL. A normal level within the last year is adequate for entry.
- 12 4) Type I diabetes mellitus or Type I and Type II patients who are receiving antidiabetic
- medications such as insulin, thiazolidinediones, acarbose, sulfonylureas, or other
- medications that are likely to confound the effects of the study. Patients currently
- receiving metformin for a diagnosis of Type I or Type II diabetes or for PCOS are also
- specifically excluded.
- 17 5) Suspected Cushing's syndrome.
- 18 6) Use of hormones or other medications in the past 3 months, including Chinese herbal
- prescriptions, which might affect the outcome.
- 20 7) Pregnancy within the last 6 weeks.
- 21 8) Post-abortion or postpartum within the last 6 weeks.
- 22 9) Breastfeeding within the last 6 months.

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- 10) Receiving acupuncture treatment related to PCOS within the past 2 months.
- 2 11) Having undergone a bariatric surgery procedure within the past 12 months or being in a
- 3 period of acute weight loss.
- 4 12) Having known congenital adrenal hyperplasia.
- 5 13) Lack of written consent to participate in the study.
- 6 Eligible subjects will be recruited and categorized according to their BMI as normal weight
- 7 (BMI = $18.5-23 \text{ kg/m}^2$) or overweight/obese (BMI $\ge 23 \text{ kg/m}^2$)³⁰.

Interventions

- 10 The acupuncture protocol is based on Western medical theories, and the study protocol
- follows the CONSORT ³¹ and STRICTA ³² recommendations with detailed descriptions of the
- treatment, including the number of needles used, depth of needle insertion, how needles will
- be stimulated (manual or electrical), frequency of sessions, and length of the treatment period.
- 14 Acupuncture will be given according to a fixed protocol by traditional Chinese medicine
- 15 practitioners educated in theoretical and practical acupuncture. The protocol is based on
- 16 experimental studies elucidating the effect of acupuncture in rodent models of diabetes
- mellitus and PCOS ²⁰⁻²² and in women with PCOS ^{19 33}.

Acupuncture protocol

- Acupuncture will be given three times per week over 6 months for a total of 80 sessions.
- 21 Disposable, sterilized needles for single use made of stainless steel (0.20 mm × 30 mm and
- 22 0.20 mm × 40/50 mm; Hwoto, Suzhou Medical Appliance Fact., 215005 Suzhou, China) will

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1	be inserted to a depth of 15 mm to 35 mm in segmental acupuncture points located in the
2	abdominal and leg muscles with innervations corresponding to the ovaries and pancreas that
3	have been shown to improve insulin sensitivity in rodents. Two sets of acupuncture points
4	will be alternated for every other treatment session. The two acupuncture protocols follow the
5	STRICTA recommendations and are presented in Table 1. The rationale for using the
6	traditional nomenclature of acupuncture points is that they are well described in the literature,
7	and acupuncturists trained according to medical acupuncture theories or trained in classic
8	traditional Chinese medicine theories all know the locations of such points and how the
9	needles should be inserted. Thus, using the acupuncture name/number makes it easier for all
10	practitioners to know where the needles were placed. All needles will be stimulated manually
11	by rotation until needle sensation is evoked, which is indicative of activation of a-delta and
12	c-fibers when the needles are inserted. Needles placed in the abdominal muscles and leg
13	muscles will be attached to an electrical stimulator (Export Abteilung, Schwa-Medico GmbH,
14	Germany) and electrically stimulated at 2 Hz for 30 min during each treatment session.
15	Needles not connected to the electrical stimulator will be stimulated manually 4 times during
16	each 30 min treatment session (Table 1).
17	
18	Study Procedures
19	This pilot study has two phases. The first phase is the 6 months of acupuncture treatment, and
20	the second phase is 6 months of follow-up (Figure 1). Each specific visit and measurement is
21	summarized in Table 2.
22	The women in the study will be screened for IR. Those with a HOMA-IR ([fasting insulin

- $(\mu U/mL) \times$ fasting glucose (mmol/L)] / 22.5) \geq 2.14 will be included and divided into the
- 2 normal weight (BMI = 18.5–23 kg/m²) group and the overweight/obese (BMI \geq 23 kg/m²)
- 3 group. After inclusion and baseline measurements, acupuncture treatment will start and last
- 4 for 6 months followed by 6 months of follow-up.

5 Outcome measurements

Primary outcome

- 7 The primary outcome will be the changes in HOMA-IR between baseline and after 6 months
- 8 of acupuncture treatment and between baseline and the 6-month follow-up. The oral glucose
- 9 tolerance test (OGTT) with 75 g glucose will be performed in all subjects after an overnight
- 10 fast. Blood samples will be obtained to measure plasma glucose and serum insulin at 0, 60,
- and 120 min during the OGTT.

Secondary outcomes

- 14 1. HOMA-B: Islet β -cell function will be evaluated by the formula (20 \times fasting insulin
- (mU/mL) / (fasting plasma glucose (mmol/L) -3.5)) ³⁴ and by the C-peptide index
- 16 (CPI), which is measured as (fasting C-peptide (nmol/L) / fasting plasma glucose
- $(\text{mmol/L}) \times 100)^{35}$.
- 18 2. The insulin response to glucose will be assessed by calculating the area under the curve
- during the OGTT for glucose (AUC_{glu}) and insulin (AUC_{ins}) using the trapezoidal rule³⁶.
- 20 3. Menstrual bleeding patterns will be evaluated.
- 4. Body composition will be determined as weight, height, waist-to-hip circumference, and
- 22 BMI.

- Metabolic measures will include HbA1c, C-peptide, adiponectin, fasting cholesterol,
 fasting triglycerides (TG), ApoA1, ApoB, and blood pressure.
- Endocrine measures will include hirsutism as assessed by Ferriman–Gallwey (FG) score,
 the presence of acne, and serum concentrations of testosterone, sex hormone-binding
- 5 globulin (SHBG), follicle stimulating hormone (FSH), luteinizing hormone (LH), and
- 6 dehydroepiandrosterone sulfate (DHEAS).
- 7. Questionnaires will include the short form-36 (SF36) ³⁷, the PCOS questionnaire
- 8 (PCOSQ) 38, generic and diagnosis-specific health-related quality of life questionnaires,
- and the Chinese Quality of Life (ChQOL) questionnaire ³⁹. Symptoms of anxiety and
- depression will be assessed by the Zung Self-Rating Anxiety Scale (Zung SAS) and the
- Zung Self-Rating Depression Scale (Zung SDS) 40. In addition, the International
- Physical Activity Questionnaire (IPAQ) ⁴¹ written in Chinese will be used to measure the
- frequency and duration of moderate physical activity every week.
- 14 8. Adverse events will be recorded.

Data entry and quality control of data

- 17 Case report forms will be developed for data entry, and quality control of the data will be
- handled at two different levels. The investigators will be required to ensure the accuracy of
- the data as the first level of control, and the second level will include data monitoring and
- validation that will be carried out on a regular basis throughout the study.

22 Sample size calculations and statistical analysis

Our previous study on treating obese PCOS women with abdominal acupuncture showed that the HOMA-IR was significantly reduced after treatment (3.9 ± 1.4) compared to baseline measurements $(2.5 \pm 1.7)^{33}$. A HOMA-IR ≥ 2.14 was considered to be abnormal ²⁹. If we assume a more moderate 20% reduction in HOMA-IR, i.e., a reduction by 0.78, we will have to recruit 40 overweight or obese subjects and 40 normal-weight subjects. With an estimated 40% drop out rate, we plan to recruit 56 normal weight and 56 overweight/obese women with PCOS. One sample of the Kolmogorov-Smirnov test will be used to test the normal distribution of continuous variables. Continuous variables will be shown as means ± standard deviations if they are normally distributed or as medians with interquartile ranges if they are not normally distributed. Statistical comparisons will be carried out according to the intention to treat by Student's t-test, Mann-Whitney U-test, and Wilcoxon signed ranks test for continuous variables and by χ^2 tests for categorical variables where appropriate. All statistical analyses of

USA), and a P-value < 0.05 will be considered statistically significant.

Safety and ethical considerations

Acupuncture is a safe procedure, and few side effects have been reported. The major risks of

the data will be performed using the SPSS program version 21.0 (SPSS Inc., Chicago, IL,

acupuncture are local skin irritation, discomfort, and vasovagal reactions during the procedure.

The women who agree to participate in the study will sign a consent form. This pilot study

has been approved by the ethics committee of the First Affiliated Hospital of Guangzhou

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1	Medical University (No. 2013-039).
2	
3	Discussion
4	Acupuncture has been used in the treatment of women with PCOS ^{17-19 33} , but the effect of
5	acupuncture on IR in women with PCOS is still unknown. This prospective pilot study has
6	been designed, therefore, to evaluate whether acupuncture improves insulin sensitivity in
7	women with PCOS and IR. The research seeks to add significantly to the clinical evidence
8	base and to allow conclusions to be made on the role of acupuncture in the treatment of
9	PCOS.
10	A limitation of the present study is that it is a single-center study without comparison groups,
11	and it can be argued that it lacks scientific rigor because of this. To increase the validity of the
12	study, we will have experts controlling the quality of study procedure through regular site
13	visits. The experts will monitor the recruitment of study subjects, the measurement and
14	treatment procedures, and the data analysis. We plan to conduct a randomized controlled trial
15	at a later data using standard care as a control.
16	This pilot study was conceived and designed in 2013, and the first subject was recruited on
17	February 18, 2014. As on December 18, out of 368 women approached, 176 did not fulfill
18	selection criteria, 85 declined participation and 107 consented to participate the study. In total
19	99 women were screened and 83 were recruited.
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22	Abbreviations

1	Apoa1:	Apolipoprotein	A1; ApoB:	Apolipoprotein	B; C:	Cervical	vertebra;	CV:	Conception
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- vessel; DHEAS: Dehydroepiandrosterone sulfate; E2: Estradiol; EA: Electro-acupuncture;
- 3 FSH: Follicle-stimulating hormone; FG: Ferriman-Gallwey score; GDR: Glucose disposal
- 4 rate; IR: insulin resistance; L: Lumbar vertebra; LH: Luteinizing hormone; LI: Large intestine;
- 5 LR: Liver; PC: Pericardium; PCOS: Polycystic ovary syndrome; S: Sacral vertebra; SP:
- 6 Spleen; ST: Stomach; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total
- 7 cholesterol; TG: Triglyceride; Th: Thoracic vertebra; OGTT: Oral glucose tolerance test.

9 Competing interests

10 The authors declare that they have no competing interests.

12 Authors' contributions

- 13 YHZ, ESV, and EHNG contributed equally to this work. ESV, EHNG, and HMX conceived
- and designed the study. YHZ, EHNG, and ESV drafted and critically revised the manuscript
- 15 for important intellectual content. HXM sought funding and ethical approval. All authors
- 16 contributed to the further writing of the manuscript and approved the final manuscript.

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- Table 1: Acupuncture points, stimulation, localization, tissue in which needles are inserted,
- and innervation areas. The two sets will be alternated for every other treatment.

and innervation areas. The two sets will be alternated for every other treatment.									
Acupuncture	Stimulation	Localization	Muscle	Muscle					
point				innervation					
Set 1									
Zhongji; CV3	EA	4 cun caudal to the umbilicus	Fibrous tissue, <i>linea alba</i>	L1					
Zhongwan; CV12	EA	On the midline, 4 cun superior to the umbilicus	Fibrous tissue, linea alba	Th7-8					
Guilai; ST29 Bilateral	EA	1 cun cranial to the pubic bone and 2 cun lateral of the midline	M. rectus abdominis	Th6-12					
Liangqiu; ST34 Bilateral	EA	2 cun above the superior lateral border of the patella on the line	M. quadriceps femoris	femoral nerve					
		connecting the anterior superior iliac spine found with the knee flexed							
Yinshi; ST33 Bilateral	EA	3 cun above the superior lateral border of the patella on the line connecting the anterior superior iliac spine found with the knee flexed	M. quadriceps femoris	femoral nerve					
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the <i>medial malleolus</i>	Mm. flexor digitorum longus, tibialis posterior	L4–5, S1–2					
Zusanli; ST36	DeQi four times	On the anterior lateral side of the leg, 3 cun below <i>Dubi</i> (ST35), one finger width (middle finger) from the anterior crest of the tibia	musculi tibialis anterior	L4–5, S1					
Hegu; LI4	DeQi four times	On the highest point at <i>m. interosseus</i> dorsalis	Mm. interosseus dorsalis I, lumbricalis II, adductor pollicis	C8, Th1					

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Set 2					
Daju; ST27 EA		3 cun cranial to the pubic bone and 2 cun lateral to the midline	M. rectus abdominis	Th6-12	
Qihai; CV6	EA	1.5 cun caudal to the umbilicus	,		
Xiawan; CV10	EA	2 cun cranial to the umbilicus	Fibrous tissue, linea alba	Th8	
Extra meridian point	EA	6 cun above the patella in line with SP10	M. quadriceps femoris	L2–L4	
Xuehai; SP10	EA	With the knee flexed, on the medial side of the thigh 2 cun above the superior medial corner of the patella on the prominence of the medial head of the quadriceps muscle of the thigh	M. quadriceps femoris	L2–L4	
Sanyinjiao; SP6	DeQi four times	3 cun proximal to the medial malleolus	Mm. flexor digitorum longus, tibialis posterior	L4-5, S1-2	
Taichong; LR3	DeQi Between metatarsal I M. interosseus four times & II, just distal to the dorsalis I caput		S2–3		
Neiguan; PC6	DeQi four times	2 cun proximal to the processus styloideus radii, between the tendons of the palmaris longus and the flexor carpi radialis	M. flexor digitorum superficialis	C8, Th1	

(C: Cervical vertebra; CV: Conception vessel; L: Lumbar vertebra; LI: Large intestine; LR:

2 Liver; PC: Pericardium; S: Sacral vertebra; SP: Spleen; ST: Stomach; Th: Thoracic vertebra)

 Table 2. Overview of study visits, including screening, baseline measurements,

after-treatment measurements, and follow-up measurements. Months indicate when each

specific measurement takes place.

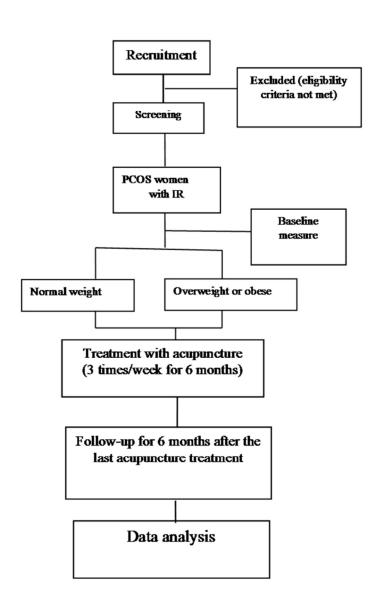
	Screening and Month						Follow-up 6 th	
	base line visit	1 st	2 nd	3 rd	4 th	5 th	6 th	month
	base line visit	1	2	3	4	3	0	month
Body composition (weight,	$\sqrt{}$	√		$\sqrt{}$		$\sqrt{}$	$\sqrt{}$	$\sqrt{}$
height, waist circumference,								
hip circumference)								
Menstrual cycle diary	V	V		V	V	V	V	$\sqrt{}$
Fasting blood samples for	V						V	$\sqrt{}$
Apoal, ApoB, TC, TG,								
C-peptide, HbA1c								
Fasting blood samples for	V						√	$\sqrt{}$
FSH, LH, SHBG, T, E2, P,								
DHEAS					•			
Transvaginal ultrasound	V						V	\checkmark
Questionnaire	V						V	\checkmark
FG/acne	V	V	$\sqrt{}$	V	1	V	V	√
OGTT				√			1	√

(Apoal: Apolipoprotein A1; ApoB: Apolipoprotein B; DHEAS: Dehydroepiandrosterone

sulfate; E2: Estradiol; FSH: Follicle-stimulating hormone; FG: Ferriman-Gallwey score; LH:

Luteinizing hormone; SHBG: Sex hormone-binding globulin; T: Total testosterone; TC: Total

cholesterol; TG: Triglyceride; OGTT: Oral glucose tolerance test.)



90x106mm (300 x 300 DPI)