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# **BMJ Open**

# Cohort profile: the Dutch oral cavity cancer cohort

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Cohort profile: the Dutch oral cavity cancer cohort

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#### Abstract

#### Purpose:

The Dutch Head and Neck Audit – Oral Cavity (DHNA-OC) cohort was collected to study quality of care, current treatment, and survival for oral cavity cancer (OCC) across all hospitals treating head and neck cancer (HNC) in the Netherlands.

#### Patients:

The DHNA-OC is a registry-based national cohort of 2,545 first primary OCC patients treated with curative intent between 2018 and 2021. All fourteen HNC hospitals in the Netherlands contributed, guaranteeing national coverage. The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, treatment, complications, recurrence rates, and survival.

# Findings to date:

The median age at diagnosis was 67 years and most tumours were early stage (cT1 in 32% and cT2 in 31%). Tongue tumours were most common and surgery was performed in 91.3% of the patients. The number of included patients per hospital varied from 82 to 367. The proportion of advanced tumour stage varied significantly between hospitals. Substantial data completeness was acquired with only two variables exceeding 10% missing (comorbidities and performance score).

# Future plans:

The DHNA-OC cohort will be used to study benchmarking of and current knowledge gaps in OCC care. Collaboration with other institutions or national/regional databases is highly encouraged. Some examples of planned studies are the assessment of hospital variation in outcome indicators for surgery and population-based treatment effects. The results of these studies will be used to identify best practices and continue improving quality of care. Longitudinal cohort follow-up and enrolment will continue prospectively.

- The main strength of the Dutch Head and Neck Audit—Oral Cavity (DHNA-OC) cohort is its nationwide inclusion, facilitated by population-based registries that are centrally managed.
- The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, given treatment, treatment complications, recurrence rates, and survival.
  - The main limitations are the lack of data on socioeconomic status, education level, and medication use.
- Though all registrars adhere to the same manual and openly discuss questions when registering, variation in the interpretation of variables could exist.

#### Introduction

The Dutch Head and Neck Audit – Oral Cavity (DHNA-OC) cohort was designed to study current treatment, survival, and quality of care for oral cavity cancer (OCC). With an incidence of ~1000 in 2023, OCC is a relatively rare cancer in the Netherlands.¹ Despite the low incidence, OCC patients often require highly complex multidisciplinary integrated care.² As in other cancers with low incidence rates, clinical trials in HNC struggle to enroll enough participants. Therefore, real-world data is increasingly used to answer current knowledge gaps in clinical practice guidelines.

The Dutch Head and Neck Audit (DHNA) was established in 2014 to monitor and benchmark the quality of HNC nationally.<sup>2</sup> Auditing has been identified as an effective tool in improving quality of care for surgical oncological fields, such as in the Dutch Surgical Colorectal Audit.<sup>3,4</sup> By effective auditing and collaboration, the patient pathways were standardized, complication rates declined, and even mortality rates decreased.<sup>4</sup> Over the past years, DHNA data availability has improved, yet data is missing on crucial variables. To follow the lead of the colorectal audit, the DHNA-OC cohort was instigated.

Research questions that motivated the DHNA-OC cohort revolve around enhancing the quality of care and addressing current knowledge gaps. To study hospital variation, we first aim to develop a case-mix model for OCC.<sup>5</sup> This will enable us to investigate variation in surgical complications, resection margins, and textbook outcome.<sup>6</sup> Furthermore, the indication and value of adjuvant therapy in case of a resection margin of one to five millimeters remain unclear.<sup>7</sup> Also, debate is ongoing regarding the use of elective neck dissection versus sentinel lymph node biopsy in early-stage OCC.<sup>8</sup> Through the DHNA-OC, we aim to offer insights derived from real-world data, contributing to enhancing new clinical practice guidelines, which currently may lack a scientific foundation.

# **Cohort description**

This research proposal was reviewed by the Institutional research review board Erasmus Medical Center (Rotterdam, The Netherlands), and the board confirmed that the rules laid down in the Medical Research Involving Human Subjects Act do not apply to this research proposal (MEC-2022-0816).

#### Cohort design

Patients were involved in the design of the DHNA.2 Patients or the public were not involved in the planning or design, recruitment, or conduction of this cohort.

## **Participants**

Data completeness is essential for reliable population-based research and evaluation of quality of care. Patients were selected from the DHNA based on the pathological conformation (biopsy) date between January 1 2018 and December 31 2021. Included ICD-O-3 codes for OCC were C00, C02-C04, C05.0, C5.8-9, and C06.0-8.13 Patients of ≥18 years were selected if treated with curative intent in one of the 14 HNC hospitals during the study period. Missing variables in the DHNA cohort were complemented with data from the Netherlands Cancer Registry (NCR). This is the national registry on malignancies in the Netherlands. 14 Since 1989, IKNL has objectively registered all newly diagnosed patients in the NCR. Patients are assigned a unique uniform resource identifier (URI) in the treating hospital. DHNA and NCR data were matched on date of birth, hospital-URI, and treating hospital. The complemented dataset was returned to the individual hospitals to retrieve the remaining missing values from electronic patient files. A head and neck surgeon or clinical HNC researcher then executed data curation. The final dataset was delivered to update the DHNA dataset with missing values.

Variables and data management

Supplemental file 1 gives a complete overview of the DHNA-OC dataset. Comorbidity was scored using the ACE-27 and the TNM-classification followed the 8th edition of the Union for International Cancer Control TNM Classification. <sup>15,16</sup> Clinical TNM stage 0 was included in OCC cases with cTx/T0/TisN0M0 classification that were upstaged on pathological examination to pT1/T2/T3/T4. Surgical 30-day complications were classified utilizing the Clavien-Dindo classification. <sup>17</sup> Follow-up started on the date of last treatment (surgery, systemic therapy or radiotherapy). Follow-up was censored two years after the date of last treatment. As the DHNA is a prospective database, five-year follow-up will be registered yearly (data for 2018 in 2025, 2019 in 2026, and so on). As this study included national data a sample size calculation was deemed unnecessary.

To guarantee patient privacy and Dutch privacy regulations, DICA works with a third-trusted party: Medical Research Data Management (MRDM), Deventer, the Netherlands (NEN 7510:2011 and ISO 27001:2013 certified). MRDM designs, develops, and manages registration systems for DICA's quality registrations, among others. MRDM processes the data from the hospital so that DICA receives only coded (pseudonymous) data. Hospitals sign an agreement with DICA and MRDM to process their data and deliver data manually (survey) or via batch. DICA's privacy committee guarantees that data handling complies with the Dutch Personal Data Protection Act. Statistical analyses are performed in protected digital areas and cannot be traced back to specific subjects.

# Findings to date

A total of 2,545 patients were included (Figure 1). The final DHNA-OC cohort baseline characteristics are presented in Table 1.

Table 1: Demographic characteristics of patients included in the DHNA-OC cohort. *IQR = interguartile range, WHO = World Health Organization.* 

Characteristic	N = 2,545
Gender - female	1,171 (46%)
Age	67 (59, 75)
Body mass index (kg/m2)	
<18.5	102 (4.0%)
≥18.5 to <30	1,953 (77%)
≥30	419 (16%)
Unknown	71 (2.8%)

Smoking history

cN-classification

cNx

No history of smoking	639 (25%)
Former smoker	852 (33%)
Current smoker	945 (37%)
Unknown	109 (4.3%)
Alcohol history	
No history of drinking	419 (16%)
Former drinker	196 (7.7%)
Current drinker	1,712 (67%)
Unknown	218 (8.6%)
ACE27 score	
Grade 0 - None	720 (28%)
Grade 1 - Mild	375 (15%)
Grade 2 - Moderate	248 (9.7%)
Grade 3 - Severe	90 (3.5%)
Unknown	1,112 (44%)
WHO performance status	, (,
Normal activity (0)	1,268 (50%)
Symptomatic, fully ambulatory: cares for self (1)	501 (20%)
Ambulatory >50% of the time: occasional assistance (2)	178 (7.0%)
Ambulatory <50% of the time: nursing care needed (3)	41 (1.6%)
Bedridden (4)	1 (<0.1%)
Unknown	556 (22%)
Histology	000 (2270)
Squamous cell carcinoma	2,328 (91%)
Other	216 (9.0%)
Unknown	1 (<0.1%)
Oral cavity subsite (ICD-O-3)	1 (40.170)
Lip	54 (2.1%)
Tongue	1,105 (43%)
Gum Floor of mouth	449 (18%)
	426 (17%)
Palate	86 (3.4%)
Other parts of oral cavity	423 (17%)
Not otherwise specified	2 (<0.1%)
cT-classification	
cTx	
	25 (1.0%)
сТО	7 (0.3%)
cT0 cTis	7 (0.3%) 23 (0.9%)
cT0 cTis cT1	7 (0.3%) 23 (0.9%) 825 (32%)
cT0 cTis cT1 cT2	7 (0.3%) 23 (0.9%) 825 (32%) 783 (31%)
cT0 cTis cT1	7 (0.3%) 23 (0.9%) 825 (32%)

14 (0.6%)

cN0	2,001 (79%)
cN1	194 (7.6%)
cN2	292 (11%)
cN3	44 (1.7%)
cM-classification	
cMx	2 (<0.1%)
cM0	2,541 (100%)
cM1	2 (<0.1%)
cTNM stage	
Stage 0	53 (2.1%)
Stage I	791 (31%)
Stage II	643 (25%)
Stage III	342 (13%)
Stage IV	716 (28%)
Treatment	
Surgery	1,441 (57%)
Surgery & radiotherapy	724 (28%)
Surgery & chemoradiation	185 (7.3%)
Radiotherapy	111 (4.4%)
Chemoradiation	71 (2.8%)
Other	13 (0.5%)
Treating hospital	
HHOCs	1,926 (76%)
PPs	619 (24%)
Year of diagnosis	
2018	626 (25%)
2019	626 (25%)
2020	631 (25%)
2021	662 (26%)

The median age was 67 years (inter-quartile range [IQR] 59-75) and 46% was female. Body mass index (BMI in kg/m2) was unknown in 2.8%, with 77% of the patients at a BMI between 18.5 and 30 kg/m2. Most patients were current smoker or drinker (37% and 67% respectively) with missing data on smoking and drinking history in 4.3% and 8.6% respectively. Data on comorbidities was missing in 44%, leaving the grade 0 as the most observed ACE27 score (28%). A WHO-performance score of 0 was most seen in the cohort (50%), though data was missing in 22%.

Ninety-one percent of the tumours were squamous cell carcinoma and most were located in the tongue (43.%). Clinical TNM-stage 0 tumours were present in 2.1%, stage I in 31%, stage II in 25%, stage III in 13%, and stage IV in 28%. Surgery alone was performed in 57% of the patients. Surgery

was complemented by radiotherapy in 28% and by chemoradiation in 7.3%. Only 4.4% received radiotherapy as definitive treatment.

Seventy-six percent was treated in one of the HHOCs and annual inclusion rate was constant over the years. The number of patients that was included per hospital varied from 367 to 82 (Figure 2). The proportion of stage III-IV tumours varied significantly between treating hospitals (p-value <0.001) but was not directly proportional to hospital volume (Figure 3). Overall, a high data completeness was achieved, expecially regarding treatment and outcome variables.

The capture rate of the DHNA-OC cohort is compared to the annual incidence rate for OCC registered in the NCR in Figure 4. The difference in annual inclusion between the NCR and DHNA-OC cohort can be attributed to DHNA exclusion criteria. The DHNA excludes patients receiving no treatement, primary palliative treatment, and patients diagnosed with secondprimary OCC, melanoma's, and lip tumours.

As the DHNA is a prospective database, future OCC patients will be added to the DHNA-OC cohort. The authors welcome and encourage research collaborations using the DHNA-OC, and researchers interested in collaborating on the cohort are welcome to contact the research group. Data requests will be handled by PRISMA, the scientific advisory committee for research in head and neck cancer in the Netherlands (https://iknl.nl/kankersoorten/hoofd-halskanker/onderzoek/prisma).

## Strengths and limitations

 The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, given treatment, treatment complications, recurrence rates, and survival. As DHNA-OC data are population-based, the generalisability of future study results is facilitated. Considerable data completeness has been acquired compared to previous research. The only variables with >10% missing or unknown values were ACE27 score (44%) and the WHO performance score (22%). Described OCC cohorts in literature are mostly based on declaration data, lack national coverage, are completely retrospectively collected, or pool data for different HNC subsites<sup>19–24</sup>.

The main limitations are the lack of data on socioeconomic status, education level, and medication use. These variables are currently not included in the DHNA, but DICA is working on implementing links with other databases to expand the DHNA. However, strict Dutch privacy laws

complicate linking processes. Though all registrars adhere to the same manual and openly discuss questions when registering, local variation in the interpretation of variables could exist. Annual numbers for the DHNA-OC cohort are lower compared to the OCC incidence rate in the Netherlands during the study period in the NCR (supplemental file 2). This can mostly be explained by exclusion of second primary tumours, cutaneous malignancies and palliative patients in the DHNA-OC. Taking these exclusions into account, we believe a reliable sample size has been aquired.

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## Collaboration

The authors welcome and encourage research collaborations using the DHNA-OC cohort, and researchers interested in collaborating on the cohort are welcome to contact the research group. Data requests will be handled by PRISMA, the scientific advisory committee for research in head and neck cancer in the Netherlands (https://iknl.nl/kankersoorten/hoofd-halskanker/onderzoek/prisma).

# **Author contributions**

HDvO: ethical permission, statistical analysis. HDvO, JAH, and RJBdJ: drafting of the manuscript. RJBdJ: initiator. All authors: conceptualisation, data extraction, data review, interpretation of the results, manuscript review, approval, and reading of the final manuscript.

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#### **Conflict of interest**

Authors declare there was no conflict of interest.

# Patient and public involvement

Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

# Patient consent for publication

Not applicable.

## Research ethics and patient consent

This research proposal was reviewed by the Institutional research review board Erasmus Medical Center (Rotterdam, The Netherlands) and the board conforms that the rules laid down in the Medical Research Involving Human Subjects Act do not apply to this research proposal (MEC-2022-0816).

# Data availability statement

Data may be obtained upon request after approval by PRISMA, the scientific advisory committee for research in head and neck cancer in the Netherlands. Any researcher requesting DHNA data has to collaborate with one of the participating Dutch head and neck cancer hospitals to ensure correct data and guideline interpretation.

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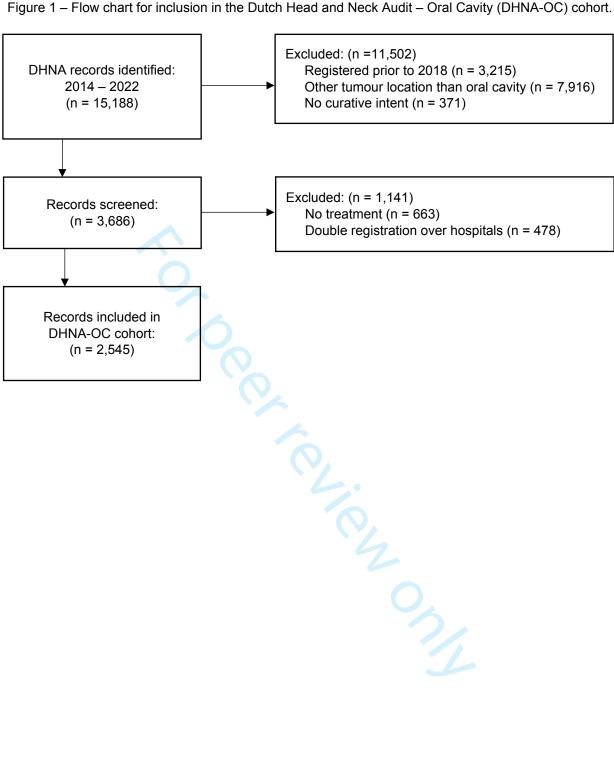
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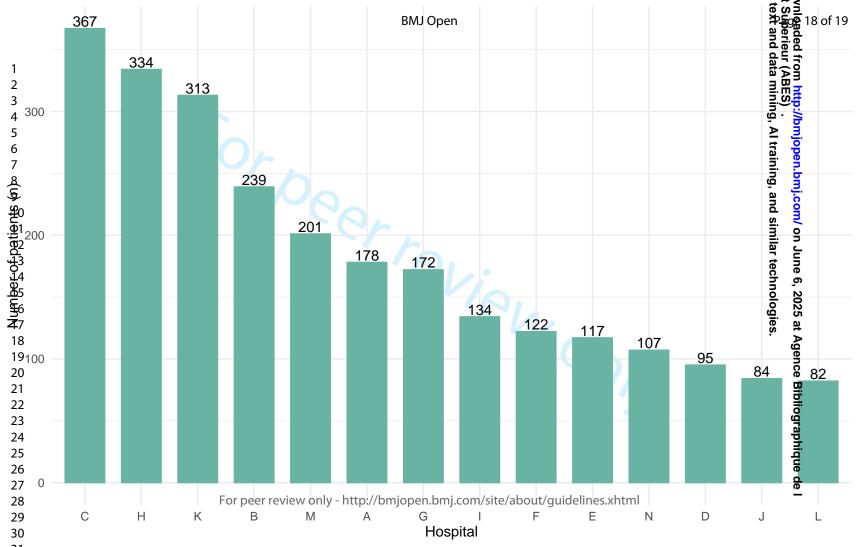
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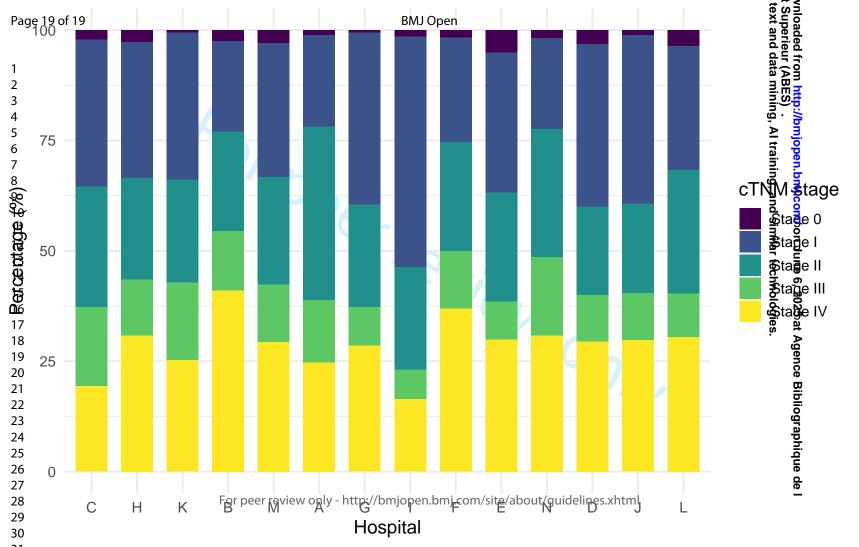
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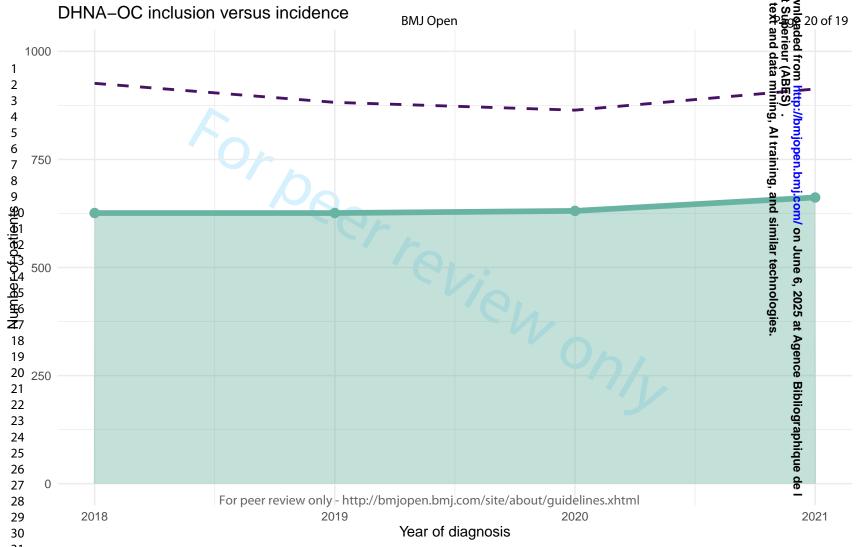
- Figure 1 Flow chart for inclusion in the Dutch Head and Neck Audit Oral Cavity (DHNA-OC) cohort.
- Figure 2 Number of patients curatively treated for first primary oral cavity cancer in the 14 head and neck oncology hospitals in the Netherlands between 2018 and 2021 (N = 2,545).
- Figure 3 Tumour stage for first primary oral cavity cancer patients curatively treated in the 14 head and neck oncology hospitals in the Netherlands between 2018 and 2021 (N = 2,545).
- Figure 4 Inclusion of Dutch Head and Neck Audit Oral Cavity cohort (blue) compared to the oral cavity cancer incidence (purple line) in the Netherlands between 2018 and 2021.

Figure 1 - Flow chart for inclusion in the Dutch Head and Neck Audit - Oral Cavity (DHNA-OC) cohort.









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# Cohort profile: the Dutch oral cavity cancer cohort

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Cohort profile: the Dutch oral cavity cancer cohort

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#### Abstract

#### Purpose:

The Dutch Head and Neck Audit – Oral Cavity (DHNA-OC) cohort was collected to study the quality of care, current treatment, and survival for oral cavity cancer (OCC) across all hospitals treating head and neck cancer (HNC) in the Netherlands.

#### Patients:

The DHNA-OC is a registry-based national cohort of 2,545 first primary OCC patients treated with curative intent between 2018 and 2021. All fourteen HNC hospitals in the Netherlands contributed, guaranteeing national coverage. The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, treatment, complications, recurrence rates, and survival.

# Findings to date:

The median age at diagnosis was 67 years and most tumours were early stage (cT1 in 32% and cT2 in 31%). Tongue tumours were most common and surgery was performed in 91.3% of the patients. The number of included patients per hospital varied from 82 to 367. The proportion of advanced tumour stage varied significantly between hospitals. Substantial data completeness was acquired with only two variables exceeding 10% missing (comorbidities and performance score).

# Future plans:

The DHNA-OC cohort will be used to study benchmarking of and current knowledge gaps in OCC care. Collaboration with other institutions or national/regional databases is highly encouraged. Some examples of planned studies are the assessment of hospital variation in outcome indicators for surgery and population-based treatment effects. The results of these studies will be used to identify best practices and continue improving quality-of-care. Longitudinal cohort follow-up and enrolment will continue prospectively.

- The main strength of the Dutch Head and Neck Audit—Oral Cavity (DHNA-OC) cohort is its nationwide inclusion, facilitated by population-based registries that are centrally managed.
- The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, given treatment, treatment complications, recurrence rates, and survival.
  - The main limitations are the lack of data on socioeconomic status, education level, and medication use.
- Though all registrars adhere to the same manual and openly discuss questions when registering, variation in the interpretation of variables could exist.

#### Introduction

The Dutch Head and Neck Audit – Oral Cavity (DHNA-OC) cohort was designed to study current treatment, survival, and quality of care for oral cavity cancer (OCC). With an incidence of ~1000 in 2023, OCC is a relatively rare cancer in the Netherlands.¹ Despite the low incidence, OCC patients often require highly complex multidisciplinary integrated care.² As in other cancers with low incidence rates, clinical trials in HNC struggle to enrol enough participants. Therefore, real-world data is increasingly used to answer current knowledge gaps in clinical practice guidelines.

The Dutch Head and Neck Audit (DHNA) was established in 2014 to monitor and benchmark the quality of HNC nationally.<sup>2</sup> Auditing has been identified as an effective tool in improving quality-of-care for surgical oncological fields, such as in the Dutch Surgical Colorectal Audit.<sup>3,4</sup> By effective auditing and collaboration, the patient pathways were standardized, complication rates declined, and even mortality rates decreased.<sup>4</sup> Over the past years, DHNA data availability has improved, yet data is missing on crucial variables. To follow the lead of the colorectal audit, the DHNA-OC cohort was instigated.

Research questions that motivated the DHNA-OC cohort revolve around enhancing the quality of care and addressing current knowledge gaps. To study hospital variation, we first aim to develop a case-mix model for OCC.<sup>5</sup> This will enable us to investigate variation in surgical complications, resection margins, and textbook outcome.<sup>6</sup> Furthermore, the indication and value of adjuvant therapy in case of a resection margin of one to five millimetres remain unclear.<sup>7</sup> Also, debate is ongoing regarding the use of elective neck dissection versus sentinel lymph node biopsy in early-stage OCC.<sup>8</sup> Through the DHNA-OC, we aim to offer insights derived from real-world data, contributing to enhancing new clinical practice guidelines, which currently may lack a scientific foundation.

# **Cohort description**

This research proposal was reviewed by the Institutional research review board Erasmus Medical Center (Rotterdam, The Netherlands), and the board confirmed that the rules laid down in the Medical Research Involving Human Subjects Act do not apply to this research proposal (MEC-2022-0816).

#### Cohort design

The DHNA-OC is based on data from the Dutch Head and Neck Audit (DHNA). HNC care in the Netherlands is centralized in 14 devoted hospitals: eight head and neck oncologic centres (HNOCs) and seven preferred partner hospitals. HNC care is covered by the Dutch health insurance system, which is obligatory and socialized. The DHNA gained national coverage in 2019 and participation is mandatory. All patients with a first primary head and neck tumour are prospectively included. Patients with in situ carcinoma, a second primary tumour, recurrent HNC, melanomas, cutaneous malignancies, thyroid carcinomas, sarcomas, neuroendocrine cancers, and hematologic malignancies are currently not included in the DHNA. Data is collected by trained registrars, physician assistants, and administrative nurses employed by the HNC hospital or the Netherlands Comprehensive Cancer Organisation (IKNL). The complete DHNA data dictionary can be accessed online. The DHNA is one of 26 quality registries maintained at the Dutch Institute for Clinical Auditing (DICA). This institution guarantees data quality through annual data verification processes.

# Patient and public involvement

Patients were involved in the design of the DHNA.<sup>2</sup> Patients or the public were not involved in the planning or design, recruitment, or conduction of this cohort.

## **Participants**

 Data completeness is essential for reliable population-based research and evaluation of quality of care. Patients were selected from the DHNA based on the pathological conformation (biopsy) date between January 1 2018 and December 31 2021. Included ICD-O-3 codes for OCC were C00, C02-C04, C05.0, C5.8-9, and C06.0-8.¹³ Patients of ≥18 years were selected if treated with curative intent in one of the 14 HNC hospitals during the study period. Missing variables in the DHNA cohort were complemented with data from the Netherlands Cancer Registry (NCR). This is the national registry on malignancies in the Netherlands.¹⁴ Since 1989, IKNL has objectively registered all newly diagnosed patients in the NCR. Patients are assigned a unique uniform resource identifier (URI) in the treating hospital. DHNA and NCR data were matched on date of birth, hospital-URI, and treating hospital. The complemented dataset was returned to the individual hospitals to retrieve the remaining missing values from electronic patient files. A head and neck surgeon or clinical HNC researcher then executed data curation. The final dataset was delivered to update the DHNA dataset with missing values.

## Variables and data management

Supplemental file 1 gives a complete overview of the DHNA-OC dataset. Comorbidity was scored using the ACE-27 and the TNM-classification followed the 8th edition of the Union for International Cancer Control TNM Classification. <sup>15,16</sup> Clinical TNM stage 0 was included in OCC cases with cTx/T0/TisN0M0 classification that were upstaged on pathological examination to pT1/T2/T3/T4. Surgical 30-day complications were classified utilizing the Clavien-Dindo classification. <sup>17</sup> Follow-up started on the date of last treatment (surgery, systemic therapy or radiotherapy). Follow-up was censored two years after the date of the last treatment. As the DHNA is a prospective database, a five-year follow-up will be registered yearly (data for 2018 in 2025, 2019 in 2026, and so on). As this study included national data a sample size calculation was deemed unnecessary.

To guarantee patient privacy and Dutch privacy regulations, DICA works with a third-trusted party: Medical Research Data Management (MRDM), Deventer, the Netherlands (NEN 7510:2011 and ISO 27001:2013 certified). MRDM designs, develops, and manages registration systems for DICA's quality registrations, among others. MRDM processes the data from the hospital so that DICA receives only coded (pseudonymous) data. Hospitals sign an agreement with DICA and MRDM to process their data and deliver data manually (survey) or via batch. DICA's privacy committee guarantees that data handling complies with the Dutch Personal Data Protection Act. Statistical analyses are performed in protected digital areas and cannot be traced back to specific subjects.

# Findings to date

A total of 2,545 patients were included (Figure 1). The final DHNA-OC cohort baseline characteristics are presented in Table 1.

Table 1: Demographic characteristics of patients included in the DHNA-OC cohort.

*IQR* = interquartile range, WHO = World Health Organization.

\*Histology types included in other are basaloid squamous cell, spindle cell, adenosquamous,

verrucous, papillary squamous cell, and minor salivary gland carcinomas.

Characteristic	N = 2,545
Gender - female	1,171 (46%)
Age	67 (59, 75)
Body mass index (kg/m2)	
<18.5	102 (4.0%)

1,953 (77%)

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51 52

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55 56

57

58

59

60

Other

Lip

Gum

cTx

сТ0

cTis

cT1

cT2

сТ3

≥18.5 to <30

≥30

783 (31%)

343 (13%)

cT4	539 (21%)
cN-classification	
cNx	14 (0.6%)
cN0	2,001 (79%)
cN1	194 (7.6%)
cN2	292 (11%)
cN3	44 (1.7%)
cM-classification	,
сМх	2 (<0.1%)
сМ0	2,541 (100%)
cM1	2 (<0.1%)
cTNM stage	
Stage 0	53 (2.1%)
Stage I	791 (31%)
Stage II	643 (25%)
Stage III	342 (13%)
Stage IV	716 (28%)
Treatment	
Surgery	1,441 (57%)
Surgery & radiotherapy	724 (28%)
Surgery & chemoradiation	185 (7.3%)
Radiotherapy	111 (4.4%)
Chemoradiation	71 (2.8%)
Other*	13 (0.5%)
Treating hospital	
HHOCs	1,926 (76%)
PPs	619 (24%)
Year of diagnosis	
2018	626 (25%)
2019	626 (25%)
2020	631 (25%)
2021	662 (26%)
Follow-up survival status	
No evidence of disease	1942 (76%)
Alive with disease	63 (2.5%)
Dead of disease	188 (7.4%)
Dead of other causes	97 (3.9%)
Dead of treatment complications	10 (0.4%)
Dead of unknown causes	167 (6.6%)
Unknown	78 (3.1%)

The median age was 67 years (interquartile range [IQR] 59-75) and 46% was female. Body mass index (BMI in kg/m2) was unknown in 2.8%, with 77% of the patients at a BMI between 18.5 and 30 kg/m2.

Ninety-one per cent of the tumours were squamous cell carcinoma and most were located in the tongue (43.%). Clinical TNM-stage 0 tumours were present in 2.1%, stage I in 31%, stage II in 25%, stage III in 13%, and stage IV in 28%. Surgery alone was performed in 57% of the patients. Surgery was complemented by radiotherapy in 28% and by chemoradiation in 7.3%. Only 4.4% received radiotherapy as definitive treatment. Seventy-six percent was treated in one of the HHOCs and the annual inclusion rate was constant over the years. The number of patients that were included per hospital varied from 367 to 82 (Figure 2). The proportion of stage III-IV tumours varied significantly between treating hospitals (p-value <0.001) but was not directly proportional to hospital volume (Figure 3). Overall, high data completeness was achieved, especially regarding treatment and outcome variables.

Two-year follow-up indicated 76% of the patients alive without and 2.5% of the patients alive with disease (Figure 4). Follow-up data was missing in 78 patients (3.1%). Of the deceased patients (n=462), the cause of death was unknown in 36% (n=167). The remaining patients died of disease (7.4%), other causes (3.8%), or treatment complications (0.4%). The capture rate of the DHNA-OC cohort is compared to the annual incidence rate for OCC registered in the NCR in Figure 5. The difference in annual inclusion between the NCR and DHNA-OC cohort can be attributed to DHNA exclusion criteria. The DHNA excludes patients receiving no treatment, primary palliative treatment, and patients diagnosed with second primary OCC, melanoma, and lip tumours.

As the DHNA is a prospective database, future OCC patients will be added to the DHNA-OC cohort. The authors welcome and encourage research collaborations using the DHNA-OC, and researchers interested in collaborating on the cohort are welcome to contact the research group. Data requests will be handled by PRISMA, the scientific advisory committee for research in head and neck cancer in the Netherlands (<a href="https://iknl.nl/kankersoorten/hoofd-halskanker/onderzoek/prisma">https://iknl.nl/kankersoorten/hoofd-halskanker/onderzoek/prisma</a>).

#### Strengths and limitations

The DHNA-OC cohort is an elaborate dataset including variables on patient and tumour characteristics, given treatment, treatment complications, recurrence rates, and survival. As DHNA-OC data are population-based, the generalisability of future study results is facilitated. Considerable data completeness has been acquired compared to previous research. The only variables with >10% missing or unknown values were the ACE27 score (44%) and the WHO performance score (22%). Described OCC cohorts in literature are mostly based on declaration data, lack national coverage, are completely retrospectively collected, or pool data for different HNC subsites<sup>19–24</sup>.

The main limitations are the lack of data on socioeconomic status, education level, and medication use. These variables are currently not included in the DHNA, but DICA is working on implementing links with other databases to expand the DHNA. However, strict Dutch privacy laws complicate linking processes. Though all registrars adhere to the same manual and openly discuss questions when registering, local variation in the interpretation of variables could exist. Annual numbers for the DHNA-OC cohort are lower compared to the OCC incidence rate in the Netherlands during the study period in the NCR (Figure 5). This can mostly be explained by the exclusion of second primary tumours, cutaneous malignancies and palliative patients in the DHNA-OC. Taking these exclusions into account, we believe a reliable sample size has been acquired.

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#### Collaboration

The authors welcome and encourage research collaborations using the DHNA-OC cohort, and researchers interested in collaborating on the cohort are welcome to contact the research group. Data

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#### **Author contributions**

HDvO: ethical permission, statistical analysis. HDvO, JAH, and RJBdJ: drafting of the manuscript. RJBdJ: initiator and guarantor. All authors: conceptualisation, data extraction, data review, interpretation of the results, manuscript review, approval, and reading of the final manuscript.

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### **Conflict of interest**

Authors declare there was no conflict of interest.

# Patient and public involvement

Patients or the public were not involved in the planning or design, recruitment, or conduction of this cohort.

### Patient consent for publication

Not applicable.

## Research ethics and patient consent

This research proposal was reviewed by the Institutional research review board Erasmus Medical Center (Rotterdam, The Netherlands) and the board conforms that the rules laid down in the Medical Research Involving Human Subjects Act do not apply to this research proposal (MEC-2022-0816).

# Data availability statement

Data may be obtained upon request after approval by PRISMA, the scientific advisory committee for research in head and neck cancer in the Netherlands. Any researcher requesting DHNA data has to collaborate with one of the participating Dutch head and neck cancer hospitals to ensure correct data and guideline interpretation.

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## Figure legends:

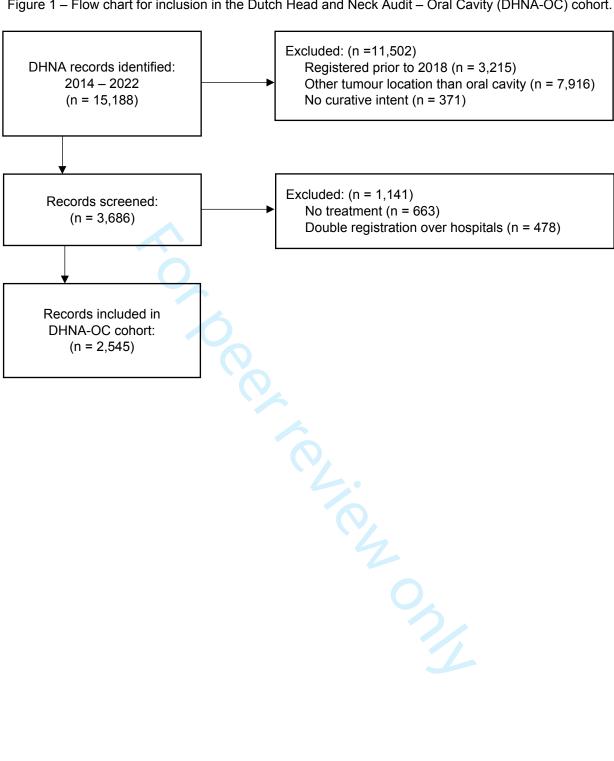
Figure 1 – Flow chart for inclusion in the Dutch Head and Neck Audit – Oral Cavity (DHNA-OC) cohort. Figure 2 – Number of patients curatively treated for first primary oral cavity cancer in the 14 head and neck oncology hospitals in the Netherlands between 2018 and 2021 (N = 2,545).

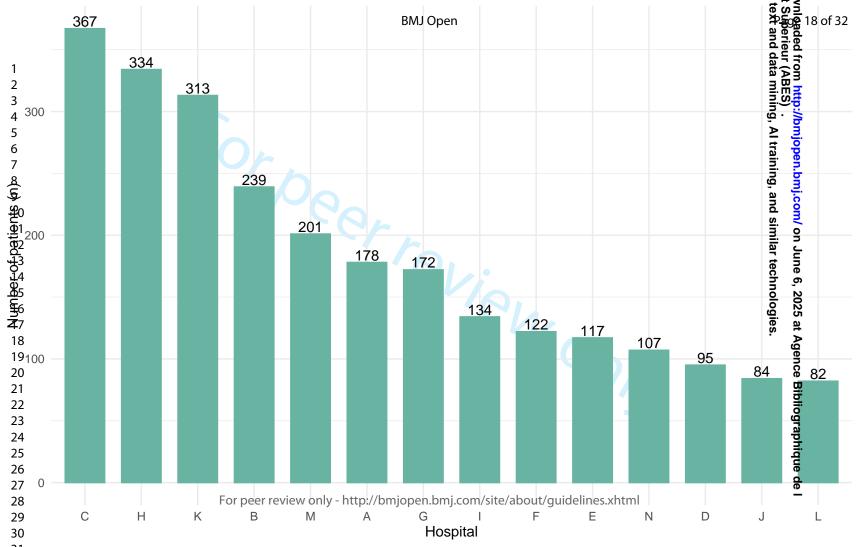
Figure 3 – Tumour stage for first primary oral cavity cancer patients curatively treated in the 14 head and neck oncology hospitals in the Netherlands between 2018 and 2021 (N = 2,545).

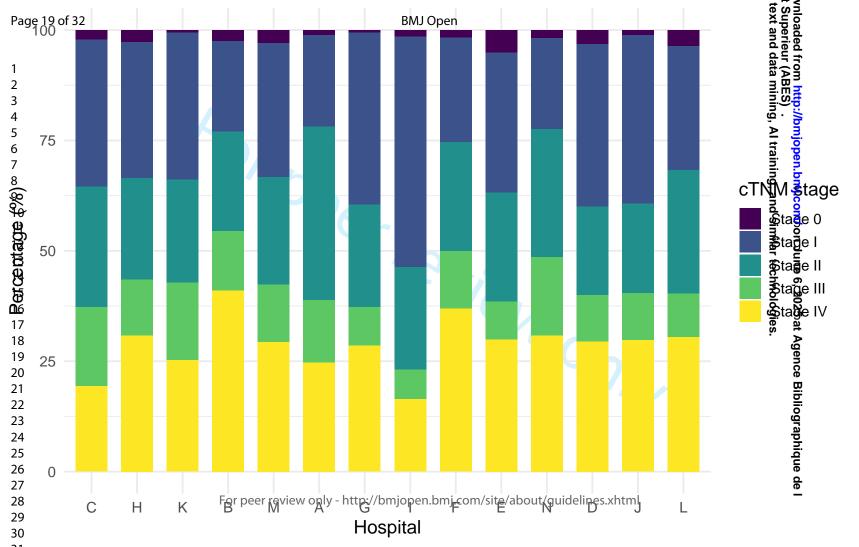
Figure 4 – Kaplan Meier curve for two-year overall survival.

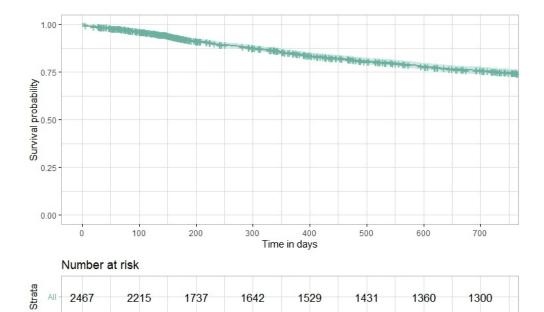
Figure 5 – Inclusion of Dutch Head and Neck Audit – Oral Cavity cohort (blue) compared to the oral cavity cancer incidence (purple line) in the Netherlands between 2018 and 2021.

Figure 1 - Flow chart for inclusion in the Dutch Head and Neck Audit - Oral Cavity (DHNA-OC) cohort.





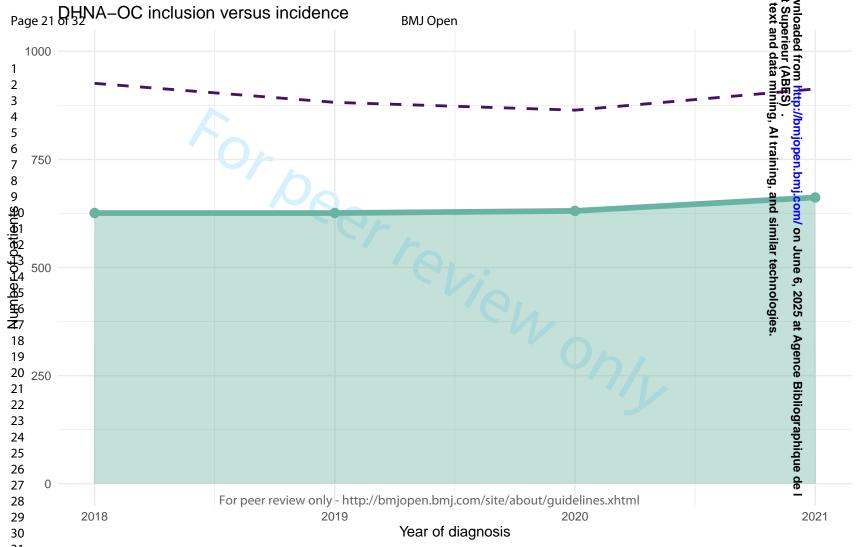




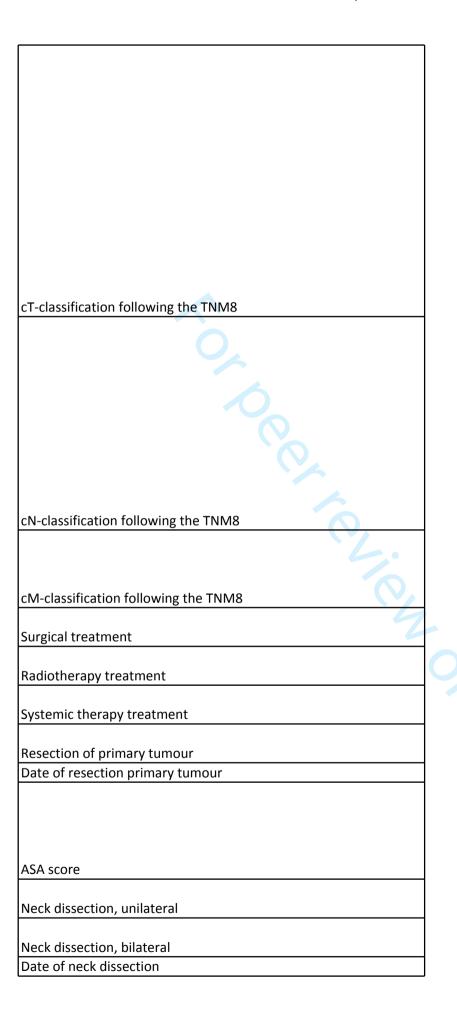
Kaplan Meier curve for two-year overall survival.

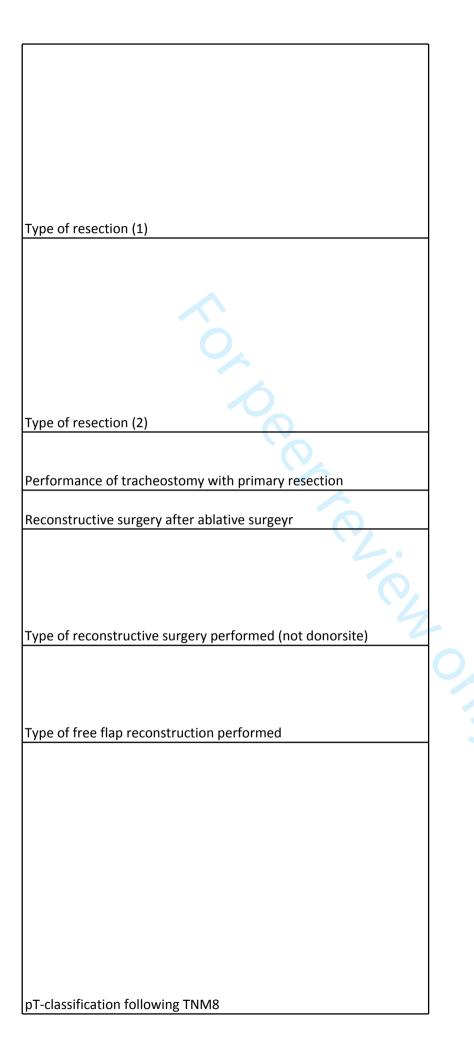
Time in days

197x146mm (96 x 96 DPI)



Variable
Hospital
Unique patient identifier
Gender
Date of birth
Length in cm
Weigth in kg
Smoking habit
Packyears
$\mathcal{O}_{\lambda}$
Alcohol habit
Units of alcohol per week
Are there known comorbidities?
ACE-27 comorbidity score
Sublocalisation ICD-O-3 code
Date of biopsy
WHO performance status
Hb-level at diagnosis
Histologic type of oral cavity tumour
Performance of a sentinel lymph node biopsy





pN-classification following TNM8 pM-classification following TNM8 Resection margin classification Pattern of invasion Perineural or vasoinvasive growth Numer of lymph nodes pathologically assessed Number of positive lymphnodes on pathology Date of discharge after primary surgery Did an unplanned reoperation occur within 30 days after primary surgery? Date of reoperation Did an unplanned readmission occur after discharge for primary surgery? Date of readmission Date of discharge after readmission Did surgical complications occur within 30 days after primary surgery?

Did postoperative bleeding occur within 30 days after primary
surgery?
Date of postoperative bleeding
Date of postoperative bleeding
Did chyle leakage occur within 30 days after primary surgery?
Data of chyle leakage
Data of Cityle leakage
Did a wound infection occur within 30 days after primary surgery?
Data of wound infection
Data of would infection
Did wound dehiscence occur within 30 days after primary surgery?
Date of wound dehiscence
bute of would defindence
Did free flap failure occur within 30 days after primary surgery?
Date of free flap failure
Did fictula formation occur within 20 days after primary surgery?

Date of fistula formation Start date of chemotherapy Was the chemotherapy treatment executed as plannen? Stop date of chemotherapy Start date of radiotherapy Was the radiotherapy treatment executed as plannen? Stop date of raditoherapy Date of death Survival status Data of determination of survival status Has tumour tissue been detected after treatment, in the form of local tumour, regional tumour, distant metastasis or a second primary tumour? Local tumour Incidence date local tumour Regional tumour Incidence date regional tumour Distant metastasis Incidence date of distant metastasis Second primary tumour in the head and neck region Incidence date of second primary tumour in head and neck region

Optionset	
number	
number	
1: Male	
2: Female	
7: Undifferentiated	
9: Unknown	
YYMMDD	
number	
number	
1: Never smoked	
2: Stopped smoking	
3: Current smoker	
9: Unknown	
number	
1: Never drinker	
2: Stopped drinking	
3: Current drinker	
9: Unknown	
number	
0: No	
1: Yes	
number	
C999	
YYMMDD	
0: 0 = Normal activity	
1: 1 = Symptomatic	
	e time ambulatory state, can take care of oneself.
Not capable of working.	
	e daytime in bed or chair. Cannot fully take care of
oneself	
	t take care of oneself. All day in bed or chair.
number	
1: Squamous cell carcinoma	
2: Basaloid squamous cell c	arcinoma
3: Spindle cell carcinoma	
4: Adenosquamous carcino	ma
5: Verrucous carcinoma	
6: Lymphoepithelial carcino	
7: Papillary squamous cell of	
8: Acantholytic squamous of	cell carcinoma

77: Other

0: No

1: Yes

99: Unknown

1: x	
2: 0	
3: is	
5: 1	
7: 1a	
8: 1b	
10: 2	
11: 2a	
12: 2b	
13: 3	
14: 4	
15: 4a	
16: 4b	
99: Unknown	
0: x	
1: 0	
2: 1	
3: 2	
4: 2a	
5: 2b	
7: 2c	
8: 3	
10: 3a	
11: 3b	
99: Unknown	
1: x	
2: 0	
3: 1	
9: Unknown	
0: No	
1: Yes	
0: No	
1: Yes	
0: No	
1: Yes	
0: No	
1: Yes	
YYMMDD	
1: I	
2: II	
3: III	
4: IV	
5: V	
0: No	
1: Yes	
0: No	
1: Yes	
YYMMDD	

- 1: Oral cavity Local resection (other than tongue)
- 2: Oral cavity Partial tongue resection (to hemi oral tongue)
- 3: Oral cavity (Sub)total tongue resection (more than hemi oral tongue, incl. extension to tonguebase)
- 4: Oral cavity Marginal/partial resection with marginal mandible (preservation of continuity)
- 5: Oral cavity Segmental mandible resection ((sub)total, loss of continuity)
- 6: Oral cavity Partial maxilla resection
- 7: Oral cavity (Sub)total maxilla resection
- 1: Oral cavity Local resection (other than tongue)
- 2: Oral cavity Partial tongue resection (to hemi oral tongue)
- 3: Oral cavity (Sub)total tongue resection (more than hemi oral tongue, incl. extension to tonguebase)
- 4: Oral cavity Marginal/partial resection with marginal mandible (preservation of continuity)
- 5: Oral cavity Segmental mandible resection ((sub)total, loss of continuity)
- 6: Oral cavity Partial maxilla resection
- 7: Oral cavity (Sub)total maxilla resection
- 0: No

3

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- 1: Yes
- 9: Unknown
- 0: No
- 1: Yes
- 1: Free flap
- 2: Pedicled flap
- 3: Local transposition
- 4: Skintransplant, unvascularised
- 7: Other, namely
- 9: Unknown
- 1: Radial forearm flap
- 2: Anterolateral thigh flap
- 3: Fibula (+/- skinisland)
- 7: Other, namely
- 9: Unknown
- 1: x
- 2: 0
- 3: is
- 5: 1
- 7: 1a
- 8: 1b
- 10: 2
- 11: 2a
- 12: 2b
- 13:3
- 14: 4
- 15: 4a
- 16: 4b
- 99: Unknown

0: x
1: 0
2: 1
3: 2
4: 2a
5: 2b
7: 2c
8: 3
10: 3a
11: 3b
99: Unknown
1: x
2: 0
3: 1
9: Unknown
2: Resection margin involved
3: <1mm
4: 1-5 mm
5: >5 mm
9: Unknown
1: Spidery growth
9: Unknown
1: Perineural
2: Vaso-invasive
3: Both
4: None
9: Unknown
1: Number of lympnodes
98: No lymphnodes assessed
99: Unknown
1: Numbe rof lymphnodes
98: No positive lymphnodes
99: Unknown
YYMMDD
0: No
1: Yes, due to complications
2: Yes, due to an irradical resection
9: Unknown
YYMMDD
0: No
1: Yes
9: Unknown
YYMMDD
YYMMDD
0: No
1: Yes

0: No

1

2

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12 13

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23 24

25 26

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- 1: Yes, grade Clavien Dindo1: hematoma/compression
- 2: Yes, grade Clavien Dindo2: Conservative, transfusion
- 3: Yes, grade Clavien Dindo3: Reexploration under anesthesia
- 4: Yes, grade Clavien Dindo4: Lifethreatening bleeding with intensive care admission
- 5: Yes, grade Clavien Dindo5: Death
- 9: Unknown

### YYMMDD

- 0: No
- 1: Yes, grade Clavien Dindo1: Conservative, puntion
- 2: Yes, grade Clavien Dindo2: Parenteral feeding
- 3: Yes, grade Clavien Dindo3: Reexploration under anesthesia
- 4: Yes, grade Clavien Dindo4: Lifethreatening with intensive care admission
- 5: Yes, grade Clavien Dindo5: Death
- 9: Unknown

# YYMMDD

- 0: No
- 1: Yes, grade Clavien Dindo1: Conservative; local decompression of infected hematoma including incision and drainage
- 2: Yes, grade Clavien Dindo2: Longer (than profylaxtic) use of antibiotica prescribed
- 3: Yes, grade Clavien Dindo3: Reexploration under anesthesia
- 4: Yes, grade Clavien Dindo4: Septicaemia with with intensive care admission
- 5: Yes, grade Clavien Dindo5: Death
- 9: Unknown

### YYMMDD

- 0: No
- 1: Yes, grade Clavien Dindo1: Some loose stitches
- 2: Yes, grade Clavien Dindo2: Local tamponnade / secundary granulation
- 3: Yes, grade Clavien Dindo3: Reexploration under anesthesia
- 4: Yes, grade Clavien Dindo4: Lifethreatening with intensive care admission
- 5: Yes, grade Clavien Dindo5: Death
- 9: Unknown

## YYMMDD

- 0: No
- 1: Yes, grade G1: Revision of anestamosis with full recovery
- 2: Yes, grade G2: Partial flapp loss (such as necrosis of border, secondary granulation); Small revision sugery with re-fixation of plate?
- 3: Yes, grade G3: total loss of reconstruction
- 9: Unknown

## **YYMMDD**

- 0: No
- 1: Yes
- 9: Unknown

YYMMDD
YYMMDD
1: Yes
2: Yes, with dosis reduction
0: No, decision of treating specialist
3: No, decision of patient
4: No, death of patient
9: Unknown
YYMMDD
YYMMDD
1: Yes
2: Yes, with dosis reduction
0: No, decision of treating specialist
3: No, decision of patient
4: No, death of patient
9: Unknown
YYMMDD
YYMMDD
1: NED: No evidence of disease
2: AWD: Alive with disease
3: DOD: Dead of disease
4: DOC: Dead of other causes
5: DTC: Dead of treatment complications
99: Unknown
YYMMDD
0: No
1: Yes
9: Unknown
0: No
1: Yes
YYMMDD
0: No
1: Yes
YYMMDD
0: No
1: Yes
YYMMDD
0: No
1: Yes
YYMMDD