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# **BMJ Open** Combining electronic health records data from a clinical research network with registry data to examine long-term outcomes of interventions and devices: an observational cohort study

Jialin Mao <sup>1</sup>, <sup>1</sup> Michael Matheny,<sup>2</sup> Kim G Smolderen <sup>1</sup>, <sup>3</sup> Carlos Mena-Hurtado,<sup>3</sup> Art Sedrakyan,<sup>1</sup> Philip Goodney<sup>4</sup>

ABSTRACT

**Objectives** To assess the feasibility of assessing longterm outcomes of peripheral vascular intervention (PVI) by linking data from a clinical registry to electronic health records (EHR) data from a clinical research network.

Design Observational cohort study.

Setting Vascular Quality Initiative registry linked to INSIGHT Clinical Research Network, which aggregated EHR data from multiple institutions in New York City. Participants Patients receiving PVI during 1 January 2013–30 November 2021 in four centres in New York City. Primary and secondary outcome measures We examined the proportion of registry patients retained in EHR over time and predictors of EHR retention after year 1. We evaluated the implications of EHR attrition by examining amputation-free survival (AFS) in the observed data and predicted data when patients discontinued in the EHR were hypothesised to have increased risks of events than the observed average.

**Results** We included 1405 patients receiving PVI (age= $70.8 \pm 11.2$  years, 51.3% male). Among eligible patients, 75.2% were retained in EHR through year 3. Patients who aged 75 years or above (vs <65: OR 0.34, 95% Cl 0.18 to 0.62), had Medicaid (vs Medicare: OR 0.41, 95% Cl 0.22 to 0.79), congestive heart failure (OR 0.54, 95% Cl 0.32 to 0.90), dialysis (OR 0.47, 95% Cl 0.24 to 0.91) and reduced ambulation (OR 0.34, 95% Cl 0.15 to 0.75) were less likely to be retained in EHR. When discontinued patients were hypothesised to have increased risks of death or amputation than observed, AFS estimates diverged from the observed data around 6-12 months.

**Conclusions** Studies using registry-EHR data may benefit from the timeliness of the data but may be most appropriate to focus on short-term to intermediate-term outcomes of interventions and devices. Future research is needed to investigate the value of registry-EHR linkage in facilitating short-term to intermediate-term outcome assessment following vascular interventions and advanced statistical approaches to account for non-random missing long-term data.

# STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ This study investigates the feasibility, strengths and limitations of using electronic health record (EHR) data to supplement registry data to evaluate intermediate to long-term patient outcomes after peripheral vascular intervention.
- ⇒ Strengths of this study included the use of contemporary and aggregated registry and EHR data from multiple institutions in New York City and a rigorous effort to understand the impact of non-informative censoring due to EHR discontinuation.
- ⇒ Limitations of this study included the uncertainty of retention rates in other clinical areas and settings and geographic areas as well as the lack of data on the exact cause of patients' discontinuation in the EHR.

## INTRODUCTION

Peripheral arterial disease (PAD) affects more than 200 million people worldwide and its prevalence continues to increase.<sup>1</sup> Patients with PAD are at a higher risk of death and debilitating cardiovascular events, such as limb amputation. Peripheral vascular interventions (PVIs) are endovascular techniques to relieve symptoms and reduce the likelihood of serious adverse events for patients with PAD who are significantly impaired in their daily lives and do not improve with conservative treatment.<sup>2</sup> It is important to monitor patient outcomes beyond the first few months after the intervention.

Real-world data are useful for studying short-term and long-term outcomes of medical interventions and devices.<sup>3</sup> Clinical registries often collect granular disease, procedural and device characteristics but often encounter challenges in securing outcomes information due to difficulties and expenses associated with long-term patient

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Correspondence to Dr Jialin Mao; jim2012@med.cornell.edu follow-up. Electronic health records (EHRs) routinely collect longitudinal data and can augment registries' capacity to assess patient and device outcomes. EHRs have been increasingly used in research related to various clinical topics, such as cardiovascular conditions, diabetes and dementia.<sup>4</sup> Many EHR studies focused on risk factor identification or risk predictions. The feasibility, utility and challenges of using EHR data to supplement registry data to assess long-term outcomes interventions of interventions and devices remain unclear.

Notably, EHR data may be subject to attrition-related issues. In the USA, EHR data sharing is often limited to a single health system or a network of several hospitals. EHR data are not routinely and widely shared between hospitals nationwide. Attritions from EHR data may arise as patients relocate or seek care outside the health system or network. A previous study using EHR from Kaiser Permanente reported that 68% of patients were retained in the EHR after 3 years.<sup>5</sup> Another study in Oregon reported a 3-year attrition of 33%.<sup>6</sup> The reduced proportion of patients retained in EHR over time has important implications for study design and analysis. A previous study using pooled EHR data for drug safety research found that using EHR data to identify outcomes had reduced sensitivity compared with using claims data. Given that identifying intervention and device outcomes beyond the short term is often critical, it is imperative to understand the extent to which attrition in EHR affects the assessment of patient outcomes in this context.

The current study aimed to assess the feasibility, strengths and limitations of using EHR data to supplement registry data to evaluate intermediate to long-term patient outcomes after PVI. We sought to evaluate the retention of patients in EHR data and the implications of attrition for long-term patient outcome assessment in research of medical devices and interventions.

## **METHODS**

#### **Data sources**

We used the Vascular Quality Initiative (VQI) registry and INSIGHT Clinical Research Network for this observational cohort study. The VQI, established by the Society for Vascular Surgery, collects demographic, clinical and procedural data on patients undergoing vascular procedures from academic and community hospitals nationwide.<sup>8</sup> INSIGHT is a clinical research network that gathers and harmonises data for research purposes.<sup>9</sup> INSIGHT contains aggregated EHR data from multiple institutions in New York City and links the EHR data to other ancillary data sources.<sup>10</sup> Participating institutions provide a limited dataset of their EHR data within the past 10-year block. In this study, we used the EHR component of INSIGHT. The common data model harmonises structured EHR data from all institutions, including diagnoses made and procedures performed during each encounter, lab tests and results, and medication prescriptions. Hereafter, we

use 'EHR data' when referring to EHR data from the **INSIGHT** Clinical Research Network.

#### **Cohort creation**

We identified patients receiving PVI in four centres (Weill Cornell Medicine, New York University Langone Health, Mount Sinai, Montefiore) enrolled in both VOI and INSIGHT during 1 January 2013-30 November 2021. We applied and adapted a previously validated indirect linkage method<sup>11</sup> to match their procedures recorded in **\_\_** the registry and EHR. We focused on the first procedure for each patient. We included patients receiving two types of devices, percutaneous transluminal angioplasty (PTA) ŝ with balloons or stent placement, for unilateral femoropopliteal occlusive disease with or without a concurrent 8 procedure on ipsilateral infrapopliteal arteries (figure 1). We excluded patients with emergent admissions, prior lower extremity amputation and missing values for payor, including smoking status, comorbidities or ambulation.

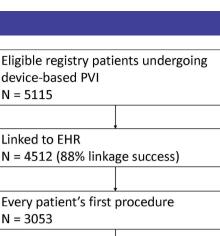
#### **Covariates and long-term outcomes**

We identified patient, disease and procedural characteristics from the registry as it collects more granular data relevant to the disease context. These covariates were chosen as they were important factors to characterise the study cohort and may potentially affect retention and longterm death or amputation. Variables examined were age, sex, race and ethnicity, primary payor, body mass index  $\overline{\mathbf{s}}$ (BMI), smoking status, urgency, procedure setting (inpate tient, outpatient), comorbidities (hypertension, diabetes, coronary artery disease, congestive heart failure, chronic obstructive pulmonary disease and dialysis), ambulation, limb symptom, prior lower extremity revascularisation, procedure type (balloon angioplasty, stent placement), **E** concurrent atherectomy, concurrent infrapopliteal procedure, treatment length, and preoperative and discharge 🥰 ≥ antiplatelet and statin use. EHR data were used to fill in trair missing values for BMI, smoking status and procedure setting whenever possible.

Bu The main long-term outcome examined was amputation-free survival (AFS). Death and amputation were assessed separately in secondary analyses. Death was ascertained from the VQI and INSIGHT using the best information available. Deaths captured in INSIGHT were in-hospital deaths. The VQI also captured some death technologies information during follow-up contact or the Social Security Death Index in some cases. Amputation was identified from EHR data using procedure codes.

#### Assessment of EHR retention

We assessed the proportion of patients retained in Insight EHR among eligible patients during each follow-up year after the index PVI. EHR retention was defined as having at least one medically attended event recorded in EHR during the specific follow-up year. Eligible patients were defined as those who did not reach the end of the study (31 December 2021) or have recorded death during that specific follow-up year. Thus, attrition in this study referred



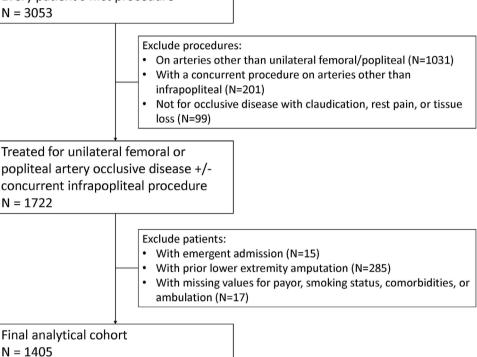


Figure 1 Flow chart demonstrating the cohort inclusion/exclusion. EHR, electronic health record; PVI, peripheral vascular intervention.

to patients who did not have any medically attended event recorded in the EHR without known death or reaching the end of the study period. We then examined the proportion of patients retained in EHR after year one by patient characteristics and predictors of EHR retention beyond 1 year using a multivariable logistic regression.

device-based PVI

Linked to EHR

N = 5115

# Evaluation of the implication of EHR attrition for long-term outcome assessment

To understand the implication of EHR attrition for long-term outcome assessment, we performed a hypothetical analysis by predicting events for patients who discontinued in the EHR before the end of the study. The main outcome variable was AFS. Secondary analyses were performed for death and amputation separately. We used parametric Weibull models to calculate the survival function and hazard for patients with claudication and chronic limb-threatening ischaemia (CLTI, including those with rest pain and tissue loss), respectively. The models were based on observed events and took into account known predictors of AFS, including diabetes, congestive heart failure, dialysis and ambulation. For each patient who discontinued, starting from the time of the last recorded medically attended event, we predicted the outcome event between day T and T+1 based on the instant hazard if the patient had no events until T. We set two scenarios for instant hazard a priori: 50% increase and 100% increase compared with the observed average. These scenarios evaluated how patient outcome assessment would be impacted if patients who discontinued in the EHR were 1.5 times or twice as likely to experience events as the observed average. These hypothetical rates were chosen because previous research has shown that patients with reduced ambulation or on dialysis had 1.4–3 times higher risks of death or amputation 12-14 and these patients were more likely to have attrition in EHR. Because of the randomness in the prediction process, we performed 10 iterations of predictions for each scenario.

Based on the observed and predicted data, we examined 5-year AFS after PVI, stratified by limb symptom (claudication and CLTI), using Kaplan-Meier analyses. In the observed data, patients were censored at the end of the study or the time of the last medically attended event,

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whichever was earlier. In the predicted data, patients were censored at the end of the study. We also performed a comparative analysis of AFS for patients who received PTA versus stent placement using observed and predicted data. Previous studies and current data did not demonstrate any difference in death or amputation between patients undergoing these two device-based interventions.<sup>15-18</sup> The procedural approach of PTA versus stent placement was also not a predictor of EHR retention in our analysis. This analysis sought to elucidate the potential impact of non-differential attrition on outcome assessment. For this analysis, we used multivariable Cox regression, adjusting for preoperative covariates. All analyses were performed by using SAS V.9.4.

#### Patient and public involvement

Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

#### RESULTS

We identified 5115 eligible patients undergoing PVI from the VQI. Of these, 4512 (88%) were linked to the EHR. The final cohort included 1405 patients receiving PVI for unilateral femoropopliteal disease. The mean age of patients was 70.8 ( $\pm$ 11.2) years, and 51.3% were male (table 1). 57% of patients received PTA, and 43% received stent placement. 46% of patients had intermittent claudication, and 54% had CLTI (13.5% rest pain, 40.5% tissue loss).

#### **EHR retention**

The median time from procedure to death or end of the study was 2.5 years (IQR: 1.4-4.1). Among eligible patients, 83.5% were retained in EHR through year 2, 75.2% through year 3, 69.6% through year 5 and 52.5% through year 8 (figure 2). Patients who were 75 years or above were less likely to be retained in EHR after year 1 than those aged under 65 years (OR 0.34, 95% CI 0.18 to 0.62) (table 2). Patients insured by Medicaid were less likely to be retained in EHR than those with Medicare or VA (OR 0.41, 95% CI 0.22 to 0.79). Patients who had congestive heart failure (OR 0.54, 95% CI 0.32 to 0.90) or were on dialysis (OR 0.47, 95% CI 0.24 to 0.91) were less likely to be retained in EHR than those without. Patients who needed assistance (OR 0.60, 95% CI 0.37 to 0.98) and who needed a wheelchair or were bedridden (OR 0.34, 95% CI 0.15 to 0.75) were less likely to be retained in EHR than those with full ambulation. Patients who were discharged with statin medication were more likely to be retained in EHR than those who were not (OR 1.66, 95% CI 1.03 to 2.66).

#### Implication of EHR attrition for long-term outcome assessment

In the observed data, AFS at 1 year was 96.5% for patients with claudication and 65.3% for those with CLTI. AFS at 5

 Table 1
 Characteristics of patients undergoing peripheral vascular intervention for femoral or popliteal occlusive disease in the linked data

N total         1405           Age		N (%) or mean (SD)	
Mean (SD)         70.8 (11.2)           <65	N total	1405	
<65	Age		
65-74         448 (31.9%)           75+         537 (38.2%)           Female sex         684 (48.7%)           Race and ethnicity         Non-Hispanic white         526 (37.4%)           Non-Hispanic black         292 (20.8%)           Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor         Medicare/VA*         886 (63.1%)           Medicaid         155 (11%)           Private         364 (25.9%)           BMI         -           <18.5	Mean (SD)	70.8 (11.2)	
75+       537 (38.2%)         Female sex       684 (48.7%)         Race and ethnicity       Non-Hispanic white       526 (37.4%)         Non-Hispanic black       292 (20.8%)         Hispanic       369 (26.3%)         Other/unknown       218 (15.5%)         Payor       Medicare/VA*       886 (63.1%)         Smoking status       (18.5 to <25	<65	420 (29.9%)	
Female sex         684 (48.7%)           Race and ethnicity         Non-Hispanic white         526 (37.4%)           Non-Hispanic black         292 (20.8%)           Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor         Medicare/VA*         886 (63.1%)           Medicare/VA*         886 (63.1%)           Medicaid         155 (11%)           Private         364 (25.9%)           BMI            <18.5	65–74	448 (31.9%)	
Race and ethnicity           Non-Hispanic white         526 (37.4%)           Non-Hispanic black         292 (20.8%)           Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor         Medicare/VA*         886 (63.1%)           Medicare/VA*         886 (63.1%)           Medicaid         155 (11%)           Private         364 (25.9%)           BMI            <18.5	75+	537 (38.2%)	
Non-Hispanic white         526 (37.4%)           Non-Hispanic black         292 (20.8%)           Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor	Female sex	684 (48.7%)	
Non-Hispanic black         292 (20.8%)           Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor	Race and ethnicity		
Hispanic         369 (26.3%)           Other/unknown         218 (15.5%)           Payor	Non-Hispanic white	526 (37.4%)	
Other/unknown         218 (15.5%)           Payor	Non-Hispanic black	292 (20.8%)	
Payor           Medicare/VA*         886 (63.1%)           Medicaid         155 (11%)           Private         364 (25.9%)           BMI         -           <18.5	Hispanic	369 (26.3%)	
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Medicaid         155 (11%)           Private         364 (25.9%)           BMI            <18.5	Payor		
Private         364 (25.9%)           BMI            <18.5	Medicare/VA*	886 (63.1%)	
BMI         <18.5	Medicaid	155 (11%)	
<18.5	Private	364 (25.9%)	
18.5 to <25	BMI		
25 to <30	<18.5	36 (2.6%)	
30+         367 (26.1%)           Smoking status	18.5 to <25	482 (34.3%)	
Smoking status           Never         491 (34.9%)           Prior         607 (43.2%)           Current         307 (21.9%)           Urgent procedure         67 (4.8%)           Outpatient procedure         814 (57.9%)           Comorbidities         1263 (89.9%)           Hypertension         1263 (89.9%)           Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         140 (10%)           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Claudication         647 (46%)           Rest pain         189 (13.5%)	25 to <30	520 (37%)	
Never         491 (34.9%)           Prior         607 (43.2%)           Current         307 (21.9%)           Urgent procedure         67 (4.8%)           Outpatient procedure         814 (57.9%)           Comorbidities            Hypertension         1263 (89.9%)           Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities            None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Armbulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom            Claudication         647 (46%)           Rest pain         189 (13.5%)	30+	367 (26.1%)	
Prior         607 (43.2%)           Current         307 (21.9%)           Urgent procedure         67 (4.8%)           Outpatient procedure         814 (57.9%)           Comorbidities         1263 (89.9%)           Hypertension         1263 (89.9%)           Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         V           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Claudication         647 (46%)           Rest pain         189 (13.5%)	Smoking status		
Current         307 (21.9%)           Urgent procedure         67 (4.8%)           Outpatient procedure         814 (57.9%)           Comorbidities	Never	491 (34.9%)	
Urgent procedure         67 (4.8%)           Outpatient procedure         814 (57.9%)           Comorbidities         1263 (89.9%)           Hypertension         1263 (89.9%)           Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         1           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Rest pain         189 (13.5%)	Prior	607 (43.2%)	
Outpatient procedure         814 (57.9%)           Comorbidities	Current	307 (21.9%)	
Comorbidities           Hypertension         1263 (89.9%)           Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities	Urgent procedure	67 (4.8%)	
Hypertension       1263 (89.9%)         Diabetes       828 (58.9%)         Coronary artery disease       381 (27.1%)         Congestive heart failure       229 (16.3%)         COPD       161 (11.5%)         Dialysis       140 (10%)         N comorbidities       60 (4.3%)         1       274 (19.5%)         2+       1071 (76.2%)         Ambulation       1049 (74.7%)         Ambulation with assistance       290 (20.6%)         Wheelchair or bedridden       66 (4.7%)         Limb symptom       Claudication         Rest pain       189 (13.5%)	Outpatient procedure	814 (57.9%)	
Diabetes         828 (58.9%)           Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         1           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Rest pain         189 (13.5%)	Comorbidities		
Coronary artery disease         381 (27.1%)           Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities	Hypertension	1263 (89.9%)	
Congestive heart failure         229 (16.3%)           COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         647 (46%)           Rest pain         189 (13.5%)	Diabetes	828 (58.9%)	
COPD         161 (11.5%)           Dialysis         140 (10%)           N comorbidities         140 (10%)           N comorbidities         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Full ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Rest pain         189 (13.5%)	Coronary artery disease	381 (27.1%)	
Dialysis         140 (10%)           N comorbidities         None           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Full ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication           Rest pain         189 (13.5%)	Congestive heart failure	229 (16.3%)	
N comorbidities           None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom         Claudication         647 (46%)           Rest pain         189 (13.5%)	COPD	161 (11.5%)	
None         60 (4.3%)           1         274 (19.5%)           2+         1071 (76.2%)           Ambulation            Full ambulation         1049 (74.7%)           Ambulation with assistance         290 (20.6%)           Wheelchair or bedridden         66 (4.7%)           Limb symptom            Claudication         647 (46%)           Rest pain         189 (13.5%)	Dialysis	140 (10%)	
1       274 (19.5%)         2+       1071 (76.2%)         Ambulation       1049 (74.7%)         Ambulation with assistance       290 (20.6%)         Wheelchair or bedridden       66 (4.7%)         Limb symptom       Claudication         Rest pain       189 (13.5%)	N comorbidities		
2+       1071 (76.2%)         Ambulation       1049 (74.7%)         Ambulation with assistance       290 (20.6%)         Wheelchair or bedridden       66 (4.7%)         Limb symptom       Claudication         Rest pain       189 (13.5%)	None	60 (4.3%)	
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Wheelchair or bedridden66 (4.7%)Limb symptom647 (46%)Claudication647 (46%)Rest pain189 (13.5%)	Full ambulation	1049 (74.7%)	
Limb symptomClaudication647 (46%)Rest pain189 (13.5%)	Ambulation with assistance	290 (20.6%)	
Claudication         647 (46%)           Rest pain         189 (13.5%)	Wheelchair or bedridden	66 (4.7%)	
Rest pain 189 (13.5%)	Limb symptom		
Rest pain 189 (13.5%)		647 (46%)	
	Rest pain		
		569 (40.5%)	
Continue		Continu	le

Continued

Table 1         Continued		
	N (%) or mean (SD)	
Prior LER	414 (29.5%)	
Procedure		
Balloon angioplasty	801 (57%)	
Stent placement	604 (43%)	
Concurrent atherectomy	418 (29.8%)	
Concurrent infrapopliteal procedure	488 (34.7%)	
Treatment length (cm)		
0 to <10	264 (18.8%)	
10 to <20	349 (24.8%)	
20+	675 (48%)	
Unknown	117 (8.3%)	
Preop antiplatelet		
None	373 (26.5%)	
Aspirin	584 (41.6%)	
P2Y12	100 (7.1%)	
Dual antiplatelet	348 (24.8%)	
Preop statin	1039 (74%)	
Discharge antiplatelet†		
None	89 (6.3%)	
Aspirin	252 (17.9%)	
P2Y12	240 (17.1%)	
Dual antiplatelet	817 (58.1%)	
Discharge statin†	1134 (80.7%)	

\*A small proportion of patients had VA health benefit.

†Patients who died in hospital (<1%) did not have discharge

medication and were excluded from the denominator.

BMI, body mass index; COPD, chronic obstructive pulmonary disease; LER, lower extremity revascularisation; VA, veteran administration.

years was 88.7% for patients with claudication and 46.3% for those with CLTI. Few events were identified from EHR after year 2 (N events: 252 in year 1, 38 in year 2, 28 in years 3-5). When discontinued patients were hypothesised to have a 50% increase in the risk of death or amputation than observed, AFS at 5 years was 82%-86% for patients with claudication and 34%-41% for those with CLTI. When discontinued patients were hypothesised to have a 100% increase in the risk of death or amputation than observed, AFS at 5 years was 82%-84% for patients with claudication and 31%-37% for those with CLTI. The Kaplan-Meier curves from observed and predicted data started diverging around 6-12 months (figure 3A,B). Secondary analyses of death and amputation separately showed similar results (online supplemental figure). In the comparative analysis of 5-year AFS after stent placement versus PTA (PTA as the reference group), the observed HR and 95% CI were 1.06 (0.83 to 1.35). When discontinued patients were hypothesised to have a 50% or 100% increase in the risk of death or amputation than observed, the HRs ranged between 0.94 and 1.08 (figure 3C).

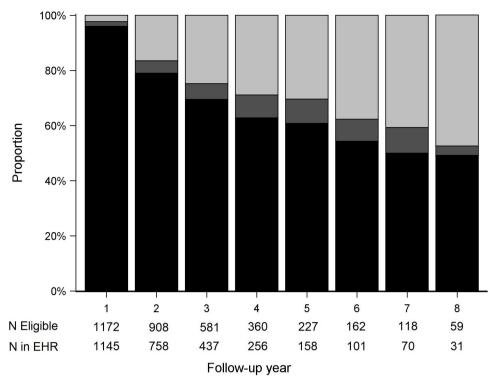
# DISCUSSIONS

In this study, we used EHR data to obtain follow-ups for registry patients who underwent PVI in four academic centres. We found that 75% of patients were retained in the EHR within 3 years from the time of the index procedure. The likelihood of patients being retained in EHR differed by age, insurance, comorbidities and ambulation. We also showed that the non-random attrition in the EHR would alter absolute and comparative estimates of long-term AFS if discontinued patients were to be more likely to experience death or amputation.

Our study showed that it is feasible to use combined EHR and clinical registry data to examine outcomes of Š interventions and devices and the execution can be very timely. We demonstrated an 88% linkage success rate in 8 combining the registry with EHR data. This linkage rate was similar to the linkage success rate of the VQI-Medicare linkage.<sup>11</sup> The 12% of procedures that cannot be linked may be due to missing or incorrectly coded procedures in the EHR. Linkage or direct mapping between EHR and registry data can enable outcome assessments for specific interventions and device attributes and designs while appropriately accounting for granular clinical details. In uses addition, the registry-EHR linkage was performed in 2022 and used data up to the end of 2021.

However, using EHR data to assess longer-term outcomes of medical interventions and devices can be challenging as the proportion of patients retained in EHR decreased significantly over time. We showed a 3-year attrition rate te of 25%, slightly lower than the 32%-33% attrition rates reported in previous studies. This could be because we used a conservative definition of attrition and focused on patients of an older age group in this study.<sup>5</sup> <sup>6</sup> But overall, these results were broadly consistent. Approximately 25%-30% of patients were not retained in the EHR beyond 3 years, which may be due to death, relocation or switching providers. These attritions may not be random and have implications for patient outcome assessment. In our study, vulnerable patients (eg, age >75 years, Medicaid ğ insured, with reduced ambulation) were less likely to be retained in EHR. As a result, event rates may be underestimated when assuming non-informative censoring. In the data that we predicted events for discontinued patients at an increased event rate, estimates for AFS started diverging between the observed and predicted data after 6 months to 1 year. Comparative analysis may also yield hnol different conclusions even if the exposure groups do not have differential attrition.

These results suggested that additional caution should be exercised when designing and interpreting results from long-term follow-up studies with EHR. When possible, researchers can consider using additional complementary data sources to facilitate long-term outcome assessment. For example, linking EHR to vital statistics data could help improve the reliability of long-term mortality assessment. However, even with vital statistics linkage, other long-term outcomes, such as amputation, may still be challenging to evaluate. The Vascular Implant





**Figure 2** Proportion of patients retained in EHR by year for eligible patients undergoing peripheral vascular intervention for femoropopliteal occlusive disease. EHR, electronic health record.

Surveillance and Interventional Outcomes Network is a coordinated registry network that facilitates the evaluation of vascular devices by linking the registry to other routinely collected data sources.<sup>19</sup> The linkage to Medicare claims data can facilitate the evaluation of longterm outcomes among Medicare beneficiaries,<sup>20 21</sup> but claims data are usually subject to delayed data release. Researchers need to decide, for each study context, which combination of data sources can provide the most robust and useful evidence. When additional data sources are not available and long-term outcomes are assessed from EHR data alone, advanced statistical approaches, such as Bayesian methods, may be used to estimate the impact of non-random missing data.<sup>22</sup> It should be noted that these methods require assumptions about data distribution. Additional research is needed to evaluate the performance of these statistical methods in handling missing data in specific disease contexts.

Whether and how the addition of EHR data to clinical registry data can facilitate the assessment of short-term to intermediate-term outcomes after vascular interventions also needs to be further investigated. EHR data have the potential to bring in lab test results, prescription data, as well as unstructured data, such as radiology reports or images.<sup>23</sup> Registry data collection is often limited to the perioperative time period, while EHR data capture lab results and prescription data during encounters prior to and after the index procedure. In addition, with the assistance of advanced methodologies, such as machine learning and natural language processing,<sup>24 25</sup>

researchers may be able to derive variables that are not otherwise available, such as vessel patency in the case of PVI follow-up. These aspects are beyond the scope of the current research and need to be evaluated in future studies.

mini There are limitations to this study. First, the study cohort was limited to patients treated at four academic institutions in New York City. Previous research has shown ≥ that patient retention was slightly higher in community centres.<sup>6</sup> The attrition rate in a larger scale EHR collab-orative may also differ and needs to be assessed in future research. Additionally, we included patients undergoing PVI for PAD as a case study. EHR retention may vary for different clinical specialties and disease areas. But <u>0</u> notably, previous studies focusing on different clinical areas (primary care and obesity) showed broadly consistent EHR retention rates.<sup>56</sup> Second, the linkage method has been validated in the prior linkage between the VQI and Medicare claims. It has not been validated in the current dataset. However, the linkage success rates were consistent. Third, our study included data from the first year of the COVID-19 pandemic. We do not expect the findings to be sensitive to any pandemic effects as the focus was on long-term attrition and the main data accumulation happened before the pandemic. However, COVID-19 may affect retention during and after the pandemic years due to possible long-lasting changes in care patterns. These impacts could not be assessed in the current study due to the limited years of data related to COVID-19. Fourth, we could not determine the exact

and

data

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		Multivariable analysis	
	N (%) retained in EHR	OR (95% CI)	P value
Age			
<65	240 (87.0%)	Ref	
65–74	267 (87.8%)	0.75 (0.41 to 1.36)	0.35
75+	251 (76.5%)	0.34 (0.18 to 0.62)	<0.001
Sex			
Male	398 (84.3%)	Ref	
Female	360 (82.6%)	1.14 (0.75 to 1.73)	0.55
Race/ethnicity			
Non-Hispanic white	310 (86.4%)	Ref	
Non-Hispanic black	150 (83.3%)	1.03 (0.57 to 1.85)	0.93
Hispanic	190 (81.5%)	0.80 (0.47 to 1.38)	0.42
Other/unknown	108 (79.4%)	0.58 (0.33 to 1.03)	0.06
Payor			
Medicare/VA	464 (83.0%)	Ref	
Medicaid	76 (76.8%)	0.41 (0.22 to 0.79)	0.007
Private	218 (87.2%)	0.81 (0.48 to 1.36)	0.42
BMI			
18.5 to <25	16 (76.2%)	0.95 (0.29 to 3.09)	0.93
<18.5	232 (78.4%)	Ref	
25 to <30	283 (85.0%)	1.47 (0.93 to 2.31)	0.1
30+	227 (88.0%)	1.61 (0.94 to 2.78)	0.09
Smoking status			
Never	237 (78.5%)	Ref	
Prior	362 (88.5%)	1.50 (0.93 to 2.42)	0.1
Current	159 (80.7%)	0.54 (0.31 to 0.95)	0.03
Admission status			
Elective	728 (83.5%)	Ref	
Urgent	30 (83.3%)	1.39 (0.52 to 3.69)	0.51
Setting			
Inpatient	272 (77.5%)	Ref	
Outpatient	486 (87.3%)	1.55 (0.99 to 2.41)	0.05
Hypertension			
No	100 (87.7%)	Ref	
Yes	658 (82.9%)	0.70 (0.34 to 1.45)	0.34
Diabetes			
No	341 (85.0%)	Ref	
Yes	417 (82.2%)	0.77 (0.46 to 1.29)	0.32
Coronary artery disease			
No	575 (84.9%)	Ref	
Yes	183 (79.2%)	0.63 (0.39 to 1.00)	0.05
Congestive heart failure			
No	666 (85.4%)	Ref	

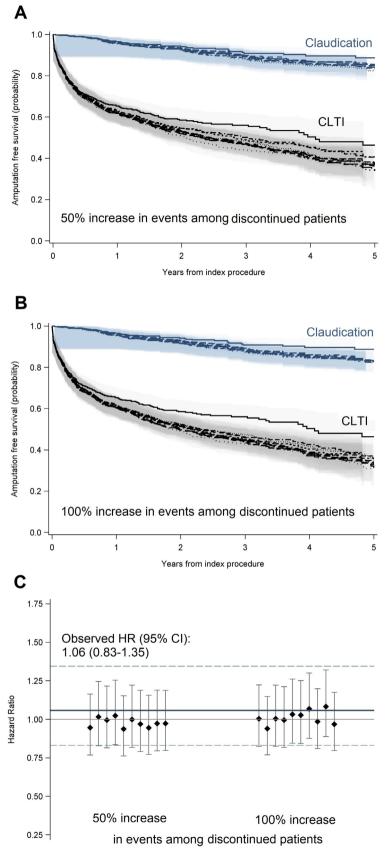
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Table 2	Association between patient characteristics and retention in EHR after year 1 among patients undergoing p
vascular	intervention for femoropopliteal occlusive disease

	N (%) retained in EHR	Multivariable analysis OR (95% CI)	P value
COPD			
No	669 (83.0%)	Ref	
Yes	89 (87.3%)	1.39 (0.70 to 2.76)	0.34
Dialysis			
No	702 (84.4%)	Ref	
Yes	56 (73.7%)	0.47 (0.24 to 0.91)	0.03
N comorbidities			
0–1	207 (85.9%)	Ref	
2+	551 (82.6%)	1.06 (0.52 to 2.17)	0.87
Ambulation			
Full ambulation	610 (86.8%)	Ref	
Ambulation with assistance	126 (74.6%)	0.60 (0.37 to 0.98)	0.04
Wheelchair or bedridden	22 (61.1%)	0.34 (0.15 to 0.75)	0.008
Limb symptom			
Claudication	421 (87.9%)	Ref	
Rest pain	92 (82.1%)	1.32 (0.68 to 2.56)	0.4
Tissue loss	245 (77.3%)	1.01 (0.60 to 1.71)	0.97
Prior LER			
No	516 (82.3%)	Ref	
Yes	242 (86.1%)	1.11 (0.72 to 1.72)	0.63
Procedure			
Balloon angioplasty	418 (85.8%)	Ref	
Stent placement	340 (80.8%)	0.70 (0.46 to 1.07)	0.1
Concurrent atherectomy			
No	542 (83.0%)	Ref	
Yes	216 (84.7%)	0.99 (0.62 to 1.58)	0.97
Concurrent infrapopliteal procedure			
No	540 (85.6%)	Ref	
Yes	218 (78.7%)	0.83 (0.53 to 1.32)	0.44
Treatment length (cm)			
0-<20	330 (84.8%)	Ref	
20+	354 (82.3%)	1.10 (0.71 to 1.71)	0.68
Unknown	74 (83.1%)	1.26 (0.62 to 2.55)	0.52
Discharge antiplatelet			
No	43 (75.4%)	Ref	
Yes	715 (84.0%)	1.40 (0.67 to 2.93)	0.38
Discharge statin			
No	135 (77.1%)	Ref	
Yes	623 (85.0%)	1.66 (1.03 to 2.66)	0.04

BMI, body mass index; COPD, chronic obstructive pulmonary disease; LER, lower extremity revascularisation; VA, veteran administration .

cause of patients' discontinuation in the EHR. Future studies with qualitative components will be needed to delineate that. In addition, some of the important patient characteristics that may affect attrition and AFS, such as social determinants of health, were not collected in the data sources and cannot be assessed in this study. Lastly, our analysis of the impact of the non-random attrition on AFS estimates was constrained by the assumption we



**Figure 3** Hypothetical analysis of 5-year amputation-free survival with patients discontinued in the EHR at 50% and 100% increased risk of experiencing the events than average. (A, B) Kaplan-Meier analysis for the observed (solid lines) and predicted (dashed lines) events. (C) Observed (horizontal line) and predicted (vertical bars) HRs and 95% confidence bands from Cox regression comparing AFS between patients undergoing stent placement versus PTA (reference group). AFS, amputation-free survival; CTLI, chronic limb-threatening ischaemia; EHR, electronic health record; PTA, percutaneous transluminal angioplasty.

made when choosing the hypothetical rates of events for patients who discontinued in the EHR. We were not able to ascertain the 'ground truth' from the current data.

#### **CONCLUSIONS**

We presented the feasibility and limitations of combining EHR with a clinical registry to assess long-term patient outcomes following vascular interventions and device use. Research using linked registry-EHR data to assess outcomes of medical interventions and devices may benefit from the timeliness of the data but may be most appropriate to focus on short-term to intermediate-term endpoints. Future research is needed to investigate the usefulness of registry-EHR linkage in facilitating shortterm to intermediate-term outcome assessment following vascular interventions and advanced statistical approaches to account for non-random missing long-term data.

#### Author affiliations

<sup>1</sup>Population Health Sciences, Joan and Sanford I Weill Medical College of Cornell University, New York, New York, USA

<sup>2</sup>Departments of Biomedical Informatics, Biostatistics, and Medicine, Vanderbilt University Medical Center, Nashville, Tennessee, USA

<sup>3</sup>Department of Internal Medicine Section of Cardiovascular Medicine, Yale School of Medicine, Yale University, New Haven, Connecticut, USA

<sup>4</sup>Department of Surgery Section of Vascular Surgery, Dartmouth-Hitchcock Medical Center, Lebanon, New Hampshire, USA

X Jialin Mao @JialinMao and Kim G Smolderen @kimgsmolderen

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Patient consent for publication Not applicable.

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#### **ORCID iDs**

Jialin Mao http://orcid.org/0000-0001-6671-3474 Kim G Smolderen http://orcid.org/0000-0001-6104-6254

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