# BMJ Open Study protocol for a randomised controlled trial to determine the efficacy of an intensive seated postural intervention delivered with robotic and rigid trunk support systems

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#### **ABSTRACT**

Introduction Children with cerebral palsy (CP) classified as gross motor function classification system (GMFCS) levels III-IV demonstrate impaired sitting and reaching control abilities that hamper their overall functional performance. Yet, efficacious interventions for improving sitting-related activities are scarce. We recently designed a motor learning-based intervention delivered with a robotic Trunk-Support-Trainer (TruST-intervention), in which we apply force field technology to individualise sitting balance support. We propose a randomised controlled trial to test the efficacy of the motor intervention delivered with robotic TruST compared with a static trunk support system.

Methods and analysis We will recruit 82 participants with CP, GMFCS III-IV, and aged 6-17 years. Randomisation using concealed allocation to either the TruST-support or static trunk-support intervention will be conducted using opaque-sealed envelopes prepared by someone unrelated to the study. We will apply an intention-to-treat protocol. The interventions will consist of 2 hours/sessions, 3/week, for 4 weeks. Participants will start both interventions with pelvic strapping. In the TruST-intervention, postural task progression will be implemented by a progressive increase of the force field boundaries and then by removing the pelvic straps. In the static trunk support-intervention, we will progressively lower the trunk support and remove pelvic strapping. Outcomes will be assessed at baseline, training midpoint, 1-week postintervention, and 3-month follow-up. Primary outcomes will include the modified functional reach test, a kinematic evaluation of sitting workspace, and the Box and Block test. Secondary outcomes will include The Segmental Assessment of Trunk Control test, Seated Postural & Reaching Control test, Gross Motor Function Measure-Item Set, Canadian Occupational Performance Outcome, The Participation and Environment Measure and Youth, and postural and reaching kinematics.

Ethics and dissemination The study was approved by the Columbia University Institutional Review Board (AAAS7804). This study is funded by the National Institutes of Health (1R01HD101903-01) and is registered at clinicaltrials.gov. Trial registration number NCT04897347; clinicaltrials.

#### STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ This randomised controlled trial investigates an understudied subpopulation of individuals with cerebral palsy (CP).
- ⇒ The methodology details our novel seated postural and reaching control intervention for children with
- ⇒ The study maximises the motoric benefits for both the experimental and control groups.
- ⇒ The methodology will elucidate the effect of active motor training while providing tailored postural trunk support.
- ⇒ The participation of children with CP and severe intellectual deficits will be limited.

# INTRODUCTION

Protected by copyright, including for uses related to text and data mini Cerebral palsy (CP) is the most common life-long childhood physical disability with 2.0-3.5 per 1000 births, and a lifetime cost per person of \$921 000 in the USA. 12 Approximately, 29% of these children have moderate-to-severe bilateral CP (BCP)—gross motor 9 function classification system (GMFCS) levels III-V.<sup>3-5</sup> Abnormal posture and motor deficits are some of the most disabling impairments.<sup>3 5 6</sup> Yet, efficacious therapies targeting sitting postural control that result in longlasting functional benefits are scarce.<sup>7</sup> This is particularly problematic for children with BCP, GMFCS III–IV, who require sitting abilities for wheeled mobility, activities of daily living (ADLs), an active physical life and community participation.<sup>8–12</sup> Sitting control deficits are commonly resolved by assistive systems and by modifying contextual factors (ie, power wheelchairs, head and lateral trunk supports, seating adaptations and personal assistance).<sup>8</sup> <sup>13</sup> This assistive approach facilitates participation; however, these children may not be performing at their maximal



Children with GMFCS III-IV show trunk control deficits at the middle and lower regions of the thorax as well as reaching impairments—as determined by the Segmental Assessment of Trunk Control (SATCo) and Seated Postural & Reaching Control (SP&R-co) tests. 14 15 Consequently, changing an external support from midribs to pelvis significantly decreases postural and reaching control in sitting. 16 This suggests the potential application of external support at specific trunk levels to deliver seated postural interventions. 17 18 A recent randomised controlled trial (RCT) in CP, GMFCS III-V, compared conventional therapy with a home-based activity training delivered with external support at the impaired trunk segment. The intervention resulted in significant shortterm postural improvements (ie, sway) but not in longterm motor benefits. 19 The absence of long-term effects may be because the intervention was not structured around motor learning and control principles, which are essential for inducing neural plasticity and lasting functional outcomes.<sup>20–24</sup>

In the present study, we have developed a robotic Trunk-Support-Trainer (TruST) to evaluate seated balance and implement a motor learning-based postural intervention (TruST-intervention). 25 26 TruST is a motorised-cabledriven belt that applies force field technology. A key factor is that the force field matches the participants' sitting stability limits to supplements their motor efforts when the trunk is beyond such postural limits. Thus, force fields are tailored to the postural ability of the participants as their postural control improves across intervention sessions (ie, postural task progression). Moreover, TruST displays real-time feedback about the trunk's location with respect to the participant's stability boundaries, allowing the clinician to target postural strategies within, at, or beyond sitting control boundaries. The current RCT investigates the efficacy of TruST-intervention compared with the same motor intervention implemented with a static trunk support system in children with BCP, GMFCS III-IV.

# **AIMS AND HYPOTHESES**

# **Overall aim**

We will test whether a motor learning-and-control-based intervention can improve seated postural and reaching abilities in children with BCP, GMFCS III-IV.

#### **Primary hypotheses**

We expect improvements with TruST and the static trunk support system. However, we hypothesise greater postural improvements with TruST-intervention, as shown by larger improvements in a customised postural-star sitting test (PSST) and the modified functional reach test

(mFRT). Regarding upper extremity control, we expect improvements with both interventions, as determined by the Box and Block (B&B) test and video-coding analysis.

### **Secondary hypothesis**

We expect improvements in both intervention groups. However, we expect greater improvements with TruSTintervention in segmental trunk control (SATCo), postural sitting and reaching control (SP&R-co), gross motor function (Gross Motor Function Measure-Item Set, GMFM-IS), child-centred and family-centred functional and participation outcomes (Canadian Occupational Performance Outcome, COPM, and The Participation and Environment Measure and Youth, PEM-CY), and in postural and reaching kinematics.

# **METHODS** Study design

This is an explanatory parallel RCT conducted at Columbia University (New York) in 82 children with BCP, GMFCS III-IV, aged 6-17 years. The study timeline is from February 2022 to December 2026. After baselines, we will test improvements at mid-point of the intervention (sixth session), 1 week postintervention, and 3 months follow-up. The Consolidated Standards of Reporting Trials (CONSORT) will be followed.<sup>27</sup> <sup>28</sup> A patient or family advisory board did not participate during the planning of our RCT study.

#### Recruitment

Participants will have a confirmed medical diagnosis of BCP. They will be recruited by advertising our study on **5** our website and others, social media platforms, clinical- ₹ trials.gov, and through various local clinics and school districts in New York. Testing and training sessions will be adjusted to the family's schedule before starting the study. During an initial prescreening, a phone survey will be scheduled to interview families, caregivers or legal guardians by KC or VS. We will obtain information beforehand on participants' eligibility criteria and discuss our study design, research goals, potential risks, and reciprocal commitment with participants and families. We expect that our recruitment strategies and participants eligibility will maximise retention and intervention benefits.

The participants will meet the following inclusion criteria to participate in our study: (1) age 6–17 years; (2) medical diagnosis of BCP (diplegia, triplegia or quadriplegia); (3) GMFCS levels III or IV; (4) ability to sit 5s & with manual support provided to any trunk region at or between mid-ribs and pelvis (SATCo=3-7), and (5) cognitive capacity to follow basic verbal instructions (eg, 'do not put your hands on your lap', 'keep your hands up in the air' or 'follow and reach or touch the toy'). Exclusion criteria include: (1) absent head control (SATCo=1); (2) current medical illness unrelated to CP at the time of the study; (3) severe dyskinesia that impedes the child to sit and/or perform postural and/or reaching movements;

(4) history of recurrent seizures (daily) or refractory epilepsy; (5) severe structural deformities of the spine (scoliosis >40° and/or kyphosis >45°); (6) orthopaedic surgery of the spine and/or upper and/or lower extremities in the last 6 months before the study onset; (7) severe spasticity of biceps/triceps in both upper extremities that prevent reaching movements (Modified Ashworth Scale (MAS)=4); (8) chemodenervation or neurolysis (eg. botulinum toxin or phenol/ethyl alcohol injections) in the upper or lower extremity muscles 3 months before the study or planned during the duration of the study and (9) major surgeries in the previous 6 months (only if medically contraindicated).

#### **Randomisation and participant allocation**

A researcher external and blinded to our study will create computer-generated lists of random numbers assigned to seven blocks of 10 participants and to one block of 12 participants (n=82). To prevent selection bias, the allocation sequence will be concealed from the research team. After randomisation to either the TruST-intervention or static trunk support-intervention group, the independent researcher will communicate to the research team the assigned group by opaque, sealed envelopes. Carbon paper inside the envelope will be used to transfer the information onto an allocation card that will be kept with the participant's records. The envelopes will be opened after the consent of the enrolled participant and the completion of baseline assessments.

#### **Blinding**

All assessments will be videotaped, performed and scored by clinical evaluators with expertise in CP. The evaluators will be blinded to group allocation and testing sessions. Blinding of families and children to the intervention will not be possible due to equipment characteristics—that is, robotic-TruST versus static trunk support system.

#### **Study locations**

Both intervention arms will be delivered at Columbia University (New York). The TruST-intervention will take place at the Robotics and Rehabilitation Laboratory; whereas, the static trunk support-intervention will be carried at the Center for Cerebral Palsy (Teachers College). The same research personnel will collect data and deliver the motor interventions. However, clinical evaluators will be different personnel and blind to participant allocation.

# **Study interventions**

Participants will concurrently follow their regular therapeutic care during the study, which will be documented. The TruST-intervention and static trunk supportinterventions are detailed in table 1, following the Template for Intervention Description and Replication (TIDieR) Checklist. 29 30 The same motor learning and control principles, and activities will be applied to both interventions.

# Common intervention procedures: TruST- & static trunk support-interventions

#### Dosage

The dosage for both interventions will be identical, 2 hours/session, 3 x/week, for 4 weeks (12 training sessions). In our previous study,<sup>25</sup> we found the proposed intervention schedule and dosage to be effective in promoting short-term and long-term improvements in seated postural and reaching abilities and gross motor functions.

#### Therapeutic approach

In both intervention groups, all motor activities will be trained along eight star-radiated directions spaced at 45° and with the centre at the participant's pelvis. The goal of this postural intervention scheme is to cover the 360° peripersonal space around the seated participant while being trained at different reaching distances (figure 1A).

Activities will be practised under moderate-high intensity but never beyond extreme fatigue, as reported by the child or by the presence of clinical signs such as muscle trembling. Any potential pain or discomfort will be monitored with the Wong-Baker Faces pain scale during and after the intervention in each study session.<sup>31</sup>

#### Parameterisation of the motor intervention

The motor intervention parameters have been investigated in previous studies (table 2). 25 32 A subset of modified motor parameters defined by Fleishman (1972) will be used to modulate postural and reaching control strategies during the motor intervention.<sup>3</sup> learning-based interventions depend on participants' own preference, motivation and cognitive-motor abilities. Thus, these parameters will be adjusted across participants and intervention sessions. 20 22 34

#### Mode of intervention delivery and setting

One-to-one interventions will be delivered in a lab setting by a physical or occupational therapist. All research personnel will be trained and supervised. A pediatric physical therapist and researcher (VS) will provide direct supervision every two intervention sessions. Also, a bioengineer (XA) will operate TruST while another researcher/clinician collects kinematic data or delivers the motor intervention.

Postural-task progression procedures

TruST-intervention: postural assistive-force fields

The TruST-belt will be placed on the lower ribs (T<sub>9-12</sub>) to provide assist-as-needed forces. The PSST will be used to match the assistive force tupnel to the posticipant's

to match the assistive force tunnel to the participant's sitting control boundaries and measure sitting workspace (cm<sup>2</sup>). 25 35 This test is based on the Star Excursion Balance Test; in which the person displaces the foot along eight directions, following the shape of a star during one leg stance.<sup>36</sup> Similarly, the PSST is a game-oriented test, in which the seated participant performs maximal trunk excursions. A large ball is presented nearby the participant's face to guide the eight trunk movements that

		Static Trunk-Support-intervention
Name	Trunk-Support-Trainer-intervention (experimental)	
Why	Motor learning principles and motor-task progression implemented. Postural task progression is objectively tailored to the child's sitting balance status and systematically progressed in each training session.	The therapeutic elements and intervention protocol are the same. However, the postural task progression is implemented b lowering the static trunk support as the chil improves in segmental trunk control stabilit across sessions.
What: equipment	Toys, balloons, balls, cups, blocks, board games, buzzers, white board and coloured pens. A bench with adjustable height and straps to support the pelvis is fixed to a mechanical lifter. The robotic TruST dynamically controls the trunk in sitting; and thus, the entire upper body moves within the predefined sitting stability boundaries.	Same equipment and bench. However, the bench is integrated with a rigid apparatus to adjust the level of support at the specific sub-region of the torso where the child lose sitting balance control. Thus, only the upper body region above the rigid support can freely move during the motor intervention.
What: procedures	Age-appropriate discrete, serial, and continuous motor tasks, including: reaching (pointing and grasping with whole hand and fingers), catching, throwing, punching, tapping, and lifting.  Motor activities will be practised along eight starradiated directions that are approximately spaced 45° apart and have their centre at the child's pelvis. Motor practice will be within and beyond reaching distance in each one of the eight directions covering the full child's peripersonal space (360°). A total of 30–50 repetitions will be trained in a clockwise and counterclockwise fashion to train the more-impaired and less-impaired upper limbs.	Same intervention structure and procedure
Providers	Two researchers with clinical/kinesiology knowledge and a bioengineer will participate in each session. The assignment of the personnel providing the intervention will be counterbalanced.	Same providers and counterbalance design
How	A one-on-one intervention delivery. Motor learning-based intervention that is task-oriented (predefined motor goal), age-appropriate (engaging practice), intensive mass practice (training>resting and high number of trials and performance over time), sequential skill progression (part-task training) and motor randomisation (variability during task practice). Motor control parameters modulated to challenge motor performance. TruST provides visual feedback on a screen to guide the clinician to train two distances: 'within boundaries' (maximum active reaching distance) and 'beyond boundaries' (beyond active reaching distance). TruST-force fields assist the child in performing postural trunk movements.	Same therapeutic programme, clinical delivery and motor learning and control principles will be applied. The motor tasks are equally practised at two distances: 'within maximum active reaching distance' and 'beyond active reaching distance'. The rigid trunk support system assists the postural trunk movements by statically holding the sub-region of the child's torso where the loss of sitting balance is found.
Where	Laboratory setting	Same setting
When and how much: a) intensity, b) frequency, c) session time, d) overall duration	The training dosage and schedule will be 2 hour sessions, 3x/week, over 4 weeks, with an estimated overall duration of 24 hours of training.	Same intervention schedule and dosage.
Tailoring	Postural task progression will be implemented via assist-as-needed force fields that are equivalent to 10% of the child's body weight. These force fields will be determined by the area and boundaries of stable sitting control measured by a customised postural star-sitting test (ie, a trunk control-based kinematic measurement). Force fields are readjusted at the beginning of each training session to maintain the postural and motor challenge at a maximum level during the motor intervention.	The static support will be placed at the trunk region at which the child loses sitting balance, as determined by the SATCo. Postural task progression will be implemented by lowering the rigid support, as the child acquires greater trunk control. The SATCo, starting at the most-impaired trunk segment, will be systematically used prior to each intervention session to re-adjust the support system and ensure maximum postural challenge during the motor intervention.
Modifications	Games and motor activities will be selected based on the child's preferences. Otherwise, no	Same method for the selection of games a motor activities.

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Table 1 Continued		
Name	Trunk-Support-Trainer-intervention (experimental)	Static Trunk-Support-intervention (control)
How well: planned a) fidelity strategies b) fidelity assessment	Videos and logs will be used to monitor (i) study attendance, (ii) VAS for discomfort/pain (Wong-Baker FACES), <sup>66</sup> (iii) perceived physical exertion (OMNI), <sup>25</sup> (iv) motor control parameters used and modulated during training.  Video-coding of training sessions to determine effectiveness of training (ie, active movements without considering breaks, training setup features, time to transfer between motor activities, breaks such as toilet use), type of motor activity and practice time, and motor capacity (eg, successful trials).	Same procedure to monitor study attendance, child's discomfort/pain, physica exertion, and motor learning/control modulation for ensuring intervention fidelity.
How well: actual	We will determine whether the study and intervention plans are achieved based on attendance to measure participation, data from the customised postural star-sitting test (ie, increases in assistive force fields boundaries and improved sitting workspace area), and video-coding data to measure motor capacity. The presence of unexpected accidents or therapeutic adverse effects together with the level of fatigue and discomfort or pain will determine intervention safety and feasibility in a large scale of children with BCP.	Similarly, we will determine whether the study and intervention plans are achieved based on attendance to measure participation, data from the SATCo across sessions to determine enhanced postural trunk control, and video-coding data about the type of motor activity to study improved motor capacity.  The presence of unexpected accidents or therapeutic adverse effects together with the level of fatigue and discomfort or pain will inform on intervention safety and feasibility

BCP, bilateral cerebral palsy; OMNI, OMNI Picture System for rating of perceived exertion; SATCo, Segmental Assessment of Trunk Control; TruST, Trunk-Support-Trainer.

radiate in a star-like fashion. After each maximum trunk displacement, the participant needs to recover the original upright sitting posture without using the hands for support.

During the TruST-intervention, the assistive-force field intensity equals 10% of the child's body weight (figure 1B). These forces assist sitting balance towards the predefined stability boundaries and not to the centre of

in a large scale of children with BCP.

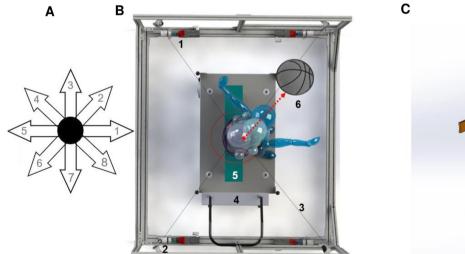




Figure 1 (A) depicts the star-shaped scheme applied during the motor intervention with TruST and rigid trunk support systems. The postural star-sitting test follows the same scheme used to compute sitting workspace area (cm<sup>2</sup>). (B) shows a model of TruST with a child. The main components are numbered: motors (1), pulleys and cable tension sensors (2), cables (3), mechanical lifting platform (4), bench with pelvic strapping (5) and ball used during the postural star-sitting test (6). The arrow depicts the active trunk excursion. (C) depicts the static trunk support system and the main components: principal rigid column (1), U-shaped trunk support that slides along the vertical column (2), trunk support adjustments in the frontal and sagittal planes (3), base of the frame with wheels that can be locked (4). Note that the frontal belt and bench are not shown in this model. TruST, Trunk-Support-Trainer.

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	Descriptors	
Motor activity		
Hand actions	Reaching, grasping, catching, throwing, drawing, punching or colouring	
Games	Connect Four, Jenga, white board and pens	
Toys and objects	Balloons, punching bag, balls, marbles, cars, bowling pins, strings, light-emitting and sound-emitting buzzers, constructions blocks, small cups and shape-like puzzles	
Motor learning parameters		
Task nature	Discrete: Task characterised by a defined start and end. Continuous: Motor task that stops arbitrarily. Serial: An orderly sequence of discrete tasks	
Movement repetitions	30–50 trials	
Motor skill progression	50% success required to progress the complexity of the motor task: object features (size, shape, or weight) and task constraints (pointing vs grasping)	
Motor practice	First practice without objects. Then, objects are incorporated. Whole-task training is emphasised. However, in case of learning deficits, a part-task training following a segmentation method is applied (ie, splitting the motor activity into components so that the first component is trained first, and then this component is combined with the second and set forth)	
Sequence skill progression	Motor task variations are progressively trained in a sequence from less to more complex	
Verbal feedback	In case of learning deficits of the task goal or how to perform it, verbal feedback is incorporated. Knowledge of results (action outcomes) is prioritised over knowledge of performance (movement-based information). A bandwidth mode with a 50% acceptable performance error will be delivered as terminal feedback after motor practice of a block of trials (eg, in block of 10 trials, feedback is delivered after 5 unsuccessful trials)	
Motor randomisation	Motor variability (eg, object location varies and moving vs stationary targets) and motor parameters (ie, control strategies) are addressed during postural and reaching tasks performed beyond maximum reaching distance	
Motor control parameters		
Control precision	Ability to perform rapid and precise movements to control devices, games, or toys	
Response orientation	Ability to move to specific direction/s	
Arm movement speed	Ability to perform rapid arm movements	
Rate control	Ability to time continuous anticipatory and compensatory movements in response to speed/directional changes	
Multilimb coordination	Ability to move and coordinate upper extremities to achieve symmetrical/asymmetrical bilateral tasks	
Manual dexterity	Ability to perform skilful in-hand movements	
Finger dexterity	Ability to perform skilful finger movements with small objects such as coins	
Arm-hand steadiness	Ability to maintain steady hand-arm and/or postures during an interval of time	
Wrist, finger speed	Ability to perform rapid and repetitive wrist and finger movements	
	Ability to move the hand or finger to static and/or moving targets of different dimensions/ shapes or throwing tasks that demand visual accuracy	
Aiming and accuracy	chapes of throwing tasks that demand visual accuracy	

the star-shaped region. Moreover, assistive forces are only provided when the trunk is beyond the boundaries to supplement the participant's motor efforts. This configuration promotes continuous active sitting control without hand support to practice goal-oriented tasks. As the participant expands the sitting control area across intervention sessions, the assistive-force field boundaries are increased and matched to the new stability boundaries (ie, postural-task progression).

Another critical parameter to the achievement of independent sitting will be the removal of pelvic strapping (ie,

workspace area above two SEs of the mean from the previous two, or more, pretraining sessions; or pelvic strapping is removed after the sixth session. Our previous study suggests that participants will likely acquire unsupported sitting (unstrapped) by the sixth intervention session.

Static trunk support-intervention: segment-by-segment approach The static trunk support system (figure 1C) design follows engineering principles, kinematic and electromyographic

data in sitting and reaching control that apply to healthy adults, developing infants, and children with CP. 16 18 19 37-41 As determined by the SATCo test, we will follow a topdown segment-by-segment approach to evaluate trunk control in sitting at the beginning of each intervention session. We will define the most-impaired trunk segment, place the support, and then deliver the motor intervention. The constraint of caudal trunk segments to the one being trained might help to reduce the overload of sensorimotor information to process and to control the body dynamics during seated motor activities.<sup>37 41</sup> However, legs and feet will not be supported.

For postural task progression, when there is an improvement in the SATCo—that is, improved sitting balance at a lower trunk segment—the support is lowered one level. The trunk support system will offer a firm support for a systematic, objective and reliable SATCo evaluation across participants and sessions.

#### Discontinuation criteria for motor interventions

We will discontinue the TruST-intervention if postural detriments are observed—that is, workspace area decreases during 3 consecutive days below 2 SE of the averaged preintervention sessions prior to the session when the detriment onset is detected. Static trunk control-intervention will be discontinued if the SATCo score decreases one level, or more, for 3 consecutive days. Any intervention will stop if the participants report excessive pain (visual analogue scale≥7).

#### **Motor-task progression procedure**

In the TruST-intervention, motor training will be progressed as follows:

- 1. Within sitting boundaries (inactive TruST-force field): The participant performs 30-50 simple reaches (ie, pointing) with the less-impaired and more-impaired upper extremities. The target is placed at maximum active reaching distance without eliciting additional trunk movements on the right and left sides of the body, following eight star-like directions—as we follow in the postural star-sitting test. If 60% of attempts are successful in a minimum of five out of the eight directions (clockwise or counterclockwise), the participant progresses to stage 2.
- 2. Beyond sitting control boundaries (active TruST-force field): the target is placed beyond stability boundaries (~120% active reaching distance) along the eight star-like directions to elicit trunk movements. In this stage, the participant relies on assistive-force fields to complete the motor activity and return to sitting posture without using the hands to recover sitting stability. As in stage 1, the participant can progress to stage 3 when 60% of attempts are successful at least in five out of the eight directions (clockwise or counterclockwise).
- 3. Beyond sitting control boundaries under challenging motor conditions: the training procedure is like stage 2. However, in stage 3, the clinician modulates specific motor control parameters (see table 2 above), adds

practice variability—movement distance and directionality-and introduce diverse goal-oriented activities (ie, contextual interference) to address maximum motor complexity.

In the static trunk support group, we will follow the same motor skill training and stages. However, in stages 2 and 3, the participants will rely on a static trunk support to perform the postural and reaching activities without the additional use of the hands for support.

Adverse events and safety

As per our IRB protocol, major risks or serious long-term harm are not expected. Thus, pre-established compensation has not been determined. Major falls from the bench will be prevented with a slacked harness—to avoid weight support during the intervention. Minor equipment—or intervention-related injuries that do not require medical attention are muscle fatigue, minor dermic abrasions, and localised erythema or petechiae under the belt or trunk support. If adverse events such as muscle or articular pain, excessive physical or cognitive fatigue, musculotendinous strains or ligament sprains occur, these will be documented in our study protocols (see the Fidelity section) and IRB.

#### **Fidelity**

# Supervisory team: researchers' attributes, scientific documentation and personnel training

We will have a Manual of Procedures (MOP) in place that covers each treatment arm. The MOP will describe the study design, personnel roles, experimental procedures, interventions, data analyses and safety measures, and how to handle blinded and private data. We will register in  $\vec{a}$ the study MOP adverse events and protocol or procedure modifications.

All research personnel (including volunteers) in direct contact with participants will receive training in ethical, safety, experimental, and intervention protocols to achieve optimal ethical and professional attributes to perform the study. This training will include IRBrelated coursework (eg, 'Good Clinical Practice'), basic first aid, and cardiopulmonary resuscitation (CPR) training. It will also include communication skills to interact with participants and families, information on RCT designs—ensuring internal and external validity of the study—and a 2-hour in-person training seminar to learn about postural-related and reaching-related deficits in CP, our motor intervention design and how to operate the TruST and static trunk support systems.

#### Data monitoring during the study

Attendance will be used to measure participation and monitor potential dropouts, including if the reason is internal or external to our study. Video footage of training sessions will be video coded to determine training effectiveness (ie, time-on-task), type and frequency of motor activities practised, toys or objects used, and motor capacity (eg, success to achieve the

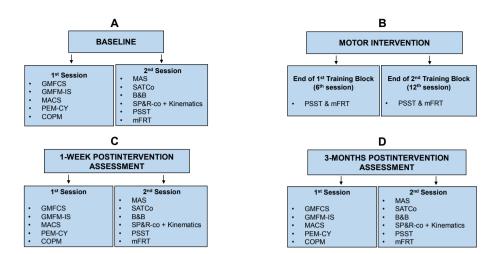


Figure 2 Diagram depicting the study timeline and type of data gathered in each study phase. B&B, Box and Block; COPM, Canadian Occupational Performance Outcome; GMFCS, gross motor function classification system; GMFM-IS, Gross Motor Function Measure-Item Set; MACS, Manual Ability Classification System; MAS, Modified Ashworth Scale; mFRT, modified functional reach test; PEM-CY, Participation and Environment Measure and Youth; PSST, postural-star sitting test; SATCo, Segmental Assessment of Trunk Control; TruST, Trunk-Support-Trainer; SP&R-co, Seated Postural & Reaching Control.

goal, time to achieve the task, and number of task repetitions). An external researcher with expertise in videocoding analyses, who is independent to our study team, will analyse masked video data with Datavyu software (https://datavyu.org/).

A data monitoring committee has not been established. In weekly meetings, we will monitor whether all study protocols are implemented as planned. Aside from an external statistical analysis, interim statistical analyses will be carried out to monitor the progression of the two study arms. If 50% of the projected sample size does not improve in either intervention, we will inform the funding agency and discontinue our RCT.

### Participant's data

Using the ICF framework, we will collect data within the body structure and function, activity and participation domains. Figure 2 depicts the study outline and data collection.

#### Medical, demographic and concurrent therapy data

Demographic questionnaires used by the National Institutes of Health will be used to gather data on sex, age, race and ethnicity. This data will be used to ensure racial and ethnic diversity. Medical information such as CP diagnosis and subtype, brain injury and other comorbidities will be obtained from medical records. We will record the current medical and therapeutic regimens—type, schedule and intensity—of participants for further interpretation of our study outcomes. Any communication that involves personal or medical information will follow the Health Insurance Portability and Accountability Act of 1996 (HIPAA). 42

#### Screening and descriptive measures

### Gross motor function classification system

The GMFCS comprises five levels of severity. It categorises functional abilities such as sitting, walking, running or jumping while considering the need for assistive equipment (postural support, wheeled mobility, or walkers). 43

### Manual Ability Classification System

The Manual Ability Classification System categorises how children manipulate objects during ADL depending on their functional independence.<sup>44</sup>

#### Spasticity will be measured with the MAS

The MAS can be used to assess spasticity in CP. 45 46 It scores the increase in muscle resistance through passive limb movements. The score ranges from 0 (no increase in muscle tone) to 4 (limb rigid in flexion or extension). We will be cautious interpreting spasticity as MAS scores depend on joint and muscle features, and examiners' experience. 46

# Primary outcomes

# Modified functional reach test

The mFRT measures proactive postural control during maximum reaching distance. It is a reliable tool in CP (r=0.42 to 0.77) and discriminates GMFCS levels (GMFCS III=10.8 cm  $\pm$  SD: 3.8). <sup>47 48</sup> Test responsiveness is unknown in CP, however.

#### Postural-star sitting test

It will be performed before and after interventions to monitor sitting control progression in both



TruST-intervention and static trunk control-intervention groups. The investigators have several motivations that rationalise this customised measurement. It (1) is age appropriate, (2) is goal oriented, (3) directly measures sitting based on trunk control improvements, (4) is responsive to capture sitting workspace area chnages and (5) offers data with a straightforward functional interpretation.

#### Box and Block

It examines manual dexterity. The child moves a maximum number of blocks (block size=2.5 cm<sup>2</sup>), one at a time, between the compartments of a partitioned box in 60s. <sup>49</sup> In BCP, B&B shows a strong association ( $r \ge 0.7$ ) with self-care, mobility and social function. <sup>50</sup> B&B is responsive to motor interventions that include more-affected and less-affected hands with a minimal clinically important difference (MCID) of 1.9 and 3.0 blocks, respectively. 51 52 Arm displacement and grasping will be analysed with Datayyu. 53 An instruction manual has been created to standardise video-coding procedures and define the reaching variables. Grasping is defined as the moment the hand contacts the block to the time the block is lifted from the surface. Arm displacement is defined from end of grasping to block release. Reaching performance is the summation of grasping and arm displacement. Two, or more, coders will determine video-coding reliability  $(r \ge 0.7)$ .

#### Secondary outcomes

#### Gross Motor Function Measure-Item Set

The GMFM-IS determines the gross motor function of children with CP—A: lying and rolling, B: sitting, C: crawling, D: standing and E: walking, running and jumping. It is an abbreviated and validated version of the GMFM-66. It includes an algorithm with three critical items to decide which one of four item sets is most appropriate for the child to assess motor function and obtain a GMFM-66 score. 54 GMFM shows strong inter-rater reliability ( $\kappa$ =0.75) for 2–12 years and strong inverse correlation with GMFCS (r=-0.91). 55 56 Moreover, it is responsive to change with an MCID of 0.8-1.6 (medium effect size) and 1.3–2.6 (large effect size).<sup>57</sup>

#### Canadian Occupational Performance Outcome

The COPM will be used to measure parent-centred and child-centred functional goals and preferences specific to seated posture and reaching impediments that restrict participation.<sup>58</sup> COPM has high inter-rater agreement in prioritising problems (80%) and it can detect clinical important differences across time (ie. a MCID above twopoint change).<sup>59–61</sup>

#### Participation and Environment Measure and Youth

The PEM-CY measures participation—12 home items, 17 school items and 16 community items-including environmental factors (reliability: home=0.71, school=0.76 and community=0.69). 62 63 A study on one environmentalbased intervention showed that PEM-CY can capture

improvements in children with physical disabilities. We will explore whether PEM-CY can capture postintervention changes in our study.<sup>64</sup>

#### Seated Postural & Reaching Control test

The theoretical play-oriented framework and metrics of the SP&R-co test have been validated in children with CP who have moderate-to-severe motor conditions. It shows good-excellent inter-rater and intrarater reliability (Intraclass Correlation Coefficients=0.68-0.86, and 0.64-0.95, respectively). As the SATCo, the SP&R-co follows a segment-by-segment trunk approach to assess quantitatively sitting control across static, active, proactive (via bimanual and unimanual reaches) and reactive dimensions. Responsiveness has not yet been addressed, but the SE measurements for each seated postural dimension of the SP&R-co test are available. 14

#### Postural and reaching kinematics

We will follow the seated postural framework validated in the SP&R-co to capture motor improvements in the next

Static seated task: Postural orientation and balance in sitting during 20 s.

Active seated task: Simultaneous control of the trunk &

and head rotations when the child visually follows an object 90° to the right and left (ie, chin over shoulder). Proactive seated task: Seated anticipatory and compensatory postural control during direction-specific reaches performed straight, and 45° to the right and left.

#### Segmental Assessment of Trunk Control

The SATCo is validated in children with CP and shows excellent inter-rater and intrarater reliability (ICCs >0.84 and 0.98, respectively). The evaluator offers support at various trunk segments (shoulders, axillae, inferior angle of scapulae, on lower ribs, below lower ribs and pelvis) to measure trunk control across three dimensions: static (during 5s), proactive (visually following an object to the right and left) and reactive (postural responses to nudges). We will consider a score from 1 (no head control) to 8 (full trunk control). 15 Test responsiveness has not been established, but studies show potential to identify trunk balance improvements in each of the tested trunk segments. 18 41

#### **Data management and data collections**

After the subject eligibility is confirmed, we will assign a code to each participant only accessed by the principal investigators (SKA and AMG), coinvestigator (VS) and & research coordinator (KC). All data collections will be digitised and saved in encrypted endpoint hard drives. Paper forms will be collected as safe copies in a private locked cabinet in the PI's office.

To keep young children informed and engaged during the study, each one will receive a personalised fun 'Research Passport' that lists each study stage and explains the purpose of each visit. On completion of each study phase and procedure, the child will earn a stamp on

each page. Additionally, we will offer families the possibility of receiving a brief clinical informative report with the functional status of the child after the study by VS who is a licensed board-certified paediatric physical therapist in New York.

We will divide our three main data collection events 1-week postintervention and (baseline, follow-up) into two subsessions to reduce the burden and physical fatigue that the evaluations may cause (figure 2). We will empower participants with the ability to stop any study session and request breaks verbally or with a laminated red stop sign.

#### **Data analysis**

#### Sample size estimation

We used preliminary data from our previous study and literature to estimate sample and effect sizes. 16 25 For this purpose, G-Power (V.3.1.9.4., Dusseldorf University) and SPSS (V.25, IBM) were used. Our primary outcome was upper body balance during seated reaching (Pilot average=30°  $\pm$  SD=22°, partial  $\eta^2$ =0.10, n=11). With a mixed analysis of variance (ANOVA), we estimated 68 subjects to achieve a power=0.8, considering a two-tailed  $\alpha$  rate=1% (p<0.005). We will recruit an additional 20% of participants (82 participants in total) to account for potential group heterogeneity and dropouts.

#### Statistical procedures

An alpha rate=0.01 will be used for statistical analyses. The effect of the interventions on primary and secondary outcomes will be analysed with a two-factor mixed ANOVA, including groups as the between-subject factor (TruST and static trunk support groups), and testing sessions as the repeated measures factor (baseline, mid-point training, 1-week postintervention and 3 month follow-up). The group X testing session interaction will be used to test the hypothesis that TruST-intervention is superior to static trunk support intervention. If the ANOVA model is significant, we will perform post hoc comparisons with Holm-Bonferroni procedure to control family-wise error.

# Statistical handling of non-normally distributed and missing data

In the event that participants miss sessions for unpredicted reasons (eg, illness) or drop the study, we will apply a generalised estimating equations (GEE) as an alternative statistical plan. In this way, we will account for missing data and follow an intent-to-treat principle. The GEE will analyse events-in-trials following a repeated-measures procedure with subjects as clusters, test session as the within-subject variable and intervention groups as the between-subject variable. A linear model will be selected, and the covariance structure will be specified as correlation matrix based on the quasi-likelihood under independence criterion goodness of fit coefficient.<sup>65</sup>

### Ethics, resource sharing plan and dissemination

The present RCT has been registered on clinical gov.org. The study protocol, recruitment materials, and assent and consent forms have been approved by the Columbia

University Institutional Review Board (IRB AAAS7804). Study information, assent and informed consent forms will be signed by all participants and caregivers prior to requesting medical records and starting the study. Participants will be verbally reminded they can withdraw consent at any time without penalty. All deidentified data will be stored for 3 years after the completion of the study in a password-protected computer. We will store deidentified data in an online HIPAA-compliant database (REDCap). The study protocols follow standardised procedures in RCT such as CONSORT and TIDieR to facilitate appropriate scientific, ethical and safety assessments and to increase the likelihood of cresearch success. 27 29 30

We will make available the study data via the Data and 8 Specimen Hub—a data sharing platform of the Eunice Kennedy Shriver National Institute of Child Health and Development. Findings will be disseminated through

peer-reviewed publication and national and international conferences. Participants and families will be informed on the study progress via newsletters and meetings.

DISCUSSION

We are expanding on our previous small feasibility study in which we did not include a control group (ie, static trunk support-intervention). We expect our motor learning-based postural interventions to the progression of the progress our motor learning-based postural interventions to induce postural and reaching improvements in both study groups. Nonetheless, we expect that posturaltask progression tailored to the participant's postural stability via TruST-force fields will have a synergistic  $\overline{\underline{\mathbf{p}}}$ effect during motor training that may lead to greater **a** improvements. As shown in our previous studies, we 3 will apply motor-task progression to challenge the child via specific motor parameters during age-appropriate and goal-oriented activities that maximise engagement. Tailored postural support that is progressively lowered allows participants to experience a full motor repertoire based on self-initiated movements and trial-anderror practice. We do not expect safety concerns during the motor interventions but physical fatigue is highly plausible due to motor-task and postural-task progression. If our hypothesis is supported, a critical point will be knowledge translation of TruST-intervention. Valid static trunk support systems are accessible in most rehab settings and special education schools. Regarding TruST, the team will investigate its development into a versatile and affordable equipment with an user-friendly interface for future clinical applications. In future studies, we will address whether a distributed motor practice, more similar to regular therapy schedules (30–60 min vs 120 min), could be equally effective. Finally, if participants acquire the ability to sit unsupported, further studies will be necessary to objectively identify how to modify the child's context (physical barriers) to fully transfer and retain the seated functional gains across ADLs.

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