






# BMJ Open Evaluation of antimicrobial photodynamic therapy and minimal intervention associated with deproteinisation in permanent teeth with molar incisor hypomineralisation: study protocol for a clinical, controlled, blinded trial

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## ABSTRACT

**Introduction** Molar incisor hypomineralisation (MIH) is a qualitative defect of enamel development that occurs in the mineralisation phase. MIH affects one or more permanent molars and, occasionally, permanent incisors. The aim of the proposed study is to evaluate the clinical effect of antimicrobial photodynamic therapy (aPDT) on permanent teeth with MIH through decontamination and sensitivity control.

**Methods and analysis** Patients from 8 to 12 years of age with permanent molars will be randomly allocated to three groups. Group 1: selective chemical–mechanical removal of carious dentinal tissue around the walls of the cavity with Papacárie Duo and a curette followed by the application of aPDT and deproteinisation with Papacárie Duo; group 2: selective removal of carious dentinal tissue around the walls of the cavity with a curette, followed by the application of aPDT and deproteinisation with a 5% sodium hypochlorite solution; group 3: selective removal of carious dentinal tissue using a curette. The selected teeth must have a carious lesion in the dentin and post-eruptive enamel breakdown on one or more surfaces with an indication for clinical restorative treatment. The teeth will subsequently be restored using a mixed technique with resin-modified glass ionomer cement and bulk-fill composite resin. The data will be submitted to descriptive statistical analysis. Associations with age and sex will be tested using either the  $\chi^2$  test or Fisher's exact test. Pearson's correlation coefficients will be calculated to determine the strength of correlations between variables. Comparisons of the microbiological results (colony-forming units) will be performed using analysis of variance and the Kruskal–Wallis test. Kaplan–

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ Combination of innovative treatment with the use of minimally invasive procedures aiming for a better pulp prognosis for young teeth with molar incisor hypomineralisation (MIH).
- ⇒ Use of antimicrobial photodynamic therapy for decontamination and control of hypersensitivity aiming for a better prognosis after restorative treatment.
- ⇒ Comparison of two deproteinising agents to increase the longevity of restorations in teeth with MIH.
- ⇒ Relative isolation can be considered a limitation of this study.

Meier survival analysis will be performed to assess the performance of the restorations.

**Ethics and dissemination** This protocol has been approved by the Human Research Ethics Committee of Nove de Julho University (certificate number: 61027522.0.0000.5511/approval date: 23 August 2022). The findings will be published in a peer-reviewed journal. **Trial registration number** NCT05443035.

## INTRODUCTION

Molar incisor hypomineralisation (MIH) is a complex multifactorial qualitative developmental defect of enamel with a genetic component that affects the permanent first molars and occasionally the permanent incisors. MIH presents itself clinically as a demarcated opacity that ranges in colour from

creamy white to brownish yellow. Darker opacities have a more porous affected area and are more susceptible to fractures.<sup>1</sup> The main therapeutic challenges posed by this condition are an increased risk of caries, aesthetic problems, dental hypersensitivity, difficult adherence of restorative materials and treatment failures.<sup>2</sup>

The hypomineralised enamel has lower mechanical properties with less hardness and modulus of elasticity. The high protein content is the main challenge to the adherence of restorative materials to this substrate. Thus, treatment failure and the need for retreatment are common.<sup>3 4</sup> Dentin hypersensitivity to thermal and mechanical stimuli is a symptom that may be present in individuals with MIH. The mechanism of hypersensitivity in MIH is not fully understood, but the high porosity of the affected enamel is believed to favour the penetration of bacteria into the dentinal tubules, causing subclinical pulpal inflammation.<sup>5 6</sup>

Considering the hypersensitivity of teeth affected by MIH and the difficulty in managing the behaviour of children, the chemical-mechanical removal of carious tissue is a viable option. This method consists of the application of a proteolytic substance that softens the carious dentinal tissue and facilitates its removal with manual instruments.<sup>7 8</sup> The method is often painless, avoids the need for a drill and local anaesthesia and enables the greater preservation of dentinal tissue.<sup>8-10</sup> Enzyme-based materials, such as papain, have anti-inflammatory and bactericidal properties, resulting in significantly less pain compared with the conventional mechanical method with rotary instruments.<sup>8-11</sup> The control of hypersensitivity during the treatment of teeth with MIH can be challenging, even with adequate anaesthetic methods. Thus, the investigation of alternative, more conservative methods is of considerable importance.<sup>12</sup>

Restorative treatment is recommended for teeth with MIH and cavities. Glass ionomer cement (GIC) is a material recommended in the literature for the restorative treatment of teeth with HMI and we can highlight, among its properties, biocompatibility and a coefficient of thermal expansion similar to the tooth structure. Furthermore, the material has the advantage of continuous release of fluoride, which provides protection for the tooth structure and can also help control tooth sensitivity.<sup>13</sup> When GIC is used in the mixed technique (sandwich technique), the material is applied as a base to replace dentin, and the composite resin that is applied to complete the restoration will have the advantages of mechanical resistance, colour stability, surface smoothness and reduction of polymerisation contraction.<sup>14</sup> Despite divergent opinions in the literature regarding the use of relative isolation in restorative procedures, it has been shown to be effective on teeth with MIH restored with hybrid glass materials.<sup>15</sup> Moreover, some studies have found no differences in the longevity of resin restorations in the comparison of the two isolation methods (relative and absolute).<sup>15-18</sup>

The decision to remove all hypomineralised enamel is based on the need to avoid fractures at the margins of restorations. However, the complete removal of the altered enamel weakens the dental structure, which increases the likelihood of a failed restoration.<sup>19</sup> Studies point to deproteinisation as a way to improve the adhesion of restorative materials to enamel.<sup>20-24</sup> Papain gel is an alternative to 5% sodium hypochlorite in the deproteinisation for the bonding of adhesives to hypomineralised enamel. Hypochlorite is a strong oxidising agent that requires careful use in order not to affect soft tissues.<sup>4</sup>

Other therapeutic measures have also been developed for the treatment of caries, such as antimicrobial photodynamic therapy (aPDT).<sup>25 26</sup> Photodynamic action occurs when the photosensitising agent absorbs photons from the light source and its electrons enter an excited singlet state.<sup>27</sup> For light to produce biological effects, it must interact with the tissue. A chromophore applied to the dentin has the function of absorbing the radiation of the light, thereby enhancing the interaction between the light and tissue.<sup>28-30</sup>

Therefore, the aim of the proposed study is to investigate the antimicrobial and therapeutic action of aPDT on permanent teeth with MIH and cavities. The adhesion of restorative material following the deproteinisation of the hypomineralised enamel and selective chemical-mechanical removal of the carious tissue will also be assessed. Currently, evidence in the literature is weak regarding a minimally invasive approach to the treatment of teeth with MIH, which justifies the present study. The primary outcomes of the study will be the decontamination of teeth with MIH. In addition, the control of sensitivity and longevity of restorations will also be evaluated.

## METHODS

This study protocol was designed as a randomised, controlled, blind, clinical trial and follows the Standard Protocol Items for Randomised Trials (SPIRIT) recommendations, as displayed in [table 1](#).

### Description of sample

Sixty-nine teeth will be selected in healthy male and female children 8–12 years of age<sup>31</sup> (no distinction in terms of ethnicity) enrolled for treatment at the paediatric dental clinic of Universidade Nove de Julho with permanent first molars exhibiting MIH and the need for restorative treatment. Individuals who meet the inclusion criteria will be immediately randomised and treated. Each participant will receive treatment of a single tooth affected by MIH. If more than one tooth meets the inclusion criteria, the tooth to be included in the study will be selected randomly through a simple lottery procedure. The reporting of results will follow the Consolidated Standards of Reporting Trials guidelines.

**Table 1** Schedule of enrolment, interventions and assessments of the study

Timepoint*	Study period							
	Enrolment	Allocation	Post allocation				Close-out	
	-t1	0	T1	T2	T3	T4	T5	T6
Enrolment:								
Eligibility screen	X							
Informed consent	X							
Allocation		X						
Interventions:								
PDT+deproteinisation Papacárie Duo			X					
PDT+deproteinisation NaClO 5%			X					
Atraumatic Restorative Treatment			X					
Assessments:								
Microbiological sample collection		X	X					
Clinical aspects		X		X	X	X	X	X
Dentin sensitivity		X		X	X	X	X	X

\*-t1=before the baseline, 0=baseline, T1=immediately after treatment, T2=48 hours after treatment, T3=03 months after the treatment, T4=06 months after the treatment, T5=09 months after the treatment, T6=12 months after the treatment.  
PDT, photodynamic therapy.

## Participants

### Inclusion criteria

- ▶ Male and female children.
- ▶ Age between 8 and 12 years.
- ▶ At least one permanent first molar with MIH and active caries on the dentin (International Caries Detection and Assessment System (ICDAS) 5 or 6) with posteruptive fracture on a single surface or multiple surfaces with an indication for direct restorative treatment.
- ▶ Direct view and access.
- ▶ Cooperative patients (use of Venham Picture Test).

### Exclusion criteria

- ▶ Clinically: sign or symptom of pulpal involvement.
- ▶ Radiographically: evidence of pulpal involvement (initial periapical radiograph).
- ▶ Teeth by MIH without indication for direct restorative treatment (multiple surfaces associated with large extensions of MIH).
- ▶ Partially erupted teeth with MIH.
- ▶ Previous restorative treatment.

## Patient and public involvement

The guardians of the patients were not involved in the design of this study. After the data analysis, the guardians will be given the opportunity to participate in a result-sharing meeting if they so desire. The consent form signed by guardians of the participants explains that the storage of data for each participant and family member is within the terms of confidentiality.

## Calculation of sample size

The sample size was determined considering the primary outcome (bacterial count).<sup>32</sup> The initial estimate for a significance level of 0.05 and 80% test power was 18 teeth per group, to which 25% will be added to compensate for possible dropouts, resulting in 23 teeth per group. A statistical power analysis program (G\* Power software V.3.1.9.6; Universität Düsseldorf, Düsseldorf, NRW, Germany) was used for the calculation of the sample size.

## Randomisation

The participants will be randomly allocated to the different groups using a block randomisation method. A computer-generated sequence (random.org; Randomness and Integrity Services, Dublin, Leinster, Ireland) will be used to allocate each participant to a specific group maintaining a proportion of 1:1:1 (equal number of participants in all groups). Allocation concealment will be ensured with the use of sequentially numbered sealed opaque envelopes. The teeth selected for restorative treatment will be randomised into three groups:

**Group 1:** Papacárie+aPDT.

**Group 2:** NaClO 5%+aPDT.

**Group 3:** Control.

## Blinding

All treatments will be performed for the four groups by a single operator who will have undergone training and calibration exercises in the initial phase of the study. Clinical evaluations after 48 hours as well as at 3, 6, 9 and 12 months of follow-up will be performed by an assessor blinded to the treatments (single-blind study). The operator and

assessor will be previously calibrated (calculation using Kappa statistic) to ensure interobserver agreement higher than 85% based on the criteria of the modified United States Public Health Service (USPHS)<sup>20 33</sup> index as well as criteria established by the European Academy of Paediatric Dentistry<sup>34</sup> and the ICDAS.<sup>35</sup> The data will be analysed by a blinded statistician.

## Interventions

### Group 1

Selective chemical–mechanical removal of carious dentinal tissue around the walls of the cavity with Papacárie Duo and a curett followed by the application of aPDT and deproteinisation with Papacárie Duo.

1. Initial periapical radiograph.
2. Visual analogue scale (VAS) for pain (participant's subjective assessment) and Schiff Cold Air Sensitivity Scale (SCASS; operator's assessment).
3. Relative isolation (lip bumper, cotton roll and aspirator).
4. Microbiological sampling with Meyhoefer curette (for standardisation of volume of carious tissue).
5. Application of Papacárie Duo (Papacárie Duo; Fórmula & Ação, São Paulo, SP, Brazil) to carious dentinal tissue allowed to act for 30–40 s.
6. Selective removal of infected carious tissue by scraping of softened tissue.
7. Second microbiological sample of remaining dentinal tissue with curette.
8. Application of methylene blue (Evilux 5; Fórmula & Ação, São Paulo, SP, Brazil) to affected surface for 3 min.
9. Irradiation of affected dentinal tissue—aPDT (single point).
10. Third microbiological sample of remaining dentinal tissue with curette after aPDT.
11. Cleaning with cotton ball and water.
12. Clinical evaluation—inspection of texture of remaining dentin with ballpoint exploratory probe.
13. Protection of pulpal or axial wall with resin-modified GIC (Riva Light Cure; SDI, Melbourne, Vic, Australia) followed by photoactivation for 20 s.
14. Selective etching of adjacent enamel and demarcated opacities with 35% phosphoric acid (Ultra Etch; Ultradent, Indaiatuba, SP, Brazil) for 20 s.
15. Rinsing for 15 s and drying.
16. Application of Papacárie Duo (Papacárie Duo; Fórmula & Ação, São Paulo, SP, Brazil) to adjacent enamel and demarcated opacities for deproteinisation; allowed to act for 60 s.
17. Cleaning with cotton ball and water.
18. Application of universal adhesive (Ambar; FGM, Joinville, SC, Brazil) to dentin and enamel for 20 s (repeat procedure).
19. Mild compressed air over adhesive for 5 s.
20. Photoactivation for 10 s (peak 1200 mW/cm<sup>2</sup>) (Radii Cal photopolymerising agent; SDI, Melbourne, Vic, Australia).

21. Restoration with bulk-fill composite resin (Tetric N Ceram Bulk Fill; Ivoclar Vivadent, Barueri, SP, Brazil) extending to adjacent demarcated opacities.
22. Photoactivation for 10 s, increments of up to 4 mm (Radii Cal photopolymerising agent; SDI, Melbourne, Vic, Australia).
23. VAS for pain (participant's subjective assessment), SCASS (operator's assessment) and Venham Picture Test after 48 hours.
24. Clinical follow-up at 3-month intervals for a period of 12 months (modified USPHS index).
25. VAS for pain (participant's subjective assessment) and SCASS (operator's assessment) at 3-month intervals for a period of 12 months of follow-up.

### Group 2

Selective removal of carious tissue with curette+application of methylene blue and low-level laser (aPDT)+deproteinisation of adjacent enamel with 5% NaOCl.

The same sequence as that used for group 1, with the exception of steps 5 (Papacárie will not be applied) and step 16, for which 5% NaOCl (Sodium Hypochlorite; Fórmula & Ação, São Paulo, SP, Brazil) will be used for deproteinisation.

### Group 3

Selective removal of carious tissue with curette (control group).

The same sequence as that used for group 1, with the exception of steps 4, 5, 7, 8, 9, 10 and 16.

All interventions will be initiated without the previous administration of local anaesthesia. Each child will be informed that anaesthesia could be administered at any time during the intervention.

### Antimicrobial photodynamic therapy

The light source used for aPDT will be a laser device (Therapy XT; DMC, São Carlos, SP, Brazil) emitting red light at  $\lambda=660$  nm. The device will be calibrated according to previous mathematical calculations for the determination of the parameters to be used with the light source for aPDT. Laser parameters (table 2) will be set at continuous mode with 100 mW, 6 J per point, 60 s, 3571 mW/cm<sup>2</sup> and 214 J/cm<sup>2</sup>. The aPDT session will be held at the paediatric dental clinic. Only the volunteer and operator will be present and both will use protective eyewear. The active tip of the laser will be covered with disposal plastic wrap (PVC) to avoid cross-contamination and for reasons of hygiene. The operator will wear proper garments.

### Radiographic and digital scanner assessments

Periapical radiographs will be taken to discard the possibility of a carious lesion with pulpal involvement. Follow-up radiographs will only be taken if pain symptoms justify exposure. A digital scanner (iTero Element 5D; Align Technology, San Jose, California, USA) with near-infrared imaging technology will be used for the assessment of the internal structure in real time.



**Table 2** Laser parameters

Application technique	Contact
Number of points irradiated	1
Number and frequency of sessions	1 session
Wavelength (nm)	660±10
Radiance (mW/cm <sup>2</sup> )	3571
Spectral width (FWHM)	4.8±2 nm
Temporal regime	Continuous
Power	100mW
Beam type	Multimode
Beam area (cm <sup>2</sup> )	0.028
Exposure time	60s
Energy density (J/cm <sup>2</sup> )	214
Energy (J)	6
FWHM, Full width half maximum.	

### Microbiological evaluation

The collection of infected dentin from each selected tooth will be performed in three stages: before and after the selective removal of carious tissue, and after aPDT. The collection will be performed on the pulpal or axial wall, with remnants of decayed dentin. The tissue will be removed using the Meyhoefer auricular curette no 2 (Meyhoefer curette; ABC Surgical Instruments, São Paulo, SP, Brazil) and the infected sample at each moment will be deposited in one eppendorf-type flask containing 1 mL of broth culture medium BHI (BHI Broth; Himedia, Kelton, Pennsylvania, USA). Samples will be packed on ice for transport to the laboratory where microbial quantification will be performed. In the laboratory, the BHI vial will be homogenised in a vortex (Vortex Q220 shaker; Quimis, Diadema, SP, Brazil) for 30 s at speed 10 (maximum). Serial dilutions of  $10^{-1}$  to  $10^{-5}$  times the original concentration will be performed. Aliquots of 10 µL will be seeded in a petri dish containing BHI medium (BHI Agar; Himedia) and incubated in microaerophilic conditions at 37°C for 48 hours (CO2 incubator Revco Elite II; Kendro Laboratory Products, Asheville, North Carolina, USA). Subsequently, colony forming units (CFUs) will be counted and converted by CFUs/mL for comparison between groups.<sup>18</sup> The procedure will be performed in duplicate.

### Clinical evaluation

The clinical evaluation will be performed by an assessor who will be blinded to the treatment group to which each tooth is allocated. The criteria used for the clinical evaluation will be the retention of the restorative material in the cavity, breakdown of the enamel adjacent to the restoration and the occurrence of secondary caries. The criteria of the modified USPHS index will be used for the evaluation.<sup>34</sup> The restoration will be characterised as failed and the tooth will be excluded from the study if the C score is determined for any of the USPHS criteria.

Photographs of the restorations will also be taken using a digital single-lens reflex camera (Canon EOS 700D; Canon, Tokyo, Honshu, Japan) to complement the clinical data. The visual demonstration could contribute to any necessary clarifications as well as make the discussion and documentation of the cases more efficient.

### Assessment of dentinal sensitivity

Prior to the removal of the carious tissue, the volunteers in the different groups will indicate the degree of pain or discomfort on the VAS<sup>36</sup> following isolation of the neighbouring teeth and the application of compressed air to the tooth with MIH for 1 s. The operator and assessor will also use the SCASS.<sup>5</sup> The sensitivity readings (VAS and SCASS) will be repeated 48 hours after the restorative treatment and at 3-months intervals for a 12-month follow-up period.

### Patient-centered research

Care with results centred on the paediatric patient with the assessment of anxiety and fear is increasingly evident in good dental practice and the improvement of the proposed techniques. In the present study, an anxiety scale (the Venham Picture Test)<sup>33 37</sup> will be used before treatment and after 48 hours. This scale consists of eight pairs of drawings including a frightened image (score 1) and non-frightened image (score 0). The children will be instructed to indicate which picture reflects their feelings at the moment. The final score will be the sum of all images ranging from 0 to 8, with higher scores indicating a higher level of anxiety and fear.<sup>37</sup>

### Statistical analysis

The primary outcome will be CFU/mL. Parametric and non-parametric statistics will be used to test the hypothesis. The results will be expressed using descriptive statistical analysis. Associations with age and sex will be performed using the accuracy test or Fisher's exact test. The Student's t-test and analysis of variance (ANOVA) will be used for the comparison of means of signs and symptoms of reversible pulpitis. Pearson's correlation coefficients will be calculated to determine the strength of correlations between variables. Comparisons of the microbiological results (CFU) will be performed by the ANOVA and Kruskal-Wallis tests. Follow-up and all intention-to-treat analyses will be performed for each group. The repeated-measures mixed linear model will be used for inclusion in case of incomplete sequential elements. Kaplan-Meier survival analysis will be performed to assess the performance of the restorations. The data will be analysed statistically using these different tests and considering a 5% significance level.

### Ethics and dissemination

The study will be conducted in accordance with the ethical precepts stipulated in the Declaration of Helsinki (World Medical Association Declaration of Helsinki, 2008) and in accordance with the norms governing research involving human subjects stipulated in Resolution no 466/12 and

510/2016 of the Brazilian National Board of Health and has received approval from the Human Research Ethics Committee of Nove de Julho University (certificate number: 61027522.0.0000.5511/approval date: August 23, 2022). The study was registered in ClinicalTrials.gov (NCT05443035, Last Update: November 22, 2023). Legal guardians will agree to the participation of the children by signing in writing a statement of informed consent. Recruitment will be initiated after approval from the Human Research Ethics Committee. Study findings will be disseminated through publication in a peer-reviewed journal.

The participants will be informed that they may withdraw from the study at any time for any reason, if they so wish. The researchers will also be able to remove participants from the study if deemed necessary.

## DISCUSSION

MIH and caries generate a negative impact on the life of affected individuals. The search for effective conservative treatments with the aim of preserving pulpal vitality and tooth structure seems to be the most adequate choice for teeth that require early treatment. It is therefore fundamental to seek a clinical protocol that promotes decontamination, the control of hypersensitivity and greater longevity of restorations and incorporates the concept of minimal intervention.

Minimal intervention and knowledge of cariology have transformed the paradigm of restorative treatment for caries.<sup>38</sup> With this minimal intervention approach, the selective removal of carious tissue is less invasive and consistent. There is a total removal of carious tissue from the walls of the surrounding cavity and the removal of the softened dentin is performed on the pulp wall in the contaminated, necrotic zones with the preservation of the sound structure capable of remineralisation after the execution of the definitive sealing of the cavity.<sup>39</sup> Removal of all carious tissue is no longer indicated. In cases of deep injuries, it can cause accidental pulpal exposure and postoperative symptoms.<sup>32–38–43</sup> Furthermore, selective removal of carious tissue has been shown to be a promising technique for teeth with MIH.<sup>15–44–46</sup>

The lack of randomised clinical trials with longitudinal follow-ups that prove the effectiveness of aPDT on permanent teeth with MIH constitutes a gap in the scientific literature that needs to be filled. Thus, any immediate bacterial reduction obtained through dentinal decontamination would increase the likelihood of successful treatment with an additional reduction over time, along with greater longevity of the restorative material.<sup>32</sup>

The positive effects of the laser in modulating inflammation and the possibility of accelerating the formation of tertiary dentin<sup>47</sup> as a secondary effect of aPDT would be interesting for teeth with MIH and hypersensitivity. According to the literature, teeth with atypical restorations may present mild-to-moderate sensitivity,<sup>5</sup> therefore, finding an effective treatment to control pain

after restorative treatment would be very important. The longevity of restorations in teeth with MIH is another limitation of treatment and also one of the main challenges for professionals. The need for constant retreatment generates emotional exhaustion for the patient, in addition to financial expense with each new procedure.<sup>48</sup> In view of this, it is essential that we understand the real effect of deproteinisation on teeth with MIH, aiming at maximum preservation of the enamel structure and thus providing effective long-term treatment.

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