



BMJ Open Out-of-hospital cardiac arrests in the city of Cape Town, South Africa: a retrospective, descriptive analysis of prehospital patient records

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ABSTRACT

Objectives While prospective epidemiological data for out-of-hospital cardiac arrest (OHCA) exists in many high-income settings, there is a dearth of such data for the African continent. The aim of this study was to describe OHCA in the Cape Town metropole, South Africa.

Design Observational study with a retrospective descriptive design.

Setting Cape Town metropole, Western Cape province, South Africa.

Participants All patients with OHCA for the period 1 January 2018–31 December 2018 were extracted from public and private emergency medical services (EMS) and described.

Outcome measures Description of patients with OHCA in terms of demographics, treatment and short-term outcome.

Results A total of 929 patients with OHCA received an EMS response in the Cape Town metropole, corresponding to an annual prevalence of 23.2 per 100 000 persons. Most patients were adult (n=885; 96.5%) and male (n=526; 56.6%) with a median (IQR) age of 63 (26) years. The majority of cardiac arrests occurred in private residences (n=740; 79.7%) and presented with asystole (n=322; 34.6%). EMS resuscitation was only attempted in 7.4% (n=69) of cases and return of spontaneous circulation (ROSC) occurred in 1.3% (n=13) of cases. Almost all patients (n=909; 97.8%) were declared dead on the scene.

Conclusion To our knowledge, this was the largest study investigating OHCA ever undertaken in Africa. We found that while the incidence of OHCA in Cape Town was similar to the literature, resuscitation is attempted in very few patients and ROSC-rates are negligible. This may be as a consequence of protracted response times, poor patient prognosis or an underdeveloped and under-resourced Chain of Survival in low- to middle-income countries, like South Africa. The development of contextual guidelines given resources and disease burden is essential.

INTRODUCTION

Out-of-hospital cardiac arrest (OHCA), defined as the cessation of cardiac mechanical activity that occurs outside of the hospital setting, has emerged as a leading cause of death globally and carries a high mortality.^{1–6}

Strengths and limitations of this study

- This study represents the largest study of out-of-hospital cardiac arrest published in Africa.
- In the absence of an out-of-hospital cardiac arrest registry in South Africa, this study is limited by the retrospective design and under-reporting of important Utstein variables.
- This study is also limited by external validity as it only represents incidents of out-of-hospital cardiac arrest in one South African city.

In high-income countries survival from OHCA has been reported to be between 4.3% and 11%.^{1–9} The incidence of OHCA ranges from 28.3 per 100 000 in Asia to 54.6 per 100 000 population in North America.⁷ African data suggest an incidence of 6.4 per 100 000 population. However, this is likely underestimated, as this particular study limited the sample to OHCA cases attended to by paramedic students.²

While African data are sparse, data from other low-resource settings show dramatically worse survival rates in settings where OHCA chains of care are immature or absent, and under-resourced.^{10–12} A 2020 scoping review on OHCA in low-resource settings reported return of spontaneous circulation (ROSC) rates ranging from 0% to 62%, survival to discharge rates of between 1% and 16.7%, and rates of favourable neurological outcome of between 1% and 9.3%.¹³ These large ranges are likely due to heterogeneity in the quality of studies reported on in the review and also the diversity of settings. Results should be interpreted with caution. Survival to hospital arrival in South Africa has been reported to be 18% in a Johannesburg-based cohort,² yet no studies could be found reporting longer-term survival.

The vast majority of OHCA cases are caused by ischaemic heart disease (IHD).¹⁴ The

incidence of cardiovascular diseases such as IHD is on the increase in South Africa—a doubling in the incidence is expected between 1990 and 2030.^{15–17} Thus, despite the lack of epidemiological data on OHCA, it is certainly likely that the incidence of OHCA in South Africa is not only underestimated but also rising. This is true for the rest of sub-Saharan Africa, too.^{16–20}

Healthcare investment and development should ideally be guided by local priorities and robust epidemiological data, and underpinned by international evidence-based guidelines. This is particularly true in settings like South Africa, and most countries on the African continent, with severely strained and limited healthcare resources. Unfortunately, there is currently a paucity of epidemiological data on OHCA within the South African and African settings. The aim of this study is therefore to describe OHCA in the City of Cape Town metropole, in the Western Cape province of South Africa.

METHODOLOGY

Study design

We undertook an observational study with a retrospective descriptive design. All OHCA data for a 1-year period (1 January 2018–31 December 2018) were extracted from the public emergency medical service (EMS) and the largest private EMS in the province.

Study setting

Covering a land mass of 129 462 km², the Western Cape province has a population of approximately 6.3 million and accounts for 11% of the total population in South Africa. Approximately 64% of the population resides in the Cape Town metropole, which has a population of just over 4 million. Regarding the most common aetiology of OHCA (ie, IHD),¹⁴ it is ranked as one of the top three causes of death in the Western Cape province.²¹

South Africa has a dual healthcare system where insured patients are mostly treated and transported by private EMS and uninsured patients are typically transported by the provincial services as supplied by the government (public EMS). Approximately 26.3% of the Western Cape population has medical insurance.²² While 76.1% of the Western Cape population live with 1 hour of a facility with percutaneous coronary intervention,²³ access is still not guaranteed owing to lack of medical insurance.²⁴

The Western Cape province has a formalised tier 2 EMS,²⁵ staffed by prehospital emergency care providers at the basic (BLS), intermediate and advanced life support (ALS).²⁶ Each ambulance is staffed by at least two providers, with ALS providers often working on rapid response vehicles. Resuscitation and defibrillation are on the scope of practice of all cadres of prehospital providers.²⁷ Training for prehospital providers range from a 6-week (BLS) certificate course, to 4-year degree programme (ALS). Emergency call-takers are often non-medically trained and perform their duties without a

script or clear protocols on OHCA-recognition and telephonic cardiopulmonary resuscitation (CPR) guidance.²⁸

In South Africa, there are no published registries on OHCA or public access defibrillators. There also exists no literature on the uptake of CPR training in the general population, nor is it mandated by law.

Sample and sampling

Cases of OHCA were identified in two ways, commensurate with the patient report form (PRF) archiving systems of the EMS. For the public EMS, OHCA was first identified from the computer-aided dispatch (CAD) where a patient was denoted as being ‘unresponsive.’ This was necessary as there was no specific ‘cardiac arrest’ call category. Hereafter, the unique case number was linked to the corresponding electronic patient care records, which was extracted and interrogated for the presence of OHCA.

For the private EMS, cases were identified using two methods. Any cases that were denoted as cardiac arrest or ‘CPR in Progress’ on the CAD system were identified and the PRF for the individual cases were extracted. Second, PRFs that were classified as resuscitation, no service—declaration, or were treated at an ALS level were reviewed for OHCA.

In both instances, OHCA was defined as a paramedic diagnosis of ‘death,’ or ‘cardiac arrest,’ or any instance where CPR or defibrillation was performed. We further included any patients that were unresponsive and pulseless or had a corresponding declaration of death form accompanied. Arrest location was determined by either the address given or notes related to location on the dispatch system. Where the arrest location was ambiguous or unclear, it was reported as missing. Initial rhythm was defined as the first heart rhythm reported on the patient record. Response time was defined as the time from first call in the dispatch centre to arrival on scene.

We excluded all traumatic cardiac arrests, any instances where a patient arrested in the presence of EMS, or where an ambulance unit was cancelled prior to reaching the patient.

All eligible cases were reviewed for eligibility and data were extracted by the authors WS (public EMS data) and CW (private EMS data). After extraction, a 10% sample was drawn and reviewed against original records to ensure accuracy of data recorded.

Data analysis

After collation, data were subjected to descriptive analysis. Unfortunately, due to the small sample of patients that underwent prehospital resuscitation, and an even smaller sample of patients with ROSC, meaningful analyses between cohorts (ROSC and non-ROSC) were not possible. Group differences according to EMS sector and gender were sought using Mann-Whitney U test and ORs were calculated. A p-value of 0.05 was considered significant. No missing data techniques were employed. Analyses were performed using SPSS V.25 (IBM) and MedCalc Online (MedCalc Software, Ostend, Belgium).

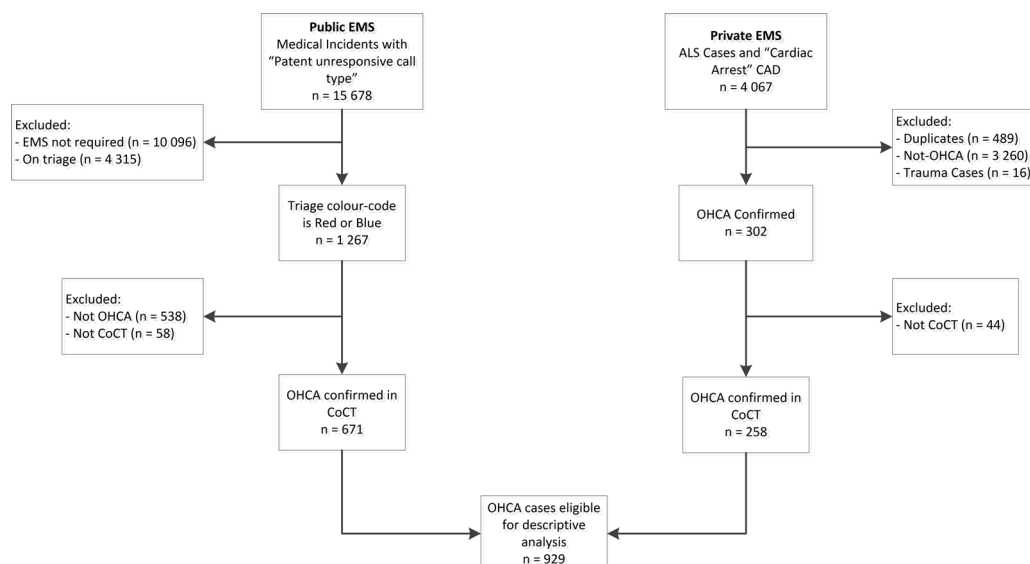


Figure 1 Case sampling and exclusion. ALS, advanced life support; CAD, computer-aided dispatch; CoCT, City of Cape Town; EMS, emergency medical services; OHCA, out-of-hospital cardiac arrest.

Patient and public involvement

Due to the retrospective nature of this study, no patients were involved. However, this study will inform ongoing community and stakeholder engagement work for patients at risk of OHCA.

RESULTS

For the period of 1 January 2018–31 December 2018, a total of 929 patients with OHCA received an EMS response in the City of Cape Town metropole, corresponding to an annual prevalence of 23.2 per 100 000 persons. **Figure 1** outlines the selection of cases. **Table 1** describes the characteristics of patients with OHCA.

Most patients were adult (n=885; 96.5%) and male (n=526; 56.6%) with a median (IQR) age of 63 (26) years. **Figure 2** outlines the distribution of patient age. The majority of cardiac arrests occurred in private residences (n=740; 79.7%) and presented with asystole (n=322; 34.6%). However, in 61.8% (n=574) of cases, the initial rhythm was not recorded. All patients who presented with a shockable rhythm (n=16; 1.7%) received defibrillation by EMS. Resuscitation by the responding EMS was only attempted in 7.4% (n=69) of cases and ROSC only occurred in 1.3% (n=13) of all cases, all of which were resuscitated by EMS. Therefore, 18.8% of patients who were resuscitated achieved ROSC. A small number of patients (n=7; 0.75%) were transported to a health facility while still being resuscitated, while almost all patients (n=909; 97.8%) were declared dead on the scene of the OHCA. Response times were 17min and 32s for those patients where resuscitation was attempted, vs 27 min and 13s in those that were not.

Table 2 describe the characteristics of OHCA when those occurring in the public and private sector are compared. Missingness is reported as proportion of those with sector recorded. Patients with OHCA were significantly younger

in the private sector (59 vs 75 years; $p<0.01$), and had a higher incidence of ROSC (4.3% vs 0.3%; OR (95% CI) 0.07 (0.01 to 0.31, $p<0.01$)). Resuscitation occurred more commonly (**table 1**) in the private sector than public sector EMS (17.1% vs 3.7%; OR (95% CI) 0.19 (0.11 to 0.31, $p<0.01$)). Similarly, the response time was significantly shorter in the private sector than the public sector EMS (10:00 vs 20:39; $p<0.01$). The incidence of shockable rhythm and arresting in a public location was not related to the EMS sector (all $p>0.05$).

Table 3 describes the characteristics of OHCA according to sex as assigned by the prehospital providers. Missingness is reported as proportion of those with sex recorded. Male patients were significantly younger than female patients (60 vs 67 years; $p<0.01$) and were more likely to arrest in a public location (13.3% vs 6.6%; OR (95% CI) 0.45 (0.28 to 0.72, $p<0.01$)). Initial response time, initial rhythm and outcome were similar across the sexes (all $p>0.05$).

Regarding compliance with the Utstein reporting variables,²⁹ dispatcher core variables (identification and telephonic CPR instructions) were not recorded in any cases, core patient variables were missing in 0.01% (n=7) of cases for age and 1% (n=9) of cases for sex. Whether an arrest was witnessed or not and whether bystanders provided any care to victims were not explicitly recorded. The location of the arrest was not apparent in 9.7% (n=90) of cases. An overwhelming majority of OHCA (n=574; 61.8%) had no initial rhythm recorded. Lastly, as only short-term outcomes were assessed in this study, other postresuscitation variables were not recorded.

DISCUSSION

This study aimed to retrospectively describe cases of OHCA in a single city in South Africa. Most patients were adult, middle-aged men, who arrested in a private

Table 1 Characteristics of OHCA cases, shown by EMS treatment

	Overall, n=929	EMS treated, n (%) 69 (7.4%)	EMS not-treated, n (%) 860 (92.6%)	Missing, n (%)
Age, median (IQR)	63 (26) years	63 (21) years	63 (27) years	7 (0.01)
Adult, n (%)	885 (96.5)	62 (90)	823 (95.7)	12 (1.3)
Paediatric, n (%)	18 (2)	3 (4.3)	15 (1.7)	
Infant (<1 year), n (%)	14 (1.5)	2 (2.9)	12 (1.4)	
Sex, n (%)				9 (1%)
Male, n (%)	526 (56.6)	42 (60.9)	484 (56.3)	
Female, n (%)	394 (42.1)	26 (37.7)	368 (42.8)	
EMS sector				
Private, n (%)	258 (27.8)	44 (17.1)	214 (82.9)	
Public, n (%)	671 (72.2)	25 (3.7)	646 (96.3)	
Arrest location				90 (9.7)
Public, n (%)	99 (10.7)	22 (31.9)	77 (9.0)	
Private, n (%)	740 (79.7)	45 (65.2)	695 (80.8)	
Response time, mm:ss	26:30	17:32	27:13	0
Initial rhythm				574 (61.8)
VF, n (%)	16 (1.7)	16 (23.2)	0	
PEA, n (%)	17 (1.8)	17 (24.6)	0	
Asystole, n (%)	322 (34.6)	27 (39)	295 (34.3)	
Outcome				0
ROSC	13 (1.3)	13 (18.8)	0	
Transported, no ROSC	7 (0.75)	7 (10.1)	0	
Declared on scene	909 (97.8)	49 (71.0)	860 (100)	

EMS, emergency medical services; OHCA, out-of-hospital cardiac arrest; PEA, Pulseless electrical activity; ROSC, return of spontaneous circulation; VF, ventricular fibrillation.

setting and presented with a non-shockable cardiac arrest rhythm. Resuscitation was attempted in less than one in ten patients during this 1-year period. Incidents of OHCA were also characterised by prolonged response times, which may explain these results.

In this study, the incidence of OHCA in the Cape Town metropole was considerably higher (23.2/100 000) than that reported in a Johannesburg cohort (6.4/100 000) and is likely related to the data sources used to determine the incidence (the Johannesburg study used a paramedic student database).² Yet, this is similar to that reported

in an Asian study (28.3/100 000).⁷ While not explicitly OHCA, a Cameroonian study showed an incidence of sudden cardiac death of 24.2/100 000.³⁰ The incidence of OHCA in our study is much lower than that reported in Europe which ranges from 53 to 166/100 000.³¹ This difference may be explained by the retrospective nature of our study, narrow inclusion criteria and general poor reporting on OHCA across systems.

The majority of patients in this study were middle-aged, with a male predominance. Patient demographics in the present study are similar to that observed in previous studies in high^{31 32} and low- to middle income country (LMIC)¹¹ settings. This also represents the patient cohort that is most likely to have IHD.^{20 33} This supports the idea that the epidemiology of OHCA, in line with that of IHD, is likely on the increase in sub-Saharan populations.^{15–17}

Resuscitation by EMS was attempted in very few patients. While it is unclear why this is the case, some explanations are likely. First, this may be a reflection of prolonged response times and poor initiation of bystander CPR, leading to poor patient prognosis and the decision by EMS to withhold resuscitation attempts. It is suggested that qualitative research seeks to understand what factors

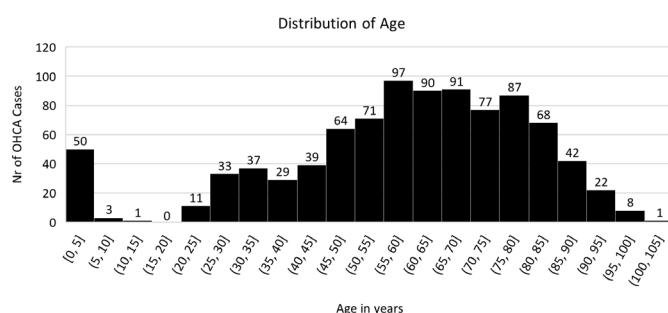


Figure 2 Distribution of age of patients with OHCA. OHCA, out-of-hospital cardiac arrest.

Table 2 Characteristics of OHCA cases, shown by EMS sector (private vs public)

	Private EMS, n (%) 258 (27.8%)	Public EMS, n (%) 671 (72.2%)
Age, median (IQR)	59 (22)	75 (21)
Adult, n (%)	251 (97.3)	634 (94.5)
Paediatric, n (%)	2 (0.8)	16 (2.4)
Infant (<1 year), n (%)	2 (0.8)	12 (1.8)
Missing, n (%)	3 (1.1)	15 (2.2)
Sex, n (%)		
Male, n (%)	145 (56.2)	381 (56.8)
Female, n (%)	113 (43.8)	281 (41.9)
Missing, n (%)	0 (0)	9 (1.3)
Arrest location		
Public, n (%)	33 (12.8)	66 (9.8)
Private, n (%)	225 (87.2)	515 (76.8)
Missing, n (%)	0 (0)	90 (13.4)
Response time, mm:ss	10:00	20:39
Initial rhythm		
VF, n (%)	14 (5.4)	2 (0.3)
PEA, n (%)	11 (4.3)	6 (0.9)
Asystole, n (%)	232 (89.9)	90 (13.4)
Missing, n (%)	1 (0.4)	573 (85.4)
Outcome		
ROSC	11 (4.3)	2 (0.3)
Transported, no ROSC	2 (0.8)	5 (0.7)
Declared on scene	245 (94.9)	664 (99.0)

EMS, emergency medical services; OHCA, out-of-hospital cardiac arrest; PEA, pulseless electrical activity; ROSC, return of spontaneous circulation; VF, ventricular fibrillation.

EMS personnel use to base their decision to initiate or withhold resuscitation.

Second, the response times in this study were much longer (26min) than in other settings where response times to OHCA were 6min in Iran,³⁴ 7min in Sweden³⁵ and Serbia,³⁶ and 21 min in Lebanon.³⁷ The only other South African OHCA study reported a response time of 9min.² Importantly, however, is that this study defined response times as the interval between emergency vehicle dispatch and arrival at the scene time, and therefore leads to a rather gross underestimation. It has been suggested that the odds of survival may increase by 24%³⁸ and favourable neurological outcome by 13%,³⁹ with every 1 min decrease in response time to OHCA. Yet, at a projected cost of £54million, this is an impossible task for resource-constrained healthcare settings, such as South Africa.³⁸

A third reason for such low rates of resuscitation which may also explain the response times, is the role of the EMS in performing out-of-hospital declarations of death. In the South African setting, EMS personnel are licensed

Table 3 Characteristics of OHCA cases, shown by sex

	Male, n (%) 526 (56.6%)	Female, n (%) 394 (42.1%)
Age, median (IQR)	60 (15)	67 (27)
Adult, n (%)	507 (96.4)	376 (95.4)
Paediatric, n (%)	9 (1.7)	9 (2.3)
Infant (<1 year), n (%)	6 (1.1)	8 (2.0)
Missing, n (%)	4 (0.2)	1 (0.3)
Arrest location		
Public, n (%)	70 (13.3)	26 (6.6)
Private, n (%)	401 (76.2)	334 (84.8)
Missing, n (%)	55 (10.5%)	34 (8.6)
Response time, mm:ss	17:33	16:07
Initial rhythm		
VF, n (%)	11 (2.1)	5 (1.3)
PEA, n (%)	10 (1.9)	7 (1.8)
Asystole, n (%)	179 (34.0)	142 (36.0)
Missing, n (%)	326 (62.0)	240 (60.9)
Outcome		
ROSC	9 (1.7)	4 (1.0)
Transported, no ROSC	5 (1.0)	2 (0.5)
Declared on scene	512 (97.3)	388 (98.5)

OHCA, out-of-hospital cardiac arrest; PEA, pulseless electrical activity; ROSC, return of spontaneous circulation; VF, ventricular fibrillation.

to declare patients dead before corpses may be removed from a private or public space. It is unclear how many of these EMS responses were for incidents where a declaration of death was sought, rather than active emergency care. When a declaration is requested, the case will be delayed until other emergency incidents have been serviced before sending a vehicle to the scene. Means of excluding these cases should be sought in future studies from this setting.

Response times were significantly shorter in the private sector EMS, who were also more likely to initiate resuscitation. Shorter responses in private healthcare may be reflective of the number of resources available—healthcare expenditure in the private sector is up to 10 times more per capita in South Africa than that reported for the public sector.^{40 41} Patients in the private sector were also significantly younger, which may have affected prehospital provider decisions to initiate resuscitation, explaining the higher rates of ROSC. Factors that influence decision-making may further be explored qualitatively.

Similar to papers published elsewhere,^{11 31 35 37 42} very few OHCA occurred in public places. Very little data are available on the amounts and locations of public access automated external defibrillators (AEDs) on the continent. This may negate the impact of mass public CPR campaigns and large public access AED programmes,

which have been suggested as effective interventions in improving OHCA survival.^{43 44} These interventions may therefore not be cost effective in low-resource settings such as South Africa. Community CPR campaigns have been demonstrated to cost between US\$22 539⁴⁵ and US\$62 600⁴⁶ per quality-adjusted life-year gained, while public access AEDs show that it will cost between US\$30 000⁴⁷ and US\$1 102 473⁴⁸ per quality-adjusted life-year gained. Yet, as increased incidence in OHCA is expected, some interventions should be invested in to manage such patients. Perhaps, a tailored approach to high-risk communities should be developed to ensure a yield with limited available resources. One such option may be telephone-assisted CPR (tCPR), which has been shown to be cost-effective and to significantly improve bystander CPR rates.⁴⁹ However, there is a dearth of African data on the acceptability of tCPR as well as dispatch recognition of OHCA and future studies should investigate these elements of the Chain of Survival. Similarly, future studies should investigate the willingness of bystanders within these settings to perform bystander CPR.

While only prehospital survival (ie, ROSC rates) were investigated in this study, the number of patients who achieved ROSC was almost negligible. This is similar to other studies from low resource settings.¹³ This is likely as a consequence of the low resuscitation rates and prolonged response times in the city of Cape Town. Based on the cost effectiveness of mass CPR and AED programmes, and emergency and intensive care resources available in LMICs, like South Africa; as well as the ROSC rates observed in this study and that from other LMICs, it is essential to develop innovative interventions for OHCA that may maximise outcome and resource utility. Such interventions should also be contextually appropriate given the disease and injury burden of the setting and the sociocultural elements of the society. All of this should be underpinned by the latest evidence and appropriate engagement with healthcare providers, policy-makers and community members from such settings.

Limitations

This study is limited by its retrospective design and lack of compliance to the Utstein OHCA reporting guidelines. South Africa lacks a prospective OHCA registry and, thus, the variables that may be reported on are limited to those recorded on clinical notes. Further, in the absence of clear emergency call categories for OHCA, it is possible that some OHCA cases could have not been sampled. There are also smaller private services in the Western Cape that were not sampled, and this might lead to a somewhat underestimation of the incidence of OHCA.

The relatively small sample size of patients with ROSC and those that were transported, as well as lack of longer-term outcome data, meant that more granular subanalyses were not possible. We suggest the development and implementation of an OHCA registry for the city. This will also affect the rate of missingness, which is higher in the public sector and might also be related

to resource availability (eg, the availability of portable electrocardiographs).

CONCLUSION

To our knowledge, this was the largest study investigating the incidence and prehospital outcome of OHCA ever undertaken in Africa. We found that while the incidence of OHCA in Cape Town was similar to that described in the literature, resuscitation is attempted in very few patients, and ROSC-rates are negligible. This is likely as a consequence of prolonged response times or assessments of poor prognosis by prehospital providers. This may also be as a consequence of an underdeveloped and under-resourced Chain of Survival in LMICs like South Africa.

Owing to an increase in cardiovascular diseases in sub-Saharan Africa, the incidence of OHCA is likely to increase in the coming decades. For this reason, it is essential to develop contextual guidelines for these settings that are contextually appropriate given the disease and injury burden of the setting and the sociocultural elements of the society.

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Contributors Study concept and design: WS and TD. Acquisition of data: WS and CW. Analysis and interpretation of data: WS, CW, TD and LAW. Drafting of the manuscript: WS, CW and LAW. Critical revision of the manuscript for important intellectual content: WS, CW, TD and LAW.

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Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not required.

Ethics approval Ethical approval for this study was obtained from the Human Research Ethics Committee of the University of Cape Town (HREC Ref: 791/2018) and from the public and private EMS organisations. The study was approved with waiver of informed consent and all data were anonymised.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available on reasonable request. Data supporting the findings of this study is available on reasonable request and subject to approval and restrictions by the respective emergency medical services, the data owners.

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